

REPORT
MANAGEMENT STATUS OF A LISTED COMPANY
(2024)

Dear: - State Securities Commission
- Hanoi Stock Exchange

- Public Company name: **Kon Tum Sugar Joint Stock Company**
- Main office address: **Km2, Vinh Quang Commune, Kon Tum City, Kon Tum**
- Phone number: **02606.289549** ; Fax: **02603.916168** ;
- Email: **ctyduongkontum@yahoo.com.vn**
- Charter Capital: **50.700.000.000 dong** (*In word: Fifty billion, seven hundred million dong*).
- Ticker symbol: **KTS**
- **Company's Organizational model:**
 - + General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director.
 - Regarding performing internal audit: Finished

I. General Meeting of Shareholders' activities:

No.	Resolution number	Date	Content	Approval rate
1.	01/NQ-ĐHĐCĐTN2024	07/09/2024	Resolution for Annual General Meeting of Shareholders 2024	100%

II. Board of Directors:

1. Information about the Board of Directors' members:

No.	Board of Directors' members	Position	Start date/end date of being a Board of Directors' Member	
			Appointment date	Dismissal date
1	Mr.: Dang Viet Anh	Non-executive Chairman of the Board of Directors	24/09/2023	
2	Mr.: Tran Ngoc Hieu	Non-executive Member of the Board of Directors	24/09/2023	
3	Mr.: Thai Van Hung	Non-executive Member of the Board of Directors	24/09/2023	

2. Board of Directors' meetings:

No.	Board of Directors' members	Number of Board of Directors' meetings attended	Meetings attendance rate	Reason for not attending meetings
1	Mr.: Dang Viet Anh	6	100%	
2	Mr.: Tran Ngoc Hieu	6	100%	
3	Mr.: Thai Van Hung	6	100%	

3. Monitoring activities by the Board of Directors with the Board of Management:

- Monitoring activities by the Board of Directors with the Board of Management are performed according to the Company's Internal organization regulations and the Board of Directors' Operation regulations. Monitoring activities by the Board of Directors with the Board of Management are performed per the following manners: Organize meetings directed by the Board of Directors, attend periodic meetings directed by the Executive Board, approve reports from the Board of Management or approve other information channels. The Board of Directors has directed and closely followed the Board of Management in the performing of General Meeting of Shareholders' Resolution, the Board of Directors' Resolutions and Decisions according to law and the Company Regulations.

- In 2024, the General Director organized and executed the Company's production and sales activities per rights and duties according to law, to the Company Regulations, and the Company's internal management regulations, in addition to performing all the Board of Directors' Resolutions and Decisions. The General Director ensures to provide information and create opportunities for the Board of Supervisors to approach and inspect the financial status and other activities of the Company.

- The Board of Management maintains quarterly meetings and monthly progress meetings, in addition to organizing spontaneous meetings to conclude, review, and modify management and production methods in a flexible way that yields positive results.

4. Activities of subcommittees affiliated with the Board of Directors:

The Board of Directors does not establish any affiliated subcommittees. The Board of Directors elects one personnel to become the Board of Directors' secretary; when necessary, the Board of Directors will use managerial staff from the Company to help the Board of Directors with work.

5. The Board of Directors's Resolutions/Decisions:

No.	No. of Resolutions/ Decisions	Date	Details	Approval rate
2.	02/NQ-HĐQT/2024	03/01/2024	Resolution to approve sales plan.	100%
3.	07/NQ-HĐQT/2024	02/02/2024	Resolution to approve sales plan.	100%
4.	09/NQ-HĐQT/2024	06/02/2024	Resolution to approve sales plan.	100%
5.	10/NQ-HĐQT/2024	19/02/2024	Resolution to approve the quarterly meeting of 3 rd quarter in accounting year 2023-2024.	100%

