

**REPORT ON CORPORATE GOVERNANCE OF THE LISTED COMPANY**  
(From 01/01/2024 to 31/12/2024)

**To:** - The State Securities Commission;  
- The Stock Exchange.

- Listed company's name: **HA NOI – KINH BAC AGRICULTURE AND FOOD JSC**
- Head office's address: No. 8, Lot TT03, Hai Dang City Urban Area, Alley 2, Ham Nghi Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi, Viet Nam
- Telephone: 0243.7877290 Fax: 0243.7877291
- Email: admin@hkb.com.vn
- Charter capital: 515.999.990.000 VND (*Five hundred fifteen billion, nine hundred ninety-nine million, nine hundred ninety thousand Vietnamese dong*).
- Stock Code: HKB
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director

**I. Activities of the General Meeting of Shareholders:**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders approved in the form of written consultation):

| No. | Resolution/Decision No. | Date      | Content   |
|-----|-------------------------|-----------|---|
| 1   | 01/2024/NQ-ĐHĐCĐ        | 26/6/2024 | Resolution of the 2024 Annual General Meeting of Shareholders |

**II. Board of Directors (12 months/Annual Report)**

1. Information about the members of Board of Directors (BOD):

| No. | BOD's Member      | Position            | The date becoming/ceasing to be the member of the BOD/Independent BOD |                   |
|-----|-------------------|---------------------|---|-------------------|
|     |                   |                     | Date of appointment   | Date of dismissal |
| 1   | Duong Quang Lu    | Chairman of the BOD | 28/06/2019  |                   |
| 2   | Truong Danh Hung  | BOD's Member        | 28/06/2019  |                   |
| 3   | Đo Duong Thong    | BOD's Member        | 28/06/2019  |                   |
| 4   | Hoang Tuan Anh    | BOD's Member        | 07/06/2022  |                   |
| 5   | Nguyen Phuong Anh | BOD's Member        | 26/06/2024  |                   |

|   |               |              |  |            |
|---|---------------|--------------|--|------------|
| 6 | Uong Huy Dong | BOD's Member |  | 26/06/2024 |
|---|---------------|--------------|--|------------|

2. Meetings of the BOD:

| No. | BOD's Member | Number of meetings attended by BOD | Attendance rate | Reasons for absence |
|-----|--------------|------------------------------------|-----------------|---------------------|
| 1   | 5            | 7                                  | 100%            |                     |

3. Supervising the Board of Management by the Board of Directors:

- Monitoring and supervising the implementation of Resolutions of the General Meeting of Shareholders, Minutes, and Resolutions of the Board of Directors.

- Evaluating and providing feedback on the activities of the Executive Board, including import-export operations, investment, finance, etc.

- Directing the Executive Board in summarizing the business performance of 2023 and implementing the business plan for 2024.

- Defining the company's strategic development orientation for the period 2020–2030.

4. Activities of the Board of Directors' subcommittees: None

5. Resolutions/Decisions of the BOD (6 months/Annual Report):

| No. | Resolutions/Decisions No. | Date       | Content   | Approval rate |
|-----|---------------------------|------------|---|---------------|
| 1   | 01/2024/NQ-HĐQT           | 04/04/2024 | Postponement of the 2024 Annual General Meeting of Shareholders                               | 100%          |
| 2   | 02/2024/NQ-HĐQT           | 24/4/2022  | Convene the 2024 Annual General Meeting of Shareholders                                       | 100%          |
| 3   | 03/2024/NQ-HĐQT           | 28/5/2024  | Convene the 2024 Annual General Meeting of Shareholders                                       | 100%          |
| 4   | 04/2024/QĐ-HĐQT           | 28/5/2024  | Establishment of the Organizing Committee for the 2024 Annual General Meeting of Shareholders | 100%          |
| 5   | 05/2024/NQ-HĐQT           | 26/06/2024 | Approval of the nomination of personnel to the Board of Directors for the 2024-2029 term      | 100%          |
| 6   | 06/2024/NQ-HĐQT           | 26/06/2024 | Approval of the election of the Chairman of the BOD for the 2024-2029 term                    | 100%          |
| 7   | 07/2024/NQ-HĐQT           | 26/06/2024 | Selection of the audit firm for the 2024 financial statements                                 | 100%          |

