

No: 25./2025/BC-AAS

Hanoi, January 22nd, 2025

REPORT ON CORPORATE GOVERNANCE
(2024)

To: - The State Securities Commission;
 - Vietnam Stock Exchange;
 - Hanoi Stock Exchange;
 - Hochiminh Stock Exchange

- Name of company: SMARTINVEST SECURITIES JOINT STOCK COMPANY
- Address of headoffice: No 220+222+224 Nguyen Luong Bang street, Quang Trung ward, Dong Da district, Ha Noi city, Viet Nam.
- Telephone: 0243.5739769 Fax: 0243.5739779 Email: smartsecbtt@aas.com.vn
- Charter capital: 2.299.995.210.000 VND
- Stock symbol: AAS
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director (According to section 137(1)(a) of Enterprises Law 2020)
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders:

No.	Resolution/Decision No.	Date	Content
1	01/2024/AAS/NQ-ĐHĐCĐ	25/03/2024	GMS Resolution 2024: Detailed content in Appendix 03 attached

II. Board of Directors (annual report of 2024):

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Ms. Ngo Thi Thuy Linh	Chairwoman	23/04/2021	

2	Mr. Tran Minh Tuan	Non-executive member of the BOD	27/09/2021	
3	Mr. Le Manh Cuong	Member of the BOD	23/04/2021	
4	Ms. Do Thi Sam	Member of the BOD	27/09/2021	
5	Mr. Pham Minh Duc	Independent member of the Board of Directors	27/09/2021	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Ms. Ngo Thi Thuy Linh	14/17	82,3%	Ms. Ngo Thi Thuy Linh was absent due to personal matters
2	Mr. Tran Minh Tuan	12/17	70,5%	Mr. Tran Minh Tuan went abroad
3	Mr. Le Manh Cuong	17/17	100%	
4	Ms. Do Thi Sam	17/17	100%	
5	Mr. Pham Minh Duc	17/17	100%	

3. Supervising the Board of Management by the Board of Directors:

In 2024, the Board of Directors held many regular quarterly and extraordinary meetings in various ways to make decisions related to the organization and business operations of the Company. Accordingly, the Board of Directors issued Resolutions/Decisions on various issues in organization and operation.

The tasks performed by the Board of Directors include:

- Supervise the operations of the Executive Board, direct and urge the Executive Board to implement the Resolutions of the General Meeting of Shareholders and the Board of Directors; meet and discuss with the Executive Board to ensure proper implementation of the Charter, Regulations and relevant laws;

- The Board of Directors chaired, directed and successfully organized 2024 Annual General Meeting of Shareholders on March 25th, 2024.
- Direct the Executive Board to properly implement the Resolutions of the General Meeting of Shareholders and the Board of Directors issued related to the Company's business operations.
- Direct and implement the preparation, supervision and publication of financial reports and other public information on time, ensuring transparency, timeliness and compliance with law regulations;
- Adhere to the framework of modern Corporate Governance, members of the Board of Directors demonstrate their governance role well in supervising and controlling the Company's operations.

Through monitoring and evaluating compliance, the Board of Directors finds that the Executive Board has exercised its assigned rights and duties in accordance with the provisions of law, the Charter, decisions/resolutions of the Board of Directors and the General Meeting of Shareholders with honesty, prudence and efficiency to ensure the interests of shareholders.

4. Activities of the Board of Directors' subcommittees:

Investment Council

Advise the Board of Directors on issues related to the Company's investment activities: asset allocation, capital trading of the Company; review and approve capital contributions or purchase and sale of capital contributions in enterprises, purchase and sale of securities, activities related to underwriting under the authority of the Board of Directors as prescribed in the Charter and authorized by the General Meeting of Shareholders; decide and approve transactions and investment activities not under the authority of the General Meeting of Shareholders or the Board of Directors but not yet delegated to the Chairwoman, General Director or Board of Directors; perform other tasks as prescribed in the Charter or other internal documents.