6.	12/NQ-HĐQT/2024	07/03/2024	Resolution to approve sales plan.	100%
7.	14/NQ-HĐQT/2024	05/04/2024	Resolution to approve sales plan.	100%
8.	16/NQ-HĐQT/2024	26/04/2024	Resolution to approve the quarterly meeting of 4 th quarter in accounting year 2023-2024.	100%
9.	17/QĐ-HĐQT/2024	27/04/2024	Decision to approve final settlement for major repair plan in 2023	100%
10.	19/NQ-HĐQT/2024	22/06/2024	Resolution to approve sales plan.	100%
11.	22/QĐ-HĐQT/2024	30/06/2024	Decision to approve final settlement for salary budget in 2023-2024 and provision salary budget in 2024-2025.	100%
12.	23/QĐ-HĐQT/2024	30/06/2024	Decision to approve provision for doubtful debts and provision for discounting inventory goods at the time of 30.6.2024.	100%
13.	24/NQ-HĐQT/2024	17/07/2024	Resolution of quarterly Board of Directors meeting in 1 st quarter of accounting year 2024-2025.	100%
14.	25/NQ-HĐQT/2024	17/07/2024	Resolution to approve Record date to finalize the list of shareholders executing their rights to attend Annual General Meeting of Shareholders 2024.	100%
15.	29A/QĐ-HĐQT/2024	04/08/2024	Decision to approve of major repair plan in 2024.	100%
16.	30/NQ-HĐQT/2024	14/08/2024	Resolution to approve the date to hold Annual General Meeting of Shareholders 2024, documents content and program for Annual General Meeting 2024.	100%
17.	33/NQ-HĐQT/2024	30/08/2024	Resolution to approve sales plan.	100%
18.	38/NQ-HĐQT/2024	25/09/2024	Resolution to approve sales plan.	100%
19.	39/NQ-HĐQT/2024	25/09/2024	Resolution to approve sales plan.	100%
20.	41/NQ-HĐQT/2024	03/10/2024	Resolution to approve sales plan.	100%
21.	43/NQ-HĐQT/2024	07/10/2024	Resolution to approve equipment, chemical, and tools purchase plan for 2024-2025 production season.	100%
22.	43A/NQ-HĐQT/2024	24/10/2024	Resolution of quarterly Board of Directors meeting in 2 nd quarter of accounting year 2024-2025.	100%
23.	46/QĐ-HĐQT/2024	31/10/2024	Resolution to approve equipment, chemical, and bags purchase plan for	100%

			2024-2025 production season.	
24.	47/NQ-HĐQT/2024	31/10/2024	Resolution to approve equipment & chemical purchase plan for 2024-2025 production season.	100%
25.	49/NQ-HĐQT/2024	11/11/2024	Resolution to approve an auditing unit for financial year 2024-2025.	100%
26.	53/QĐ-HĐQT/2024	18/11/2024	Decision to approve loan plan for sales & production in 2024-2025 production season.	100%
27.	54/NQ-HĐQT/2024	19/11/2024	Resolution to approve sales plan.	100%
28.	55/NQ-HĐQT/2024	19/11/2024	Resolution to approve sales plan.	100%
29.	57/QĐ-HĐQT/2024	21/11/2024	Decision to approve unit price for freelance contracts regarding transport works in 2024-2025 production season.	100%
30.	61/QĐ-HĐQT/2024	27/11/2024	Decision to approve cane purchase price in 2024-2025 production season.	100%
31.	62/NQ-HĐQT/2024	27/11/2024	Resolution to approve sales plan.	100%
32.	65/QĐ-HĐQT/2024	02/12/2024	Decision to approve by-product selling price in 2024-2025 production season.	100%
33.	67/NQ-HĐQT/2024	09/12/2024	Resolution to approve sales plan.	100%
34.	70/NQ-HĐQT/2024	25/12/2024	Resolution to approve sales plan.	100%
35.	71/NQ-HĐQT/2024	25/12/2024	Resolution to approve sales plan.	100%

III. Board of Supervisors:

1. Information about members of the Board of Supervisors (BOS):

No.	Members of the Board of Supervisors	Position	Start date/end date of being a BOS member	Qualifications
1	Ms. Tran Thi Bich Nhi	BOS Head	24/09/2023	Bachelor
2	Ms. Nguyen Thi Thuy	BOS Member	24/09/2023	Bachelor
3	Mr. Nguyen Duc Can	BOS Member	24/09/2023	Bachelor

2. BOS' meetings:

No.	Members of the Board of Supervisors	BOS meetings attended	Meeting attendance rate	Voting rate	Reason for not attending meeting
1	Ms. Tran Thi Bich Nhi	4	4/4	100%	
2	Ms. Nguyen Thi Thuy	4	4/4	100%	
3	Mr. Nguyen Duc Can	4	4/4	100%	

3. Monitoring activities by the BOS regarding the Board of Directors, Board of Management, and shareholders:

- In 2024, the BOS organized four direct inspections for sales & production activities, appraising quarterly financial report, financial report for accounting year 2024-2025, inspecting internal expenses; inspecting the works of investment, cane purchasing and recovering investment public debts in season 2023-2024; inspecting the works of purchasing goods & chemicals, of product consumption and the Company's other activities.

