

**CÔNG TY CỔ PHẦN
CHỨNG KHOÁN EVS**
EVS SECURITIES
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Số/No.: 168/2026/TB-EVS

Hà Nội, ngày 23 tháng 04 năm 2026

Hanoi, April 23, 2026

**CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ CỦA
ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SỞ GIAO DỊCH CHỨNG KHOÁN**
**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES
COMMISSION'S PORTAL AND STOCK EXCHANGE'S PORTAL**

Kính gửi/To:

- Ủy ban Chứng khoán Nhà nước/*The State Securities Commission*;
- Sở Giao dịch Chứng khoán Việt Nam/*Vietnam Stock Exchange*;
- Sở Giao dịch Chứng khoán Hà Nội/*Hanoi Stock Exchange*;
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/*Ho Chi Minh Stock Exchange*.

1. Tên tổ chức/*Name of organization*: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS**
(“Công ty”)/**EVS SECURITIES JOINT STOCK COMPANY** (“Company”)

Mã chứng khoán/Mã thành viên/*Ticker/Member Code*: EVS/040

Địa chỉ trụ sở chính: Tầng 3, 6, 9 Số 2A Phố Đại Cồ Việt, Phường Hai Bà Trưng, Thành phố Hà Nội, Việt Nam

Địa chỉ/*Address*: Tầng 3, 6, 9 Số 2A Phố Đại Cồ Việt, Phường Hai Bà Trưng, TP. Hà Nội, Việt Nam/*Floor 3, 6, 9 - No. 2A Dai Co Viet, Hai Ba Trung Ward, Hanoi, Vietnam*

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Loại thông tin công bố: ☒ 24 giờ ☐ Bất thường ☐ Theo yêu cầu ☐ Định kỳ

Information disclosure type: ☒ 24 hours ☐ Irregular ☐ On demand ☐ Periodic

2. Nội dung thông tin công bố/*Content of information disclosure*:

Công ty Cổ phần Chứng khoán EVS công bố thông tin Biên bản họp và Nghị quyết Đại hội đồng cổ đông thường niên năm 2026 cùng tài liệu kèm theo (*Nội dung chi tiết vui lòng xem tại các tài liệu đã được đính kèm*).



EVS Securities Joint Stock Company announces the Minutes and Resolution of the 2026 Annual General Meeting of Shareholders, together with the accompanying documents (For detailed content, please see the attached documents).

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 23/04/2026 tại đường dẫn: <https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/>

This information was posted on the Company website on April 23, 2026 at the following link: <https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided above is true and correct and we take full responsibility before the law for the disclosed information.

Tài liệu đính kèm/Attached documents:

- Biên bản họp, Nghị quyết ĐHĐCĐ thường niên năm 2026 và các tài liệu kèm theo.
Minutes of meeting, Resolution of 2026 Annual General Meeting of Shareholders and accompanying documents.

**ĐẠI DIỆN TỔ CHỨC/ORGANIZATION
REPRESENTATIVE**

**Người được UQ CBTT/Person authorized to
disclose information**

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signed and sealed, stating full name and title)



GIÁM ĐỐC TÀI CHÍNH
Vũ Thị Thanh Hằng



No.: 01/2026/BB-ĐHĐCĐ

Hanoi, April 22, 2026

MEETING MINUTES

ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

- *Company name: EVS Securities Joint Stock Company ("**Company**"/"**EVS**")*
- *Business registration number: 0102121331*
- *Headquarters: Floors 3, 6, and 9, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City*
- *Opening time: 1:30 PM, Wednesday, April 22, 2026*
- *The format for holding the General Meeting of Shareholders ("**Meeting**"/"**Shareholders' Meeting**"): Online*
- *Meeting venue: 7th Floor, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City*

The meeting proceeded with the following agenda:

I. Procedures at the General Meeting of Shareholders:

1. Introducing the Shareholder Eligibility Verification Committee:

- Mr. Nguyen Mau Hoang - Deputy Director in charge of the Investment Banking Services Division: Head
- Ms. Trinh Ngan Ha - Specialist in Corporate Finance Advisory Department - Investment Banking Services Division: Member
- Mr. Ha The Cong - Specialist in the Board of Directors' Office: Member

2. Shareholder eligibility verification report:

Mr. Ha The Cong - Member of the Shareholder Eligibility Verification Committee, read the Report on the Verification of Shareholder Eligibility to Attend the Annual General Meeting of Shareholders in 2026 at 1:35 PM on April 22, 2026, as follows:

- Total number of shareholders entitled to attend the General Meeting (according to the shareholder list as of March 24, 2026): 2,247 shareholders, representing 164,800,618 voting shares of the Company.
- At the time of the opening of the General Meeting of Shareholders, there were 15 attendees, representing 27 shareholders, representing 140,460,738 shares/voting rights, representing 85.23% of total shares/voting rights of the Company.