5. Resolutions/Decisions of the Board of Directors (Annual report):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2024/NQ-HĐQT	17/01/2024	Approval of the plan to organize the 2024 Annual General Meeting of Shareholders	100%
2	47/2024/NQ-HĐQT	30/01/2024	Appointment of Deputy General Director	100%
3	54/2024/NQ-HĐQT	27/02/2024	Promulgate working regulations at the 2024 Annual General Meeting of Shareholders	100%



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4	04/NQ-HĐQT	22/03/2024	Approval of receiving credit at Saigon Hanoi Commercial Joint Stock Bank - Dong Do Branch and implementing security measures, mortgaging assets to guarantee the Company's credit facilities at SHB	100%
5	05/NQ-HĐQT	16/04/2024	Approval of investment proposal to contribute capital to establish Dong Tien Fund Management Joint Stock Company	100%
6	15/2024/NQ-HĐQT	20/06/2024	Approval of mortgaging assets to secure loan obligations	100%
7	16/2024/NQ-HĐQT	20/06/2024	Approval of additional collateral for the loan	100%
8	17/2024/NQ-HĐQT	16/07/2024	Approval of changing the address of the Ho Chi Minh City branch	100%
9	0908/2024/NQ-HĐQT	09/08/2024	Approval of transactions between the Company and related persons of insiders	100%
10	18/2024/NQ-HĐQT	13/09/2024	Approval of the implementation of the plan to issue shares to pay dividends to existing shareholders according to Resolution No. 01/2024/AAS-NQ-ĐHĐCĐ on 25/03/2024 of the 2024 Annual General Meeting of Shareholders	100%
11	19/2024/NQ-HĐQT	16/09/2024	Approval of the Company's loan plan at Ocean Bank Limited - Thang Long Branch - Ha Dong Transaction Office	100%
12	122/2024/NQ-HĐQT	14/10/2024	Approval of the plan to change collateral for loans at Ocean Bank Limited - Thang Long Branch - Ha Dong Transaction Office	100%
13	20/2024/NQ-HĐQT	12/11/2024	Approval of the temporary suspension of the implementation of the plan to issue shares to pay dividends to existing shareholders according to Resolution No. 01/2024/AAS-NQ-ĐHĐCĐ on 25/03/2024 of the 2024 Annual General Meeting of Shareholders	100%

14	21/2024/NQ-HĐQT	06/12/2024	Decision to appoint Head of Internal Audit Team	100%
15	22/2024/NQ-HĐQT	06/12/2024	Approval of the Company's regulations/processes, update current legal regulations and follow the actual operations of the Company	100%
16	23/2024/NQ-HĐQT	24/12/2024	Approval of receiving credit at Vietnam Maritime Commercial Joint Stock Bank	100%
17	23/2024/NQ-HĐQT	31/12/2024	Approval of signing of the Contract to borrow the building as the Company's representative office headquarters	100%

III. Board of Supervisors (annual report):

1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Hong Trung	Head of Board of Supervisors	12/06/2023	Master's degree
2	Ms Bui Thi Quynh Anh	Member	12/06/2023	Bachelor
3	Ms Dao Thi Le Thanh	Member	12/06/2023	Bachelor

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Hong Trung	02	100%	100%	
2	Ms Bui Thi Quynh Anh	02	100%	100%	
3	Ms Dao Thi Le Thanh	02	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

- Check and monitor the implementation of business plans as well as compliance with the provisions of the Enterprise Law, the Company Charter and other Laws (if any).
- Through the monitoring process, the Board of Supervisors found that the activities of the Board of Directors and the Board of General Directors of the Company have complied with the provisions of law, the Resolution of the General Meeting of Shareholders and the Company's Charter, and at the same time complied with the functions and tasks as prescribed.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- In 2024, the Board of Supervisors will always closely coordinate with the Board of Directors and the Executive Board of the Company.
- The Board of Directors and the Executive Board regularly create favorable conditions for the Board of Supervisors in collecting information as well as monitoring the Company's production and business activities.

5. Other activities of the Board of Supervisors: None.

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management/
1	Mr. Le Manh Cuong	27/06/1978	Construction economics engineer	Appointed: 29/09/2021
2	Ms. Do Thi Sam	04/11/1984	Bachelor of Economics	Appointed: 01/07/2021
3	Mr. Le Quang Chung	04/01/1985	Bachelor of Business Administration	Appointed: 22/02/2022
4	Mr. Dao Phuong Vi	11/10/1984	Information technology engineer	Appointed: 01/02/2024

V. Chief Accountant

<i>Name</i>	<i>Date of birth</i>	<i>Qualification</i>	<i>Date of appointment/ dismissal</i>
Ms. Le Thi Van Anh	10/06/1985	Master	Appointed: 01/07/2021

VI. Training courses on corporate governance:

With a policy of focusing on corporate governance activities to build and develop the Company more and more strongly, members of the Board of Directors, members of the Executive Board and other managers of the Company are encouraged to participate in training programs on corporate governance. Currently, the above members are actively researching and learning about corporate governance. It is expected that in 2025, some members of the Board of Directors and Executive Board will apply for a certificate of training on corporate governance organized by the Center for Scientific Research and Securities Training - State Securities Commission.

VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company

- 1. The list of affiliated persons of the Company:** According to attached Appendix 01.
- 2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:** The company's transactions with related parties of insiders are explained in section 4.1.
- 3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.**

<i>No.</i>	<i>Transaction executor</i>	<i>Relationship with internal persons</i>	<i>Position at the listed Company</i>	<i>ID card No./ Passport No., date of issue, place of issue</i>	<i>Address</i>	<i>Name of subsidiaries or companies which the Company control</i>	<i>Time of transaction</i>	<i>Content, quantity, total value of transaction</i>	<i>Note</i>
	None								

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

- Smartinvest Securities Joint Stock Company rents office from Sao Thang Long Investment Joint Stock Company:
- + (i) Rental area: 102.8 m²; (ii) Rental amount (VAT included): 5.5 million VND/ 1 month (iii) Rental period: 05 years.
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company rents office from Dong A Hotel Group Joint Stock Company:
- + Rental area: 192 m²; (ii) Rental amount (VAT included): 77 million VND/ 1 month; (iii) Rental period: 05 years.
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a service provision contract with Dong A Hotel Group Joint Stock Company:
- + Contract Content: Customer conference organization service.
- + Contract value (including VAT): 434,000,000 VND (in words: Four hundred thirty four million VND)
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a contract to borrow the office of Sao Thang Long Investment Joint Stock Company.
- + Borrowed area: 102.8 m²; (ii) Borrowed period: 03 years
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a contract to borrow office space from Dong A Hotel Group Joint Stock Company.
- + Borrowed area: 192 m²; (ii) Borrowed period: 03 years
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None

VIII. Share transactions of internal persons and their affiliated persons (annual report)

1. The list of internal persons and their affiliated persons: According to attached Appendix 02
2. Transactions of internal persons and affiliated persons with shares of the Company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Mr. Le Quang Chung	Deputy General Director	575.000	0,25	0	0	Sell

IX. Other significant issues: None

Recipients:

- As above;
- Archived: office

**SIGN ON BEHALF OF CHAIRWOMAN OF THE
BOARD OF DIRECTORS
VICE CHAIRMAN**



PHÓ CHỦ TỊCH HĐQT
Trần Minh Tuấn

APPENDIX 01: RELATED PERSONS OF THE COMPANY

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.	Mr. Le Manh Cuong	111C006155	General Director and Member of Board of Directors			23/04/2021			Internal actor
2.	Ms. Ngo Thi Thuy Linh	111C686868	Chairwoman of the board of directors			23/04/2021			Internal actor
3.	Mr. Tran Minh Tuan	No account yet	Board Member			23/04/2021			Internal actor
4.	Ms. Do Thi Sam	No account yet	Board Member			27/09/2021			Internal actor
5.	Mr. Pham Minh Duc	111C007340	Board Member			27/09/2021			Internal actor
6.	Mr. Le Quang Chung	111C262688	Deputy General Manager			22/02/2022			Internal actor

7.	Ms. Nguyen Thi Hue	111C113 515	Authorized person to disclose information			21/05/2024			Internal actor
8.	Ms. Le Thi Van Anh	No account yet	Chief Accountant			01/7/2021			Internal actor
9.	Ms. Dao Thi Le Thanh	No account yet	Board of Supervisors			28/03/2022			Internal actor
10.	Ms. Bui Thi Quynh Anh	111C090 390	Board of Supervisors			12/06/2023			Internal actor
11.	Ms. Trieu Tu Linh	111C118 230	The person in charge of company administration			29/11/2023			Internal actor
12.	Ms. Nguyen Thi Hong Trung	No account yet	Chief Controller			13/06/2023			Internal actor
13.	Mr. Dao Phuong Vi	111C115 893	Deputy General Manager			01/02/2024			Internal actor
14.	Ms. Le Thi Hoa	No account yet	Team leader of internal audit boards			06/12/2024			Internal actor

