

Ha Noi, January 20th 2026

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange;
- State Securities Commission of Vietnam.

- We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information.

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



Nguyen Duc Quan

**ASIA PACIFIC SECURITIES JOINT STOCK
COMPANY**

**Socialist Republic of Vietnam
Independence - Freedom – Happiness**

No: 07.../2026/CV-APS

Ha Noi, January, 2th 2026

*Regarding the correction of the situation of the stock
being placed under warning and supervision status*

**To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- State Securities Commission of Vietnam.**

- Name of the organization: Asia Pacific Securities Joint Stock Company
- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Trung Hoa Ward, Cau Giay District, Hanoi City.
- Tel: 1900 999986

Asia Pacific Securities Joint Stock Company, hereinafter referred to as "APS", has received Notification No. 2927/TB-SGDHN dated July 2, 2025 from the Hanoi Stock Exchange regarding the following:

- The APS shares have been placed under warning status pursuant to Decision No. 776260/QĐ-SGDHN dated July 2, 2025 issued by the Hanoi Stock Exchange, due to negative undistributed after-tax profit as of December 31, 2024.
- The APS shares have been placed under control status pursuant to Decision No. 777/QĐ-SGDHN dated July 2, 2025 issued by the Hanoi Stock Exchange, due to the qualified audit opinion (except-for opinion) by the auditing firm on the financial statements for 2023 and 2024.

APS has taken corrective measures as follows:

- In 2026, Asia Pacific Securities Joint Stock Company has developed business plans aimed at improving operational efficiency. The Company is focusing on strengthening proprietary trading activities, enhancing service quality, and minimizing costs to the greatest extent possible, with the goal of achieving a positive net profit after tax for 2026 and bringing the accumulated undistributed profit after tax back into positive territory.
- In 2026, Asia Pacific Securities Joint Stock Company is gradually recovering the VND 144.6 billion in outstanding advances, with the aim of eliminating the qualified opinion from the auditor's report on the financial statements..

This is the explanation letter and report on the corrective measures taken to address the situation of APS shares being placed under warning and supervision. APS respectfully requests the Commission and the Exchange to review and support the removal of APS shares from the warning and supervision status.

Thank you for your attention!

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



TỔNG GIÁM ĐỐC
Nguyễn Đức Quân