**III. Board of Supervisors (12 months/Annual report):**

1. Information about members of Board of Supervisors (BOS):

| No. | Members of the BOS | Position        | The date becoming/ceasing to be the member of the BOS | Qualification         |
|-----|--------------------|-----------------|---|-----------------------|
| 1   | Tran Thi Van       | Head of the BOS | 26/06/2024  | Bachelor of Economics |

|   |                  |                    |                        |                       |
|---|------------------|--------------------|------------------------|-----------------------|
| 2 | Pham Anh Quan    | Members of the BOS | 26/06/2024             | Bachelor of Economics |
| 3 | Pham Thi Hai An  | Members of the BOS | 07/6/2022              | Bachelor of Economics |
| 4 | Nguyen Huy Hoang | Head of the BOS    | Dismissed on 26/6/2024 |                       |
| 5 | Bui Thuy Anh     | Members of the BOS | Dismissed on 26/6/2024 |                       |

## 2. Meetings of the BOS

| No. | BOS's Member | Number of meetings attended by BOS | Attendance rate | Voting rate | Reason for absence |
|-----|--------------|------------------------------------|-----------------|-------------|--------------------|
|     | 3            | 1                                  | 100%            | 100%        |                    |

## 3. Supervising BOD, BOM and shareholders by BOS or Audit Committee:

- Monitor and supervise the compliance with the provisions of the Law on Enterprises, the Company's Charter, and the current State regulations.

- Fully participate and contribute ideas at BOD meetings as well as weekly coordination meetings between the BOD and the Executive Board.

4. the coordination among the BOS/Audit Committee, the BOM, the BOD and other managers:

- In the first six months, the BOS has closely coordinated with the BOD and the Company's Executive Board.

The BOD and the Board of Management regularly facilitate the BOS in gathering information and overseeing the company's business activities.

5. Other activities of the BOS and Audit Committee (if any): None

## IV. Board of Management (BOM):

| No. | BOM's Members    | Date of birth | Qualification         | Date of appointment/ dismissal of members of the BOM |
|-----|------------------|---------------|-----------------------|--|
| 1   | Duong Quang Lu   | 28/7/1973     | Bachelor of Economics |  |
| 2   | Truong Danh Hung | 18/11/1970    | Bachelor of Economics | 4/4/2017   |
| 3   | Uong Huy Dong    | 25/12/1975    | Bachelor              | 12/5/2020  |

## V. Chief Accountant

| Name         | Date of birth | Qualification         | Date of appointment/ dismissal |
|--------------|---------------|-----------------------|--------------------------------|
| Le Van Quang | 22/12/1982    | Bachelor of Economics | 22/6/2017                      |

## VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: *None*

**VII. The list of affiliated persons of the public company (12 months/Annual report) and transactions of affiliated persons of the Company:**

**1. The list of affiliated persons of the Company**

| No       | Name of organization/individual | Securities trading account (if any) | Position at the Company (if any)                | No. *, date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reasons | Relationship with the Company |
|----------|---------------------------------|-------------------------------------|---|--------------------------------------|---------|--|--|---------|-------------------------------|
| <b>I</b> | <b>Duong Quang Lu</b>           |                                     | <b>Chairman of the BOD cum General Director</b> |                                      |         | <b>2015</b>                              |  |         |                               |
| 1        | Duong Quang Giao                |                                     | Father  |                                      |         |  |  |         |                               |
| 2        | Bùi Thi Thanh Loan              |                                     | Wife  |                                      |         |  |  |         |                               |
| 3        | Duong Thu Trang                 |                                     | Daughter  |                                      |         |  |  |         |                               |
| 4        | Duong Minh Quang                |                                     | Son   |                                      |         |  |  |         |                               |
| 5        | Duong Thi Nguyet                |                                     | Older sister                                    |                                      |         |  |  |         |                               |
| 6        | Duong Thi Lu                    |                                     | Older sister                                    |                                      |         |  |  |         |                               |

