

VIETNAM NATIONAL COAL AND  
MINERAL INDUSTRIES HOLDING  
CORPORATION LIMITED  
**VINACOMIN - VIET BAC MINING  
INDUSTRY HOLDING  
CORPORATION**

Nor: 012/BC – HĐQT

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

Hanoi, 17<sup>th</sup> January, 2025

## **REPORT ON CORPORATE GOVERNANCE FOR 2024**

Attention:

- State Securities Commission of Vietnam;
- Hanoi Stock Exchange.
- Company name: **VINACOMIN - VIET BAC MINING INDUSTRY HOLDING CORPORATION**
- Address: No. 1 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi
- Phone: 024-36647515 Fax: 024-36647493
- Charter capital: 1,050,000,000,000 VND (*One thousand fifty billion VND*).
- Website: [www.cmv.vn](http://www.cmv.vn)
- Stock code: MVB
- Corporate governance model: General Meeting of Shareholders (GMS), Board of Directors (BOD), Board of Supervisors (BOS) and General Director.
- Internal Audit Implementation: Implemented

### **I. Activities of the General Meeting of Shareholders:**

Information on Meetings and Resolutions/Decisions of the GMS (including resolutions approved in the form of written opinions):

No.	Resolution/Decision Number	Date	Content
1	NQ-ĐHĐCĐ	25/04/2024	<ul style="list-style-type: none"><li>- Approval for the results of production and business plan for 2023, profit distribution plan, remuneration for the BOD and BOS for 2023; Reports on the activities of the BOD and BOS for 2023; Directions for 2024; and Dividend payout ratio for 2023.</li><li>- Approval for the business and production plan for 2024.</li></ul>

### **II. Board of Directors:**

#### **1. Information on the Board of Directors (BOD) Members:**

No.	BOD Member	Position	Start/End date	
			Appointment date	Dismissal date
1	Le Quang Binh	Chairman	28/08/2015	
2	Trinh Hong Ngan	Member – General Director	20/05/2020	

No.	BOD Member	Position	Start/End date	
			Appointment date	Dismissal date
3	Dang Van Tung	Specialized Member	25/10/2016	
4	Vu Minh Tan	Member – Deputy General Director	01/01/2018	
5	Pham Van Lo	Independent Member	26/04/2022	

## 2. Meetings of the BOD:

No.	BOD Member	Number of Meetings attended	Attendance rate	Reasons for absence
1	Le Quang Binh	40	100%	
2	Trinh Hong Ngan	40	100%	
3	Dang Van Tung	40	100%	
4	Vu Minh Tan	40	100%	
5	Pham Van Lo	40	100%	

## 3. Supervisory activities of the BOD over the Executive Board:

- Monthly meetings between the BOD and the Executive Board are held to evaluate the implementation of business and production plans, as well as address recommendations and proposals from the Executive Board within the authority of the BOD to ensure the successful completion of the business plan.

- Approval and appointment of executive personnel within the authority of the BOD; proactively supervise the Executive Board in implementing resolutions and decisions of the BOD.

4. Activities of Subcommittees under the BOD: Subcommittees assist the BOD in successfully completing their tasks at the Annual General Shareholders Meetings in 2024.

## 5. Resolutions/Decisions of the BOD:

No.	Date	Document No.	Summary of document content	Approval Rate
1	02/01	02/NQ-HDQT	Approval for the provisional assignment for the construction investment plan in 2024 for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
2	02/01	03/NQ-HDQT	Approval for assigning the General Director to implement the directives from TKV in document No. 6457/TKV-KCM dated 28/12/2023	100%
3	02/01	04/QD-HDQT	Provisional assignment of construction investment plan in 2024	100%
4	02/01	06/NQ-HDQT	Approval for assigning the General Director to implement the directives from TKV in document No. 02/TKV-KTM dated 02/01/2024 and No. 53/TKV-KH dated 03/01/2024	100%



No.	Date	Document No.	Summary of document content	Approval Rate
5	22/01	11/NQ-HDQT	Approval for assigning the General Director to direct the report for voting at the 2024 Annual General Shareholders Meetings	100%
6	22/01	12/NQ-HDQT	Approval for the provisional assignment for the business coordination plan for the first quarter of 2024	100%
7	22/01	13/NQ-HDQT	Approval for the short-term loan plan to support production and business operations in 2024	100%
8	26/01	16/QD-HDQT	Issuance of the Regulations on environmental protection management for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
9	29/01	17/NQ-HDQT	Approval for directives and solutions to ensure effective implementation of the 2024 business coordination plan for the parent company	100%
10	29/01	18/NQ-HDQT	Approval for assigning the General Director to report to TKV on the implementation of cost management and business coordination plans for 2023 of the parent company	100%
11	29/01	19/NQ-HDQT	Approval for the adjustment of compensation plans for land clearance related to the northern expansion area, serving the production activities of Khanh Hoa Coal Company	100%
12	29/01	20/NQ-HDQT	Approval for the final settlement of capital investment to complete the finished coal storage project - Khanh Hoa Coal Company	100%
13	29/01	21/QD-HDQT	Ratification of the final settlement of capital investment to complete the finished coal storage project - Khanh Hoa Coal Company	100%
14	29/01	22/QD-HDQT	Ratification of the adjustment of compensation plans for land clearance related to the northern expansion area, serving the production activities of Khanh Hoa Coal Company	100%
15	21/02	25/NQ-HDQT	Approval for the voting content for the 2024 Annual General Shareholders Meetings of subsidiaries	100%
16	21/02	26/NQ-HDQT	Approval for contents related to the organization of the 2024 Annual General Shareholders Meetings	100%
17	21/02	27/NQ-HDQT	Approval for the outline and cost estimates for the preparation of the project to upgrade the dust filtration system for the raw material grinding process at Tan Quang Cement JSC	100%
18	21/02	28/NQ-HDQT	Approval for the outline and cost estimates for the preparation of the project to upgrade the dust filtration system for the raw material grinding process at Quan Trieu Cement JSC	100%



No.	Date	Document No.	Summary of document content	Approval Rate
19	21/02	29/NQ-HDQT	Approval for assigning the General Director to direct the development of the implementation progress and report to the BOD for supervision of coal mining projects	100%
20	21/02	30/NQ-HDQT	Approval for the additional business lines of the Mining Equipment Joint Stock Company	100%
21	26/02	36/QD-HDQT	Issuance of the Regulations on Material Management for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
22	11/3	44/NQ-HDQT	Approval for the inspection and supervision plan for 2024	100%
23	11/3	45/NQ-HDQT	Approval for the appointment of legal representatives of the parent company at certain joint-stock companies	100%
24	11/3	46/NQ-HDQT	Approval for the promulgation of the regulations on the application of workplace democracy	100%
25	11/3	47/NQ-HDQT	Approval for the establishment of the organizing committee and subcommittees to support the 2024 Annual General Shareholders Meetings	100%
26	11/3	48/NQ-HDQT	Approval for the policy to initiate procedures for coal mine closure in the underground area of the edge of the open pit of Khanh Hoa coal mine	100%
27	11/3	49/NQ-HDQT	Approval for the evaluation of business efficiency and enterprise rankings for 2023	100%
28	11/3	50/NQ-HDQT	Approval for the 2023 salary fund settlement for the Parent Company	100%
29	12/3	51/QD-HDQT	Establishment of the organizing committee for the 2024 Annual General Shareholders Meetings of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
30	12/3	52/QD-HDQT	Formation of the Shareholder's Eligibility Checking Committee for the 2024 Annual General Shareholders Meetings of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
31	12/3	53/QD-HDQT	Issuance of the plan for inspecting and supervising compliance with shareholder decisions in 2024 of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
32	12/3	54/QD-HDQT	Establishment of the Assisting Group Secretariat of the 2024 Annual General Shareholders Meetings of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
33	12/3	55/QD-HDQT	Change of the parent company's representative in the VVMI - Building Material and General Trading Joint Stock Company	100%