The detailed list is recorded in the Appendix to the list of registered shareholders and shareholder representatives attending.

Based on the provisions of the law and the Company's Articles of Association, the Company's 2026 Annual General Meeting of Shareholders is eligible to proceed.

II. Approval of the Presidium, the Vote Counting Committee and the Secretariat

1. Presidium of the General Meeting of Shareholders:

- Mr. Nguyen Hai Chau - Chairman of the Board of Directors ("BOD") of the Company: Presiding over the General Meeting
- Ms. Do Thi Hong Hai - Independent Member of the BOD and Chairperson of the Audit Committee: Member
- Mr. Nguyen Thanh Hai - Member of the BOD and Chief Executive Officer ("CEO"): Member

2. General Meeting of Shareholders Secretariat:

The Chairman of the General Meeting of Shareholders appointed the following members to the Secretariat:

- Ms. Nguyen Thi Mi Ngoc - Chief of the Board of Directors' Office : Head
- Mrs. Trieu Thi Trang - Deputy Head of Risk Management Department: Member

3. Vote Counting Committee:

- Ms. Le Thi Duyen - Head of the Legal Department: Head
- Mr. Tran Vu Duc Anh - Specialist in the Investment Banking Services Division: Member
- Mr. Phung Duc Linh - Specialist in the Technology Development Department: Member

The General Meeting of Shareholders ("GMS") voted to approve the composition of the Vote Counting Committee as follows:

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 131,602,938 votes. Of which:
 - The total number of valid votes is: 131,602,938 votes, representing 93.69% of the total voting shares of shareholders present at the meeting, represents 100% of the total voting shares of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0 % of the total votes cast by shareholders present at the meeting, and 0 % of the total votes cast by shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 131,602,938 votes, representing 93.69% of the total votes cast by shareholders present at the meeting, representing 100% of the total votes cast by

shareholders attending and voting at the meeting.

- Total number of votes against: 0 votes, representing 0% of the total votes cast by shareholders present at the meeting, and 0% of the total votes cast by shareholders attending and voting at the meeting.
- Total number of abstentions: 0 votes, representing 0% of the total votes cast by shareholders present at the meeting, and 0% of the total votes cast by shareholders attending and voting at the meeting.

The content was approved with 100% of the total votes cast by shareholders present and voting in favor.

4. Disseminate the Rules of Procedure for the 2026 Annual General Meeting of Shareholders.

5. Approve the Agenda for the 2026 Annual General Meeting of Shareholders:

Mr. Nguyen Thanh Hai - Member of the Presidium - presented the agenda for the 2026 Annual General Meeting of Shareholders. Specifically, it is as follows:

- Report summarizing the Board of Directors' activities in 2025 and outlining operational directions for 2026;
- Report on the activities of independent members of the BOD in the Audit Committee in 2025;
- Report on business performance in 2025 and business plan for 2026 ;
- Audited financial statements for 2025 and profit distribution plan for 2025 ;
- Selecting an auditing firm for financial statements and other reports as required by regulations in 2026;
- Final settlement of remuneration for the BOD and the Audit Committee for 2025 and proposed remuneration plan for the BOD and the Audit Committee for 2026;
- Plan to continue recovery of outstanding receivables, specifically those that have been extended multiple times.
- Extend the deadline for implementing the change of the company's registered office address;
- Electing members of the Board of Directors;
- Other matters within the authority of the General Meeting of Shareholders (if any) .

The General Meeting of Shareholders proceeded to vote on the following agenda:

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 131,602,938 votes. Of which:
 - The total number of valid votes is: 131,602,938 votes, representing 93.69% of the total voting

shares of shareholders present at the meeting, represents 100% of the total voting shares of shareholders attending and voting at the meeting .

- The total number of invalid votes is: 0 votes, representing 0% of the total votes cast by shareholders present at the meeting, and 0% of the total votes cast by shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: 131,602,938 votes, representing 93.69% of the total voting shares of shareholders present at the meeting, representing 100% of the total voting shares of shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing 0% of the total voting shares of shareholders present at the meeting, representing 0% of the total voting shares of shareholders present and voting at the meeting.
 - Total number of abstentions: 0 votes, representing 0% of the total voting shares of shareholders present at the meeting, representing 0% of the total voting shares of shareholders present and voting at the meeting.

