

No: *H.*/2025/BC-PPE

Hanoi, 23rd January 2025

**REPORT ON CORPORATE GOVERNANCE  
(year 2024)**

To: - The State Securities Commission;  
- The Stock Exchange.

- Name of company: PP Enterprise Investment Consultancy Joint Stock Company
- Address of headoffice: 12th Floor, Diamond Flower Tower, 48 Le Van Luong Street, Nhan Chinh Ward, Thanh Xuan District, Hanoi City, Viet Nam.
- Telephone: 024.3577.2222 Fax: Email: info.ppe.co@gmail.com
- Charter capital: 20.000.000.000 VND.
- Stock symbol: PPE
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and Director.
- The implementation of internal audit: Not yet implemented.

**I. Activities of the General Meeting of Shareholders**

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
1	36/2024/PPE/NQ-DHDCĐTN	21/06/2024	Resolution of the 2024 Annual General Meeting of Shareholders approving contents under the authority of the General Meeting of Shareholders

**II. Board of Directors**

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors))	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Hoang Viet	Chairman of the board of Directors	30/11/2023	
2	Ms. Le Tuyet Mai	Board of Director' member	30/11/2023	
3	Mr. Nguyen Manh Tuan	Board of Director' member	30/11/2023	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Hoang Viet	07/07	100%	
2	Ms. Le Tuyet Mai	07/07	100%	
3	Mr. Nguyen Manh Tuan	07/07	100%	

3. Supervising the Board of Management by the Board of Directors:

- a. *Strategic monitoring and control:*
- Supervise and support the Board of Management in preparing the 2024 business plan to submit to the Annual General Meeting of Shareholders for approval.
  - Supervise the Board of Management in implementing Resolutions and Decisions of the Board of Directors in compliance with the Company Charter, Internal Management Regulations and relevant laws.
  - Maintain regular reports from the Executive Board to the Board of Directors on the business and operating situation of the Company.
  - Maintain timely reporting and timely direction for unusual issues occurring in the Company's daily operations.
- b. *Control, disclose information and ensure transparency:*
- Preparation of quarterly financial reports.
  - Drafting of the Annual Report.
  - Propose approval of financial reports, management reports, audit reports, and corporate governance reports submitted to the General Meeting of Shareholders.
  - Develop a mechanism to coordinate the activities of the Supervisory Board.
- c. *Ensure the rights and interests of shareholders:*
- Supervise and support the Board of Management in organizing the 2024 Annual General Meeting of Shareholders;
  - Supervise the Board of Management in implementing business activities according to the 2024 plan approved by the Annual General Meeting of Shareholders;

4. Activities of the Board of Directors' subcommittees (If any): None.

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/Decision No.	Date	Content	Approval rate
1	14/2024/PPE/NQ-HĐQT	04/4/2024	Extension of time to hold the 2024 Annual General Meeting of Shareholders	100%
2	19/2024/PPE/NQ-HĐQT	07/5/2024	Convening the 2024 Annual General Meeting of Shareholders	100%
3	28/2024/PPE/NQ-HĐQT	30/5/2024	Approval of the 2024 Annual General Meeting of Shareholders Documents	100%
4	54/2024/NQ-HĐQT	16/9/2024	Dismissal of Mr. Vu Van Dung from office	100%
5	55/2024/NQ-HĐQT	16/9/2024	Appointment of Corporate Governance Officer and Company Secretary	100%

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6	56/2024/NQ-HĐQT	16/9/2024	Change of authorized person to disclose information	100%
7	63/2024/PPE/NQ-HĐQT	12/12/2024	Convening the Extraordinary General Meeting of Shareholders in 2025	100%
8	70/2024/PPE/NQ-HĐT	31/12/2024	Handling some bad debts	100%