- Cooperate with other departments in the company to directly participate in equipment & goods inventory, inspect cash budget, compare debts spontaneously and periodically.

- The Supervisors actively performed their assigned jobs by the direct inspection & monitoring and approving of reports from the Board of Directors & the Board of Managements sent to the BOS and other communication channels.

- The Board of Supervisors fully attended all meetings directed by the Board of Directors, closely monitored the Board of Directors and the Board of Management's activities regarding following State regulations, Internal organization regulations, and ensured shareholders' benefits.

- From inspection and monitoring, the BOS has sent requests to the Board of Directors and the Board of Management some positive solutions regarding the Company's organization and management works.

- Regarding monitoring the Board of Directors: The Board of Directors has properly executed and performed the General Meeting of Shareholders' Resolutions, properly performed the role of directing, managing, and monitoring the sales & production activities of the Company and the General Director's management works.

- The Company has fully followed all laws and regulations regarding sales & production works, management and information publication works, as well as transactions.

- Regarding monitoring the Board of Management: The Board of Management and managing staff have organized the Company's activities exactly according to the functions, duties, and rights written in the Organization and Activities Regulations and the Company's internal management regulations. The Board of Management has properly executed the General Meeting of Shareholders' Resolutions, the Board of Directors' Resolutions and Decisions. The sales results in accounting year 2023-2024 had high profits, ensuring capital adequacy for Investors.

4. Coordinating BOS' activities with activities of the Board of Directors, the Board of Management, and other managing staff:

There is always a tight coordination between BOS, the Board of Directors, and the Board of Management. The BOS receives enthusiastic cooperation from the Board of Directors and the Board of Management, the BOS Head is always invited to all meetings directed by the Board of Directors, the Board of Management always send documents about all major policies and important decisions to BOS. All of BOS' suggestions and requests are

always registered by the Board of Directors and the Board of Management.

5. BOS' other activities:

- Monitoring the adherence to the stock exchange rules for a Listed Company regarding information publication.

- Seriously and fully report on the monitoring works per regulations.

IV. Executive Board:

No.	Members of Executive Board	Date of birth	Qualifications	Date of	
				Appointment	Dismissal
1.	Ms.: Dang Thi Thu hang	14/04/1977	Bachelor	24/10/2019	
2.	Mr.: Nguyen Huu Quang	20/06/1971	Silicate Chemical Engineer	01/08/2018	
3.	Mr. Thai van Hung	26/02/1972	Engineer	14/09/2021	14/09/2024
4	Mr. NARAYANASAMY RAVICHANDRAN	22/12/1962	In charge of Technology	08/08/2023	

V. Chief Accountant

Full name	Date of birth	Qualifications	Date of	
			Appointment	Dismissal
Ms.: Vo Thi Ai Thuy	20/05/1981	Accounting bachelor	28/04/2023	

VI. Training about corporate management: *None*

VII. Lists of the public company's affiliated persons and transactions by the Company's affiliated persons with the Company itself:

1. List of the company's affiliated persons:

(Appendix 01 attached to the report)

2. Transactions between the Company and its affiliated persons; or between the Company and a major shareholder, internal people, internal people's affiliated persons: *All these transactions were recorded and reported in the quarterly, semesterly, and yearly reports in a transparent and clear manner for the Company's shareholders to know.*

3. Transactions between the listed company's internal people or the internal people's affiliated persons with branch companies, companies managed by the listed company: *None*

4. Transactions between the Company and others:

4.1 Transactions between the Company and companies whose managing Board of Directors' member, Executive Board's member, or Director (General Director) was and is the founder or a member of the Board of Directors or the managing Director (General Director) within the past three (03) years (counting from the time of making this report): *All these transactions were recorded and reported in the quarterly, semesterly, and yearly reports in a transparent and clear manner for the Company's shareholders to know.*