No.	Date	Document No.	Summary of document content	Approval Rate
34	12/3	56/QD-HDQT	Appointment of a representative of Vinacomin - Viet Bac Mining Industry Holding Corporation to join the BOD, BOS of Mining Equipment JSC for the 2024–2029 period	100%
35	12/3	57/QD-HDQT	Change of Representative of the parent company at VVMI - Mechanical and Pressure Equipment JSC	100%
36	12/3	58/QD-HDQT	Issuance of the regulations on the application of workplace democracy within Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
37	15/3	62/QD-HDQT	Settlement of salary fund in 2023 of Parent Company - Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
38	27/3	65/NQ-HDQT	Approval for the policy of Nui Hong Coal JSC - VVMI to operating lease of vehicles for production and business operations	100%
39	27/3	66/NQ-HDQT	Approval for the policy of re-appointing the Deputy Director of Tan Quang Cement JSC	100%
40	27/3	67/NQ-HDQT	Approval for the purchase of unplanned materials at Quan Trieu Cement JSC	100%
41	27/3	68/NQ-HDQT	Approval for the adjustments of the investment plan in 2024	100%
42	27/3	69/NQ-HDQT	Approval for assigning the General Director to implement the directives of TKV	100%
43	01/4	70/NQ-HDQT	Approval for reports to be presented at the 2024 Annual General Shareholders Meetings	100%
44	03/4	79/NQ-HDQT	Approval for the leadership personnel planning for the parent company during the 2020–2025 period (after additional review)	100%
45	03/4	80/NQ-HDQT	Approval for the evaluation results of personnel for 2023	100%
46	03/4	81/NQ-HDQT	Approval for the final settlement of investment capital for construction and completion of the project "Investment in Equipment for Maintaining Production in 2023"	100%
47	03/4	82/NQ-HDQT	Approval for the provisional assignment for the business coordination plan for the second quarter of 2024	100%
48	03/4	83/QD-HDQT	Ratification of final settlement of investment capital for construction and completion of the project on investment in equipment for maintaining production in 2023	100%
49	03/4	84/QD-HDQT	Approval for the leadership personnel planning for the parent company during the 2020–2025 period (after review and supplementation).	100%



No.	Date	Document No.	Summary of document content	Approval Rate
50	23/4	96/NQ-HDQT	Approval for the policy of appointing the Chief Accountant for Khanh Hoa Coal Company-VVMI	100%
51	23/4	97/NQ-HDQT	Approval for the re-appointment of Deputy Director of Tan Quang Cement JSC - VVMI	100%
52	23/4	98/NQ-HDQT	Approval for assigning the General Director to approve the outline, cost estimates, bidding plans, tender documents, and bidding results for the adjusted technical license application of the Dong Chuong Limestone Mine Construction Investment Project	100%
53	23/4	99/NQ-HDQT	Approval for the review of reports to be submitted to the 2024 Annual General Shareholders Meetings	100%
54	23/4	100/NQ-HDQT	Approval for assigning the General Director to implement TKV's directives as stated in document No. 2019/TKV-KCM+TN+AT+MT dated April 15, 2024	100%
55	23/4	101/NQ-HDQT	Approval for assigning the General Director to implement TKV's directives as stated in document No. 2139/TKV-TN dated April 17, 2024	100%
56	26/4	104/QD-HDQT	Issuance of the Charter on organization and operations of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
57	26/4	105/QD-HDQT	Assignment of key performance indicators plan in 2024 for the parent company – Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
58	26/4	106/QD-HDQT	Assignment of the construction investment plan in 2024 for the parent company – Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
59	13/5	108/NQ-HDQT	Requirement of the parent company's representative at VVMI-Mechanical and Pressure Equipment JSC to direct the Company to develop a plan to recover bad debts that existed from previous years	100%
60	13/5	109/NQ-HDQT	Approval for assigning the 2024 business coordination plan for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
61	13/5	110/NQ-HDQT	Approval for assigning the General Director to implement the TKV's directives as outlined in Document No. 2664/TKV-TN dated 08/05/2024	100%
62	13/5	111/NQ-HDQT	Approval for the average short-term credit limits and financial ratios of 2024	100%
63	13/5	112/NQ-HDQT	Approval for remunerations to the parent company's representatives at subsidiaries in 2023	100%



No.	Date	Document No.	Summary of document content	Approval Rate
64	13/5	113/NQ-HDQT	Approval for the plan to use the reward and welfare funds of the parent company in 2024	100%
65	13/5	114/NQ-HDQT	Approval for the project and conversion of the investment phase from contingency planning to official planning (for new construction works) in 2024 for the Equipment Investment Project to support production at Na Duong Coal Mine	100%
66	13/5	115/NQ-HDQT	Approval for the project and conversion of the investment phase from contingency planning to official planning (for new construction works) for the Equipment Maintenance Project in 2024 at Nui Hong Coal Mine	100%
67	13/5	116/NQ-HDQT	Approval for the project and conversion of the investment phase from contingency planning to official planning (for new construction works) for the Equipment Maintenance Project in 2024 at Khanh Hoa Coal Mine	100%
68	13/5	117/NQ-HDQT	Approval for the Economic and Technical Report for the construction investment project on coal screening from non-coal products - Na Duong Coal Mine.	100%
69	14/5	118/QD-HDQT	Assignment of the 2024 business coordination plan for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
70	16/5	120/NQ-HDQT	Approval for the cost estimate and selection plan for the package of financial statement audits for 2024 of the parent company	100%
71	16/5	121/NQ-HDQT	Approval for sending staff members abroad	100%
72	16/5	122/NQ-HDQT	Approval for assigning the General Director to implement TKV's directives as outlined in Document No. 2815/TKV-TN and 2817/TKV-TN dated May 15, 2024	100%
73	16/5	123/NQ-HDQT	Approval for adjustments and phase conversion of the 2024 Construction Investment Plan	100%
74	16/5	124/QD-HDQT	Approval for Mr. Dang Van Tung to attend the Customer Conference in China	100%
75	22/5	125/QD-HDQT	Approval for the Production Maintenance Equipment Investment Project in 2024 at Nui Hong Coal Mine	100%
76	22/5	126/QD-HDQT	Approval for phase conversion of the 2024 investment plan for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
77	22/5	127/QD-HDQT	Approval for the project on investment in equipment for the production of five Na Duong mines	100%



No.	Date	Document No.	Summary of document content	Approval Rate
78	22/5	128/QD-HDQT	Approval for phase conversion of the 2024 investment plan for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
79	22/5	129/QD-HDQT	Approval for the Production Maintenance Equipment Investment Project for 2024 of Khanh Hoa coal mine	100%
80	22/5	130/QD-HDQT	Approval for phase conversion of the 2024 investment plan for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
81	27/5	131/QD-HDQT	Approval for the plan to use the reward and welfare funds in 2024 of the parent company- Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
82	28/5	132/QD-HDQT	Approval for the contractor selection plan for the the Production Maintenance Equipment Investment Project for 2024 at Khanh Hoa Coal Mine	100%
83	29/5	134/NQ-HDQT	Dividend payment in cash for 2023	100%
84	29/5	135/NQ-HDQT	Approval for the feasibility study report for construction investment of Nui Hong mine capacity expansion project	100%
85	29/5	136/NQ-HDQT	Approval for assigning the General Director to implement the TKV's directives as outlined in documents No. 2750/TKV-TCNS dated May 13, 2024 and No. 2883/TKV-TN dated May 20, 2024	100%
86	29/5	137/NQ-HDQT	Approval for the policy of operating lease of inverters for the ID fan system at Tan Quang Cement JSC	100%
87	31/5	141/NQ-HDQT	Approval for the E-bidding package No. 2 for the Production Maintenance Equipment Investment Project for 2024 at Khanh Hoa Coal Mine.	100%
88	31/5	142/NQ-HDQT	Approval for assigning the General Director to implement TKV's directives as outlined in document No. 3056/TKV-KCM dated May 29, 2024	100%
89	3/6	143/QD-HDQT	Ratification of the E-bidding package No. 02: Supply of loading, transportation, and road-watering dust suppression equipment under the Production Maintenance Equipment Investment Project for 2024 at Khanh Hoa Coal Mine	100%
90	10/6	145/NQ-HDQT	Approval for the Equipment Investment Project for the automatic bagging system at La Hien Cement JSC.	100%



