

**JOINT STOCK COMPANY  
HA TAY TRADE**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

Number: 01/BCQT-HTT

Hanoi, January 22, 2025

**REPORT ON GOVERNANCE STATUS OF LISTED COMPANIES  
(2024)**

Dear: - State Securities Commission  
- Hanoi Stock Exchange

- Name of listing company: Ha Tay Trading Joint Stock Company
- Address of head office : 2nd Floor, Building 89 Phung Hung, Ha Dong, Hanoi
- Phone : 04 3354 7252 Fax: 04 3311 3052 Email: congtycptmhatay@gmail.com
- Charter capital: 200,000,000,000 VND
- Securities code : HTTP

**I. Activities of the General Meeting of Shareholders**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions) :

Stat us No.	Resolution/Decision Number	Date	Content

**II. Board of Management ( Semi-annual/annual reports ) :**

1. Information about the members of the Board of Management:

Sta tus No.	Board of Directors member/ B O M's member	Position	Day becoming member of the Board of Managemen t	Number of Board of Directors meetings attended/ Number of	Meeting Attendance Rate/ Percentage	Reasons for absence

				<i>attendance</i>		
1	Mr. Dao Van Chien	Chairman of the Board	June 23, 2018	1	100%	
2	Mr. Nguyen Duc Dinh	Board Member	June 23, 2018	1	100%	
3	Mrs. Tran Thu Phuong	Board Member	June 23, 2018	1	100%	
4	Mr. Tran Van Cong	Board Member	June 23, 2018	1	100%	
5	Ms. Dinh Thi Thuy Hang	Board Member	June 23, 2018	1	100%	

2. Supervision by *the BOD over the Director (General Director)*:

The Board of Directors regularly closely monitors and promptly supports the operations of the Board of Directors and the apparatus assisting the General Director.

3. Activities of *the Board of Directors' committees*: Do not have

4. Resolutions/Decisions of the Board of Directors ( 2024 Report )/ *Resolutions/Decisions of the Board of Directors (Semi-annual/annual reports)*:

Statu s No.	/Decision No.	Date	Content
1	No. 01.224/NQ-HĐQT/HTT	20/01/2023	Agree to approve the 2024 production and business operation plan and handle loans at credit institutions.
2	No. 02.224/NQ-HĐQT/HTT	April 16, 2024	Unanimously approved the 2nd quarter 2024 production and business plan
3	No. 03.224/NQ-HĐQT/HTT	July 18, 2024	Unanimously approved the 3rd quarter 2024 business production plan
4	No. 04.224/NQ-HĐQT/HTT	10/15/2024	Unanimously approved the 4th quarter 2024 production and business plan

**III. Supervisory Board ( 2024 Report ) (Semi-annual/annual reports):**

1. Information about the members of the Board of Supervisors (BOS) :

Statu s	Board Member	Position	Date of starting as a member of the Board of Directors	Number of meetings attended by the Board of Supervisors	Meeting attendance rate	Reason for not attending the meeting
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1	Le Thi Mai	Head of Control Board	June 23, 2018	1	100%	
2	Nguyen Thi Hong Hanh	Board Member	June 23, 2018	1	100%	
3	Vu Thi Thanh Thuy	Board Member	June 23, 2018	1	100%	

2. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders :

The Board of Supervisors regularly and closely monitors the activities of the Board of Directors and the Board of General Directors.

3. Coordination of activities between the Supervisory Board and the Board of Directors, Executive Board and other management staff :

The Board of Supervisors has been coordinated by the Board of Directors, the General Director and the Company's functional departments, creating favorable conditions for updating the Company's operations, collecting information and documents for inspection and supervision.

#### **IV. Training on corporate governance:**

Leadership training for Board of Directors members.

#### **V. List of related persons of the listed company as prescribed in Clause 34, Article 6 of the Securities Law (2024 Report ) and transactions of related persons of the company with the Company itself :**

1. List of related persons of the company :

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of <i>issue</i>	Head office address/Contact address	The starting point is the person concern ed.	Time is no longer relevant	Reason
1	Dao Van Chien		Chairman of the Board of Directors and General Director					
	Father							
	Mother: Dong Thi Tan							
	Wife: Vu Thi Xuan							
	Boy: Dao Vu Thang							
	Daughter: Dao Hieu Hanh							
	Sister: Dao Thi Cam							
2	Tran Thu Phuong		Board Member					
	Father: Tran Van Giap							
	Mother: Nguyen Thi							

	Khuong							
	Husband: Vu Xuan Tung							
	Sister: Tran Hai Thanh							
	Brother: Tran Truong Giang							
	Daughter: Vu Hong Nhung							
	Son: Vu Dong Bach							
3	Tran Van Cong		Board Member					
	Wife: Nguyen Thi Kim Lan							
	Son: Tran Duc Thang							
	Daughter: Tran Minh Ngoc							
	Brother: Tran Quang Tho							
	Sister: Tran Thi Thanh							
	Sister: Tran Thi Thuc							
	Sister: Tran Thi Huong							

	Sister: Tran Thi Hoa							
	Sister: Tran Thi Hue							
4	Nguyen Duc Dinh		Member of Board of Directors and Deputy General Director					
	Wife: Nguyen Thi Kim Loan							
	Son: Nguyen Duc Viet							
	Son: Nguyen Duc Hung							
	Brother: Nguyen Duc Dinh							
	Brother: Nguyen Duc Doan							
5	Dinh Thi Thuy Hang		Board Member					
	Father: Dinh Van Viet							
	Mother: Dinh Thi Cuc							
	Brother: Dinh Van Hoan							