The content was approved with 100% of the total votes cast by shareholders present and voting in favor.

III. The contents to be presented at the 2026 Annual General Meeting of Shareholders.

1. The Report on the operational results of the Board of Directors for 2025 and the orientation for operations in 2026 (*as detailed in Report No. 01/2026/BC-HĐQT dated March 31, 2026 issued by the BOD*), including the continued implementation of key activities set out in the BOD's Report, comprising:
 - a. Regarding collateral disposal activities: In 2025 and the first quarter of 2026, EVS recovered 55,790,000 shares of National Citizen Commercial Joint Stock Bank ("NVB"), corresponding to a recovered debt of VND 736,370,599,928. The outstanding amount remaining to be recovered is VND 518,870,022,775.
 - b. Regarding the execution of transactions for the sale of the above-mentioned recovered collateral after recovery (pursuant to Proposal No. 11/2025/TT-HĐQT dated November 19, 2025 on the approval of the disposal of collateral for receivables that have been extended multiple times); and the implementation of the policy on asset acquisition, disposal and investment and the approval of transactions under the authority of the General Meeting of Shareholders (pursuant to Proposal No. 10/2025/TT-HĐQT dated April 1, 2025 and Proposal No. 13/2025/TT-HĐQT dated November 19, 2025 regarding the continued implementation of the contents of Proposal No. 10/2025/TT-HĐQT): The BOD and the Board of Management continue to seek and negotiate with potential partners to determine appropriate timing, pricing and execution strategies, ensuring compliance with applicable laws and safeguarding the interests of the Company.

These matters shall continue to be implemented in 2026.

- c. Regarding the change of the Company's head office address: The BOD and the Board of Management continue to evaluate and negotiate with relevant partners to select a suitable location, develop a plan for changing the Company's head office address, and submit to the 2026 Annual General Meeting of Shareholders for approval the extension of the implementation timeline for the related tasks under Proposal No. 12/2025/TT-HĐQT until the end of 2026.
- d. The GMS approves certain targets of the Company's business plan for 2026 as follows:

Unit: VND million

No.	Indicators	2026 Plan
1	Operating revenue	122,900
2	Profit before tax	7,424
3	Profit after tax	5,939

2. The Report on the activities of the Independent Member of the BOD serving on the Audit Committee in 2025 *(as detailed in Report No. 01/2026/BC-UBKT dated March 31, 2026 of the Independent Member of the BOD)*;
3. The Report on business performance in 2025 and the business plan for 2026 of the Board of Management *(as detailed in Report No. 01/2026/BC-TGD dated March 31, 2026 of the Board of Management)*;
4. The audited financial statements for 2025 and the profit distribution plan for 2025 *(as detailed in Proposal No. 01/2026/TT-HĐQT dated March 31, 2026 of the BOD)*;
5. The Proposal on the selection of the auditing firm for the financial statements and other reports as required in 2026 *(as detailed in Proposal No. 02/2026/TT-HĐQT dated March 31, 2026 of the BOD)*;
6. The Proposal on the final settlement of remuneration for the BOD and the Audit Committee in 2025, and the remuneration plan for the BOD and the Audit Committee in 2026 *(as detailed in Proposal No. 03/2026/TT-HĐQT dated March 31, 2026 of the BOD)*;
7. The GMS approves the plan to continue the recovery of receivables in respect of repeatedly extended receivables:
 - 7.1. Plan for recovery of receivables in respect of the debt owed by Tien Thanh Consulting Services Company Limited ("Tien Thanh"): This shall continue to be implemented in accordance with the plan under Proposal No. 11/2025/TT-HĐQT dated November 19, 2025, as approved at the Extraordinary General Meeting of Shareholders held on December 11, 2025. Specifically:

- a. Require Tien Thanh to supplement additional collateral (shares, real estate or other assets) and complete mortgage procedures in accordance with applicable laws.
- b. EVS shall directly sell, or recover for sale, or recover for the Company's use (in the case where the mortgaged asset is real estate used as the Company's office), and perform other actions in respect of the collateral in accordance with the mortgage agreements or as agreed by the parties, to ensure full recovery of the receivable.

7.2. The General Meeting of Shareholders assigns and authorizes the BOD to:

- a. Decide on the timing and method of sale/recovery or other measures in respect of the collateral to ensure financial safety indicators and the interests of the Company.
- b. Carry out notification, reporting and licensing procedures with competent authorities (if any) in accordance with applicable laws.
- c. Assign and authorize the Chief Executive Officer (if necessary) to implement the above tasks in accordance with applicable laws and the Company's Charter.