No.	Date	Document No.	Summary of document content	Approval Rate
91	10/6	146/NQ-HDQT	Approval for assigning the 2024 salary unit price for the parent company – Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
92	10/6	147/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 3258/TKV-TN dated June 4, 2024	100%
93	10/6	148/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 3259/TKV-TN dated June 4, 2024.	100%
94	10/6	149/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 3344/TKV-ĐT dated June 10, 2024	100%
95	10/6	150/NQ-HDQT	Approval for adjustments and phase conversion of the 2024 Construction Investment Plan	100%
96	10/6	151/QD-HDQT	Assignment of the 2024 salary unit price	100%
97	20/6	154/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 3457/TKV-ĐT dated June 14, 2024	100%
98	20/6	155/NQ-HDQT	Approval for the policy of appointing a Chief Accountant for Khanh Hoa Coal Company – VVMI	100%
99	20/6	156/QD-HDQT	Ratification of the contractor selection plan for the Equipment Investment Project to support production at Na Duong Coal Mine	100%
100	20/6	157/QD-HDQT	Ratification of the contractor selection plan for the Production Maintenance Equipment Investment Project for 2024 at Nui Hong Coal Mine	100%
101	27/6	161/NQ-HDQT	Approval for the E-bidding package No. 2 for the Equipment Investment Project to support production at Na Duong Coal Mine	100%
102	27/6	162/NQ-HDQT	Approval for the E-bidding package No. 2 for the Production Maintenance Equipment Investment Project for 2024 at Nui Hong Coal Mine	100%
103	27/6	163/QD-HDQT	Ratification of the E-bidding package No. 2: Supply of loading equipment under the Equipment Investment Project to support production at Na Duong Coal Mine	100%
104	27/6	164/QD-HDQT	Ratification of the E-bidding package No. 2: Supply of loading, transportation, and road-watering dust suppression equipment under the Production Maintenance Equipment Investment Project for 2024 at Nui Hong Coal Mine	100%
105	8/7	166/NQ-HDQT	Approval for the General Director to report on the implementation of the business coordination plan and fixed cost for the first half of 2024	100%



No.	Date	Document No.	Summary of document content	Approval Rate
106	8/7	167/NQ-HDQT	Approval for the guidelines to develop the 2025 business coordination plan, evaluate the implementation of the 2021–2025 five-year plan, and prepare the 2026–2030 five-year plan.	100%
107	8/7	168/NQ-HDQT	Approval for the provisional assignment for the business coordination plan for the third quarter of 2024.	100%
108	8/7	169/NQ-HDQT	Approval for the policy for the General Director to hire consultants for valuation assessments to determine the starting price for premises lease	100%
109	8/7	170/NQ-HDQT	Approval for assigning the General Director to direct the assessment of actual payment capabilities for bad debts of VVMI - Mechanical and Pressure Equipment JSC in July 2024	100%
110	8/7	171/NQ-HDQT	Approval for the General Director to direct the handling of bad debts that already made allowances in accordance with regulations for Quan Trieu Cement JSC	100%
111	22/7	178/NQ-HDQT	Approval for the phase conversion for the Construction Investment for the automatic bagging system Project at La Hien Cement JSC	100%
112	22/7	179/NQ-HDQT	Approval for the General Director to implement TKV directives regarding the consumption of clay shale from the Na Duong mine	100%
113	22/7	180/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 3924/TKV-ĐT dated July 8, 2024	100%
114	22/7	181/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 151/KH-TKV dated July 15, 2024	100%
115	24/7	182/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 4083/TKV-TN dated July 15, 2024	100%
116	30/7	186/NQ-HDQT	Approval for the bidding results for package No. 02: Supply of loading, transportation, and road-watering dust suppression equipment under the Production Maintenance Equipment Investment Project for 2024 at Khanh Hoa Coal mine	100%
117	30/7	187/NQ-HDQT	Approval for labor recruitment	100%
118	30/7	188/QD-HDQT	Ratification of the bidding results for package No. 02: Supply of loading, transportation, and road-watering dust suppression equipment under the Production Maintenance Equipment Investment Project for 2024 at Khanh Hoa Coal mine	100%



No.	Date	Document No.	Summary of document content	Approval Rate
119	30/7	189/NQ-HDQT	Approval for staff members of the parent company to travel to China for visits and tourism	100%
120	30/7	190/NQ-HDQT	Approval for organizing visits and tourism trips to China for the staff members of the parent company	100%
121	30/7	191/QD-HDQT	Consent for staff members to travel abroad	100%
122	9/8	193/NQ-HDQT	Approval for the bidding results for package No. 02: "Drilling exploration to assess the stability of the pillar at Seam 4, Na Duong Coal Mine"	100%
123	9/8	194/NQ-HDQT	Approval for staff members of the parent company to travel to China for visits and tourism	100%
124	9/8	195/QD-HDQT	Consent for staff members to travel abroad	100%
125	14/8	197/NQ-HDQT	Approval for the restructuring plan for Vinacomin - Viet Bac Mining Industry Holding Corporation through 2025	100%
126	14/8	198/QD-HDQT	Ratification of the restructuring plan for Vinacomin - Viet Bac Mining Industry Holding Corporation through 2025	100%
127		202/NQ-HDQT	Approval for the bidding results for package No. 02: Supply of loading equipment under the Equipment Investment Project to support production at Na Duong Coal Mine	100%
128		203/NQ-HDQT	Approval for the bidding results for package No. 02: Supply of loading, transportation, and road-watering dust suppression equipment under the Production Maintenance Equipment Investment Project for 2024 at Nui Hong Coal Mine	100%
129		204/NQ-HDQT	Approval for the implementation plan for enterprise restructuring in accordance with the restructuring plan of the parent company through 2025	100%
130		205/NQ-HDQT	Approval for the phase conversion for the construction investment for the construction Investment Project to support production at Khanh Hoa Mine	100%
131	19/8	206/QD-HDQT	Ratification of the bidding results for package No. 02: Supply of loading equipment under the Equipment Investment Project to support production at Na Duong Coal Mine	100%
132	19/8	207/QD-HDQT	Ratification of the bidding results for package No. 02: Supply of loading, transportation, and road-watering dust suppression equipment under the	100%



No.	Date	Document No.	Summary of document content	Approval Rate
			Production Maintenance Equipment Investment Project for 2024 at Nui Hong Coal Mine	
133	20/8	210/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 4697/TKV-ĐT dated August 20, 2024	100%
134	26/8	212/NQ-HDQT	Approval for the outline and cost estimates for the preparation of the Waste Gas Power Generation Investment Project	100%
135	26/8	213/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 4768/TKV-ĐT dated August 23, 2024	100%
136	26/8	214/NQ-HDQT	Approval for the regulations on Personnel Rotation for the parent company	100%
137	26/8	215/QD-HDQT	Establishment of the Steering Committee for the implementation of the restructuring plan for Vinacomin - Viet Bac Mining Industry Holding Corporation through 2025	100%
138	26/8	216/QD-HDQT	Assignment of tasks for the Steering Committee for the implementation of the restructuring plan for Vinacomin - Viet Bac Mining Industry Holding Corporation through 2025	100%
139	26/8	217/QD-HDQT	Issuance of the implementation plan for enterprise restructuring in accordance with Vinacomin - Viet Bac Mining Industry Holding Corporation's restructuring plan through 2025	100%
140	26/8	219/QD-HDQT	Issuance of the Regulations on Training and Development Management for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
141	5/9	222/NQ-HDQT	Approval for adjustments and phase conversion for the 2024 Construction Investment Plan	100%
142	18/9	226/NQ-HDQT	Approval for support for localities in overcoming the consequences of Typhoon No. 3	100%
143	23/9	228/NQ-HDQT	Approval for the compensation plan for land clearance at Tien Doc Cemetery (relocation of Cemetery Zone 5, Phase 2)	100%
144	23/9	229/NQ-HDQT	Approval for the compensation plan for land clearance at Nui Hong Coal Mine (Zone 1B)	100%
145	23/9	230/NQ-HDQT	Approval for the compensation plan for land clearance at Nui Hong Coal Mine (realignment of Stream Lens II).	100%
146	23/9	231/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 5233/TKV-TN dated September 18, 2024	100%



