

**YENBAI JOINT-STOCKS FOREST  
AGRICULTURAL PRODUCTS AND  
FOODSTUFF COMPANY**

**Stock code: CAP**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No.: 33/CBTT

Yen Bai, January 23, 2025

*Regarding information disclosure*

To:

- State Securities Commission;
- Hanoi Stock Exchange (HNX).

1. Company's name: YENBAI JOINT-STOCKS FOREST AGRICULTURAL PRODUCTS AND FOODSTUFF COMPANY
2. Stock code: CAP
3. Head office address: No. 279, Nguyen Phuc Street, Hong Ha Ward, Yen Bai City, Yen Bai Province
4. Phone: 02163.862.278 - Fax: 02163.862.804
5. Information disclosure person: Luong Quoc Quyen
6. Phone: 0978.131.246
7. Information disclosure type:  24 hours  72 hours  Unusual  On request  
 Periodic
8. Information disclosure content: Report on corporate governance 2024.
9. We also address the entire Report at Website [www.yfatuf.com.vn](http://www.yfatuf.com.vn)

We hereby commit that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

**Recipient:**

- As above;
- Archived: Office.

**INFORMATION DISCLOSURE PERSON**



**Luong Quoc Quyen**

No.: 10./HDQT

Yen Bai, January 23, 2025

**REPORT ON CORPORATE GOVERNANCE  
2024**

To:

- State Securities Commission;
- Hanoi Stock Exchange.

- Name of company: **Yenbai Joint-stocks Forest Agricultural Products and Foodstuff Company.**

- Address of headoffice: No. 279, Nguyen Phuc Street, Hong Ha Ward, Yen Bai City, Yen Bai Province

- Telephone: 0216.3862.278 Fax: 0216.3862.804 Email: yfatuf@gmail.com

- Charter capital: **152.699.650.000VND** (One hundred and fifty-two billion, six hundred and ninety-nine million, six hundred and fifty thousand VND)

- Stock code: **CAP**

- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, Board of Managements.

- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders:**

The Company's 2024 Annual General Meeting of Shareholders took place on November 25, 2024. The Meeting approved Resolution No. 41/NQ-DHCD with the following contents:

No.	Resolution/ Decision No.	Date	Content
1	No. 41/NQ-DHCD	25/11/ 2024	<ul style="list-style-type: none"><li>- Approval of the Board of Directors' performance report for the fiscal year 2023-2024 and the operational direction for the fiscal year 2024-2025.</li><li>- Approval of the Independent member of Board of Directors' performance report for the fiscal year 2023-2024 and the performance plan for the fiscal year 2024-2025.</li><li>- Approval of the Board of Management's Report on the fiscal year 2023-2024 Business Results and the fiscal year 2024-2025 Business Plan.</li><li>- Approval of the Combined Financial Statements for the fiscal year 2023-2024 ending on September 30, 2024 audited by Nhan Tam Viet Auditing Company Limited.</li><li>- Approve the Board of Supervisors' Activity Report for the fiscal year 2023-2024 and the work program for the fiscal year 2024-2025.</li><li>- Approval of the submission on the return of Development and Investment Fund to undistributed profit after tax to pay cash</li></ul>

No.	Resolution/ Decision No.	Date	Content
			<div style="text-align: right;">dividends.</div> <ul style="list-style-type: none"> <li>- Approval of the submission on profit distribution and dividend payment for the fiscal year 2023-2024.</li> <li>- Approval of the submission on amending the Company Charter; Internal regulations on corporate governance; Operating regulations of the Company's Board of Directors.</li> <li>- Approval of the submission on selecting an Auditing unit for the fiscal year 2024-2025.</li> </ul>