(Details are provided in Proposal No. 04/2026/TT-HĐQT dated March 31, 2026 of the BOD).

8. The Proposal on approval of the extension of the implementation period for changing the Company's head office address *(as detailed in Proposal No. 05/2026/TT-HĐQT dated March 31, 2026 of the BOD)*;
9. Election of BOD members *(details are stated in Proposal No. 06/2026/TT-HĐQT dated March 31, 2026 of the Board of Directors)*.

IV. Discussion Section - Shareholder Feedback

Shareholders who attended the meeting did not ask questions or submit comments to the General Meeting.

V. Voting on the contents presented at the General Meeting of Shareholders

The voting results for each item on the agenda of the General Meeting of Shareholders are as follows:

1. Approval of the election results of the Board of Directors

- a. Voting method: Electronic voting.
- b. Total number of votes for the voting issue: 139,509,738 votes. Of which:
 - The total number of valid votes is: 139,509,738 votes, representing 99.32% of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.

c. Voting results

- Total number of votes in favor: 139,509,738 votes, representing 99.32% of the total number of votes of shareholders present at the meeting, representing 100% of the total number of votes of shareholders attending and voting at the meeting.
- Total number of dissenting votes: 0 votes, representing 0 % of the total votes of shareholders present at the meeting, representing 0 % of the total votes of shareholders attending and voting at the meeting.
- Total number of abstentions: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes of shareholders present and voting in favor.

2. Approval of the list of candidates for election to the Board of Directors

a. Voting method: Electronic voting.

b. Total number of votes for the voting issue: 139,509,738 votes. Of which:

- The total number of valid votes is: 139,509,738 votes, representing 99.32% of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.
- The total number of invalid votes is: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.

c. Voting results

- Total number of votes in favor: 139,509,738 votes, representing 99.32% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
- Total number of votes against: 0 votes, accounting for 0 % of the total votes of shareholders present at the meeting, accounting for 0 % of the total votes of shareholders attending and voting at the meeting.
- Total number of abstentions: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes of shareholders present and voting in favor.

3. The procedure for electing independent members of the BOD at the Company's 2026 Annual General Meeting of Shareholders:

- a) Method of electing independent members of the Board of Directors: Cumulative voting via electronic ballot.
- b) Results of the vote for independent members of the Board of Directors.

Total number of votes from participating shareholders: 139,479,938 votes

- Total number of valid votes from participating shareholders: 139,479,938 votes, representing 100% of the total votes.
- Total number of invalid votes from participating shareholders: 0 votes, accounting for 0% of the total votes.

No.	Candidate	Number of votes
1	Do Thi Hong Hai	139,479,938

Pursuant to the Company's Charter, the following candidates have been elected as independent members of the Company's BOD:

Ms. Do Thi Hong Hai - Independent Member of the Board of Directors

4. Election of the remaining members of the Board of Directors (non – independent members) at the Company's 2026 Annual General Meeting of Shareholders as follows:

- a) The method for electing the remaining Board members is cumulative voting via electronic ballot.
- b) The results of the vote count for the remaining BOD members.

Total number of votes cast by participating shareholders: 278,959,876 votes

- Total number of valid votes from participating shareholders: 278,959,876 votes, representing 100% of the total votes.
- Total number of invalid votes from participating shareholders: 0 votes, accounting for 0% of the total votes.

No.	Candidate	Number of votes
1	Vu Manh Tien	139,479,938
2	Vu Hai Anh	139,479,938

In accordance with the Company's Articles of Association , the following candidates have been elected to the remaining positions on the Company's Board of Directors:

(i) Mr. Vu Manh Tien - Member of the Board of Directors

(ii) Ms. Vu Hai Anh - Member of the Board of Directors

5. Approval of the Board of Directors' activity summary report for 2025 and operational plan for 2026.

- a. Voting method: Electronic voting.

- b. Total number of votes for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 139,632,738 votes, representing the percentage 99.41% of the total number of votes cast by shareholders present at the meeting, representing the percentage 99.44% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0 % of the total number of votes cast by shareholders present at the meeting, representing the percentage 0 % of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes with no opinion: 789,900 votes, representing the percentage 0.56 % of the total number of votes cast by shareholders present at the meeting, representing the percentage 0.56% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 99.44% of the total votes cast by shareholders present and voting in favor.

- 6. **Approval of the Report on the Activities of Independent Board Members in the Audit Committee in 2025**
 - a. Voting method: Electronic voting.
 - b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97 % of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0 % of the total number of votes cast by shareholders present at the meeting, representing 0 % of the total number of votes cast by shareholders attending and voting at the meeting.
 - c. Voting results
 - Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total number of votes cast by shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.

