

*Hanoi, dated 49/2/2025*

## **NOTICE**

### **Re: Nominating and candidacy for members of the Board of Directors and the Supervisory Board at the 2025 Annual General Meeting of Shareholders**

BOS Securities Joint Stock Company (the "**Company**") is pleased to announce the contents related to the nomination and candidacy of members of the Board of Directors ("**BOD**"), the Supervisory Board ("**SB**") to be elected to the Board of Directors and the Supervisory Board at the 2025 Annual General Meeting of Shareholders as follows:

#### **1. Criteria and conditions for being a member of the Board of Directors, Supervisory Board**

Nominees and candidates for members of the Board of Directors and Supervisory Board of the Company must meet the following criteria and conditions:

##### **1.1. Criteria and conditions for being a member of the Board of Directors**

Members of the Board of Directors must ensure the following standards and conditions:

- a. Must meet the criteria and conditions specified in Article 155 of the Law on Enterprises;
- b. Having full civil act capacity and not being banned from establishing and managing enterprises under the provisions of Article 6 of this Regulation and relevant laws;
- c. Have professional qualifications and experience in business management or experience in the field of securities, finance and banking.
- d. Not being a General Director/Director, a member of the Board of Directors, a member of the Board of Members of another securities company, not being a member of the Board of Directors of more than five (05) other companies.
- e. Must not have been a member of the Board of Directors or the legal representative of a company that is bankrupt or banned from operation due to serious violations of the Law.
- f. Must not nominate the Board of Directors or be an employee of an independent auditing unit that performs audits for the Company within the past three (03) years.
- g. Other standards and conditions as prescribed by law.

##### **1.2. Criteria and conditions for being a member of the Supervisory Board**

Members of the Supervisory Board must ensure the following standards and conditions:

- a. Must meet the criteria and conditions specified in Article 169 of the Law on Enterprises;
- b. Having full civil act capacity and not being prohibited from establishing and managing enterprises in accordance with relevant laws;

- c. Not being a person with family relations of members of the Board of Directors, General Directors and other managers;
- d. Must not hold managerial positions of the Company, not necessarily be a shareholder or employee of the Company;
- e. Not being a person in the accounting and finance department of the Company and not being a member or employee of an independent auditing firm that/for the past 03 consecutive years has audited the Company's financial statements;
- f. Members of the Supervisory Board must not be concurrently members of the Supervisory Board or managers of other securities companies;
- g. Other standards and conditions as prescribed by law.

## **2. Conditions for nominating and candidacy for members of the Board of Directors and the Supervisory Board**

### **2.1. Conditions for the nomination and candidacy of members of the Board of Directors**

Shareholders or groups of shareholders owning 10% or more of the total ordinary shares have the right to nominate and nominate members of the Board of Directors in accordance with the Law on Enterprises and the company's charter. Shareholders holding ordinary shares have the right to combine the number of voting rights to nominate candidates for the Board of Directors. Shareholders or groups of shareholders holding from 10% to less than 20% of the voting shares may nominate one (01) member, from 20% to less than 50% may nominate two (02) members, from 50% to less than 65% may nominate three (03) members, and if 65% or more are nominated with a sufficient number of candidates.

### **2.2. Conditions for nomination and candidacy of members of the Supervisory Board**

Shareholders or groups of shareholders owning 10% or more of the total ordinary shares have the right to nominate and nominate members of the Supervisory Board in accordance with the Law on Enterprises and the company's charter. Shareholders holding ordinary shares have the right to combine the number of voting rights to nominate candidates for the Supervisory Board. Shareholders or groups of shareholders holding from 10% to less than 20% of the voting shares may nominate one (01) member, from 20% to less than 50% may nominate two (02) members, from 50% to less than 65% may nominate three (03) members, and if 65% or more are nominated with a sufficient number of candidates.

## **3. Components of the dossier of nomination and candidacy for members of the Board of Directors and the Supervisory Board**

### **3.1. Components of the dossier of nomination and candidacy for members of the Board of Directors**

Dossiers of nomination and candidacy for members of the Board of Directors include:

- a. Nomination and candidacy for members of the Board of Directors (according to the form);
- b. Curriculum vitae declared by the candidate (according to the form);
- c. Notarized/certified copies of Citizen ID/Passport and degrees certifying educational qualifications;



- d. Certificate of the number of shares nominated or nominated by shareholders or a group of shareholders or equivalent papers made by the Securities Company where such shareholder or group of shareholders opens an account or of the Vietnam Securities Depository and Clearing Corporation (as of 06/02/2025 - the closing date of the List of shareholders at the General Meeting of Shareholders of the Company);
- e. Make a written commitment to the truthfulness, accuracy and reasonableness of personal information and commit to perform your duties honestly if elected as a member of the Board of Directors.

### 3.2. Components of the dossier of nomination and candidacy for members of the Supervisory Board

A dossier of nomination and candidacy for a member of the Supervisory Board includes:

- a. Nomination and candidacy for members of the Supervisory Board (according to the form);
- b. Curriculum vitae declared by the candidate (according to the form);
- c. Notarized/certified copies of Citizen ID/Passport and degrees certifying educational qualifications;
- d. Certificate of the number of shares nominated or nominated by shareholders or a group of shareholders or equivalent papers made by the Securities Company where such shareholder or group of shareholders opens an account or of the Vietnam Securities Depository and Clearing Corporation (as of 06/02/2025 - the closing date of the List of shareholders at the General Meeting of Shareholders of the Company);
- e. Make a written commitment to the truthfulness, accuracy and reasonableness of personal information and commit to perform your duties honestly if elected as a member of the Supervisory Board.

### 4. Methods of nomination and candidacy

In order to ensure the examination of dossiers and the preparation of documents to be submitted to the General Meeting of the Organizing Committee, dossiers of nomination and candidacy must be sent to the Organizing Committee of the Company's General Meeting before 15:00 on February 27, 2025 at the following address:

#### **BOS Securities Joint Stock Company**

Head office address: 25<sup>th</sup> Floor, Office Building, 265 Cau Giay, Dich Vong Ward, Cau Giay District, Hanoi City.

Ten: 024.39.368.366 (ext. 822).

ON BEHALF OF BOARD OF DIRECTORS



LE THI TRUC QUYNH