

## **INFORMATION DISCLOSURE**

To: - **State Securities Commission**  
- **Hanoi Stock Exchange**

1. Name of organization: **Utxi Aquatic Products Processing Corporation**

- Stock code: UXC

- Address: No. 24, Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Tran De District, Soc Trang Province.

- Phone: (02993) 852.955 Fax: (02993) 852.670

2. Information disclosure person: Chiem Hai Hoa – Authorized person to disclose information

3. Type of information disclosure:  periodic  24-hour  unusual  upon request

4. Information Disclosure Content: Corporate Governance Report for the Year 2024.

5. This information was published on the company's website on: January , 2025 at the following link: <http://utxi.com.vn/vi/news/co-dong/>

We hereby certify that the information disclosed above is truthful, and we take full responsibility under the law for the content of the disclosed information.

***Attachments:***

- Report on corporate governance for Year 2024 of Utxi Aquatic Products Processing Corporation.

***Recipient:***

- As above;
- Board of Directors, Board of Directors;
- Supervisory Board
- Save: VT./.

**Organizational Representative**  
Persons authorized to disclose information



**CHIEM HAI HOA**

UTXI AQUATIC PRODUCTS  
PROCESSING CORPORATION

THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom – Happiness

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No: 01/BC-HĐQT/UXC.25

Soc Trang, January 17, 2025

## REPORT ON CORPORATE GOVERNANCE (Year of 2024)

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To: - **The State Securities Commission;**  
- **Hanoi Stock Exchange.**

- Name of company: **UTXI AQUATIC PRODUCTS PROCESSING CORPORATION**
- Address of head office: No. 24, Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Tran De District, Soc Trang Province.
- Telephone: (02993) 852 959 - 852 671
- Fax: (02993) 852 952 - 852 670
- Email: [quyenlb@utxi.com.vn](mailto:quyenlb@utxi.com.vn)
- Charter capital: **354,000,000,000 VND**
- Stock symbol: **UXC**
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisor and General Director.
- The implementation of internal audit: Not yet implemented.

### I. Activities of General Meeting of Shareholders:

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution /Decision No.	Date	Content
1	01/NQ- ĐHĐCĐ/UXC.24	April 27, 2024	<p><b><i>Resolution of the 2024 Annual General Meeting of Shareholders:</i></b></p> <p>- Approve the Report of the Board of Directors on the management of production and business activities in 2023 and set forth key tasks for the Board of Directors in 2024.</p>

No.	Resolution /Decision No.	Date	Content
			<ul style="list-style-type: none"> <li>- Approve the summary of the Company's 2023 audited financial statements, the report on production and business results for 2023, and the business plan for 2024.</li> <li>- Approve the Supervisory Board's review report for 2023 and its supervision plan for 2024.</li> <li>- Approve the proposal for the distribution of 2023 profits.</li> <li>- Approve the results of remuneration payments for 2023 and the remuneration plan for 2024 for the Board of Directors and the Supervisory Board.</li> <li>- Approve the selection of an independent auditing firm to audit the Company's 2024 financial statements.</li> </ul>
2	01/BB-DHĐCĐ/UXC.24	April 27, 2024	Minutes of the Annual General Meeting of Shareholders 2024

## II. Board of Directors (2024 Report):

### 1. Information on the Members of the Board of Directors (BOD) for the 2020-2025 Term:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of Dismissal
1	Mr. Nguyen Trieu Dong	Chairman of the Board of Directors ( <i>Non-Executive Board Member</i> )	April 25, 2020	
2	Ms. Ly Bich Quyen	Board Member and CEO	April 25, 2020	
3	Mr. Nguyen Hoang Phuong	Board Member and Deputy CEO	April 25, 2020	
4	Mr. Nguyen Hoang Nha	Board Member and Deputy CEO	April 25, 2020	
5	Mr. Truong Truc Linh	Independent Board Member	April 25, 2020	

## 2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Nguyen Trieu Dong	7/7	100%	
2	Ms. Ly Bich Quyen	7/7	100%	
3	Mr. Nguyen Hoang Phuong	7/7	100%	
4	Mr. Nguyen Hoang Nha	7/7	100%	
5	Mr. Truong Truc Linh	7/7	100%	

## 3. Supervising the Board of Management by the Board of General Directors:

In 2024, the Board of Directors carried out its oversight functions in accordance with the Company's Charter, the Corporate Governance Regulations, internal management rules, and current legal provisions. Specifically:

- Organized the supervision of the execution and preparation of capital for ongoing and upcoming projects of the Company. Chaired, directed, and successfully organized the 2024 Annual General Meeting of Shareholders on April 27, 2024.