No.	Date	Document No.	Summary of document content	Approval Rate
147	23/9	232/NQ-HDQT	Approval for the compensation plan for land clearance at Nui Hong Coal Mine (landslide prevention for Zone 4)	100%
148	25/9	233/QD-HDQT	Ratification of the compensation plan for land clearance at Tien Doc Cemetery (relocation of Cemetery Zone 5, Phase 2) – Nui Hong Coal Company	100%
149	25/9	234/QD-HDQT	Ratification of the compensation plan for land clearance at Nui Hong Coal Mine (Zone 1B)	100%
150	25/9	235/QD-HDQT	Ratification of the compensation plan for land clearance at Nui Hong Coal Mine (realignment of Stream Lens II)	100%
151	25/9	236/QD-HDQT	Ratification of the compensation plan for land clearance at Nui Hong Coal Mine (landslide prevention for Zone 4)	100%
152	26/9	238/NQ-HDQT	Approval for cadre work	100%
153	7/10	240/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 225/CT-TKV dated September 30, 2024	100%
154	7/10	241/NQ-HDQT	Approval for the plan to lease assets at Me Linh Hotel	100%
155	7/10	242/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in documents No. 5483/TKV-ĐT and 5579/TKV-CV	100%
156	14/10	248/NQ-HDQT	Approval for the provisional assignment of the business coordination plan for the fourth quarter of 2024	100%
157	21/10	250/NQ-HDQT	Approval for cadre work	100%
158	21/10	251/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 5803/TKV-KCM+TN+AT dated October 17, 2024	100%
159	21/10	252/QD-HDQT	Issuance of the Regulations on Investment and Construction Management for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
160	29/10	255/NQ-HDQT	Approval for guaranteeing the working capital loan of Quan Trieu Cement JSC – VVMI for production and business operations in 2024–2025	100%
161	29/10	256/NQ-HDQT	Approval for guaranteeing the working capital loan of Quan Trieu Cement JSC – VVMI for production and business operations in 2024–2025	100%



No.	Date	Document No.	Summary of document content	Approval Rate
162	29/10	257/NQ-HDQT	Approval for guaranteeing the working capital loan of Tan Quang Cement JSC – VVMI for production and business operations in 2024–2025	100%
163	29/10	258/NQ-HDQT	Approval for guaranteeing the working capital loan of Tan Quang Cement JSC – VVMI for production and business operations in 2024–2025	100%
164	29/10	259/NQ-HDQT	Approval for adding real estate business activities to the business registration of the Building Material and General Trading JSC – VVMI	100%
165	29/10	260/NQ-HDQT	Approval for assigning the General Director to direct the parent company's representative at the Mechanical and Pressure Equipment JSC – VVMI	100%
166	30/10	261/QD-HDQT	Issuance of the Regulations on Financial Supervision and Performance Evaluation for the parent company and subsidiaries, as well as companies with investment from Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
167	30/10	262/NQ-HDQT	Approval for the plan and cost estimate for preventing environmental incidents at the +274 water reservoirs in the pillar area of Zone II, Na Duong Coal Mine	100%
168	4/11	263/NQ-HDQT	Approval for the salary scale system, payroll, and salary allowance regimes applied to the parent company	100%
169	4/11	264/QD-HDQT	Issuance of the salary scale system, payroll, and salary allowance regimes for the parent company – Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
170	18/11	266/NQ-HDQT	Approval for hiring consultants to assess the value of assets	100%
171	26/11	268/NQ-HDQT	Approval for the plan for leasing office space at 93 Lang Ha	100%
172	26/11	269/NQ-HDQT	Approval for the policy of appointing 01 Deputy Director for Nui Hong Coal Company	100%
173	26/11	270/NQ-HDQT	Approval for the policy of appointing 01 Deputy Director for Na Duong Coal Company	100%
174	26/11	271/NQ-HDQT	Approval for hiring consultants to implement the divestment of the parent company's stake in Nong Son Coal and Power JSC	100%
175	26/11	272/NQ-HDQT	Approval for the policy of hiring advisory services to disclose information about Vinacomin - Viet Bac Mining Industry Holding Corporation in English.	100%



No.	Date	Document No.	Summary of document content	Approval Rate
176	26/11	273/QD-HDQT	Issuance of the Regulations on Managing Representatives of Vinacomin - Viet Bac Mining Industry Holding Corporation at enterprises	100%
177	4/12	275/NQ-HDQT	Approval of assigning the adjusted Construction Investment Plan for Vinacomin - Viet Bac Mining Industry Holding Corporation in 2024	100%
178	4/12	276/QD-HDQT	Assignment of the adjusted Construction Investment Plan in 2024	100%
179	4/12	277/NQ-HDQT	Approval for the provisional assignment for the construction investment plan in 2025 of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
180	4/12	278/QD-HDQT	Provisional assignment for the construction investment plan in 2025	100%
181	17/12	280/NQ-HDQT	Approval for the provisional assignment for the 2025 business coordination plan of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
182	17/12	281/NQ-HDQT	Approval for the policy of conducting contracts and transactions in 2025	100%
183	17/12	282/QD-HDQT	Provisional assignment of key performance indicators for the business coordination plan in 2025 for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
184	17/12	283/QD-HDQT	Issuance of the Regulations on Business Cost Management for Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
185	19/12	285/NQ-HDQT	Approval for the adjusted feasibility study report for the open-pit mining project at Khanh Hoa coal mine	100%
186	19/12	286/NQ-HDQT	Excerpt from the BOD' Resolution regarding approval of the proposal to adjust the investment project specified in Investment Certificate No. 17 121 000 047, initially issued by the People's Committee of Thai Nguyen Province on December 11, 2014	100%
187	26/12	288/NQ-HDQT	Approval for the reassignment and appointment of the Deputy Director for Na Duong Coal Company	100%
188	26/12	289/NQ-HDQT	Approval for the reassignment and appointment of the Deputy Director for Nui Hong Coal Company	100%
189	26/12	290/NQ-HDQT	Approval for the General Director to implement TKV directives as outlined in document No. 7251/TKV-KCM dated December 25, 2024	100%
190	26/12	291/NQ-HDQT	Approval for the adjusted compensation plan for land clearance related to the Southern waste dump	100%



No.	Date	Document No.	Summary of document content	Approval Rate
			expansion, serving the disposal of soil and rock and the expansion of the coal storage yard (Phase 2) for Khanh Hoa Coal Company	
191	26/12	292/QD-HDQT	Ratification of adjustments to certain aspects of the compensation plan for land clearance related to the southern waste dump expansion (Phase 2) for Khanh Hoa Coal Company	100%
192	30/12	294/NQ-HDQT	Approval for the provisional assignment of the 2025 salary unit price for the parent company	100%
193	30/12	295/QD-HDQT	Provisional assignment of the 2025 salary unit price for the parent company	100%
194	30/12	296/NQ-HDQT	Approval for adjustments to key performance indicators for business coordination plan in 2024	100%
195	30/12	297/NQ-HDQT	Approval for the valuation of assets at 93 Lang Ha Office	100%
196	30/12	298/NQ-HDQT	Approval for labor recruitment	100%
197	30/12	299/NQ-HDQT	Approval for the content for the parent company's capital representative to vote at Nong Son Coal and Power JSC	100%
198	30/12	300/QD-HDQT	Issuance of the Regulations on the Operations of the Anti-Corruption and Anti-Waste Steering Committee of Vinacomin - Viet Bac Mining Industry Holding Corporation	100%
199	30/12	301/QD-HDQT	Ratification of adjustments to key performance indicators for the business coordination plan in 2024	100%
200	30/12	302/QD-HDQT	Ratification of the valuation of assets at 93 Lang Ha Office	100%