	Sister: Dinh Thi Huong							
	Husband: Nguyen Hoang Long							
	Son: Nguyen Hoang Anh Duc							
	Daughter: Nguyen Hoang Thai An							
6	Le Thi Mai		Head of Supervisory Board					
	Mother: Le Thi Thuy							
	Brother: Le Tien Truong							
7	Nguyen Thi Hong Hanh		Board of Supervisors					
	Father: Nguyen Hong Lung							
	Mother: Nguyen Thi Thuan							
	Sister: Nguyen Thi Hong Thuy							
	Husband: Le Thanh Luan							

	Biological child: Le Hai Linh							
	Biological child: Le Tien Loc							
8	Van Thi Thanh Thuy		Board of Supervisors					
	Father: Van Ngoc Phu							
	Mother: Van Thi Loan							
9	Dinh Thi Thuy Hang		Chief Accountant					
	Father: Dinh Van Viet							
	Mother: Dinh Thi Cuc							
	Brother: Dinh Van Hoan							
	Sister: Dinh Thi Huong							
	Husband: Nguyen Hoang Long							
	Son: Nguyen Hoang Anh Duc							
	Daughter: Nguyen							

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	Hoang Thai An							
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2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, related persons of insiders : None
3. Transactions between insiders of listed companies , related persons of insiders and subsidiaries, companies controlled by listed companies : None
4. Transactions between the company and other entities : None

**VI. Stock transactions of insiders and related parties of insiders (Report for the first 6 months of 2024 ) :**

## 1. List of insiders and related persons of insiders :

Sta tus No.	Name	Securities trading account (if any)	Position in the company (if any)	ID card/Passport number, date of issue, place of issue	Contact address	Number of shares owned at the end of the period (CP)	End of period share ownership ratio	Note
1	Dao Van Chien		Chairman of the Board of Directors and General Director			4,364,130	21.82%	
	Father							
	Mother: Dong Thi Tan					0	0	
	Wife: Vu Thi Xuan					0	0	
	Boy: Dao Vu Thang					0	0	
	Daughter: Dao Hieu Hanh					0	0	
	Sister: Dao Thi Cam					0	0	

2	Tran Thu Phuong		Board Member			0	0	
	Father: Tran Van Giap					0	0	
	Mother: Nguyen Thi Khuong					0	0	
	Husband: Vu Xuan Tung					100	0.0005%	
	Sister: Tran Hai Thanh					100	0.0005%	
	Brother: Tran Truong Giang					100	0.0005%	
	Daughter: Vu Hong Nhung					0	0	
	Son: Vu Dong Bach					0	0	
3	Tran Van Cong		Board Member			160,000	0.8%	
	Wife: Nguyen Thi Kim Lan					0	0	
	Son: Tran Duc Thang					0	0	
	Daughter: Tran Minh Ngoc					0	0	
	Brother: Tran					0	0	

	Quang Tho							
	Sister: Tran Thi Thanh					0	0	
	Sister: Tran Thi Thuc					0	0	
	Sister: Tran Thi Huong					0	0	
	Sister: Tran Thi Hoa					0	0	
	Sister: Tran Thi Hue					0	0	
4	Nguyen Duc Dinh		Board Member			1,000	0.005 %	
	Wife: Nguyen Thi Kim Loan					0	0	
	Son: Nguyen Duc Viet					0	0	
	Son: Nguyen Duc Hung					0	0	
	Brother: Nguyen Duc Dinh					0	0	
	Brother: Nguyen Duc Doan					0	0	
5	Dinh Thi Thuy Hang		Board Member			0	0	

	Father: Dinh Van Viet					0	0	
	Mother: Dinh Thi Cuc					0	0	
	Brother: Dinh Van Hoan					0	0	
	Sister: Dinh Thi Huong					0	0	
	Husband: Nguyen Hoang Long					0	0	
	Son: Nguyen Hoang Anh Duc					0	0	
	Daughter: Nguyen Hoang Thai An					0	0	
6	Le Thi Mai		Head of Supervisory Board			0	0	
	Mother: Le Thi Thuy					0	0	
	Brother: Le Tien Truong					0	0	
7	Nguyen Thi Hong Hanh		Board of Supervisors			0	0	

	Father: Nguyen Hong Lung					100	0.0005%	
	Mother: Nguyen Thi Thuan					100	0.0005%	
	Sister: Nguyen Thi Hong Thuy					100	0.0005%	
	Husband: Le Thanh Luan					0	0	
	Biological child: Le Hai Linh					0	0	
	Biological child: Le Tien Loc					0	0	
8	Van Thi Thanh Thuy		Board of Supervisors			0	0%	
	Father: Van Ngoc Phu					0	0	
	Mother: Van Thi Loan					0	0	
9	Dinh Thi Thuy Hang		Chief Accountant			0	0	
	Father: Dinh Van Viet					0	0	
	Mother: Dinh Thi Cuc					0	0	

	Brother: Dinh Van Hoan					0	0	
	Sister: Dinh Thi Huong					0	0	
	Husband: Nguyen Hoang Long					0	0	
	Son: Nguyen Hoang Anh Duc					0	0	
	Daughter: Nguyen Hoang Thai An					0	0	

2. Transactions of insiders and related persons on shares of listed companies : None

**VII. Other notable issues : None**

**CHAIRMAN OF BOARD OF  
DIRECTORS**



The image shows a red circular stamp with the following text: "M.S.D.N: 0500443384 - C.P." at the top, "CÔNG TY CỔ PHẦN THƯƠNG MẠI HÀ TÂY" in the center, and "Q. HÀ ĐÔNG - TP. HÀ NỘI" at the bottom. A blue ink signature is written over the stamp, and a horizontal line extends from the end of the signature to the right. Below the stamp, the name "Dao Van Chien" is printed in black text.