### III. Board of Supervisors/Audit Committee:

#### 1. Information about members of Board of Supervisors or Audit Committee:

No.	Members of Board of Supervisors/ Audit Committee	Position	The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee		Qualification
			The date becoming	The date ceasing	
1	Mr. Tran Duc Hiep	Head of Board of Supervisors	30/11/2023	21/06/2024	Bachelor of Economics
2	Ms. Nguyen Thi Thanh	Members of Board of Supervisors	30/11/2023		Bachelor of Accounting
		Head of Board of Supervisors	21/06/2024		
3	Mr. Le Van Huy	Members of Board of Supervisors	30/11/2023		Bachelor of Accounting
4	Mr. Nguyen Thanh Tung	Members of Board of Supervisors	21/06/2024		Bachelor of Accounting

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#### 2. Meetings of Board of Supervisors or Audit Committee

No.	Members of Board of Supervisors/ Audit Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Tran Duc Hiep <i>(The date ceasing June 21, 2024)</i>	02/02	100%	100%	
2	Ms. Nguyen Thi Thanh	03/03	100%	100%	
3	Mr. Le Van Huy	03/03	100%	100%	
4	Mr. Nguyen Thanh Tung	01/01	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee: Implemented supervision and supervision of Directors, Board of Management and shareholders according to the Regulation on operation of supervisory board, Internal Management Regulations, Company Charter and enterprise law.

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

- The Board of Supervisors proactively discusses and agrees with the Board of Management on the contents and plans for inspection and supervision, and coordinates with the Board of Directors in the implementation and supervision process.
- The Board of Supervisors is provided with complete and clear information by the Board of Directors and other managers as required.

5. Other activities of the Board of Supervisors and Audit Committee (if any): None.

#### IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management /
1	Mr. Nguyen Manh Tuan	27/01/1976	Bachelor	30/11/2023

#### V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Mr. Kieu Anh Tuyen	12/12/1993	Bachelor of Accounting	30/11/2023

#### VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance:

The Company Secretary attended the 2024 Annual Business Conference organized by the Hanoi Stock Exchange and the Conference organized by the Vietnam Securities Depository and Clearing Corporation.

#### VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company: According to attached Appendix I.
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: None.
3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.
4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None.

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): None.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None.

### VIII. Share transactions of internal persons and their affiliated persons

1. The list of internal persons and their affiliated persons/ Transactions of internal persons and affiliated persons with shares of the Company: According to attached Appendix II.

2. Transactions of internal persons and affiliated persons with shares of the company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Mr. Hoang Viet	Chairman of the board of Directors	98.000	4,9%	399.000	19,95%	Buying, selling

IX. Other significant issues: None.

#### Recipients:

- UBCK, HNX;
- Archived: VT, TK HDQT.

**CHAIRMAN OF THE BOARD OF DIRECTORS**

(Sign, full name and seal)



*Hoàng Việt*

Appendix I.

The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>I. Board of Directors</b>									
1	Mr. Hoang Viet		Chairman of the board of Directors			30/11/2023		Elected	
2	Mr. Nguyen Manh Tuan		Board of Director' member			30/11/2023		Elected	
3	Ms. Le Tuyet Mai		Board of Director' member			30/11/2023		Elected	
<b>II. Board of Supervisors</b>									
1	Mr. Tran Duc Hiep		Head of Board of Supervisors			30/11/2023	21/6/2024	Dismissed	
2	Ms. Nguyen Thi Thanh		Members of Board of Supervisors (Head of Board of Supervisors from June 21, 2024)			30/11/2023		Elected	
3	Mr. Le Van Huy		Members of Board of Supervisors			30/11/2023		Elected	
4	Mr. Nguyen Thanh Tung		Members of Board of Supervisors			21/6/2024		Elected	



III. Board of Management						
1	Mr. Nguyen Manh Tuan		Director		30/11/2023	Appointed
IV. Chief Accountant						
1	Mr. Kieu Anh Tuyen		Chief Accountant		30/11/2023	Appointed
V. Persons authorized to disclose information						
1	Mr. Vu Van Dung		Persons authorized to disclose information		06/12/2023	Dismissed
2	Ms. Nguyen Thi Thuong		Persons authorized to disclose information		16/09/2024	Appointed
VI. Major shareholder (More than 10%)						
1	Mr. Hoang Viet					Shareholders own 19,95%