### III. Board of Supervisors:

#### 1. Information on Board of Supervisors Members:

No.	Board of Supervisors Members	Position	Start/End Date of Membership	Professional qualification
1	Le Thi Thu Hien	Head of Department	22/4/2021	Bachelor of Accounting, Master of Industrial Economics
2	Nguyen Thi Lich	Member	18/4/2018	Bachelor of Accounting
3	Nguyen Anh Tuan	Member	26/4/2023	Bachelor of Accounting, Master of Business Administration



## 2. Meetings of the Board of Supervisors:

No.	Board of Supervisors Members	Number of Meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Le Thi Thu Hien	7	100%	100%	
2	Nguyen Thi Lich	7	100%	100%	
3	Nguyen Anh Tuan	7	100%	100%	

## 3. Activities of the Board of Supervisors:

- The Board of Supervisors has operated in alignment with the contents approved at the Annual General Shareholders Meetings and the provisions of the parent company's Charter and State Law.

- Developing programs and plans for periodic supervisory and inspection activities for 2024.

- Supervising the Board of Directors (BOD) and Executive Board in adhering to the parent company's Charter, GMS resolutions, and BOD decisions.

- Reviewing the reasonableness, legality, truthfulness, and prudence in the management and administration of business activities; in the organization of accounting and statistical work, and the preparation of financial statements. Verifying the completeness, legality, and truthfulness of the 2023 financial statements and the interim 2024 financial statements.

## 4. Coordination between the Board of Supervisors, the Board of Directors, the Executive Board, and Other Management Personnel:

- The Board of Supervisors, the BOD, the Executive Board, and other management personnel have maintained close cooperative and professional relationships. Their collaboration adhered to principles prioritizing the parent company's benefits and shareholders' interests while complying with laws, the parent company's Charter, and internal regulations.

- The Board of Supervisors has regularly monitored the parent company's information disclosure in accordance with current regulations, ensuring timely, accurate, and complete information delivery to shareholders.

## 5. Other activities of the Board of Supervisors:

- Participating in BOD meetings, Executive Board meetings, and other company meetings of the parent company (upon invitation) with a sense of responsibility, constructiveness, and cooperation;

- Attending executive progress meetings of the BOD;

- Regularly exchanging work-related information among Board of Supervisors members;

- Actively coordinating with the parent company's specialized departments and subsidiaries to fulfill assigned duties.

- Regularly maintaining communication among Board of Supervisors members, the BOD, the Executive Board of parent company, and shareholders.

## IV. Executive Board Members:



No.	Executive Board Members	Date of birth	Professional qualification	Date of Appointment/ Dismissal
1	Trinh Hong Ngan – General Director	19/01/1977	Mining Engineer	09/12/2022
2	Vu Minh Tan – Deputy General Director	01/01/1967	Master of Economics, Mechanical Engineer, Mining Engineer	16/11/2015
3	Nguyen Van Dung - Deputy General Director	01/10/1966	Mining Engineer	22/11/2021
4	Pham Thanh Hai - Deputy General Director	30/3/1974	Master of Mining Engineering, Mining Engineer	01/3/2022
5	Nguyen Thac Tan - Deputy General Director	02/10/1970	Electrical Engineer, Mining Engineer	12/4/2022

**V. Chief Accountant:**

Name	Date of birth	Qualifications	Date of Appointment/ Dismissal
Le Minh Hien – Chief Accountant	21/02/1981	Bachelor of Economics, Master of Industrial Economics, Mining Engineer	09/11/2022

**VI. Corporate governance training:**

- Corporate governance training was conducted in compliance with courses provided by the Vietnam National Coal and Mineral Industries Holding Corporation Limited

**VII. List of related persons of the listed company as prescribed in Clause 34, Article 6 of the Law on Securities (6-month report of 2024) and transactions of related persons of the company with the company itself:**

1. List of related parties of the parent company: *as in the attached appendix.*
2. Transactions between the company and related parties; or between the company and major shareholders, insiders and their related parties: *as in the attached appendix.*
3. Transactions between insiders of listed companies and related parties of insiders and subsidiaries or companies controlled by listed companies: *as in the attached appendix.*
4. Transactions between the company and other entities:
  - 4.1. Transactions with companies where members of the Board of Directors, Board of Supervisors, or Executive Board *are or were* Founders or Board Members, Executive Board in the past three years (as of the reporting date): *N/A.*



4.2. Transactions between the parent company and the companies that are operated by related parties of BOD Members, Board of Supervisors Members, or Directors (General Directors) of the Executive Board: *N/A*.

4.3. Other transactions by the company (if any) that may bring material or non-material benefits to BOD Members, Board of Supervisors Members, or Directors (General Directors) of the Executive Board: *N/A*.

**VIII. Transactions of Shares by Insiders and Related Parties:**

1. Insiders and Related Parties List: *as in the attached appendix*.

2. Transactions by insiders and related persons on shares of listed parent company:  
*N/A*

***Recipient:***

- As attention;
- Member of the BOD and BOS (e-copy);
- General Director and Mr. Tan-Deputy General Director (e-copy);
- Departments of the parent company (e-copy);
- Archived at: Office, BOD, Secretary of the parent company (T.03).

**ON BEHALF OF  
THE BOARD OF DIRECTORS  
CHAIRMAN**

**Le Quang Binh**





**LIST OF RELATED PARTIES AS OF DECEMBER 31, 2024**  
**(Report on corporate governance of Vinacomin - Viet Bac Mining Industry Holding Corporation for 2024)**

No.	Name of organization/individual	Securities Trading Account (if any)	Position in company (if any)	Business Registration Number/ID Number (date and place of issue)	Head Office Address/ Contact address	Start Date of Related Party Status	End Date of Related Party Status	Reason
1	Na Duong Coal Company-VVMI				Na Duong Town, Loc Binh District, Lang Son Province	28/8/2015		Dependent unit
2	Khanh Hoa Coal Company-VVMI				Son Cam Commune, Phu Luong District, Thai Nguyen Province	28/8/2015		Dependent unit
3	Nui Hong Coal Company-VVMI				Yen Lang Commune, Dai Tu District, Thai Nguyen Province	28/8/2015		Dependent unit
4	Coal Industry Rehabilitation Center - VVMI				No. 41 Thanh Nien Road, Sam Son Town, Thanh Hoa Province	28/8/2015		Dependent unit
5	La Hien Cement JSC - VVMI				La Hien Commune, Vo Nhai District, Thai Nguyen Province	28/8/2015		Subsidiary
6	Tan Quang Cement JSC - VVMI				Hamlet 8 Trang Da, Tuyen Quang City, Tuyen Quang Province	28/8/2015		Subsidiary
7	Quan Trieu Cement JSC - VVMI				An Khanh Commune, Dai Tu District, Thai Nguyen Province	28/8/2015		Subsidiary
8	Manufacturing and Materials Equipment Trading JSC - VVMI				Group 26, Dong Anh Town, Dong Anh District, Hanoi	28/8/2015		Subsidiary
9	Mechanical and Pressure Equipment JSC - VVMI				506 Ha Huy Tap, Yen Vien, Gia Lam, Hanoi	28/8/2015		Subsidiary
10	Building Material and General Trading JSC - VVMI				Tan My Commune, Van Lang District, Lang Son Province	28/8/2015		Subsidiary