4.2. Transactions between the Company and companies whose affiliated persons of

Board of Directors' members, Executive Board's members, or managing Director (General Director) is a member of the Board of Directors or is the managing Director (General Director): *None*

4.3. Other transactions of the Company (if any) that can bring material or immaterial benefits to Board of Directors' members, Executive Board's members, or managing Director (General Director): *None*

IX. Stock exchanges of internal people and internal people's affiliated persons (2024)

- List of internal people and internal people's affiliated persons: (*Appendix 02 attached to the report*)
- Transactions by internal people and internal people's affiliated persons regarding the listed company's stock: *None*.

No.	Transactors	Relationship with internal people	Owned stock at the beginning of cycle		Owned stock at the end of cycle		Reason for increase/decrease (buy, sell, transform, bonus, etc.)
			Stock index	Rate	Stock index	Rate	
0	0	0	0	0			
1	Mr. Dang Viet Anh						
-	Ms. Tran Thi Thai	Mother	152.100	3	152.100	3	
2	Mr. Tran Ngoc Hieu		74.529	1,47	74.529	1,47	
-	Ms. Ta Ngoc Huong	Wife	251.000	4,95	251.000	4,95	
-	Ms. Tran Ngoc Lan	Child	250.000	4,93	250.000	4,93	
-	Mr. Tran Ngoc Tuan	Child	246.532	4,86	246.532	4,86	
-	Ms. Tran Thi Thai	Sister	152.100	3	152.100	3	

X. Other matters to take notice of: *None*.

Receiver:

- Per "Dear";
- Members of BOD, BOS;
- Save: Secretary.

**ON BEHALF OF THE BOARD
CHAIRMAN**



DANG VIET ANH

APPENDIX 01:

LIST OF THE COMPANY'S AFFILIATED PERSONS

(Attached to the Report of Management Status of a Listed Company in 2024, no.: 07/BC-HDQT/2025 on January 23, 2025 of Kon Tum Sugar JSC)

No.	Name of organization/ personnel	Stock exchange account (if any)	Position at the company (if any)	ID card/ Business registration no.	Issue date of ID card/ Business registration	Issue place of ID card/ Business registration	Main office address / Contact address	Date of becoming an affiliated person	Date of no longer being an affiliated person	Reason	Relation with the Company
I. Board of Directors											
1	Dang Viet Anh		BOD Chairman					03/07/2015			Company's affiliated person
	Tran Thi Thai		No								Mother
	Father										Deceased
	Le Thi Sang		No								Wife
	Dang Thi Thu Hang		TGD								Sister
	Dang Hoang Minh Anh										Child
	Dang Ngoc Nam Phuong										Child
	Dang Thai Anh										Child
2	Tran Ngoc Hieu		BOD Member					03/07/2015			Company's affiliated person
	Father										Deceased
	Mother										Deceased
	Father-in-law										Deceased
	Mother-in-law										Deceased
	Ta Ngoc Huong		No								Wife

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	Tran Ngoc Lan	No															Child
	Tran Ngoc Tuan	No															Child
	Tran Thi Thai	No															Sister
	Brother-in-law																Deceased
	Tran Thi Lien	No															Sister
	Tran Ngoc Hien	No															Brother
3	Thai Van Hung	BOD Member														21/09/2018	Company's affiliated person
	Thai Van Huan	No															Father
	Nguyen Thi An	No															Mother
	Ton That Luan	No															Father-in-law
	Nguyen Thi Sao	No															Mother-in-law
	Ton Nu Diem Tu	No															Wife
	Thai Ton Bao Ngoc	No															Child
	Thai Thu Thuy	No															Sister
	Thai Kim Oanh	No															Sister

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APPENDIX 02:

LIST INTERNAL PERSONS AND AFFILIATED PERSONS OF INTERNAL PERSONS

(Attached to the Report of Management Status of a Listed Company in 2024, no.: 07/BC-HDQT/2025 on January 23, 2025 of Kon Tum Sugar JSC)