- Directed and ensured the strict implementation of annual financial reporting, semi-annual financial reports, quarterly financial reports, and annual reports, while also monitoring and overseeing the financial reports, expenditure plans, and other financial activities of the company.

- Oversaw the implementation of resolutions issued by the General Assembly of Shareholders and the Board of Directors, evaluated the operational effectiveness of the General Director's Board in business activities, ensuring that business decisions were executed correctly and efficiently.

- Supervised and directed the timely and transparent disclosure of information in compliance with legal requirements.

- Monitored risk management measures implemented by the General Director's Board, ensuring the company had effective preventive and responsive measures in place.

- Supervised the implementation and adherence to the company's policies, processes, and regulations, ensuring they were executed correctly.

- Monitored legal issues related to the company's business operations, ensuring full compliance with legal regulations.

- Directed the collection of the company's investment debts.

**4. Activities of the Board of Directors' subcommittees (If any):** The Board of Directors did not establish any sub-committees.

**5. Resolutions/Decisions of the Board of Directors (Annual report):**

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/NQ-HĐQT/UXC.24	February 19, 2024	Resolution of the Board of Directors on the convening of the 2024 Annual General Meeting of Shareholders.	100%
2	01A/NQ.HĐQT-UX.24	March 25, 2024	Resolution of the Board of Directors on the approval of the General Director's report on the business performance in 2023 and the business plan for 2024. Review, discuss, and update the documents to be presented at the 2024 Annual General Meeting of Shareholders scheduled for April 27, 2024.	100%
3	01/QĐ-HĐQT/UXC.24	March 27, 2024	Decision of the Board of Directors on the establishment of the Shareholder Eligibility Verification Committee for the 2024 Annual General Meeting of Shareholders.	100%
4	02A/BB.HĐQT/UXC.24	April 18, 2024	Minutes of the Board of Directors meeting on the review of the business performance in the first quarter of 2024.	100%
5	02/NQ.HĐQT-UXC.24	08/21/2024	Resolution of the Board of Directors on the approval of the business performance results for the first half of 2024 and the business plan for the second half of 2024 for the General Director's Board.	100%
6	03/NQ.HĐQT-UXC.24	September 30, 2024	Resolution of the Board of Directors on the appointment of the Company's governance officer and the authorized person for information disclosure.	100%
7	02/QĐ-HĐQT/UXC.24	September 30, 2024	Decision of the Board of Directors on the appointment of the governance officer and authorized person for information disclosure.	100%
8	04/NQ.HĐQT-UXC.24	October 07, 2024	Resolution of the Board of Directors on the registration of share transactions on the UPCOM trading system at the Hanoi Stock Exchange.	100%
9	05/NQ.HĐQT-UXC.24	October 16, 2024	Resolution of the Board of Directors on the review of the business performance in the first nine months of 2024 and the business plan for the fourth quarter of 2024.	100%

**III. Board of Supervisors (Year):**

**1. Information about members of Board of Supervisors:**

No.	Members of Board of Supervisors	Position	The date becoming the member of the Board of Supervisors	Qualification
1	Mr. Dao Truong Han	Head of the Supervisory Board	April 25, 2020	Bachelor's Degree in Business Administration
2	Ms. Nguyen Thi Kim Ngan	Member of the Supervisory Board	April 25, 2020	Bachelor's Degree in Business Administration
3	Ms. Tang Thi My Thuy	Member of the Supervisory Board	April 25, 2020	Bachelor's Degree in Natural Resource Economics

**2. Meetings of Board of Supervisors:**

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Dao Truong Han	4/4	100%	100%	
2	Ms. Nguyen Thi Kim Ngan	4/4	100%	100%	
3	Ms. Tang Thi My Thuy	4/4	100%	100%	

**3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:**

In 2024, the Supervisory Board carried out its oversight responsibilities regarding the Board of Directors and the General Director's Board in managing and operating the Company, ensuring compliance with legal regulations, the Charter, Governance Regulations, Procedures, and Resolutions of the General Meeting of Shareholders. The Board reviewed and verified the business performance reports and financial statements of the Company. Specifically:

- Financial Audit: Examined financial reports, accounting books, and financial activities to ensure compliance with legal regulations and accounting standards.