No.	Name of organization/individual	Securities Trading Account (if any)	Position in company (if any)	Business Registration Number/ID Number (date and place of issue)	Head Office Address/ Contact address	Start Date of Related Party Status	End Date of Related Party Status	Reason
11	Thai Nguyen Hotel JSC - VVMI				No. 2 Hoang Van Thu, Thai Nguyen City, Thai Nguyen Province	28/8/2015		Subsidiary
12	Viet Bac Mechanical JSC - VVMI				Cu Van Commune, Dai Tu District, Thai Nguyen Province	28/8/2015		Subsidiary
13	Mining Equipment JSC				No. 30 Doan Thi Diem, Dong Da District, Hanoi	28/8/2015		Affiliate



**TRANSACTIONS BETWEEN THE PARENT COMPANY AND RELATED PARTIES AS OF DECEMBER 31, 2024**  
**(Report on corporate governance of Vinacomin - Viet Bac Mining Industry Holding Corporation for 2024)**

No.	Name of organization/individual	Relationship with the Parent company	Resolution/Decision No. of the BOD	Contents
1	Subsidiaries	The parent company is a major shareholder, holding a controlling interest of >51%	25/NQ-HDQT	Approved voting content at the 2024 Annual GMS of subsidiaries
2	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	27/NQ-HDQT	Approved the outline and budget estimation for the project to upgrade the dust filtration system in the material grinding phase of Tan Quang Cement JSC - VVMI
3	Quan Trieu Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	28/NQ-HDQT	Approved the outline and budget estimation for the project to upgrade the dust filtration system in the material grinding phase of Quan Trieu Cement JSC - VVMI
4	Subsidiaries	The parent company is a major shareholder, holding a controlling interest of >51%	45/NQ-HDQT	Approved the appointment of representatives of the parent company at some joint-stock companies
5	Building Material and General Trading JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	55/QD-HDQT	Changed the parent company's representative of Building Material and General Trading JSC - VVMI
6	Mechanical and Pressure Equipment JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	57/QD-HDQT	Changed the parent company's representative of Mechanical and Pressure Equipment JSC - VVMI
7	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	66/NQ-HDQT	Approved the reappointment of the Deputy Director of Tan Quang Cement JSC
8	Quan Trieu Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	67/NQ-HDQT	Approved the purchase of unplanned materials of Quan Trieu Cement JSC - VVMI
9	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	97/NQ-HDQT	Approved the reappointment of the Deputy Director of Tan Quang Cement JSC
10	Mechanical and Pressure Equipment JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	108/NQ-HDQT	Directed the parent company's representative to develop to recover bad debts that existed from previous years at VVMI-Mechanical and Pressure Equipment JSC



No.	Name of organization/individual	Relationship with the Parent company	Resolution/Decision No. of the BOD	Contents
11	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	137/NQ-HDQT	Approved the operating lease of an inverter system for the ID fan system of Tan Quang Cement JSC
12	La Hien Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	145/NQ-HDQT	Approved the investment project for the automated bag stacking system at La Hien Cement JSC
13	Mechanical and Pressure Equipment JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	170/NQ-HDQT	Approved the assignment of the General Director to evaluate the feasibility of recovering bad debts of Mechanical and Pressure Equipment JSC in July 2024
14	Quan Trieu Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	171/NQ-HDQT	Approved the General Director to direct the handling of bad debts that already made allowances in accordance with regulations for Quan Trieu Cement JSC
15	La Hien Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	178/NQ-HDQT	Approved the transition to construction investment for the automated bag stacking system project at La Hien Cement JSC
16	Quan Trieu Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	255/NQ-HDQT	Approved loan guarantees for Quan Trieu Cement JSC - VVMI's working capital for production and business operations in 2024-2025
17	Quan Trieu Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	256/NQ-HDQT	Approved loan guarantees for Quan Trieu Cement JSC - VVMI's working capital for production and business operations in 2024-2025
18	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	257/NQ-HDQT	Approved loan guarantees for Tan Quang Cement JSC - VVMI's working capital for production and business operations in 2024-2025
19	Tan Quang Cement JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	258/NQ-HDQT	Approved loan guarantees for Tan Quang Cement JSC - VVMI's working capital for production and business operations in 2024-2025
20	Building Material and General Trading JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	259/NQ-HDQT	Approved the addition of real estate business activities to the business registration of the Building Material and General Trading JSC - VVMI
21	Mechanical and Pressure Equipment JSC - VVMI	The parent company is a major shareholder, holding a controlling interest of >51%	260/NQ-HDQT	Approved the assignment of the General Director to direct the parent company's representatives at the Mechanical and Pressure Equipment JSC - VVMI



**TRANSACTIONS OF INSIDERS AND RELATED PARTIES AS OF DECEMBER 31, 2024**  
**(Report on corporate governance of Vinacomin - Viet Bac Mining Industry Holding Corporation for 2024)**

No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
1	Vietnam National Coal and Mineral Industries Holding Corporation Limited (TKV)	Major Shareholder	Contract for coal mining, screening, and processing for 2024, No. 368/2023/HDKD dated 29/12/2023	Principle Contract
			Service contract of coal delivery, No. 316/HDDV/TKV-VVMI dated 29/12/2023	
			Sales contract of coal in 2024, No. 294/HD/TKV-VVMI dated 29/12/2023	
	Cam Pha Port and Logistics Company - Vinacomin, TKV Branch	Related Party of a Major Shareholder	Sales contract of coal in 2024, No. 20/2024/HĐ/KVCP-CNMVB dated 01/01/2024	
	Vinacomin Mine Rescue Center, TKV Branch	Related Party of a Major Shareholder	Contract for regular training, standby incident resolution, and preventive inspections in coal-mineral mining for 2024, No. 116/HĐ-KTKH dated 16/01/2024, contract value: VND 2,898,916,040	
2	Inspection Joint Stock Company - Vinacomin	Related Party of a Major Shareholder	Contract for coal inspection in 2024, No. 1877/HĐGĐ/QUACONTROL-CNMVB dated 31/12/2023	Principle Contract
			Contract for coal inspection in 2024, No. 5162/HĐGĐ/QUACONTROL-ND dated 29/12/2023, contract value: VND 37,453,052	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
3	Institute of Mining Science and Technology - Vinacomin	Related Party of a Major Shareholder	Contract for technical planning and budget estimation: Monitoring pillar movement at seam 4 of Na Duong Coal Mine in 2024, No. 596/HĐ-KTKH dated 20/03/2024, contract value: VND 15,450,022	
			Consultancy contract for technical planning and budget estimation: Monitoring dump site movement at Na Duong Coal Mine in 2024, No. 598/HĐ-KTKH dated 20/03/2024, contract value: VND 4,711,714	



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			Consultancy contract for technical planning, execution, and budget estimation for exploration drilling to assess pillar stability at seam 4 of Na Duong Coal Mine, No. 659/HĐ-KTKH dated 27/03/2024, contract value: VND 85,745,791	
			Construction contract for waste dump movement monitoring plan in 2024 of Na Duong Coal Mine, No. 1422/HD-KTKH dated 05/07/2024, contract value: VND 219,760,087	
			Construction contract for pillar movement monitoring at seam 4 of Na Duong Coal Mine in 2024, No. 1421/HD-KTKH dated 05/07/2024, contract value: VND 710,472,425	
			Consultancy contract for research and assessment of the feasibility of non-coal products and waste rock properties of Nui Hong coal mine, No. 1673/HD-KTKH dated 02/08/2024, contract value: VND 1,625,507,203	
4	Environment Company Limited – KTV	Related Party of a Major Shareholder	Contract for wastewater treatment in 2024, No. 3427/HD/TKH-MTTKV dated 29/12/2023, contract value: VND 8,644,773,600	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract for converting mine wastewater into industrial water, No. 4994/HĐ-KHTT dated 25/12/2023, contract value: VND 10,573,479,504	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract for converting mine wastewater treatment into industrial water, No. 135/2024-XLNTNH dated 29/12/2023, contract value: VND 12,110,700,000	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Consultancy contract for coal mine closure plan in the underground area of the edge of the open pit of Khanh	