APPENDIX 02: INTERNAL PERSONS AND RELATED PERSONS

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company	Relationship with NNB/major shareholders (for related persons)	No. *, date of issue, place of issue	Address	Number of shares owned	Ownership ratio (%)
1	Internal persons: Mr. Le Manh Cuong	111C006155	General Director and Member of Board of Directors				0	0
	Ms. Nguyen Thi My	No account yet		Mother			0	0
	Mr. Ha Xuan Thieu	No account yet		Father in law			0	0
	Lê Ngọc Diệp	No account yet		Sister			0	0
	Ms. Le Kim Chi	No account yet		Sister			0	0
	Ms. Le Thanh Tam	No account yet		Sister			0	0

	Ms. Le Thanh Huong	No account yet		Sister			0	0
	Mr. Dang Khac Tuyen	No account yet		Brother in law			0	0
	Mr. Nguyen Ba Quyen	No account yet		Brother in law			0	0
	Mr. Tran Anh Tuan	No account yet		Brother in law			0	0
	Ms. Ha Thi Tuyet Trinh	No account yet		Wife			0	0
	Ms. Le Ha Bao Linh	No account yet		Daughter			0	0
	Ms. Le Ha Gia Han	No account yet		Daughter			0	0
2	Internal persons: Ngo Thi Thuy Linh	111C686868	Chairwoman of the Board				4.774.794	2,08%
	Mr. Ngo Van Chien			Farther	Died			

	Ms. Nguyen Thi Lien			Mother	Died			
	Mr. Tran Van Thai	No account yet		Farther in law			0	0
	Mr. Nguyen Thi Doan Nghiem	111C007326		Mother in law			0	0
	Ms. Ngo Thi Thuan	111C000388		Sister			0	0
	Mr. Tran Minh Tuan	No account yet	Board Member	Husband			0	0
	Ms. Tran Nha Khanh	No account yet		Daughter			0	0
	Ms. Tran Nha Phuong	No account yet		Daughter			0	0
	Mr. Tran Minh Phuc Philip	No account yet		Son			0	0
	Mr. Tran Minh Duc David	No account yet		Son			0	0

	Ms. Tran Nha Chi	No account yet		Daughter			0	0
3	Internal persons: Tran Minh Tuan	No account yet	Board Member				0	0
	Mr. Tran Van Thai	No account yet		Father			0	0
	Ms. Nguyen Thi Doan Nghiem	111C007326		Mother			0	0
	Ms. Ngo Thi Thuy Linh	111C686868	Chairwoman of the Board	Wife			0	0
	Ms. Tran Nu Ngoc Anh	111C000106		Sister			0	0
	Ms. Tran Nha Khanh	No account yet		Daughter			0	0
	Ms. Tran Nha Phuong	No account yet		Daughter			0	0
	Mr. Tran Minh Phuc Philip	No account yet		Son			0	0

	Mr. Tran Minh Duc David	No account yet		Son			0	0
	Ms. Tran Nha Chi	No account yet		Daughter			0	0
	Dong A Hotel Group Joint Stock Company	111C562665		Board Member			0	0
	Sao Thang Long Investment Joint Stock Company	111C004422		Board Member			0	0
	Petrovietnam Oil Thai Binh Joint Stock Company	No account yet		Board Member			0	0
4	Internal persons: Ms. Do Thi Sam	No account yet	Board Member				0	0
	Mr. Do Van Tuong	No account yet		Father			0	0

	Ms. Le Thi Xuan	No account yet		Mother			0	0
	Mr. Tran Khac Dien	No account yet		Father in law			0	0
	Ms. Le Thi Tuyen	No account yet		Mother in law			0	0
	Ms. Do Phuong Thao	No account yet		Sister			0	0
	Mr. Do Ngoc Tan	No account yet		Brother			0	0
	Ms. Le Thi Hong Nhung	No account yet		Sister in law			0	0
	Mr. Tran Khac Hop	No account yet		Husband			0	0
	Ms. Tran Minh Khue	No account yet		Daughter			0	0
	Ms. Tran Minh Ha	No account yet		Daughter			0	0
5	Internal persons: Pham Minh Duc	111C007340	Board Member				0	0

	Mr. Pham Van Lap	No account yet		Father			0	0
	Ms. Vu Thi Tuyet Minh	No account yet		Mother			0	0
	Ms. Pham Phuong Dieu	No account yet		Sister			0	0
	Mr. Ho Anh Dung	No account yet		Brother in law			0	0
	Ms. Nguyen Ngoc Tu	No account yet		Wife			0	0
	Mr. Nguyen Van Huan	No account yet		Father in law			0	0
	Ms. Trinh Thi Nhung	No account yet		Mother in law			0	0
	Ms. Pham Song Linh	No account yet		Daughter			0	0
	Mr. Pham Uy Long	No account yet		Son			0	0
	Galaxy Law Firm	No account yet		Director			0	0
6	Internal persons: Mr. Le	111C262688	Deputy General Manager				0	0