|     |                   |  |   |  |  |           |  |  |  |
|-----|-------------------|--|---|--|--|-----------|--|--|--|
| 7   | Duong Quang Long  |  | Older brother                                     |  |  |           |  |  |  |
| 8   | Duong Quang Cu    |  | Younger brother                                   |  |  |           |  |  |  |
| II  | Truong Danh Hung  |  | <b>BOD'S MEMBER<br/>– Deputy General Director</b> |  |  | 4/4/2017  |  |  |  |
| 1   | Ngo Thi Ngoc Anh  |  | Wife  |  |  |           |  |  |  |
| 2   | Truong My Nhi     |  | Daughter  |  |  |           |  |  |  |
| 3   | Truong Dieu Anh   |  | Daughter  |  |  |           |  |  |  |
| 4   | Truong Danh Hanh  |  | Father  |  |  |           |  |  |  |
| 5   | Nguyen Thi Phuong |  | Mother  |  |  |           |  |  |  |
| 6   | Truong Thi Ha     |  | Older sister                                      |  |  |           |  |  |  |
| 7   | Truong Tuan Hiep  |  | Younger brother                                   |  |  |           |  |  |  |
| III | Uong Huy Dong     |  | <b>BOD's Member</b>                               |  |  | 28/6/2019 |  |  |  |

|           |                        |  |                     |  |  |                  |  |  |  |
|-----------|------------------------|--|---------------------|--|--|------------------|--|--|--|
|           |                        |  |                     |  |  |                  |  |  |  |
| 1         | Uong Huy Phuong        |  | Father              |  |  |                  |  |  |  |
| 2         | Le Thi Ty              |  | Mother              |  |  |                  |  |  |  |
| 3         | Uong Van Hanh          |  | Older sister        |  |  |                  |  |  |  |
| 4         | Uong Thuy Loan         |  | Older sister        |  |  |                  |  |  |  |
| 5         | Uong Huy Giang         |  | Younger brother     |  |  |                  |  |  |  |
| 6         | Nguyen Thi Nguyet Minh |  | Wife                |  |  |                  |  |  |  |
| 7         | Uong Huy Hoang Van     |  | Daughter            |  |  |                  |  |  |  |
| <b>IV</b> | <b>Do Duong Thong</b>  |  | <b>BOD's Member</b> |  |  | <b>28/6/2019</b> |  |  |  |

|   |                         |  |                     |  |  |                 |  |  |  |
|---|-------------------------|--|---------------------|--|--|-----------------|--|--|--|
| 1 | Do Quyet Thang          |  | Father              |  |  |                 |  |  |  |
| 2 | Duong Thi Van           |  | Mother              |  |  |                 |  |  |  |
| 3 | Do Duong Tung           |  | Older brother       |  |  |                 |  |  |  |
| 4 | Nguyen Ngoc Thanh       |  | Older Sister-in-law |  |  |                 |  |  |  |
| V | <b>Hoang Tuan Anh</b>   |  | <b>BOD's Member</b> |  |  | <b>7/6/2022</b> |  |  |  |
| 1 | Hoang Anh Do            |  | Father              |  |  |                 |  |  |  |
| 2 | Ngo Thi Binh            |  | Mother              |  |  |                 |  |  |  |
| 3 | Hoang Thi Nguyet Phuong |  | Younger sister      |  |  |                 |  |  |  |