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			Hoa coal mine, No. 1508/HD-KTKH dated 16/07/2024, contract value: VND 1,684,708,452	
			Consultancy contract for preparing reserve inventory report according to Mining License No. 3226/GP-BTNMT dated 30/12/2014, No. 2584/HD-KTKH dated 30/12/2024 of Nui Hong coal mine, contract value: VND 486,224,445	
5	Thai Nguyen Mining Chemical Industry Company	Related Party of a Major Shareholder (Subsidiary of Mining Chemical Industry Holding Corporation Limited – Vinacomin)	Contract for rental blasting, ensuring safety of blasting site, No. 3317/2024/HDNM/HCM-TKH dated 20/12/2023, contract value: VND 43,323,882,911	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract for rock blasting in 2024, No. 4904/2024/HDNM/HCM-TND dated 20/12/2023, contract value: VND 15,518,807,586	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract for blasting in 2024, No. 98/2024/HCM-NH dated 29/12/2024, contract value: 1,175,847,580 VND	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
6	Informatics, Technology, Environment JSC - Vinacomin	Related Party of a Major Shareholder	Consultancy contract: Technical planning and budget estimation for waste dump movement monitoring in 2024 of Khanh Hoa coal mine, No. 597/HD-KTKH dated 20/03/2024, contract value: VND 7,827,410	
			Consultancy contract: Technical planning and budget estimation for establishing a control network for Khanh Hoa Coal Mine in 2024, No. 599/HĐ-KTKH dated 20/03/2024, contract value: VND 5,355,522	
			Contract for preparing reserve inventory report according to Mining License No. 2242/GP-BTNMT dated 03/09/2019, No. 1025/HĐ-KTKH dated 17/05/2024, contract value: VND 498,065,004	



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			Construction contract for waste dump movement monitoring plan in 2024 of Khanh Hoa Coal Mine, No. 1344/HD-KTKH dated 26/06/2024, contract value: VND 352,289,016	
			Construction contract for the plan to establish a control network for Khanh Hoa Coal Mine in 2024, No. 1345/HD-KTKH dated 26/06/2024, contract value: VND 240,161,177	
7	Northern Coal Trading JSC - Vinacomin	Related Party of a Major Shareholder	Sales contract of coal, No. 02/TNK/2024/HDMB/THB-TND dated 04/01/2024, contract value: VND 290,921,251,925	Contract signed by Na Duong Coal Company – VVMI (a branch of the parent company)
			Sales contract of coal, No. 14/TNK/2024/HDMB/THB-TND dated 27/9/2024, contract value: VND 123,106,607,521	
8	Mining and Industry Investment Consulting JSC - Vinacomin	Related Party of a Major Shareholder	Consultancy contract No. 02/2024/HD-KH for the feasibility study report of project "Investment in equipment for maintaining production in 2024 of Khanh Hoa coal mine" dated 15/01/2024, contract value: VND 390,000,000	
			Consultancy contract No. 06/2024/HD-KH for the feasibility study report of project "Investment in equipment for maintaining production in 2024 of Na Duong coal mine" dated 26/01/2024, contract value: VND 120,000,000	
			Consultancy contract No. 07/2024/HD-KH for the feasibility study report of project "Investment in equipment for maintaining production in 2024 of Nui Hong coal mine" dated 26/01/2024, contract value: VND 205,000,000	



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
9	Viet Bac Geology JSC – TKV	Related Party of a Major Shareholder	Consultancy contract for exploration project at Nui Hong coal mine, No. 512/HD-KTKH dated 15/03/2024, contract value: VND 333,880,150	
			Contract for technical planning and budget estimation for exploratory drilling at Na Duong coal mine in 2024, No. 658/HD-KTKH dated 27/03/2024, contract value: VND 42,754,157	
			Contract for consultancy on construction plan and budget estimation for exploratory drilling at Na Duong coal mine in 2024, No. 930/HD-KTKH dated 04/05/2024, contract value: VND 26,062,002	
			Contract for reserve inventory report according to Mining License No. 3227/GP-BTNMT dated 30/12/2024, No. 1003/HD-KTKH dated 16/05/2024, contract value: VND 492,933,875	
			Construction contract for exploration drilling at Na Duong coal mine in 2024, No. 1651/HD-KTKH dated 31/07/2024, contract value: VND 2,784,998,204	
			Construction contract for exploration drilling to assess pillar stability at seam 4 of Na Duong coal mine, No. 08261.1/HD-KTKH dated 02/08/2024, contract value: VND 6,403,770,055	
10	La Hien Cement JSC – VVMI	Subsidiary	Sales contract of clay stone (waste rock after screening) No. 02/HDMB/TKH-XMLH dated 02/01/2024, contract value: VND 2,803,828,500.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
11	Quan Trieu Cement JSC - VVMI	Subsidiary	Sales contract of coal in 2024, No. 2540/HD-KTKH dated 29/12/2023	Principle Contract
			Sales contract of limestone waste, No. 1230/HD/XMQT-TKH dated 30/12/2023, contract value: VND 26,906,193,112	Contract signed by Khanh Hoa Coal Company - VVMI (a




No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
2				branch of the parent company)
			Sales contract of clay stone (waste rock after screening) No. 0321 / HDMB/ XMQT - TKH dated 30/12/2023, contract value: VND 4,455,000,000	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement No. 01/2024/HDMB dated 24/01/2024, contract value: VND 10,149,074	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement No. 03/2024 dated 12/04/2024, contract value: VND 68,009,259	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement bags No. 1231/2024//HDMB dated 31/12/2023, contract value: VND 17,849,820,000	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract for coal transportation by railway, No. 134/HDQT-NH dated 28/12/2023, contract value: VND 2,000,000,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement bags No. 0607/2024//HDMB dated 06/07/2024, contract value: VND 20,261,460,600	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement No. 116/2024/HDMB, dated 12/10/2024, contract value: VND 3,871,297	



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
12	Tan Quang-VVMI Cement Joint Stock Company	Subsidiary	Sales contract of coal in 2024, No. 2541/HD-KTKH dated 29/12/2023	Principle Contract
			Sales contract of cement bags No. 24.01.30.NH/HD-CTQ dated 30/01/2024, contract value: VND 11,084,141,250	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of cement bags No. 24.07.29.NH/HD-CTQ dated 29/07/2024, contract value: VND 13,288,338,000	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
13	Viet Bac Mining Mechanical JSC-VVMI	Subsidiary	Contract No. 458/HD/TKH - CKM/2024 dated 16/02/2024 on the major repair and overhaul of CAT 773E truck No. 35; contract value: VND 3,778,946,004.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 493/HD/TKH - CKM/2024 dated 19/02/2024 on the major repair and overhaul of CAT 773E truck No. 38; contract value: VND 3,732,752,475.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract for the major repair and overhaul of CAT D7R bulldozer No. 2: No. 655/TKH-CKM/2024 dated 01/03/2024, contract value: VND 2,678,516,456.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 1410/HD/TKH - CKM/2024 dated 06/05/2024 on the major repair and overhaul of HD 465-7R truck No. 20, contract value: VND 3,687,250,950.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 1510/HD/TKH - CKM/2024 dated 15/05/2024 on the major repair and overhaul of HD	Contract signed by Khanh Hoa Coal Company - VVMI (a