- Business Operations Oversight: Assessed the effectiveness of the General Director's Board in business operations, ensuring that business decisions were properly executed and provided value to shareholders.

- Risk Management Audit: Reviewed and evaluated risk management measures implemented by the Board of Directors and the General Director's Board, ensuring that the company had effective preventive and responsive measures.

- Legal Compliance Audit: Ensured the company fully complied with legal regulations to avoid legal risks.

- Shareholder Oversight: Ensured the protection of shareholders' interests and compliance with corporate governance regulations concerning shareholder rights.

#### **4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:**

The Supervisory Board has always proactively coordinated closely with the Board of Directors and the General Director's Board in monitoring and overseeing all business operations of the Company. Regular communication and exchange of information and documents have been maintained. In 2024, the Supervisory Board collaborated with the Board of Directors, the General Director's Board, and other management personnel in the following activities:

- Regular Meetings: The Supervisory Board, the Board of Directors, and the General Director's Board frequently organized regular meetings to exchange information, report results, and discuss important issues of the company.

- Reports and Feedback: The Supervisory Board regularly checked and reported the findings to the Board of Directors and the General Director's Board. In return, the Board of Directors and the General Director's Board also provided necessary information for the Supervisory Board to carry out its oversight tasks.

- Participation in Strategic Meetings: The Supervisory Board was invited to participate in strategic meetings to gain a better understanding of the company's development direction and to monitor the implementation of these strategies.

- Training and Capacity Building: Various joint training programs were organized to enhance management capabilities and professional knowledge for all individuals involved in managing the company.

- Development of Coordination Processes: Clear coordination processes were established between the parties to ensure consistency in executing tasks and addressing emerging issues.

#### **5. Other activities of the Board of Supervisors and Audit Committee (if any): None**

#### **IV. Board of Management:**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1	Ms. Ly Bich Quyen – Chief Executive Officer	November 21, 1980	Bachelor's Degree in English	July 01, 2019
2	Mr. Nguyen Hoang Phuong – Deputy Chief Executive Officer	April 05, 1972	Executive Director	July 19, 2011
3	Mr. Nguyen Hoang Nha – Deputy Chief Executive Officer	August 03, 1984	Bachelor's Degree in Business Administration	June 10, 2013
4	Mr. Truong Van Phuoc – Deputy Chief Executive Officer	August 09, 1959	Executive Director	January 01, 2012

#### V. Chief Accountant:

Name	Date of birth	Qualification	Date of appointment/ dismissal
Mr. Do Thanh Nhon	October 08, 1978	Master's Degree in Finance and Credit	November 01, 2002

#### VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, General Director, other managers and secretaries in accordance with regulations on corporate governance:

- On August 21, 2024, the Board of Directors of the company appointed management personnel to attend the specialized seminar "Legal Regulations on Corporate Governance, Disclosure Obligations, and the Importance of Investor Relations (IR) for Public Companies," organized by the State Securities Commission in Can Tho City.

- On a weekly basis, management participates in the "Business Inquiries – FPTs Responses" program, hosted by FPT Securities Joint Stock Company (FPTS) via a live-stream on YouTube. This program provides support and addresses challenges faced by businesses in fulfilling their obligations as public companies.

#### VII. The list of affiliated persons of the public company (Year) and transactions of affiliated persons of the Company:

##### 1. The list of affiliated persons of the Company:

## The list of affiliated persons of the Company

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>I</b>	<b>Individuals</b>								
1	Mr. Nguyen Trieu Dong		Chairman of the Board of Directors			April 25, 2020			Insider
2	Ms. Ly Bich Quyen		Board Member, Chief Executive Officer			April 25, 2020			Insider
3	Mr. Nguyen Hoang Phuong		Board Member, Deputy Chief Executive Officer			April 25, 2020			Insider
4	Mr. Nguyen Hoang Nha		Board Member, Deputy Chief Executive Officer			April 25, 2020			Insider
5	Mr. Truong Truc Linh		Independent Board Member			April 25, 2020			Insider
6	Mr. Truong Van Phuoc		Deputy Chief Executive Officer			April 25, 2020			Insider
7	Mr. Dao Truong Han		Head of the Supervisory Board			April 25, 2020			Insider
8	Ms. Nguyen Thi Kim Ngan		Member of the Supervisory Board			April 25, 2020			Insider