## II. Board of Directors 2024

### 1. Information about the members of the Board of Directors

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Truong Ngoc Bien	Chairman of the Board of Directors - Executive Member	24/11/2019	
2	Mr. Le Long Giang	Executive Board Member - Director	21/12/2021	
3	Mr. Nguyen Huy Thong	Executive Board Member – Vice Director	30/11/2023	
4	Mr: Nguyen Van Tru	Executive Board Member – Vice Director	25/11/2024	
5	Mr. Nguyen Quoc Trinh	Non-executive Board Member	24/11/2019	
6	Ms. Hoang Thi Binh	Non-executive Board Member	24/11/2019	
7	Mr. Nguyen Xuan Hong	Independent Non-Executive Board Member	21/12/2021	
8	Mr. Tran Thanh Ha	Independent Non-Executive Board Member	30/11/2023	

### 2. Meetings of the Board of Directors

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Truong Ngoc Bien	9/9	100	
2	Mr. Le Long Giang	9/9	100	
3	Mr. Nguyen Huy Thong	9/9	100	
4	Mr: Nguyen Van Tru	2/9	22	Appointed from November 25, 2024
5	Mr. Nguyen Quoc Trinh	9/9	100	
6	Mrs. Hoang Thi Binh	9/9	100	

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
7	Mr. Nguyen Xuan Hong	9/9	100	
8	Mr. Tran Thanh Ha	9/9	100	

### 3. Supervising the Board of Management by the Board of Directors

The Board of Directors regularly inspects and supervises the following issues in the production and business operations of the Company's Board of Management:

- The Board of Directors regularly directs and supervises the Company's Board of Management in implementing production and business plans to achieve set goals.

- The Chairman of the Board of Directors attends and gives directions at the regular working meetings of the Board of Management.

- The Chairman of the Board of Directors has assigned tasks to the members of the Board of Directors in charge of each segment of the Company's operations, enhancing the responsibility of each member of the Board of Directors in supervising the management and operation activities, organizing the implementation of Resolutions, Decisions, and directions of the Board of Directors in accordance with the provisions of the Company's Charter and the internal regulations on corporate governance that have been issued.

- The Chairman of the Board of Directors directs the activities of the Board of Directors within authority, implementing and completing the goals approved by the General Meeting of Shareholders and the Resolutions of the Board of Directors.

- Timely make decisions or give quick instructions when there are changes in company leadership personnel and factories to ensure stable production and business activities.

- Supervise the implementation of the production and business plan according to the Resolution of the 2023 Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- The Board of Directors has issued timely Resolutions for the Board of Management to implement the contents approved by the 2024 Annual General Meeting of Shareholders.

- Direct research to improve machinery and equipment to reduce costs.

- Direct the Board of Management to research plans and projects to develop the Company.

- The Board of Directors coordinates with the Board of Supervisors to organize inspection and supervision activities for production and business activities, inspect the implementation and compliance with the provisions of the Enterprise Law, the Company Charter and current legal provisions of the Government.

### 4. Activities of the Board of Directors' subcommittees: None

### 5. Resolutions/Decisions of the Board of Directors 2024

No.	Resolution/Decision No.	Date	Content	Approval rate
1	05/BB-HDQT	31/01/2024	- Approved the working capital loan and authorized the Company Director to sign the contract with Vietinbank - Yen Bai Branch .	100%
2	18/NQ-HDQT	28/3/2024	- Approval of the estimated results of the	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
			implementation of the production and business plan for the first quarter of 2024 and the plan for the second quarter of 2024. - Approval of the implementation of the ESOP stock issuance plan. - Approval of changes in business registration (change in charter capital). - Personnel organization work.	
3	23/NQ-HDQT	23/5/2024	Approving the extension of the payment period for cash dividends for 2022-2023. - Approve the results of ESOP stock issuance. - Personnel organization work.	100%
4	29/NQ-HDQT	04/7/2024	- Approval of the second quarter production and business results and the third quarter production and business plan for the 2023-2024 fiscal year.	
5	32/NQ-HDQT	28/9/2024	- Approval of the Company's production and business results for 2023-2024. - Company's production and business plan for 2024-2025. - Personnel organization work.	
6	33/NQ-HDQT	15/10/2024	- Regarding the expected time to organize the 2024 Annual General Meeting of Shareholders.	
7	36/NQ-HDQT	14/11/2024	- Approving the time, program and documents for organizing the 2024 Annual General Meeting of Shareholders	
8	41/NQ-HDQT	25/11/2024	- First meeting to elect Chairman of the Board of Directors for the 2024-2029 term.	
9	01/NQ-HDQT	26/12/2024	- Approval of production and business results for the first quarter of the 2024-2025 fiscal year. - Expected dividend payment time for fiscal year 2023-2024. - Approving the assignment of Board of Directors members for the 2024-2029 term. - Approving the operating regulations of the Board of Directors for the 2024-2029 term. - Personnel organization work.	