- Total number of votes against: 0 votes, representing the percentage 0 % of the total number of votes cast by shareholders present at the meeting, representing the percentage 0 % of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of abstentions: 0 votes, representing the percentage 0 % of the total number of votes cast by shareholders present at the meeting, representing the percentage 0 % of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

7. Approval of the Board of Directors' report on business performance in 2025 and operational plan for 2026.

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 139,632,738 votes, representing the percentage 99.41% of the total number of votes cast by shareholders present at the meeting, representing the percentage 99.43% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of abstentions: 789,900 votes, representing the percentage 0.56% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0.56% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 99.43% of the total votes cast by shareholders present and voting in favor.

8. Approval of the audited financial statements for 2025

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders

attending and voting at the meeting.

- The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.

c. Voting results

- Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total number of votes cast by shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of votes against: 0 votes, representing the percentage 0 % of the total number of votes cast by shareholders present at the meeting, representing the percentage 0 % of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of abstentions: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

9. Approval of the Profit Distribution Plan for 2025

a. Voting method: Electronic voting.

b. Total number of votes for the voting issue: 140,422,638 votes. Of which:

- The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
- The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.

c. Voting results

- Total number of votes in favor: 139,632,738 votes, representing the percentage 99.41% of the total voting shares of shareholders present at the meeting, representing the percentage 99.44% of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of votes against: 0 votes, representing the percentage 0% of the total voting shares of shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of abstentions: 789.900 votes, representing the percentage 0.56% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0.56% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 99.44% of the total votes cast by shareholders present and voting in favor.

10. Approval of the selection of an auditing firm for financial statements and other reports as stipulated in the 2026 regulations.

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total votes of shareholders present at the meeting, representing 0% of the total votes of shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total voting shares of shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total voting shares of shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of abstentions: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

11. Approval of the remuneration settlement for the Board of Directors and the Audit Committee for the year 2025.

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- c. Voting results

- Total number of votes in favor: 139,632,738 votes, representing the percentage 99.41% of the total number of votes cast by shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of votes against: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- Total number of abstentions: 789.900 votes, representing the percentage 0.56% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0.56% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 99.44% of the total votes cast by shareholders present and voting in favor.

12. Approval of the Remuneration Plan for the Board of Directors and the Audit Committee in 2026

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total votes of shareholders present at the meeting, representing 0% of the total votes of shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total voting shares of shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total voting shares of shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of abstentions: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

13. Approval of the plan to continue recovering outstanding debts that have been extended multiple times

- a. Voting method: Electronic voting.

- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total votes of shareholders present at the meeting, representing 0% of the total votes of shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total voting shares of shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total voting shares of shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of abstentions: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

14. Approval of the extension of the implementation timeline for changing of the company's registered address.

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the voting issue: 140,422,638 votes. Of which:
 - The total number of valid votes is: 140,422,638 votes, representing 99.97% of the total votes of shareholders present at the meeting, representing 100% of the total votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total votes of shareholders present at the meeting, representing 0% of the total votes of shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 140,422,638 votes, representing the percentage 99.97% of the total voting shares of shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total voting shares of shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

- Total number of abstentions: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

VI. Approval of the Minutes and Resolutions of the 2026 Annual General Meeting of Shareholders

1. Approval of the Minutes of the Annual General Meeting of Shareholders 2026

- a. Voting method: Electronic voting.
- b. Total number of votes for the voting issue: 139,507,538 votes. Of which:
 - The total number of valid votes is: 139,507,538 votes, representing 99.32% of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 139,507,538 votes, representing the percentage 99.32% of the total number of votes cast by shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes with no opinion: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

2. Approval of the Resolution of the Annual General Meeting of Shareholders in 2026

- a. Voting method: Electronic voting.
- b. Total number of votes for the voting issue: 139,507,538 votes. Of which:
 - The total number of valid votes is: 139,507,538 votes, representing 99.32% of the total number of votes cast by shareholders present at the meeting, representing 100% of the total number of votes cast by shareholders attending and voting at the meeting.

- The total number of invalid votes is: 0 votes, representing 0% of the total number of votes cast by shareholders present at the meeting, representing 0% of the total number of votes cast by shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: 139,507,538 votes, representing the percentage 99.32% of the total number of votes cast by shareholders present at the meeting, representing the percentage 100% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes against: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.
 - Total number of votes with no opinion: 0 votes, representing the percentage 0% of the total number of votes cast by shareholders present at the meeting, representing the percentage 0% of the total number of votes cast by shareholders attending and voting at the meeting.