## The list of affiliated persons of the Company

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
9	Ms. Tang Thi My Thuy		Member of the Supervisory Board			April 25, 2020			Insider
10	Mr. Do Thanh Nhon		Chief Accountant			June 19, 2007			Insider
11	Mr. Chiem Hai Hoa		Deputy Accounting Manager / Corporate Governance Officer / Authorized Information Disclosure Representative			October 01, 2024			Insider
<b>Related Persons of the Board of Directors, Supervisory Board, and Executive Board in Section VIII.1</b>									
<b>II</b>	<b>Organization</b>								
1	Cuu Long Petro Urban Development and Investment Corporation		None						Co-Chairman of the Board of Directors

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations)

**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.**

NO.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Mr. Nguyen Trieu Dong	Chairman of the Board of Directors			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Board of Directors' Remuneration: 180.000.000 VND	
2	Ms. Ly Bich Quyen	Member of the Board of Director, Chief Executive Officer			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Board of Directors' Remuneration: 84.000.000 VND	
3	Mr. Nguyen Hoang Phuong	Member of the Board of Director, Deputy Chief Executive Officer			From January 08, 2024 To August 16, 2024		Lent: 80.000.000 VND Repayment of borrowed money: 20.478.000.000 VND	
					From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Board of Directors' Remuneration: 84.000.000 VND	
4	Mr. Nguyen Hoang Nha	Member of the Board of Director, Deputy Chief Executive Officer			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Board of Directors' Remuneration: 84.000.000 VND	
5	Mr. Truong Truc Linh	Independent Board Member			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Board of Directors' Remuneration: 84.000.000 VND	
6	Mr. Truong Van Phuoc	Deputy Chief Executive Officer			From January 01, 2024 To December 31, 2024		Payment for land use rights transfer and advance payment: 3.994.809.532 VND	

NO.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
7	Nguyen Thi Thanh Thuy	Younger Sibling of the Chairman of the Board of Directors			December 26, 2024		Payment for land use rights transfer and advance payment: 2.222.580.000 VND	
8	Tang Thi Tu Loan	Sister-in-law of the Chairman of the Board of Directors			From October 24, 2024 To October 28, 2024		Reimbursement of advance: 8.330.000.000 VND	
9	Mr. Dao Truong Han	Head of the Supervisory Board			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Remuneration for the Supervisory Board: 60.000.000 VND	
10	Ms. Nguyen Thi Kim Ngan	Member of the Supervisory Board			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Remuneration for the Supervisory Board: 30.000.000 VND	
11	Ms. Tang Thi My Thuy	Member of the Supervisory Board			From January 01, 2024 To December 31, 2024	NQ:01/NQ-ĐHĐCĐ/UXC.24 (27/04/2024)	Remuneration for the Supervisory Board: 30.000.000 VND	
12	Cuu Long Petro Urban Development and Investment Corporation	Co-Chairman of the Board of Directors			From December 16, 2024 To December 25, 2024		Payment of loan interest: 5.338.713.354 VND Repayment of borrowed money: 5.800.000.000 VND Payment of loan principal: 41.896.800.000 VND	

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations)*

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:**  
None.

**4. Transactions between the Company and other objects:**

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting):

- **Name of the organization/individual:** Cuu Long Petroleum Urban Investment and Development Joint Stock Company.

- **Relationship with the company:** Chairman of the Board of Directors.

- **NSH Certificate Number, issue date, issuing authority:** 220028059, issued on December 5, 2007, by the Department of Planning and Investment of Soc Trang.

- **Address:** No. 02-KTM06, Street 6, Urban Area 5A, Ward 4, Soc Trang City, Soc Trang Province.

- **Transaction period with the company:** From December 16, 2024, to December 25, 2024.