### III. Board of Supervisors

#### 1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Thu Hang	Head of Board of Supervisors	30/11/2020	Bachelor of Accounting

2	Mr. Tran Sy Lam	Member of Board of Supervisors	24/11/2019	Electrical engineer
3	Mr. Pham Tu Linh	Member of Board of Supervisors	30/11/2020	Bachelor of Accounting

## 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Thu Hang	4/4	100%	100%	
2	Mr. Tran Sy Lam	4/4	100%	100%	
3	Mr. Pham Tu Linh	4/4	100%	100%	

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

- The Board of Supervisors performs the function of supervising the management and operation of the Board of Directors, the Board of Management and the production and business activities of the company through attending all meetings of the Board of Directors and contributing opinions and recommendations in the inspection and supervision of the production and business activities of the Company. Carry out internal control rounds according to the proposed quarterly and annual programs, according to topics to provide early warning of risks in the production and business activities of the Company and conduct surprise inspections when problems arise.

- Inspect and supervise the activities of the Board of Directors and the Board of Management of the Company in complying with the provisions of the Enterprise Law and the Company's Charter in the process of managing and operating production and business activities. Especially implementing the Resolutions of the Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- Review and implement projects to expand the Company.

- Review the validity of decisions of the Board of Directors and the Board of Management in management, production and business operations, investment... to ensure compliance with legal regulations, the Company Charter and the interests of shareholders.

- For shareholders: In 2024, the Company's Board of Supervisors did not receive any requests or complaints from shareholders or groups of shareholders.

## 4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers

- The Board of Supervisors has proactively coordinated closely with the Board of Directors and the Board of Management of the Company in inspecting and supervising all production and business activities of the Company in the fiscal year 2023-2024, the first quarter of the fiscal year 2024-2025. Regularly exchange information. Quarterly, the Board of Supervisors reports the results of monitoring and checking previous proposals and the results of the control during the period and sends the report to the members of the Board of Directors and the Company Director.

- The Board of Supervisors has made many contributions and suggestions to the Board of Directors and the Board of Management of the Company on the implementation of the production and business plan, investment projects, as well as financial management of the units in the whole company.

- The Board of Directors has provided all Resolutions and Decisions of the Board of Directors to the Board of Supervisors.

- The Board of Directors and the Board of Management always create favorable conditions for the Board of Supervisors to collect information and documents related to the Company's production and business activities so that the Board of Supervisors can perform its assigned tasks well.

- The Board of Directors, Board of Supervisors, Board of Management and the Company's management staff have had a close coordination relationship in the operation and management of production and business activities.

- The Board of Supervisors has attended all meetings of the Board of Directors, coordinated well with the Board of Directors, supervised all activities of the Company to ensure compliance with the law, the Company Charter and the rights and interests of shareholders.