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|------------|--------------------------|--|-------------------------|--|--|-----------|--|--|--|
|            |                          |  |                         |  |  |           |  |  |  |
| 4          | Nguyen Thi Thanh Ha      |  | Wife                    |  |  |           |  |  |  |
| 5          | Hoang Tuan Khoi          |  | Son                     |  |  |           |  |  |  |
| 6          | Hoang Thi Anh Thu        |  | Daughter                |  |  |           |  |  |  |
| <b>VI</b>  | <b>Nguyen Phuong Anh</b> |  | <b>BOD'S member</b>     |  |  |           |  |  |  |
|            | Nguyen Huu Lien          |  | Father                  |  |  |           |  |  |  |
|            | Nguyen Thi Minh Phuong   |  | Mother                  |  |  |           |  |  |  |
|            | Nguyen Cong Tuyen        |  | Father in law           |  |  |           |  |  |  |
|            | Nguyen Thi Huong         |  | Mother in law           |  |  |           |  |  |  |
|            | Nguyen Viet Hoa          |  | Younger sister          |  |  |           |  |  |  |
|            | Nguyen Cong Hieu         |  | Husband                 |  |  |           |  |  |  |
|            | Nguyen Cong Huy Minh     |  | Daughter                |  |  |           |  |  |  |
| <b>VII</b> | <b>Le Van Quang</b>      |  | <b>Chief Accountant</b> |  |  | 22/6/2017 |  |  |  |

|      |                  |  |                 |  |  |            |  |  |  |
|------|------------------|--|-----------------|--|--|------------|--|--|--|
|      |                  |  |                 |  |  |            |  |  |  |
| 1    | Le Gia Lam       |  | Father          |  |  |            |  |  |  |
| 2    | Tran Thi Thu     |  | Mother          |  |  |            |  |  |  |
| 3    | Le THanh Vinh    |  | Younger brother |  |  |            |  |  |  |
| 4    | Hoang Thi Quyen  |  | Wife            |  |  |            |  |  |  |
| 5    | Le Hoang Gia Huy |  | Son             |  |  |            |  |  |  |
| 6    | Le Minh Hoang    |  | Son             |  |  |            |  |  |  |
| VIII | Tran Thi Van     |  | Head of the BOS |  |  | 26/06/2024 |  |  |  |
| 1    | Tran Huu Van     |  | Father          |  |  |            |  |  |  |

|    |  |  |                      |  |  |            |  |  |                           |
|----|--|--|----------------------|--|--|------------|--|--|---------------------------|
|    |  |  |                      |  |  |            |  |  |                           |
| 2  | Hoang Thi Be   |  | Mother               |  |  |            |  |  |                           |
| 3  | Tran Thi Dung  |  | Younger sister       |  |  |            |  |  |                           |
| 4  | Tran Thi Thuy Nga                                    |  | Younger sister       |  |  |            |  |  |                           |
|    | Nguyen Duc Tuan Minh                                 |  | Son                  |  |  |            |  |  |                           |
|    | Danang Petroleum Machinery Equipment JSC             |  | Related organization |  |  |            |  |  | Tran Thi Van BOS's Member |
|    | Hanoi Machinery Import-Export JSC                    |  | Related organization |  |  |            |  |  | Tran Thi Van BOS's Member |
|    | Pharmedic Pharmaceutical and Medicinal Materials JSC |  | Related organization |  |  |            |  |  | Tran Thi Van BOS's Member |
| IX | Pham Anh Quan  |  | BOS's Member         |  |  | 26/06/2024 |  |  |                           |

|   |                     |  |                 |  |  |  |  |  |  |
|---|---------------------|--|-----------------|--|--|--|--|--|--|
|   |                     |  |                 |  |  |  |  |  |  |
| 1 | Pham Van Tuyen      |  | Father          |  |  |  |  |  |  |
| 2 | Pham Thi Bich Hong  |  | Mother          |  |  |  |  |  |  |
| 3 | PHung The Quan      |  | Father in law   |  |  |  |  |  |  |
| 4 | Nguyen Thi Thu Thuy |  | Mother in law   |  |  |  |  |  |  |
| 5 | PHung Phuong Thao   |  | Wife            |  |  |  |  |  |  |
| 6 | Pham Nhat Minh      |  | Younger brother |  |  |  |  |  |  |
| 7 | Pham The Bach       |  | Son             |  |  |  |  |  |  |
| 8 | Pham My Han         |  | Daughter        |  |  |  |  |  |  |