- **Content, quantity, total transaction value:**

+ **Payment of loan interest:** 5.338.713.354 VND

+ **Repayment of borrowed money:** 5.800.000.000 VND

+ **Payment of loan principal:** 41.896.800.000 VND

4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None

**VIII. Share transactions of internal persons and their affiliated persons (2024 report):**

**1. Transactions of internal persons and affiliated persons with shares of the Company:**



## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>I</b>	<b>Board of Directors</b>								
1	<b>Nguyen Trieu Dong</b>		<b>Chairman of the Board of Directors</b>		-		<b>7.116.000</b>	<b>20,102%</b>	
1.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
1.2	Nguyen Thi Xi		None		Biological Mother		0	0%	
1.3	Nguyen Kim Tien		None		Father-in-law		0	0%	Deceased
1.4	Lam Thi Sau		None		Mother-in-law		0	0%	Deceased
1.5	Nguyen Kim Hong Dao		None		Wife		24.430	0,069%	
1.6	Nguyen Anh Tuan		None		Biological Child		28.164	0,080%	
1.7	Nguyen Anh Thy		None		Biological Child		0	0%	
1.8	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		5.329.411	15,055%	
1.9	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		7.973.086	22,523%	
1.10	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Younger Sister		358.580	1,013%	

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.11	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Sister-in-law		65.398	0,185%	
1.12	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
1.13	Tang Thi Tu Loan		Head of Organization and Administration Department		Sister-in-law		0	0%	
1.14	Vu Thi Phuong Thao		None		Daughter-in-law		0	0%	
1.15	Cuu Long Petro Urban Development and Investment Corporation		None		Mr. Nguyen Trieu Dong serves as the Chairman of the Board of Directors		0	0%	
2	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		-		65.398	0,185%	
2.1	Ly Thai Cuong		None		Biological Father		0	0%	Deceased
2.2	Tran Ngoc Huong		None		Biological Mother		0	0%	
2.3	Nguyen Van Thanh		None		Father-in-law		0	0%	Deceased
2.3	Nguyen Thi Xi		None		Mother-in-law		0	0%	



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No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.5	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Husband		5.329.411	15,055%	
2.6	Nguyen Anh Vy		None		Biological Child		0	0%	
2.7	Nguyen Hoang Anh Minh		None		Biological Child		0	0%	Underage
2.8	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Sister-in-law		358.580	1,013%	
2.9	Nguyen Trieu Dong		Chairman of the Board of Directors		Brother-in-law		7.116.000	20,102%	
2.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		7.973.086	22,523%	
2.11	Ly Bich Phung		None		Biological Sister		0	0%	
2.12	Ly Tan Hieu		None		Biological Younger Brother		0	0%	
3	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		-		5.329.411	15,055%	
3.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
3.2	Nguyen Thi Xi		None		Biological Mother		0	0%	

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No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.3	Ly Thai Cuong		None		Father-in-law		0	0%	Deceased
3.4	Tran Ngoc Huong		None		Mother-in-law		0	0%	
3.5	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Wife		65.398	0,185%	
3.6	Nguyen Anh Vy		None		Biological Child		0	0%	
3.7	Nguyen Hoang Anh Minh		None		Biological Child		0	0%	Underage
3.8	Nguyen Trieu Dong		Chairman of the Board of Directors		Biological Older Brother		7.116.000	20,102%	
3.9	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Sister		358.580	1,013%	
3.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		7.973.086	22,523%	
3.11	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
3.12	Nguyen Kim Hong Dao		None		Sister-in-law		24.430	0,069%	
3.13	Tang Thi Tu Loan		Head of Organization and Administration Department		Sister-in-law		0	0%	

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

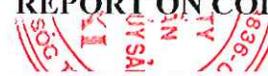
No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Nguyen Hoang Nha		Thành viên HĐQT, Deputy Chief Executive Officer		-		7.973.086	22,523%	
4.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
4.2	Nguyen Thi Xi		None		Biological Mother		0	0%	
4.3	Tang Hoa Thao		None		Father-in-law		0	0%	
4.4	Tran Thi Lai An		None		Mother-in-law		0	0%	
4.5	Tang Thi Tu Loan		Head of Organization and Administration Department		Wife		0	0%	
4.6	Nguyen Tang Nha Anh		None		Biological Child		0	0%	Underage
4.7	Nguyen Tang Nha Ky		None		Biological Child		0	0%	Underage
4.8	Nguyen Trieu Dong		Chairman of the Board of Directors		Biological Older Brother		7.116.000	20,102%	
4.9	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Sister		358.580	1,013%	
4.10	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Biological Older Brother		5.329.411	15,055%	