The content was approved by 100% of the total votes cast by shareholders present and voting in favor.

VII. Closing of the General Meeting of Shareholders

These minutes were recorded fully and accurately by the Secretariat and approved by the General Meeting of Shareholders before the end of the meeting.

The Company's 2026 Annual General Meeting of Shareholders concluded at 15:47 PM the same day.

ON BEHALF OF THE SECRETARIAT



Nguyen Thi Mi Ngoc

ON BEHALF OF THE PRESIDIUM

PRESIDE



Nguyen Hai Chau

RESOLUTION
THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;*
- *The Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;*
- *The Charter of EVS Securities Joint Stock Company (the “Company” or “EVS”);*
- *The Minutes of the 2026 Annual General Meeting of Shareholders of the Company dated April 22, 2026,*

HEREBY RESOLVES:

Article 1. The 2026 Annual General Meeting of Shareholders (the “GMS”) of the Company hereby approves the following matters:

1. The GMS approves the entire contents of the Report on the operational results of the Board of Directors (“BOD”) for 2025 and the orientation for operations in 2026 (as detailed in Report No. 01/2026/BC-HĐQT dated March 31, 2026 issued by the BOD), including the continued implementation of key activities set out in the BOD’s Report, comprising:
 - a. Regarding collateral disposal activities: In 2025 and the first quarter of 2026, EVS recovered 55,790,000 shares of National Citizen Commercial Joint Stock Bank (“NVB”), corresponding to a recovered debt of VND 736,370,599,928. The outstanding amount remaining to be recovered is VND 518,870,022,775.
 - b. Regarding the execution of transactions for the sale of the above-mentioned recovered collateral after recovery (pursuant to Proposal No. 11/2025/TT-HĐQT dated November 19, 2025 on the approval of the disposal of collateral for receivables that have been extended multiple times); and the implementation of the policy on asset acquisition, disposal and investment and the approval of transactions under the authority of the General Meeting of Shareholders (pursuant to Proposal No. 10/2025/TT-HĐQT dated April 1, 2025 and Proposal No. 13/2025/TT-HĐQT dated November 19, 2025 regarding the continued implementation of the contents of Proposal No. 10/2025/TT-HĐQT):



The BOD and the Board of Management continue to seek and negotiate with potential partners to determine appropriate timing, pricing and execution strategies, ensuring compliance with applicable laws and safeguarding the interests of the Company.

These matters shall continue to be implemented in 2026.

- c. Regarding the change of the Company's head office address: The BOD and the Board of Management continue to evaluate and negotiate with relevant partners to select a suitable location, develop a plan for changing the Company's head office address, and submit to the 2026 Annual General Meeting of Shareholders for approval the extension of the implementation timeline for the related tasks under Proposal No. 12/2025/TT-HĐQT dated November 19, 2025 until the end of 2026.
- d. The GMS approves certain targets of the Company's business plan for 2026 as follows:

Unit: VND million

No.	Indicators	2026 Plan
1	Operating revenue	122,900
2	Profit before tax	7,424
3	Profit after tax	5,939

2. The Report on the activities of the Independent Member of the BOD serving on the Audit Committee in 2025 (as detailed in Report No. 01/2026/BC-UBKT dated March 31, 2026 of the Independent Member of the BOD);
3. The Report on business performance in 2025 and the business plan for 2026 of the Board of Management (as detailed in Report No. 01/2026/BC-TGD dated March 31, 2026 of the Board of Management);
4. The audited financial statements for 2025 and the profit distribution plan for 2025 (as detailed in Proposal No. 01/2026/TT-HĐQT dated March 31, 2026 of the BOD);
5. The Proposal on the selection of the auditing firm for the financial statements and other reports as required in 2026 (as detailed in Proposal No. 02/2026/TT-HĐQT dated March 31, 2026 of the BOD);
6. The Proposal on the final settlement of remuneration for the BOD and the Audit Committee in 2025, and the remuneration plan for the BOD and the Audit Committee in 2026 (as detailed in Proposal No. 03/2026/TT-HĐQT dated March 31, 2026 of the BOD);
7. The GMS approves the plan to continue the recovery of receivables in respect of repeatedly extended receivables:

7.1. Plan for recovery of receivables in respect of the debt owed by Tien Thanh Consulting Services Company Limited (“Tien Thanh”): This shall continue to be implemented in accordance with the plan under Proposal No. 11/2025/TT-HĐQT dated November 19, 2025, as approved at the Extraordinary General Meeting of Shareholders held on December 11, 2025. Specifically:

- a. Require Tien Thanh to supplement additional collateral (shares, real estate or other assets) and complete mortgage procedures in accordance with applicable laws.
- b. EVS shall directly sell, or recover for sale, or recover for the Company’s use (in the case where the mortgaged asset is real estate used as the Company’s office), and perform other actions in respect of the collateral in accordance with the mortgage agreements or as agreed by the parties, to ensure full recovery of the receivable.

