

No: 32.../2026/CV-APS

Ha Noi, April, 17<sup>th</sup>... 2026

**EXTRAORDINARY INFORMATION DISCLOSURE**

To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
2. Tel: 1900 9999 86
3. Explanation content:
  - Q1/2026 Report on Measures to Remedy the Status of APS Stock Being Placed Under Warning and Control.
4. This information has been published on the company's website on April, 17<sup>th</sup>... 2026 at the link [www.apec.com.vn](http://www.apec.com.vn).

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information.

**Attached documents:**

- Explanation letter no:  
.33.../2026/CV-APS

✓ ASIA PACIFIC SECURITIES JOINT STOCK COMPANY ✓



Nguyen Duc Quan

No: 33./2026/CV-APS

Hanoi, April, 17<sup>th</sup> 2026

Regarding the correction of the situation of the stock  
being placed under warning and supervision status

To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- State Securities Commission of Vietnam.

- **Name of the organization:** Asia Pacific Securities Joint Stock Company
- **Stock code:** APS
- **Address:** 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Trung Hoa Ward, Cau Giay District, Hanoi City.
- **Tel:** 1900 999986

Asia Pacific Securities Joint Stock Company (hereinafter referred to as "APS" or the "Company") has received Notification No. 1423/TB-SGDHN dated April 6, 2026 from the Hanoi Stock Exchange regarding the following:

- The APS stock has been placed under warning status pursuant to Decision No. 372/QĐ-SGDHN dated April 6, 2026 by the Hanoi Stock Exchange due to the Company's accumulated after-tax profit being negative as of December 31, 2025, as stated in the audited financial statements for 2025.
- The APS stock has been placed under control status pursuant to Decision No. 777/QĐ-SGDHN dated July 2, 2025 by the Hanoi Stock Exchange due to the auditor issuing qualified opinions on the Company's financial statements for 2023 and 2024.

**APS has implemented the following remedial measures:**

- **Audit opinion:** The auditing firm has issued an unqualified opinion on the Company's financial statements for 2025.
- **Retained earnings after tax:** In 2026, the Company's management will strive to innovate and improve business strategies to enhance operational efficiency, achieve the planned revenue targets, and continue to reduce costs, with the goal of bringing retained earnings after tax back to a positive balance.

This letter serves as the Company's explanation and report on measures taken to remedy the situation that led APS stock to be placed under warning and control status. APS respectfully requests the relevant Authorities and the Stock Exchange to consider and support the removal of APS stock from the warning and control lists.

Sincerely,

Thank you for your attention!

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



TỔNG GIÁM ĐỐC  
Nguyễn Đức Quân