No.	Full Name	Stock trading account (if any)	Company position (if any)	Passport or ID No. / Business registration No.	Date of issue	Place of issue	Contact address	Share ownership amount at the end of the period	Share ownership ratio at the end of the period	Note
1	Dang Viet Anh		Chairman of Board					0	0	
Affiliated persons of Mr. Dang Viet Anh										
1.1	Tran Thi Thai		No					152.100	3%	Mother
1.2	Father									Deceased
1.3	Le Thi Sang		No							Wife
1.4	Dang Thi Thu Hang		Director							Sister
1.5	Dang Hioang Anh Minh									Child
1.6	Dang Ngoc Nam Phuong									Child
1.7	Dang Thai Anh									Child
Affiliated organization(s)										
1.8	Son La Sugar Joint Stock Company		Affiliated organization of internal person							Chairman of board
1.9	Tipharco pharmaceutical		Affiliated organization							Chairman

joint stock company	of internal person								of board
2 Tran Ngoc Hieu	Member of Board							74.529	1,47%
Affiliated persons of Mr. Tran Ngoc Hieu									
2.1	Father								Deceased
2.2	Mother								Deceased
2.3	Father in law								Deceased
2.4	Mother in law								Deceased
2.5	Ta Ngoc Huong	No					251.000	4,95%	Wife
2.6	Tran Ngoc Lan	No					250.000	4,93%	Child
2.7	Tran Ngoc Tuan	No					246.532	4,86%	Child
2.8	Tran Thi Thai	No					152.100	3%	Sister
2.9	Brother-in-law								Deceased
2.10	Tran Thi Lien	No							Sister
2.11	Tran Ngoc Hien	No							Brother
Affiliated organization(s)									
2.8	Son La Sugar Joint Stock Company	Affiliated organization of internal person							Director
2.9	Can Tho Sugar Joint Stock Company	Affiliated organization of internal person							Chairman of board
3	Thai van Hung	Member of Board					0	0	
Affiliated persons of Mr. Thai Van Hung									
3.1	Thai Van Huan	No							Father
3.2	Nguyen Thi An	No							Mother
3.3	Ton That Luan	No							Father-in-law

3.4	Nguyen Thi Sao		No																Mother-in-law
3.5	Ton Nu Diem Tu		No																Wife
3.6	Thai Ton Bao Ngoc		No																Child
3.7	Thai Thu Thuy		Không No																Sister
3.8	Thai Kim Oanh		No																Sister
3.9	Thai Van Dung		No																Brother
3.10	Thai Kim Yen		No																Sister
<i>Affiliated organization(s)</i>																			
3.11	Son La Sugar Joint Stock company		Affiliated organization of internal person																Member of board
3.12	Tipharco Pharmaceutical Joint Stock Company		Affiliated organization of internal person																Member of board
3.13	Tuy Hoa Sugar Joint Stock Company		Affiliated organization of internal person																Vice chairman of board
4	Tran Thi Bich Nhi		Head of BOS																0
<i>Affiliated persons of Mrs. Tran Thi Bich Nhi</i>																			
4.1	Father		No																Deceased
4.2	Mother		No																Deceased
4.3	Father-in-law		No																Deceased
4.4	Mother-in-law		No																Deceased

4.5	Quoc Ho Dinh Tuan	No								Husband
4.6	Quoc Thi Bich Ngoc	No								Child
4.7	Quoc Yen chi	No								Child
4.8	Tran Thanh Binh	No								Brother
4.9	Tran Duy Thanh	No								Brother

Affiliated organization(s)

3.11	Son La Sugar Joint Stock company	Affiliated organization of internal person								Member of board
3.12	Tipharco Pharmaceutical Joint Stock Company	Affiliated organization of internal person								Member of Board of supervisor
5	Nguyen Thi Thuy	Member of Board of supervisor						0	0	

Affiliated persons of Mrs. Nguyen Thi Thuy

5.1	Father	No								Deceased
5.2	Mother	No								Deceased
5.3	Father-in-law	No								Deceased
5.4	Mother-in-law	No								Deceased
5.5	Tran Anh Tuan	No								Husband
5.6	Tran Thien Kim	No								Child
5.7	Tran Anh Dat Vien.	No								Child
5.8	Nguyen Thi Tinh	No								Sister
5.9	Nguyen van Hai	No								Brother
5.10	Nguyen Thi Tuyet Nhung	No								Sister
5.11	Nguyen Thi Bup	No								Sister