#### 5. Other activities of the Board of Supervisors: None

#### IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment
1	Director: Mr. <b>Le Long Giang</b>	06/02/1978	Master of Business Administration	01/10/2021
2	Vice Director: Mr. <b>Nguyen Van Tru</b>	19/08/1977	Forestry Processing Engineer	10/10/2018
3	Vice Director: Mr. <b>Nguyen Huy Thong</b>	16/05/1977	Master of Business Administration	01/06/2019
4	Vice Director: Mr. <b>Ha Hai Yen</b>	16/01/1978	Electrical engineer	01/01/2023

#### V. Chief Accountant, Accounting Manager

Name	Date of birth	Qualification	Date of appointment
1. Mr. <b>Luong Quoc Quyen</b>	15/12/1981	Master of Accounting	Accounting Manager appointed on April 1, 2023; Chief Accountant appointed on April 1, 2024.

**VI. Training courses on corporate governance:** Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director, other managers and secretaries in accordance with regulations on corporate governance:

Participate in the Corporate Governance training program for public companies from March 14, 2024 to March 15, 2024 by the Securities Science Research and Training Center under the organization of State Securities Commission.

#### VII. The list of affiliated persons of the public and transactions of affiliated persons of the Company

**1. The list of affiliated persons of the Company**

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH type	NSH No.	Date of Issue	Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
1	Truong Ngoc Bien	001C135380	Chairman of the Board of Directors								
2	Le Long Giang	001C116278	Board of Directors - Director								
3	Nguyen Quoc Trinh	001C135666	Board of Directors								
4	Hoang Thi Binh	001C135222	Board of Directors								
5	Nguyen Xuan Hong	001C135353	Board of Directors								
6	Tran Thanh Ha	002C143402	Board of Directors								
7	Nguyen Van Tru	001C135348 002C143256	Board of Directors - Vice Director								
8	Nguyen Huy Thong	001C135283	Board of Directors - Vice Director								
9	Ha Hai Yen	001C135195	Vice Director								
10	Nguyen Thanh Son	001C43404	Administrator and Secretary, Information disclosure authorized person							01/06/2024	Dismissal
11	Luong Quoc Quyen	002C143534	Chief Accountant; Administrator and Secretary, Information disclosure authorized								

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH type	NSH No.	Date of Issue	Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
			person								
12	Nguyen Thi Thu Hang	001C122139, 002C143500	Head of Board of Supervisors								
13	Tran Sy Lam	001C135399	Member of Board of Supervisors								
14	Pham Tu Linh	002C143540	Member of Board of Supervisors								

**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: None**

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None**

**4. Transactions between the Company and other objects**

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): **None**

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): **None**

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: **None**

**VIII. Share transactions of internal persons and their affiliated persons**  
**1. The list of internal persons and their affiliated persons**

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
1	Truong Ngoc Bien	001C135380; 009C168914	Chairman of the Board of Directors						1.014.955	6,65	
1.1	Truong Ngoc Lien										Father
1.2	Hoang Thi Binh	001C135222	Board of Directors						1.788.116	11,71	Wife
1.3	Truong Thi Hoang Yen	009C968888							764.640	5,01	Daughter
1.4	Truong Thi Hoang Anh	009C211991							757.416	4,96	Daughter
1.5	Vu Thanh Trung										Son-in-law
1.6	Nguyen Thanh Tra										Son-in-law
1.7	Truong Ngoc Hoan										Brother
1.8	Truong Ngoc Nguyen										Brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
1.9	Truong Ngoc Binh										Brother
1.10	Truong Thi Duyen	001C135381	Head of Business - Planning Department						82.460	0,54	Sister
1.11	Do Thi Thuy Hong										Sister-in-law
1.12	Bui Thi Huong Giang										Sister-in-law
1.13	Van Thi Hoa Anh										Sister-in-law
1.14	Nguyen Quoc Truong										Brother-in-law
2	Hoang Thi Binh	001C135222	Board of Directors						1.788.116	11,71	
2.1	Truong Ngoc Lien										Father-in-law
2.2	Truong Ngoc Bien	001C135380	Chairman of the Board of Directors						1.014.955	6,65	Husband