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

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4.11	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
4.12	Nguyen Kim Hong Dao		None		Sister-in-law		24.430	0,069%	
4.13	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Sister-in-law		65.398	0,185%	
<b>5</b>	<b>Truong Truc Linh</b>		<b>Independent Board Member</b>		-		<b>2.160</b>	<b>0.006%</b>	
5.1	Truong Cong Tao		None		Biological Father		0	0%	Deceased
5.2	Vo Thi Be		None		Biological Mother		0	0%	Deceased
5.3	Trinh Then		None		Father-in-law		0	0%	Deceased
5.4	Nguyen Thi Em		None		Mother-in-law		0	0%	Deceased
5.5	Trinh Thanh Thuy		None		Wife		0	0%	
5.6	Truong Kim Ngan		None		Biological Child		0	0%	
5.7	Truong Ngoc Bich		None		Biological Child		0	0%	
5.8	Truong Hoa Chau		None		Biological Child		0	0%	
5.9	Truong Van Cong Hoang		None		Biological Older Brother		0	0%	

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5.10	Thai Thi Yen Loan		None		Sister-in-law		0	0%	
5.11	Truong Cong Tuong		None		Biological Older Brother		0	0%	
5.12	Tran Thi Hoa Phung		None		Sister-in-law		0	0%	
5.13	Truong Cong My		None		Biological Older Brother		0	0%	
5.14	Nguyen Thi Kim Dao		None		Sister-in-law		0	0%	
5.15	Truong Cong Vu		None		Biological Older Brother		0	0%	
5.16	Tran Le Diem Trang		None		Sister-in-law		0	0%	
5.17	Truong Thi Loan Anh		None		Biological Sister		0	0%	
5.18	Huynh Song		None		Brother-in-law		0	0%	
5.19	Truong Cong Thao		None		Biological Older Brother		0	0%	
5.20	Le Thi Ngoc Giau		None		Sister-in-law		0	0%	
5.21	Trinh Thanh Quang		None		Brother-in-law		0	0%	



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5.22	Trinh Thanh Xuan		None		Brother-in-law		0	0%	
5.23	Trinh Thanh Dung		None		Brother-in-law		0	0%	
5.24	Trinh Thanh Binh		None		Brother-in-law		0	0%	
5.25	Cuu Long Petro Urban Development and Investment Corporation		None		Mr. Truong Truc Linh serves as an Independent Member of the Board of Directors		0	0%	
<b>II</b>									
<b>1</b>	<b>Dao Truong Han</b>		<b>Head of the Supervisory Board</b>		-		<b>0</b>	<b>0%</b>	
1.1	Dao Thanh Tien		None		Biological Father		0	0%	Information not provided
1.2	Huynh Thi Van		None		Biological Mother		0	0%	Information not provided
1.3	Huynh Ngoc Tuyen		None		Wife		0	0%	Information not provided
1.4	Dao Huynh Ngoc Diem		None		Biological Child		0	0%	Information not provided
1.5	Dao Huynh Ngoc Tran		None		Biological Child		0	0%	Information not provided

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1.6	Dao Thanh Phong		None		Biological Older Brother		0	0%	Information not provided
1.7	Dao Viet Thuy		None		Biological Younger Brother		0	0%	Information not provided
1.8	Dao Viet Thuy		None		Biological Younger Brother		0	0%	Information not provided
<b>2</b>	<b>Nguyen Thi Kim Ngan</b>		<b>Members of the Supervisory Board</b>		-		<b>0</b>	<b>0%</b>	
2.1	Nguyễn Văn Vững		None		Biological Father		0	0%	
2.2	Nguyễn Thị Thơ		None		Biological Mother		0	0%	
2.3	Lê Văn Thu		None		Father-in-law		0	0%	
2.4	Đoàn Thị Xíu		None		Mother-in-law		0	0%	
2.5	Lê Hùng Dương		None		Husband		0	0%	
2.6	Lê Tuấn Kiệt		None		Biological Child		0	0%	Underage
2.7	Nguyễn Thanh Bằng		None		Biological Older Brother		0	0%	
2.8	Nguyễn Thị Thuở		None		Biological Sister		0	0%	
2.9	Nguyễn Thị Thúy Kiều		None		Biological Sister		0	0%	