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			465 -7R truck No. 41, contract value: 3,676,497,435 VND.	branch of the parent company)
			Contract for machining roller shafts No. 187/2024/HĐMB.CKM-TN dated May 15, 2024, contract value: VND 16,000,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract No. 01/HD/CKM-TNH/2023 dated 06/03/2024, on the major repair and overhaul of Howo 371 truck No. 29, contract value: VND 508,298,733.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract No. 02/HD/CKM-TNH/2024 dated 29/03/2024, on the major repair and overhaul of CAT 773E truck No. 36, contract value: VND 3,299,802,969.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract No. 03/HD/CKM-TNH/2024 dated 03/04/2024, on the major repair and overhaul of CAT D6RIII bulldozer No. 2, contract value: VND 1,065,629,024.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract No. 04/HD/CKM-TNH/2023 dated 24/06/2024, on the major repair and overhaul of Howo 371 truck No. 27, contract value: VND 483,657,548.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of materials No. 0446/HD-VT dated 26/01/2024, contract value: VND 323,950,000	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 1508/HD-TND/CKM/2024 dated 12/04/2024, on the major repair and overhaul of Volvo A40G truck No. 92, contract value: VND 3,450,165,038.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 1557/HD-TND/CKM/2024 dated 17/04/2024, on the major repair and overhaul of Volvo	Contract signed by Na Duong Coal Company -

No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			A40G truck No. 93, contract value: VND 3,455,833,653.	VVMI (a branch of the parent company)
			Contract No. 2328/HĐ/TND-CKM/2024 dated 19/06/2024, on the major repair and overhaul of CAT 336 DL excavator, contract value: VND 3,237,565,734.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 1886/HĐ/TKH-CKM/2024 dated 17/06/2024, on the major repair and overhaul of CAT 773E truck No. 57, contract value: VND 2,191,504,776.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 2086/HĐ/TKH - CKM/2024 dated 01/07/2024, on the major repair and overhaul of HD 465 -7R truck No. 43, contract value: VND 3,731,307,970	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract for the major repair and overhaul of CAT D7R bulldozer No. 1: Contract No. 2227/TKH-CKM/2024, dated 10/07/2024, contract value: VND 2,853,347,264.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 2812/HĐ/TKH-CKM/2024 dated 28/08/2024, on the major repair and overhaul of CAT 773E truck No. 56, contract value: VND 2,224,831,817.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 3120/HĐ/TKH-CKM/2024 dated 26/09/2024, on the major repair and overhaul of CAT 773E truck No. 58, contract value: VND 2,288,504,969.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 3287/HĐ/TKH-CKM/2024 dated 04/10/2024 on the major repair and overhaul of HD	Contract signed by Khanh Hoa Coal



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
1			465-7R truck No. 42, contract value: VND 3,621,518,974.	Company - VVMI (a branch of the parent company)
			Contract No. 3493/TKH-CKM/2024 dated 18/10/2024, on the major repair and overhaul of CAT D7R dozer No. 3, contract value: VND 2,460,053,779.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 3306/TKH-CKM/2024 dated 07/10/2024, on the major repair and overhaul of KOMATSU PC850 excavator No. 1, contract value: VND 2,177,668,733.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 05/HĐ/CKM-TNH/2024 dated 24/10/2024, on the major repair and overhaul of CAT 336D2L excavator No. 1; Contract value: VND 2,332,430,298.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract No. 12: CKM-TNH dated 14/10/2024 for the purchase of HGT conveyor couplings, contract value: VND 14,000,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract No. 14: CKM-TNH dated 06/11/2024 for the purchase of vertical shaft for E2503 electric excavator engine, contract value: VND 19,000,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract No. 16: CKM-TNH dated 12/11/2024 for the purchase of vibrating screen shaft fi 130xL2540, contract value: VND 20,470,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract No. 16: CKM-TNH dated 29/11/2024 for the purchase of couplings, contract value: VND 7,000,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)

No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
14	Mechanical and Pressure Equipment JSC-VVMI	Subsidiary	Contract No. 2562/HĐ/TND-CKM/2024 dated 05/07/2024 on the major repair and overhaul of D65EX dozer No. 1, contract value: VND 1,624,800,110.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 3327/HĐ/TND-CKM/2024 dated 16/09/2024, on the major repair and overhaul of D7R dozer No. 2, contract value: VND 2,687,683,634.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Sales contract of materials No. 4129/HĐ-VT dated 03/12/2024; contract value: VND 5,280,000.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 1175/HD/APLUCO-TNH/2024 dated 25/06/2024, on the major repair and overhaul of Hyundai 140LC-9S excavator No. 2, contract value: VND 883,387,556.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Sales contract of materials No. 0456/HD-VT dated 5/02/2024, contract value: VND 63,607,500	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Contract No. 653/HD/TKH-CKAL/2024 dated 01/03/2024, on the major repair and overhaul of ZL50C wheel loader, contract value: VND 536,300,152.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 531/HĐ/TKH - CKAL/2024 dated 21/02/2024 on the major repair and overhaul of HOWO truck No. 63, contract value: VND 518,445,942.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 1089/TKH-CKAL/2024 dated 09/04/2024 on the major repair and overhaul of	Contract signed by Khanh Hoa Coal Company - VVMI (a



No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
			KOMATSU D65 EX dozer No. 1, contract value: VND 2,015,454,120.	branch of the parent company)
			Contract No. 2064/HĐ/APLUCO-TNH/2024 dated 27/09/2024 on the major repair and overhaul of Komatsu D65EX-16 dozer No. 1, contract value: VND 1,702,640,537.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
			Contract No. 2428/HĐ/APLUCO-TNH/2024 dated 01/11/2024 on the major repair and overhaul of Hyundai 210 excavator No. 2, contract value: VND 962,181,316.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 1887/HĐ/TKH-CKAL/2024 dated 17/06/2024 on the major repair and overhaul of HOWO truck No. 64, contract value: VND 549,261,342.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
			Contract No. 2673/HĐ/TKH-CKAL/2024 dated September 4, 2024 for the major repair and overhaul of the Hyundai 180 excavator; Contract value: VND 1,060,765,212.	Contract signed by Khanh Hoa Coal Company - VVMI (a branch of the parent company)
15	Building Material and General Trading JSC - VVMI	Subsidiary	Principle contract No. 2902/HĐKT dated 29/02/2024 for coal transportation by railway.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)
16	Thai Nguyen Hotel JSC - VVMI	Subsidiary	Service contract No. 1022/HĐ-KTKH dated 17/05/2024 for organizing tourism visits for staff in 2024, contract value: VND 1,647,756,000.	
			Contract No. 06/HĐKT dated 10/01/2024 for the purchase of Tet gifts, contract value: VND 600,768,000.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)

No.	Entity/Individual Making the Transaction	Relationship with the parent company	Transaction Details	Notes
2			Service contract No. 1728/HĐ/TND-KSTN dated 02/05/2024 for resort tours in Hoa Binh-Moc Chau-Dien Bien, contract value: VND 611,335,080.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Service contract No. 1848/HĐ/TND-KSTN dated 10/05/2024 for resort tours in Da Nang-Hoi An, contract value: VND 1,041,579,000.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Service contract No. 1907/HĐ/TND-KSTN dated 15/05/2024 for resort tours in Cua Lo-Quang Binh, contract value: VND 497,124,000.	Contract signed by Na Duong Coal Company - VVMI (a branch of the parent company)
			Sales contract No. 89A/2024 dated 08/10/2024 for the purchase of Moc Chau milk (180ml), contract value: VND 494,841,600.	Contract signed by Nui Hong Coal Company - VVMI (a branch of the parent company)





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Số: 506.01./2025/GXN - BDO

Hà Nội, ngày 16 tháng 01 năm 2025

## GIẤY XÁC NHẬN

**Kính gửi: TỔNG CÔNG TY CÔNG NGHIỆP MỎ VIỆT BẮC TKV - CTCP**

Công ty TNHH Kiểm toán BDO xác nhận đã dịch chính xác nội dung tài liệu theo yêu cầu của Quý Công ty với thông tin như sau:

Tên tài liệu tiếng Việt : ... Báo cáo tình hình quản trị công ty năm 2024 ...

Số trang : ... 29 ... trang.

Ngôn ngữ dịch : tiếng Việt sang tiếng Anh.

Quý Công ty vui lòng xem chi tiết nội dung bản dịch sang tiếng Anh tại file pdf đính kèm (... 36 ... trang).

***Trân trọng cảm ơn!***