7.2. The General Meeting of Shareholders assigns and authorizes the BOD to:

- a. Decide on the timing and method of sale/recovery or other measures in respect of the collateral to ensure financial safety indicators and the interests of the Company.
- b. Carry out notification, reporting and licensing procedures with competent authorities (if any) in accordance with applicable laws.
- c. Assign and authorize the Chief Executive Officer (if necessary) to implement the above tasks in accordance with applicable laws and the Company’s Charter.

(Details are provided in Proposal No. 04/2026/TT-HĐQT dated March 31, 2026 of the BOD).

8. The Proposal on approval of the extension of the implementation period for changing the Company’s head office address (as detailed in Proposal No. 05/2026/TT-HĐQT dated March 31, 2026 of the BOD);
9. Approved the election of 03 members of the BOD for the 2026–2031 term (including 01 independent member of the BOD) following the expiry of the term of the 03 incumbent BOD members for the 2021–2026 term. The following individuals have been elected as members of the BOD for the 2026–2031 term:

- Mr. Vu Manh Tien – Member of the BOD
- Ms. Vu Hai Anh – Member of the BOD
- Mrs. Do Thi Hong Hai – Independent Member of the BOD

Article 2. The General Meeting of Shareholders assigns the BOD of the Company to direct the implementation of the above matters in accordance with applicable laws and the Company’s Charter.

Article 3. This Resolution shall take effect from April 22, 2026. The BOD, the Board of Management, and all relevant departments and individuals of the Company shall be responsible for the implementation of this Resolution./.

Recipients:

- Shareholders;
- Members of the BOD;
- Board of Management, for implementation;
- The State Securities Commission and Stock Exchange, for information disclosure;
- Archived: BOD Offices.

**ON BEHALF OF THE GENERAL MEETING OF
SHAREHOLDERS
CHAIRMAN**



Nguyen Hai Chau



No.: 01/2026/BC-HĐQT

Hanoi, March 31, 2026

REPORT
**ON THE ACTIVITIES OF THE BOARD OF DIRECTORS IN 2025 AND THE
ORIENTATION FOR 2026**

Pursuant to the rights and duties of the Board of Directors (“BOD”) as prescribed by the Law on Enterprises and the Company’s Charter, the BOD respectfully reports to the General Meeting of Shareholders on the results of operations in 2025 and the direction of operations in 2026 as follows:

I. BOARD OF DIRECTORS’ ACTIVITIES IN 2025

As of December 31, 2025, the Board of Directors consisted of 5 members:

- | | |
|------------------------|--|
| - Mr. Nguyen Hai Chau | - Chairman of the Board of Directors |
| - Mr. Nguyen Thanh Hai | - Member of the Board of Directors cum CEO |
| - Ms. Do Thi Hong Hai | - Independent Member of the Board of Directors |
| - Mr. Vu Manh Tien | - Member of the Board of Directors |
| - Ms. Vu Hai Anh | - Member of the Board of Directors |

In 2025, the Board of Directors held all necessary meetings, issued resolutions, directed the implementation of the business plan, and monitored its execution. Specific activities included:

1. Directing and supervising the implementation of the business plan for 2025

The VN-Index ended 2025 with a 40,87% increase, marking a positive year of growth if considering only the index performance. However, looking deeper, the stock market in 2025, while considered positive, also contained many challenges. The market started with a strong surge, breaking through the 1.300-point mark, but this was followed by a volatile second quarter when the US imposed record-high tariffs on many countries globally. Vietnam received a 46% tariff, causing significant volatility and a drop to the 1.130–1.160 point range. After this “unexpected adverse event”, the US postponed the tariffs, and Vietnam negotiated a tariff reduction to around 20%, leading to a strong surge in the stock market, reaching a peak of nearly 1.800 points, with stocks across the market experiencing significant gains. However, difficulties remain, as from September onwards, the market entered a phase of divergence, with a sharp correction but the index not falling due to the strong, uneven growth

of some large-cap stock groups. Therefore, it can be said that 2025 was a year of positive growth for the market, but it still contained many difficulties and challenges for investors.