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
2.3	Truong Thi Hoang Yen	009C968888							764.640	5,01	Daughter
2.4	Truong Thi Hoang Anh	009C211991							757.416	4,96	Daughter
2.5	Vu Thanh Trung										Son-in-law
2.6	Nguyen Thanh Tra										Son-in-law
2.7	Hoang Thi Lieu										Sister
2.8	Hoang Thi Chien										Sister
2.9	Hoang Thi Tinh										Sister
2.10	Hoang Cong Dung										Brother-in-law
3	Le Long Giang	001C116278 026C215123	Board of Directors, Director						87.174	0,57	
3.1	Le The Quyet										Father

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
3.2	Tran Thi Nhu Y										Mother
3.3	Nguyen Thi Binh										Mother in law
3.4	Huynh Thi Van Ha										Wife
3.5	Le Dinh Bao										Son
3.6	Le Dinh Phuc										Son
3.7	Le Long Hai										Brother
3.8	Vuong Tu Uyen										Sister-in-law
4	Nguyen Huy Thong	001C135283	Board of Directors, Vice Director						115.327	0,76	
4.1	Nguyen Van Minh										Father

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
4.2	Pham Thi Ngu										Mother
4.3	Le Thi Vinh										Mother in law
4.4	Ngo Thi Hoai	001C135268							442	0,0	Wife
4.5	Nguyen Phuong Thao										Daughter
4.6	Nguyen Hoai Giang										Daughter
4.7	Nguyen Thi Thanh Thuy										Sister
4.8	Nguyen Kim Chung										Sister
4.9	Nguyen Dinh Phong										Brother in law
4.10	Pham Van Son										Brother in law
5	Nguyen Quoc Trinh	001C135666, 002C143179	Board of Directors						730.969	4,79	

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
5.1	Tran Thi Binh	002C143480							404.422	2,65	Wife
5.2	Nguyen Thi Linh Xuan										Daughter
5.3	Nguyen Thuy Tien	001C181059							54.645	0,36	Daughter
5.4	Pham Trung Tam	002C143429							8.005	0,05	Son in law
5.5	Phan Dinh Tung										Son in law
5.6	Nguyen Cong Binh										Brother
5.7	Vu Thi Loan										Sister in law
5.8	Nguyen Thi Lan										Sister
5.9	Nguyen Thi Xinh										Sister
5.10	Nguyen Thi Thu Thinh										Sister

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
5.11	Nguyen Thi Linh										Sister
5.12	Vu Xuan Hoa										Brother in law
5.13	Pham Van Truc										Brother in law
6	Nguyen Xuan Hong	001C135353	Board of Directors						21.101	0,14	
6.1	Nguyen Thi Dung										Wife
6.2	Nguyen Thi Hai Yen										Daughter
6.3	Nguyen Xuan Hiep										Son
6.4	Nguyen Minh Thuy	002C143244									Daughter
6.5	Vu Phuong Thao	058C238011									Daughter in law
6.6	Le Dac Trieu										Son in law

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
6.7	Nguyen Thi Man										Sister
6.8	Nguyen Thi Nguyet										Sister
6.9	Nguyen Xuan Phuong										Brother
6.10	Nguyen Van Phan										Brother
6.11	Nguyen Thi Mai										Sister
6.12	Nguyen Thi Dao										Sister
6.13	Truong Thi Trang										Sister in law
6.14	Nguyen Thi Kim Lien										Sister in law
6.15	Nguyen Xuan Sinh										Brother in law
6.16	Nguyen Xuan Vac										Brother in law

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
7	Tran Thanh Ha	002C143402	Board of Directors						3.700	0,02	
7.1	Nguyen Thi Hue										Mother
7.2	Pham Thi Kim Hoa										Wife
7.3	Tran Dinh Hoang										Son
7.4	Ha Thi Ngoc Anh										Daughter in law
7.5	Tran Dinh Nguyen										Son
7.6	Tran Thanh Son										Brother
7.7	Nguyen Thi Oanh										Sister in law
7.8	Tran Thi Thanh Hang										Sister
7.9	Tran Thi Bich Nga										Sister