**Appendix II.**  
**The list of internal persons and their affiliated persons**

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>I. Board of Directors' members, Members of Board of Supervisors</b>								
<b>1</b>	<b>Mr. Hoang Viet</b>		Chairman of the board of Directors			399.000	19,95%	
1.1	Ms. Phung Thi Thi					0	0	Mother
1.2	Mr. Hoang Quoc Dung					0	0	Brother
1.3	Ms. Tran Thi Thu Huong					0	0	Sister-in-law
1.4	Ms. Hoang Thi Thu Hien					0	0	Older sister
1.5	Mr. Tran The Cuong					0	0	Brother-in-law
1.6	Ms. Hoang Thi Bich Hau					0	0	Older sister
1.7	Mr. Dang Van Luyen					0	0	Father-in-law
1.8	Ms. Nguyen Thi Thuan					0	0	Mother-in-law
1.9	Ms. Dang My Khanh					0	0	Wife
1.10	Ms. Hoang Phuong					0	0	Biological children
1.11	Ms. Hoang Ngoc My Lam					0	0	Biological children
<b>2</b>	<b>Mr. Nguyen Manh Tuan</b>		Board of Director' member and Director			90.200	4,51%	
2.1	Mr. Nguyen Van Tan					0	0	Father

2.2	Ms. Phung Thi Tue							0	0	0	Wife
2.3	Mr. Nguyen Manh Toan							0	0	0	Younger brother
2.4	Mr. Nguyen Manh Tai							0	0	0	Younger brother
2.5	Ms. Dang Thi Thu Ha							0	0	0	Sister-in-law
2.6	Ms. Nguyen Khanh Linh							0	0	0	Biological children
2.7	Mr. Phung Xuan Thinh							0	0	0	Father-in-law
3	<b>Ms. Le Tuyet Mai</b>					Board of Director' member		0	0	0	
3.1	Mr. Le Huu Tho							0	0	0	Father
3.2	Ms. Hoang Thi Bich Hau							0	0	0	Mother
3.3	Mr. Le Van Duc							0	0	0	Younger brother
4	<b>Mr. Tran Duc Hiep</b>					Head of Board of Supervisors (The date ceasing June 21, 2024)		0	0	0	
4.1	Ms. Nguyen Phuong Loan							0	0	0	Wife
4.2	Mr. Tran Duc Minh							0	0	0	Biological children
4.3	Mr. Tran Duc Viet Anh							0	0	0	Biological children
4.4	Mr. Tran Duc Kinh							0	0	0	Father
4.5	Ms. Le Thi Phuc							0	0	0	Mother
4.6	Mr. Tran Duc Hung							0	0	0	Brother
4.7	Ms. Nguyen Thi Hue							0	0	0	Sister-in-law

4.8	Ms. Tran Thi Ha							0	0	Older sister
4.9	Mr. Vu Viet Thanh							0	0	Brother-in-law
4.10	Mr. Nguyen Thai Hoang							0	0	Father-in-law
4.11	Mr. Dang Thi Phuong							0	0	Mother-in-law
<b>5</b>	<b>Mr. Le Van Huy</b>				Members of Board of Supervisors			0	0	
5.1	Ms. Ngo Thi Thanh Thuy							0	0	Wife
5.2	Mr. Le Anh Khich							0	0	Father
5.3	Ms. Bui Thi Que							0	0	Mother
5.4	Mr. Ngo Van Hung							0	0	Father-in-law
5.5	Ms. Tran Thi Hong							0	0	Mother-in-law
5.6	Ms. Le Thi Hue							0	0	Older sister
5.7	Mr. Ta Quoc Manh							0	0	Brother-in-law
5.8	Ms. Le Thi Hien							0	0	Older sister
5.9	Mr. Tran Van Toan							0	0	Brother-in-law
<b>6</b>	<b>Ms. Nguyen Thi Thanh</b>				Members of Board of Supervisors (Head of Board of Supervisors from June 21, 2024)			0	0	
6.1	Mr. Nguyen Van Tan							0	0	Father
6.2	Ms. Pham Thi Nghinh							0	0	Mother
6.3	Ms. Nguyen Thi Thuy							0	0	Older sister
6.4	Mr. Le Ngoc Tu							0	0	Brother-in-law