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3	Tang Thi My Thuy		Members of the Supervisory Board		-		0	0%	
3.1	Tang Hoa Thuan		None		Biological Father		0	0%	Information not provided
3.2	Luu Kim Hue		None		Biological Mother		0	0%	Information not provided
3.3	Nguyen Van Phuc		None		Father-in-law		0	0%	Information not provided
3.4	Luu Thi Bach Hue		None		Mother-in-law		0	0%	Information not provided
3.5	Nguyen Tan Loc		None		Husband		0	0%	Information not provided
3.6	Nguyen Quang Khoi		None		Biological Child		0	0%	Information not provided
3.7	Nguyen Diep Chi		None		Biological Child		0	0%	Information not provided
3.8	Tang Thanh Chi		None		Biological Older Brother		0	0%	Information not provided
3.9	Tang Thanh Nhan		None		Biological Older Brother		0	0%	Information not provided
3.10	Tang Thi My Dieu		None		Biological Sister		0	0%	Information not provided



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<b>II</b>	<b>Board of Directors, Chief Accountant</b>								
1	Ly Bich Quyen		Chief Executive Officer	See information in Section 2, Part I					
2	Nguyen Hoang Phuong		Deputy Chief Executive Officer	See information in Section 3, Part I					
3	Nguyen Hoang Nha		Deputy Chief Executive Officer	See information in Section 4, Part I					
4	Truong Van Phuoc		Deputy Chief Executive Officer		-		1.789.411	5,055%	
4.1	Truong Van Ngoc		None		Biological Father		0	0%	Deceased
4.2	Nguyen Thi Hai		None		Biological Mother		0	0%	Deceased
4.3	Nguyen Van Thanh		None		Father-in-law		0	0%	Deceased
4.4	Nguyen Thi Xi		None		Mother-in-law		0	0%	
4.5	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Wife		358.580	1,013%	
4.6	Truong Nguyen Phuong Vy		None		Biological Child		13.764	0,039%	
4.7	Truong Hieu Khang		None		Biological Child		0	0%	



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4.8	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		5.329.411	15,055%	
4.9	Nguyen Trieu Dong		Chairman of the Board of Directors		Brother-in-law		7.116.000	20,102%	
4.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		7.973.086	22,523%	
4.11	Phan Khanh Cuong		None		Son-in-law		12.000	0,034%	
4.12	Truong Van Tho		None		Biological Older Brother		0	0%	Information not provided
5	Do Thanh Nhon		Chief Accountant		-		10.842	0,031%	
5.1	Do Minh Duc		None		Biological Father		0	0%	
5.2	Huynh Hong Ri		None		Biological Mother		0	0%	
5.3	Bui Huu Su		None		Father-in-law		0	0%	Deceased
5.4	Tran Thi Ai Van		None		Mother-in-law		0	0%	
5.5	Bui Thi Kim Ngan		None		Wife		0	0%	

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5.6	Do Khoi Nguyen		None		Biological Child		0	0%	
5.7	Do Duc Huy		None		Biological Child		0	0%	Underage
5.8	Do Hong Hanh		None		Biological Younger Brother		0	0%	
5.9	Do Hong Nhu		None		Biological Younger Brother		0	0%	
5.10	Bui Chi Thien		None		Brother-in-law		4.129	0,012%	
5.11	Bui Thi Kim Binh		None		Sister-in-law		0	0%	
5.12	Bui Thi Kim Hoang		None		Sister-in-law		1.376	0,004%	
5.13	Bui Thi Kim Thanh		None		Brother-in-law		1.080	0,003%	
5.14	Bui Thi Kim Tuyen		None		Brother-in-law		0	0%	
5.15	Đỗ Huỳnh Như		None		Biological Younger Sister		0	0%	
5.16	Huỳnh Thanh Liêm		None		Brother-in-law		0	0%	



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5.17	Bế Văn Ba		None		Brother-in-law		0	0%	
5.18	Nguyễn Văn Thắng		None		Brother-in-law		0	0%	
6	Chiem Hai Hoa		Phó Phòng Kế toán/ Người phụ trách quản trị công ty/ Người được ủy quyền công bố thông tin				0	0%	
6.1	Ong Thi My Nhi		None		Wife		0	0%	
6.2	Chiem Hieu Ngan		None		Biological Child		0	0%	
6.3	Chiem Hai Bang		None		Biological Child		0	0%	Underage
6.4	Ma Thi Po		None		Biological Mother		0	0%	
6.5	Chiem My Anh		None		Biological Sister		0	0%	Information not provided
6.6	Ly Anh Viet Tan		None		Brother-in-law		0	0%	Information not provided
6.7	Ong Vinh Phuoc		None		Father-in-law		0	0%	Information not provided
6.8	Le Thi Kheo		None		Mother-in-law		0	0%	Information not provided