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
7.10	Dinh Nguyen Hong										Brother in law
7.11	Tran Thi Anh Nguyet										Sister
7.12	Pham Van Binh										Brother in law
7.13	Tran Xuan Thuy										Brother
7.14	Luong Thi Huong Giang										Sister in law
8	Nguyen Van Tru	001C135348; 002C143256	Board of Directors, Vice Director						78.727	0,52	
8.1	Vu Thi Lien										Mother
8.2	Cao Thi Tho										Mother in law
8.3	Le Thi Quyen										Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
8.4	Nguyen Le Minh										Son
8.5	Nguyen Minh Chau										Daughter
8.6	Nguyen The Tung										Brother
8.7	Nguyen Van Tinh										Brother
8.8	Nguyen Van Tu										Brother
8.9	Doan Thi Ngan										Sister in law
8.10	Vu Thi Hien										Sister in law
9	Ha Hai Yen	001C135195	Vice Director						43.702	0,29	
9.1	Le Thi Hanh										Mother
9.2	Nguyen Van Thanh										Father in law

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
9.3	Tran Thi Lan										Mother in law
9.4	Nguyen Thi Thu Huong										Wife
9.5	Ha Hai Nam										Child
9.6	Ha Hai Lam										Child
9.7	Ha Hai Anh										Brother
10	Nguyen Thi Thu Hang	001C122139, 002C143500	Head of Board of Supervisors						50.634	0,33	
10.1	Nguyen Thi Cham										Mother
10.2	Tran Thi Hoi										Mother in law
10.3	Nguyen Thang Thieu	002C143501							3.300	0,02	Husband
10.4	Nguyen Minh Phu										Son
10.5	Nguyen Thien Nhan										Son

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
10.6	Nguyen Thanh Trung										Brother
10.7	Nguyen Thuy Linh										Sister in law
10.8	Nguyen Thi Hanh										Sister
11	Tran Sy Lam	001C135399	Board of Supervisors						20.000	0,13	
11.1	Nguyen Thi Ngoan										Mother
11.2	Cao Van Trong										Father in law
11.3	Cao Thi Thuy										Wife
11.4	Tran Thi Hoang Anh										Daughter
11.5	Tran Duc Minh										Son
11.6	Tran Manh Hung										Brother

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
11.7	Tran Thi Loan										Sister
11.8	Tran Thi Cuc										Sister
11.9	Dinh Minh Tien										Brother in law
11.10	Tran Van Quyet										Brother in law
11.11	Nguyen Thi Ly										Sister in law
11.12	Nguyen Thi Hang										Sister in law
12	Pham Tu Linh	002C143540	Board of Supervisors						9,987	0,07	
12.1	Pham Van Hai										Father
12.2	Nguyen Thi Mai										Mother
12.3	Trinh Cong Luyen										Father in law

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
12.4	Nguyen Thi Mai										Mother in law
12.5	Trinh Thi Thu Ha										Wife
12.6	Pham Khanh Ngoc										Daughter
12.7	Pham Khanh Huyen										Daughter
12.8	Pham Thi Thu Hien										Sister
12.9	Duong Cong Trinh										Brother in law
12.10	Pham Thi Thu Huong										Sister
12.11	Dinh Viet Khanh										Brother in law
13	Luong Quoc Quyen	002C143534	Chief Accountant; Administrator and						15.462	0,10	
13.1	Luong Minh Dot								0		Father

No.	Name	Securities trading account (if any)	Position at the company (if any)	Type of NSH	NSH No.	NSH Date of issue	NSH Place of issue	Address	Current number of shares owned	Current share ownership ratio	Note
13.2	Nguyen Thi Nhan								0		Mother
13.3	Nguyen Duc Tien								0		Father in law
13.4	Pham Thi Thuy Nga								0		Mother in law
13.5	Nguyen Huong Lan								3.000	0,02	Wife
13.6	Luong Bach Diep								0		Daughter
13.7	Luong Thuy Duong								0		Daughter
13.8	Luong Quoc Dat								0		Son
13.9	Luong Thi Thuy Quyen								0		Sister
13.10	Nguyen Chi Tuoi								0		Brother in law