	Quang Chung							
	Mr. Le Xuan Nam	No account yet		Father			0	0
	Ms. Bui Thi Min	No account yet		Mother			0	0
	Mr. Nguyen Van Doan	No account yet		Brother in law			0	0
	Ms. Le Thi Hang	No account yet		Sister			0	0
	Mr. Pham Manh Kha	No account yet		Brother in law			0	0
	Ms. Le Thi Hien	111C111293		Sister			0	0
	Mr. Nguyen Van Khien	No account yet		Brother in law			0	0
	Ms. Le Thi Hoa	No account yet		Sister			0	0
	Mr. Nguyen Trung Phuong	No account yet		Brother in law			0	0
	Ms. Le Thi Hieu	No account yet		Sister			0	0

	Mr. Nguyen Thanh Huy	No account yet		Brother in law			0	0
	Ms. Le Thi Thao	No account yet		Sister			0	0
	Mr. Vu Van Man	No account yet		Father in law			0	0
	Ms. Vu Thi Thanh Hoa	No account yet		Mother in law			0	0
	Ms. Le Vu Thanh Thuy	No account yet		Daughter			0	0
	Mr. Le Hai Long	No account yet		Son			0	0
	Mr. Le Hai Quang	No account yet		Son			0	0
7	Internal persons: Ms. Nguyen Thi Hong Trung	No account yet	Chief Controller				0	0
	Ms. Ha Thi Thuy	No account yet		Mother			0	0
	Mr. Nguyen Ky	No account yet		Father in law				

	Ms. Tran Thi Nga	No account yet		Mother in law			0	0
	Ms. Nguyen Thi Hong Thuan	No account yet		Sister				
	Mr. Nguyen Huu Duong	No account yet		Brother in law			0	0
	Ms. Nguyen Thi My Dung	No account yet		Sister				
	Mr. Nguyen Huu Tuan	No account yet		Brother in law			0	0
	Mr. Nguyen Quang Minh	No account yet		Husband				
	Ms. Nguyen Minh Thu	No account yet		Daughter			0	0
	Mr. Nguyen Minh Vu	No account yet		Son			0	0
8	Internal persons: Bui Thi Quynh Anh	111C090390	Controller				0	0

Mr. Bui Van Long	No account yet		Father			0	0
Ms. Dang Thuy An	No account yet		Mother			0	0
Ms. Bui Thi To Oanh	No account yet		Sister			0	0
Ms. Bui Thi Hong Nga	No account yet		Sister			0	0
Ms. Bui Thi Thuy	111C004224		Sister			0	0
Mr. Bui Tien Dat	No account yet		Brother			0	0
Mr. Nguyen Van An	No account yet		Father in law			0	0
Ms. Nguyen Thi Hoa	No account yet		Mother in law			0	0
Mr. Nguyen Van Sinh	No account yet		Husband			0	0
Ms. Nguyen Bui Phuong Linh	No account yet		Daughter			0	0

	Ms. Nguyen Bui Quynh Chi	No account yet		Daughter			0	0
9	Internal persons: Dao Thi Le Thanh	No account yet	Controller				0	0
	Mr. Dao Xuan Sy	No account yet		Father			0	0
	Ms. Le Thi Nhon	No account yet		Mother			0	0
	Mr. Dao Ba Thien Binh	No account yet		Brother			0	0
	Mr. Pham Huy Thao	Died						
	Ms. Nguyen Thi Thanh	No account yet		Mother in law			0	0
	Mr. Pham Huy Duc	No account yet		Husband			0	0
	Mr. Pham Duc Huy	No account yet		Son			0	0
	Dong A Hotel Group Joint	No account yet		Controller			0	0

	Stock Company							
10	Internal persons: Trieu Tu Linh	111C118230	The person in charge of company administration				0	0
	Mr. Trieu Tu Nam	No account yet		Father			0	0
	Ms. Nguyen Thanh Huyen	No account yet		Mother			0	0
	Mr. Trieu Gia Hung	No account yet		Brother			0	0
	Mr. Tran Thai Duong	No account yet		Husband			0	0
	Ms. Tran Tue San	No account yet		Daughter			0	0
	Mr. Tran Quang Dung	No account yet		Father in law			0	0
	Ms. Nguyen Thi Lan	No account yet		Mother in law			0	0