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|---|-----------------|--|---------------|--|--|----------|--|--|--|
|   |                 |  |               |  |  |          |  |  |  |
| 9 | Pham Vinh Quang |  | Son           |  |  |          |  |  |  |
| X | Pham Thi Hai An |  | BOS's Member  |  |  | 7/6/2022 |  |  |  |
| 1 | Pham Thuy Thu   |  | Father        |  |  |          |  |  |  |
| 2 | Phan Thi Minh   |  | Mother        |  |  |          |  |  |  |
| 3 | Pham Thuy Giang |  | Older brother |  |  |          |  |  |  |
| 4 | Pham Van Phong  |  | Older brother |  |  |          |  |  |  |
| 5 | Pham Thuy Hoang |  | Older brother |  |  |          |  |  |  |
| 6 | Tran Xuan Hau   |  | Husband       |  |  |          |  |  |  |
| 7 | Tran Xuan Đang  |  | Son           |  |  |          |  |  |  |

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

| No. | Name of organization/ individual | Relationship with the Company | No.* date of issue, place of issue | Address | Time of transactions with the Company | Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue) | Content, quantity, total value of transaction | Note |
|-----|----------------------------------|-------------------------------|------------------------------------|---------|---------------------------------------|---|---|------|
|     |                                  |                               |                                    |         |                                       |   |   |      |

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations)

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: **None**

| No. | Transaction executor | Relationship with internal persons | Position at the listed Company | ID card No./Passport No., date of issue, place of Issue | Address | Name of subsidiaries or companies which the Company control | Time of transaction | Content, quantity, total value of transaction | Note |
|-----|----------------------|------------------------------------|--------------------------------|---|---------|---|---------------------|---|------|
|     |                      |                                    |                                |   |         |   |                     |   |      |

4. Transactions between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director and other managers as a member of Board of Directors, Director.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director and other managers.

#### VIII. Share transactions of internal persons and their affiliated persons (6 months/Annual report)

1. The list of internal persons and their affiliated persons of the company:

| No. | Name           | Securities trading account (if any) | Position at the company (if any)         | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note |
|-----|----------------|-------------------------------------|--|---|---------|---|--|------|
| 1   | Duong Quang Lu |                                     | Chairman of the BOD cum General Director |   |         | 9.546.000                                       | 18,5%  |      |

|   |                      |  |                     |  |  |                  |             |  |
|---|----------------------|--|---------------------|--|--|------------------|-------------|--|
|   |                      |  |                     |  |  |                  |             |  |
|   | Duong Quang Giao     |  | Father              |  |  |                  |             |  |
|   | Bùi Thi Thanh Loan   |  | Wife                |  |  |                  |             |  |
|   | Duong Thu Trang      |  | Daughter            |  |  |                  |             |  |
|   | Duong Quang Minh     |  | Son                 |  |  |                  |             |  |
|   | Duong Thi Nguyet     |  | Older sister        |  |  |                  |             |  |
|   | Duong Thi Lu         |  | Older sister        |  |  |                  |             |  |
|   | Duong Quang Long     |  | Older brother       |  |  |                  |             |  |
|   | Duong Quang Cu       |  | Younger brother     |  |  |                  |             |  |
| 2 | <b>Uong Huy Dong</b> |  | <b>BOD's Member</b> |  |  | <b>2.273.200</b> | <b>4,4%</b> |  |
|   | Uong Huy Phuong      |  | Father              |  |  |                  |             |  |
|   | Le Thi Ty            |  | Mother              |  |  |                  |             |  |
|   | Uong Van Hanh        |  | Older sister        |  |  |                  |             |  |