6.5	Ms. Nguyen Thi Thach Thao						0	0	Younger sister
6.6	Mr. Chau Quang Thanh						0	0	Husband
6.7	Mr. Chau Thien Anh						0	0	Biological children
6.8	Mr. Chau Anh Dung						0	0	Biological children
6.9	Mr. Chau Van Thong						0	0	Father-in-law
6.10	Ms. Pham Thi Xuan						0	0	Mother-in-law
7	<b>Mr. Nguyen Thanh Tung</b>				Members of Board of Supervisors		0	0	
7.1	Ms. Ninh Thi Ha						0	0	Wife
7.2	Mr. Nguyen Minh Duc						0	0	Biological children
7.3	Mr. Nguyen Van Mai						0	0	Father
7.4	Ms. Le Thi Nga						0	0	Mother
7.5	Mr. Ninh Duc Duong						0	0	Father-in-law
7.6	Ms. Bui Thi Thinh						0	0	Mother-in-law
7.7	Ms. Nguyen Thi Huyen						0	0	Younger sister
7.8	Mr. Nguyen Van Thien						0	0	Brother-in-law

## II. Board of Management

1	<b>Mr. Nguyen Manh Tuan</b>				Director		90.200	4,51%	
1.1	Mr. Nguyen Van Tan						0	0	Father
1.2	Ms. Phung Thi Tue						0	0	Wife
1.3	Mr. Nguyen Manh Toan						0	0	Younger brother

1.4	Mr. Nguyen Manh Tai						0	0	Younger brother
1.5	Ms. Dang Thi Thu Ha						0	0	Sister-in-law
1.6	Ms. Nguyen Khanh Linh						0	0	Biological children
1.7	Mr. Phung Xuan Thinh						0	0	Father-in-law

### III. Chief Accountant

1	<b>Mr. Kieu Anh Tuyen</b>				Chief Accountant		0	0	
1.1	Ms. Nguyen Thi Kim Ngan						0	0	Wife
1.2	Ms. Kieu Bao Linh						0	0	Biological children
1.3	Ms. Kieu Thao Linh						0	0	Biological children
1.4	Mr. Kieu Minh Thu						0	0	Father
1.5	Ms. Bui Thi Quang						0	0	Mother
1.6	Mr. Kieu Quoc Lap						0	0	Brother
1.7	Ms. Le Viet Trinh						0	0	Sister-in-law
1.8	Mr. Kieu Minh Duong						0	0	Younger brother
1.9	Mr. Nguyen Duc Hieu						0	0	Father-in-law
1.10	Ms. Nguyen Thi Nghia						0	0	Mother-in-law

### IV. Persons authorized to disclose information

1	<b>Vũ Văn Dũng</b>				Persons authorized to disclose information (The date ceasing September 16, 2024)		0	0	
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1.1	Ms. Ngo Lan Huong									0	0	Wife
1.2	Mr. Vu Ngoc Tan									0	0	Father
1.3	Ms. Truong Thi Tam									0	0	Mother
1.4	Mr. Ngo Xuan Truong									0	0	Father-in-law
1.5	Ms. Trinh Thi Ly									0	0	Mother-in-law
1.6	Ms. Vu Quynh Chi									0	0	Biological children
1.7	Mr. Vu Khai Minh									0	0	Biological children
1.8	Mr. Vu Quang Duy									0	0	Younger brother
2	<b>Ms. Nguyen Thi Thuong</b>									0	0	
2.1	Mr. Dao Duc Anh									0	0	Husband
2.2	Ms. Dao Anh Thu									0	0	Biological children
2.3	Mr. Nguyen Kim Thai									0	0	Father *
2.4	Ms. Nguyen Thi Diu									0	0	Mother
2.5	Ms. Nguyen Thi Phuong Thuy									0	0	Younger sister
2.6	Mr. Nguyen Kim Hoang Long									0	0	Younger brother
2.7	Mr. Dao Van Chuc									0	0	Father-in-law
2.8	Ms. Lieu Thi Phuong									0	0	Mother-in-law