Affiliated organization(s)

5.12	Son La Sugar Joint Stock company	Affiliated organization of internal person								BOS Chairman
6	Nguyen Duc Can	Member of Board of supervisor						100	0,00%	

Affiliated persons of Mr. Nguyen Duc Can

6.1	Father	No	-	-	-	-	-	-	Deceased
6.2	Tran Thi Chi	No	-	-	-	-	-	-	Mother
6.3	Huynh Van Chin	No	-	-	-	-	-	-	Father-in-law
6.4	Pham Thi Xay	No	-	-	-	-	-	-	Mother-in-law
6.5	Huynh Thi Mai Tuyen	No	-	-	-	-	-	-	Wife
6.6	Nguyen Huynh Duc Luan	No	-	-	-	-	-	-	Child
6.7	Nguyen Huynh Duc Khoi	No	-	-	-	-	-	-	Child
6.8	Nguyen Thi Tuyet	No	-	-	-	-	-	-	Sister
6.9	Nguyen Thi Diep	No	-	-	-	-	-	-	Sister
7	Dang Thi Thu Hang	Director	-	-	-	-	0	0	

Affiliated persons of Ms. Dang Thi Thu Hang

7.1	Tran Thi Thai	No	-	-	-	-	152.100	3%	Mother
7.2	Father	No	-	-	-	-	-	-	Deceased
7.3	Dang Viet Anh	Chairman of board	-	-	-	-	-	-	Brother
7.4	Le Thi Sang	No	-	-	-	-	-	-	Sister-in-law

Affiliated organization(s)

7.5	Tipharco pharmaceutical Joint Stock company	Affiliated organization of internal person	-	-	-	-	2.038.568	24,40%	* Member of board
7.6	Tra Vinh Sugar Joint Stock company	Affiliated organization of internal person	-	-	-	-	-	-	Chairman of board
7.7	Nam Phuong Ha Tien Company Limited	Affiliated organization of internal person	-	-	-	-	-	-	Chairman

8	Nguyen Huu Quang	Vice Director					0	0
Affiliated persons of Mr. Nguyen Huu Quang								
8.1	Nguyen Thi Thuy	No						Wife
8.2	Nguyen Thi Khanh An	No						Child
8.3	Nguyen Huu Thang	No						Child
8.4	Nguyen Thi Ba	No						Father
8.5	Nguyen Huu Tu	No						Brother
8.6	Nguyen Minh Chau	No						Sister
8.7	Nguyen Huu Viet	No						Father in law
8.8	Dang Thi Lien	No						Mother in law
9	Narayanasamy Ravichandran	Vice Director					0	0
9.1	Ravichandran Nirmala	No						Wife
9.2	Ravichandran Rammanohar	No						Child
9.3	Ravichandran Keerthana	No						Child
10	Vo Thi Ai Thuy	Chief Accountant					0	0
Affiliated persons of Mrs. Vo Thi Ai Thuy								
10.1	Vo Van Vu	No						Father
10.2	Vo Thi Xuan	No						Mother
10.3	Vo Van Tien	No						Brother
10.4	Vo Thi Kim Thoa	No						Sister
10.5	Vo Van Thinh	No						Sister
10.6	Pham Ngoc Hoa	Secretary of Board						Husband
10.7	Pham Ngoc Phuoc							Child
10.8	Pham Tu Quyen							Child
10.9	Pham Ngoc Van	No						Father-in-law
10.10	Le Thi Nam	No						Mother-in-law
11	Pham Ngoc Hoa	BOD Secretary/Managing public					0	0

		company							
Affiliated persons of Mr. Pham Ngoc Hoa									
11.1	Pham Ngoc Van	No							Father
11.2	Le Thi Nam	No							Mother
11.3	Pham Thi Dieu	No							Sister
11.4	Pham Thi Thuyen	No							Sister
11.5	Pham Ngoc Vu	No							Brother
11.6	Vo Thi Ai Thuy	Chief Accountant							Wife
11.7	Pham Ngoc Phuoc								Child
11.8	Pham Tu Quyen								Child
11.9	Vo Van Vu	No							Father-in-law
11.10	Vo Thi Xuan	No							Mother-in-law