|   |                         |  |   |  |  |           |       |  |
|---|-------------------------|--|---|--|--|-----------|-------|--|
|   | Uong Thuy Loan          |  | Older sister                                  |  |  |           |       |  |
|   | Uong Huy Giang          |  | Younger brother                               |  |  | 1.360.000 | 2,63% |  |
|   | Nguyen Thi Nguyet Minh  |  | Wife  |  |  |           |       |  |
|   | Uong Huy Hoang Van      |  | Daughter                                      |  |  |           |       |  |
| 3 | <b>Truong Danh Hung</b> |  | <b>BOD's Member – Deputy General Director</b> |  |  | None      |       |  |
|   | Ngo Thi Ngoc Anh        |  | Wife  |  |  |           |       |  |
|   | Truong My Nhi           |  | Daughter                                      |  |  |           |       |  |
|   | Truong Dieu Anh         |  | Daughter                                      |  |  |           |       |  |
|   | Truong Danh Hanh        |  | Father  |  |  |           |       |  |
|   | Nguyen Thi Phuong       |  | Mother  |  |  |           |       |  |
|   | Truong Thi Ha           |  | Older sister                                  |  |  |           |       |  |
|   | Truong Tuan Hiep        |  | Younger brother                               |  |  |           |       |  |
| 4 | <b>Do Duong Thong</b>   |  | <b>BOD's Member</b>                           |  |  | None      |       |  |
|   | Do Quyet Thang          |  | Father  |  |  |           |       |  |
|   | Duong Thi Van           |  | Mother  |  |  |           |       |  |

|   |                         |  |                     |  |  |             |  |  |
|---|-------------------------|--|---------------------|--|--|-------------|--|--|
|   | Do Duong Tung           |  | Older brother       |  |  |             |  |  |
|   | Nguyen Ngoc Thanh       |  | Older sister-in-law |  |  |             |  |  |
| 5 | <b>Hoang Tuan Anh</b>   |  | <b>BOD's Member</b> |  |  | <b>None</b> |  |  |
|   | Hoang Anh Do            |  | Father              |  |  |             |  |  |
|   | Ngo Thi Binh            |  | Mother              |  |  |             |  |  |
|   | Hoang Thi Nguyet Phuong |  | Younger sister      |  |  |             |  |  |
|   | Nguyen Thi Thanh Ha     |  | Wife                |  |  |             |  |  |
|   | Hoang Tuan Khoi         |  | Son                 |  |  |             |  |  |
|   | Hoang Thi Anh Thu       |  | Daughter            |  |  |             |  |  |

|   |                              |  |                             |  |  |             |  |  |
|---|------------------------------|--|-----------------------------|--|--|-------------|--|--|
| 6 | <b>Nguyen<br/>Phuong Anh</b> |  | <b>BOD'S<br/>member</b>     |  |  |             |  |  |
|   | Nguyen Huu<br>Lien           |  | Father                      |  |  |             |  |  |
|   | Nguyen Thi<br>Minh Phuong    |  | Mother                      |  |  |             |  |  |
|   | Nguyen Cong<br>Tuyen         |  | Father in law               |  |  |             |  |  |
|   | Nguyen Thi<br>Huong          |  | Mother in law               |  |  |             |  |  |
|   | Nguyen Viet<br>Hoa           |  | Younger<br>sister           |  |  |             |  |  |
|   | Nguyen Cong<br>Hieu          |  | Husband                     |  |  |             |  |  |
|   | Nguyen Cong<br>Huy Minh      |  | Daughter                    |  |  |             |  |  |
| 7 | <b>Le Van<br/>Quang</b>      |  | <b>Chief<br/>Accountant</b> |  |  | <b>None</b> |  |  |
|   | Le Gia Lam                   |  | Father                      |  |  |             |  |  |
|   | Tran Thi Thu                 |  | Mother                      |  |  |             |  |  |
|   | Le Thanh Vinh                |  | Younger<br>brother          |  |  |             |  |  |
|   | Hoang Thi<br>Quyên           |  | Wife                        |  |  |             |  |  |
|   | Le Hoang Gia<br>Huy          |  | Son                         |  |  |             |  |  |
|   | Le Minh<br>Hoang             |  | Son                         |  |  |             |  |  |