	Dong A Hotel Group Joint Stock Company	111C562665		Controller			0	0
11	Internal persons: Ms. Le Thi Van Anh	No account yet	Chief accountant				0	0
	Mr. Le Van Tien	No account yet		Father			0	0
	Ms. Mai Thi Van	No account yet		Mother			0	0
	Mr. Ngo Khac Hung	No account yet		Husband			0	0
	Ms. Ngo Huyen Trang	No account yet		Daughter			0	0
	Mr. Ngo Kien Cuong	No account yet		Son			0	0
	Mr. Le Xuan Bach	No account yet		Brother			0	0

	Ms. Pham Thi Tham	No account yet		Sister in law			0	0
12	Internal persons: Nguyen Thi Hue	111C113515	The person authorized to disclose information				0	0
	Ms. Nguyen Thi Da	No account yet		Mother			0	0
	Mr. Nguyen Huy Thu	No account yet		Father	Died			
	Mr. Nguyen Van Thanh	No account yet		Father in law			0	0
	Ms. Vu Thi Thu	No account yet		Mother in law			0	0
	Mr. Nguyen Van Dong	No account yet		Husband			0	0
	Ms. Nguyen Hoang Diep Chi	No account yet		Daughter			0	0
	Ms. Nguyen Thi Thuy	No account yet		Sister			0	0

	Mr. Nguyen Nghia Dong	No account yet		Brother in law			0	0
	Ms. Nguyen Thi Thuy	No account yet		Sister			0	0
	Mr. Nguyen Huy Thang	No account yet		Brother in law			0	0
	Ms. Nguyen Thi Trang	No account yet		Sister			0	0
	Mr. Luu The Hien	No account yet		Brother in law			0	0
	Ms. Nguyen Thi Hanh	No account yet		Sister			0	0
	Sao Thang Long Investment Joint Stock Company	111C004422		Controller			0	0
	AAA Holding Group Joint Stock Company	No account yet		Legal representative			0	0

	ANN Holding Group Joint Stock Company	No account yet		Legal representative			0	0
13	Internal persons: Mr. Dao Phuong Vi	111C115893	Deputy General Manager				0	0
	Mr. Dao Quang Hung	No account yet		Father			0	0
	Ms. Le Thi Ly	No account yet		Mother			0	0
	Ms. Vu Minh Phuong	No account yet		Wife			0	0
	Mr. Dao Quang Ngoc	No account yet		Brother			0	0
	Mr. Dao Xuan Huong	No account yet		Brother			0	0
	Mr. Dao Van Khanh	No account yet		Brother			0	0

	Ms. Dao Thao Vi	No account yet		Daughter				0
	Mr. Dao Vu	No account yet		Son				0
	Ms. Dao Tue Nhu	No account yet		Daughter				0
14	Internal persons: Le Thi Hoa	No account yet	Team leader of internal audit boards				0	0
	Mr. Le Van My	No account yet		Father			0	0
	Ms. Bui Thi Loan	No account yet		Mother			0	0
	Ms. Le Thi Thuan	No account yet		Sister			0	0
	Mr. Le Sinh Hung	No account yet		Brother			0	0
	Mr. Hoang Van Tuan	No account yet		Brother in law			0	0

APPENDIX 03: CONTENT OF THE ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2024

No.	Resolution/Decision Number	Date	Content
1	01/2024/AAS/NQ-DHĐCĐ	25/03/2024	<ul style="list-style-type: none"> - Approval of the Board of Directors performance report in 2023; - Approval of the Board of Supervisors performance report in 2023; - Approval of Audited Financial Statements for 2023; - Approval of business plan in 2024; - Approval of the selection of an independent auditor for the 2024 fiscal year; - Approval of profit distribution in 2023; - Approval of remuneration of the Board of Directors and the Board of Supervisors; - Approval of issues to be reported to the General Meeting of Shareholders includes: (i) Regarding the transition to trading AAS shares; (ii) Regarding the addition of derivative securities trading services; - Approval of the completion of the increase in the Company's charter capital; - Approval of the participating in capital contribution investment to establish a subsidiary which is a securities investment fund management company; - Approval of the issuance of votes to pay dividends in 2023; - Approval of public offering of shares; - Approval of the establishment of representative offices in Japan, Canada, Taiwan.