## 2. Transactions of internal persons and affiliated persons with shares of the company

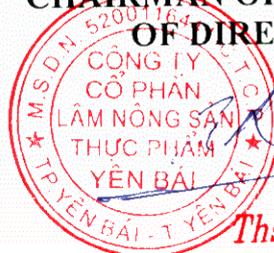
N o.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
1	Truong Ngoc Bien	Chairman of the Board of Directors	619.108	6,16	1.014.955	6,65	Buy + dividends
2	Hoang Thi Binh	Board of Directors, Mr. Truong Ngoc Bien's wife	1.188.633	11,82	1.788.116	11,71	Pay dividends by shares
3	Truong Thi Hoang Yen	Daughter of Mr. Truong Ngoc Bien and Ms. Hoang Thi Binh	509.760	5,07	764.640	5,01	Pay dividends by shares
4	Truong Thi Hoang Anh	Daughter of Mr. Truong Ngoc Bien and Ms. Hoang Thi Binh	504.944	5,02	757.416	4,96	Pay dividends by shares
5	Truong Thi Duyen	Sister of Mr. Truong Ngoc Bien	50.551	0,50	82.460	0,54	Buy + dividends
6	Nguyen Quoc Trinh	Board of Directors	483.868	4,81	730.969	4,79	Pay dividends by shares
7	Tran Thi Binh	Wife of Mr. Nguyen Quoc Trinh	269.615	2,68	404.422	2,65	Pay dividends by shares
8	Nguyen Thuy Tien	Daughter of Mr. Nguyen Quoc Trinh	36.430	0,36	54.645	0,36	Pay dividends by shares
9	Pham Trung Tam	Son-in-law of Mr. Nguyen Quoc Trinh	5.760	0,06	8.005	0,05	Pay dividends by shares
10	Le Long Giang	Board of Directors, Director	38.043	0,38	87.174	0,57	Buy + dividends
11	Nguyen Xuan Hong	Independence Board of Directors	10.223	0,10	21.101	0,14	Buy + dividends
12	Tran Thanh Ha	Independence Board of Directors	0	0	3.700	0,02	Buy
13	Nguyen Van Tru	Vice Director	39.248	0,39	78.727	0,52	Buy + dividends
14	Nguyen Huy Thong	Vice Director	63.000	0,63	115.327	0,76	Buy + dividends
15	Ngo Thi Hoai	Wife of Mr. Nguyen Huy Thong	295	0,00	442	0,00	Pay dividends by shares
16	Ha Hai Yen	Vice Director	15.360	0,15	43.702	0,29	Buy + dividends
17	Nguyen Thi Thu Hang	Head of Board of Supervisors	50.000	0,50	50.634	0,33	Buy + dividends
18	Nguyen Thang Thieu	Husband of Ms. Nguyen Thi Thu Hang	7.500	0,07	3.300	0,02	Sell
19	Tran Sy Lam	Board of Supervisors	11.300	0,11	20.000	0,13	Buy + dividends
20	Pham Tu Linh	Board of Supervisors	6.195	0,06	9.987	0,07	Pay dividends by shares
21	Luong Quoc Quyen	Chief accountant, Company Administrator	5.500	0,05	15.462	0,10	Buy + dividends
22	Nguyen Huong Lan	Wife of Mr. Luong Quoc Quyen	0	0	3.000	0,02	Buy

### IX. Other significant issues: None

Recipients:

- HNX, SSC;
- Archived: Office, Board of Directors, Board of Supervisors.

CHAIRMAN OF THE BOARD  
OF DIRECTORS



*Ths. Trương Ngọc Biên*