|   |                 |  |                 |  |  |      |  |  |
|---|-----------------|--|-----------------|--|--|------|--|--|
|   |                 |  |                 |  |  |      |  |  |
| 8 | Pham Thi Hai An |  | BOS's Member    |  |  | None |  |  |
|   | Pham Thuy Thu   |  | Father          |  |  |      |  |  |
|   | Phan Thi Minh   |  | Mother          |  |  |      |  |  |
|   | Pham Thuy Giang |  | Older brother   |  |  |      |  |  |
|   | Pham Van Phong  |  | Older brother   |  |  |      |  |  |
|   | Pham Thuy Hoang |  | Older brother   |  |  |      |  |  |
|   | Tran Xuan Hau   |  | Husband         |  |  |      |  |  |
|   | Tran Xuan Dang  |  | Son             |  |  |      |  |  |
| 9 | Tran Thi Van    |  | Head of the BOS |  |  | None |  |  |

|  |  |  |                      |  |  |  |  |                                 |
|--|--|--|----------------------|--|--|--|--|---------------------------------|
|  |  |  |                      |  |  |  |  |                                 |
|  | Tran Huu Van                             |  | Father               |  |  |  |  |                                 |
|  | Hoang Thi Be                             |  | Mother               |  |  |  |  |                                 |
|  | Tran Thi Dung                            |  | Younger sister       |  |  |  |  |                                 |
|  | Tran Thi Thuy Nga                        |  | Younger sister       |  |  |  |  |                                 |
|  | Nguyen Duc Tuan Minh                     |  | Son                  |  |  |  |  |                                 |
|  | Danang Petroleum Machinery Equipment JSC |  | Related organization |  |  |  |  | Ms Tran Thi Van is BOS's Member |
|  | Hanoi Machinery Import-Export JSC        |  | Related organization |  |  |  |  | Ms Tran Thi Van is BOS's Member |

|    | Pharmeric<br>Pharmaceutical<br>and Medicinal<br>Materials JSC |  | Related<br>organization |  |  |      |  | Ms Tran<br>Thi Van is<br>BOS's<br>Member |
|----|---|--|-------------------------|--|--|------|--|--|
| 10 | Pham Anh<br>Quan  |  | BOS's<br>Member         |  |  | None |  |  |
|    | Pham Van<br>Tuyen   |  | Father                  |  |  |      |  |  |
|    | Pham Thi Bich<br>Hong   |  | Mother                  |  |  |      |  |  |
|    | Phung The<br>Quan   |  | Father in law           |  |  |      |  |  |
|    | Nguyen Thi<br>Thu Thuy  |  | Mother in law           |  |  |      |  |  |
|    | Phung Phuong<br>Thao  |  | Wife                    |  |  |      |  |  |
|    | Pham Nhat<br>Minh   |  | Younger<br>brother      |  |  |      |  |  |
|    | Pham The<br>Bach  |  | Son                     |  |  |      |  |  |

|  |                 |  |          |  |  |  |  |  |
|--|-----------------|--|----------|--|--|--|--|--|
|  |                 |  |          |  |  |  |  |  |
|  | Pham My Han     |  | Daughter |  |  |  |  |  |
|  | Pham Vinh Quang |  | Son      |  |  |  |  |  |

2. Transactions of internal persons and affiliated persons with shares of the company: None

| No. | Transaction executor | Relationship with internal persons | Number of shares owned at the beginning of the period |            | Number of shares owned at the end of the period |            | Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.) |
|-----|----------------------|------------------------------------|---|------------|---|------------|---|
|     |                      |                                    | Number of shares                                      | Percentage | Number of shares                                | Percentage |   |
|     |                      |                                    |   |            |   |            |   |

**IX. Other significant issues**

**Recipients:**

- As above;
- Stored: Document Department

**CHAIRMAN OF THE BOARD OF DIRECTORS**

(Sign, full name and seal)  
  
**Dương Quang Lu**