



## APPENDIX I

*Issued together with Circular No. 20/2025/TT-NHNN dated July 31, 2025 of the Governor of the State Bank of Vietnam)*

### THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Color photo

(4x6 cm)

### CURRICULUM VITAE<sup>(1)</sup>

#### 1. Personal Information

- Full name at birth
- Commonly used name
- Alias (if any)
- Date of birth
- The citizen identification number or personal identification number, and the current place of residence (if different from the registered permanent or temporary residence) for individuals holding Vietnamese nationality; or the personal identification number for persons of Vietnamese origin whose nationality has not yet been determined and who are residing in Vietnam and meet the conditions for issuance of a Citizen Identity Certificate in accordance with the law on citizen identification.

- Passport number or passport-equivalent document number, date of issue, place of issue, nationality/nationalities (if any), and current place of residence for individuals who do not hold Vietnamese nationality.

- Name and address of the legal entity that I represent, and the percentage of contributed capital represented (in the case of being a representative of the capital contribution of a shareholder or capital - contributing member that is a legal entity, or being appointed as the State's capital representative at a credit institution).

#### 2. Educational Background

- General education
- Academic titles and degrees (specify institution name and address; major; study period; qualifications obtained (list all degrees in full)).

#### 3. Employment History

- Occupation, employing organization, and positions held from the age of 18 to present <sup>(2)</sup>

No	Period (from month/year to month/year)	Organization	Position	Business sector and assigned duties	Notes
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- Commendation, disciplinary action, and responsibilities as concluded from inspection or audit findings that result in the credit institution or foreign bank branch being subject to administrative sanctions <sup>(6)</sup>

#### 4. Civil Act Capacity <sup>(7)</sup>



**5. Information regarding prohibition from holding positions, establishing, or managing enterprises or cooperatives (in cases where the judicial record card or an equivalent document issued by a competent foreign authority does not contain this information).**

**6. Legal Commitment**

I hereby undertake that:

- I satisfy the standards and conditions to hold the position of ..... at Saigon Bank for Industry and Trade in accordance with the Law on Credit Institutions and relevant guiding regulations. In particular, I undertake to comply with the requirements on professional ethics as stipulated in Article 6 of Circular No. 20/2025/TT-NHNN dated July 31, 2025, issued by the Governor of the State Bank of Vietnam, providing guidance on dossiers and procedures for approval of the proposed list of personnel of commercial banks, foreign bank branches, and non-bank credit institutions during the course of working at credit institutions and foreign bank branches (if any), and to comply with the regulations on professional codes of conduct and ethical standards of foreign credit institutions (if any) where I have previously worked.

- I have not violated any provisions of the law in the monetary and banking sector.

- To notify Saigon Bank for Industry and Trade of any changes relating to the contents of this declaration that arise while the State Bank of Vietnam is reviewing the Bank's proposal.

- The personal information I have provided to Saigon Bank for Industry and Trade for submission to the State Bank of Vietnam for consideration and approval of the proposed personnel is true and accurate. I take full legal responsibility for the completeness, truthfulness, and accuracy of the information declared above.

....., date ... month ... year 2026

**Declarant**

*(Signature and full name)<sup>(8)</sup>*

**Notes:**

1. The declarant must fully provide the required information and shall be legally responsible, as well as responsible to the commercial bank, non-bank credit institution, or foreign bank branch, for the completeness, accuracy, and truthfulness of the dossier. If not applicable, clearly state "none."

2. The declarant must fully declare all positions held and currently held, including job titles and employing entities.

3. Continuity in terms of timeline must be ensured.

4. Clearly specify if the proposed personnel is a manager or executive in accordance with the law and the Charter or internal regulations of the credit institution or enterprise; at the same time, clearly state the tax code/enterprise code of the enterprise where the proposed personnel serves as a manager.

5. Specify if the employing entity falls into any of the following cases:

a) A subsidiary of a credit institution;

b) An enterprise in which the State holds 50% or more of the charter capital;

c) An entity as prescribed at Point e, Clause 2, Article 42 of the Law on Credit Institutions;

d) An entity as prescribed at Point c, Clause 1, Article 42 of the Law on Credit Institutions (clearly state the name of the entity, the time of bankruptcy declaration, the position held, and the period during which the proposed personnel held such position).

6. Clearly specify if the personnel falls under the cases prescribed at Points d and g, Clause 1, Article 42 of the Law on Credit Institutions.

7. Clearly state: full/limited/loss of civil act capacity.

8. The signature must be notarized or authenticated in accordance with the law.