In the context of a challenging overall market, the Board of Directors focused on the following key activities last year:

- Strategic orientation and market monitoring: The Board of Directors regularly monitored market trends in the financial and securities markets, assessing the impact of macroeconomic factors and policies on the Company's operations. Based on this, the Board of Directors guided the Management Board to implement appropriate business solutions to improve business efficiency.
- Organizational restructuring and improvement: The Board of Directors directed a review of the organizational structure, rearrangement of human resources, and improvement of the management system to optimize operations and align with the Company's development direction.
- Brand identity change and business repositioning: In 2025, the Company officially changed its name to EVS Securities Joint Stock Company and implemented a new brand identity system associated with the message "Excellence in Value and Service," focusing on creating value and improving the quality of service for customers. Based on this, the Board of Directors directed to continue improving the quality of consulting services, strengthening market research and analysis to promptly introduce suitable products to best meet customer needs and enhance the service experience.
- Handling outstanding financial issues and strengthening the operational foundation: The Board of Directors instructed the Management Board to focus on implementing solutions to handle collateral assets for overdue receivables subject to multiple extensions, while reviewing and restructuring investment activities to improve capital utilization efficiency and minimize risks.
- Improving the governance and compliance system: The Board of Directors directed the review, amendment, and supplementation of internal regulations, business processes, and governance mechanisms to ensure compliance with legal regulations and minimize risks for the Company.
- Cost control and enhancement of resource efficiency: In the context of a volatile market, the Board of Directors requested the Management Board to strengthen cost control, optimize resource utilization, and improve operational efficiency to ensure financial stability and create a foundation for the sustainable development of the Company in the next phase.

Overall, the Board of Directors' 2025 operational strategy demonstrates a proactive and flexible approach and helps the Company overcome the general difficulties of a volatile economy and market. The Company's business results for 2025 are as follows:

Unit: VND million

No.	Target	Plan (2025)	Actual (2025)
1	Registered capital	1.648.006	1.648.006
2	Operating revenue	174.770	275.935
3	Net profit after tax	9.360	12.088

Source: Resolution No. 01/2025/NQ-DHĐCĐ of the Annual General Meeting of Shareholders dated April 22, 2025, and audited financial statements for 2025.

2. Implementation of the Shareholders' Meeting Resolutions

In 2025, the Board of Directors and the Management Board duly implemented the contents of the Annual General Meeting of Shareholders Resolution No. 01/2025/NQ-DHĐCĐ dated April 22, 2025 and the Extraordinary General Meeting of Shareholders Resolution No. 02/2025/NQ-DHĐCĐ dated December 11, 2025. Key contents included the consolidation of the governance structure, the revision of internal regulations, the implementation of solutions to handle collateral assets for accounts receivable that have been extended multiple times, and the implementation of several changes in the organization and operation of the Company in line with the restructuring and operational efficiency improvement orientation.

Regarding the handling of collateral assets: Based on the Board of Directors' Proposal No. 11/2025/TT-HĐQT dated November 19, 2025, which was approved by the General Meeting of Shareholders, and in accordance with the Company's regulations and applicable laws, the Board of Directors focused on handling the collateral assets securing accounts receivable that have been extended multiple times. Accordingly, the Board of Directors reports to the General Meeting of Shareholders as follows:

In 2025, EVS recovered 8.000.000 NVB shares, corresponding to the following recovered amounts:

Unit: VND

No.	Debtor's Name	Total receivables	Amount recovered	Remaining amount to be recovered
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1	Tien Thanh Consulting Services Co., Ltd.	737.522.389.297		737.522.389.297
2	Toan Xuan Thinh Investment and Trading Joint Stock Company	189.159.599.928	111.040.000.000	78.119.599.928
3	Viet Media Entertainment Services Trading Joint Stock Company	328.558.633.478		328.558.633.478
	Total	1.255.240.622.703	111.040.000.000	1.144.200.622.703

In the first quarter of 2026, EVS continued to recover 47.790.000 NVB shares, corresponding to the following recovered amounts:

No.	Debtor's Name	Total receivables	Amount recovered	Remaining amount to be recovered
1	Tien Thanh Consulting Services Co., Ltd.	737.522.389.297	218.652.366.522	518.870.022.775
2	Toan Xuan Thinh Investment and Trading Joint Stock Company	78.119.599.928	78.119.599.928	
3	Viet Media Entertainment Services Trading Joint Stock Company	328.558.633.478	328.558.633.478	
	Total	1.144.200.622.703	625.330.599.928	518.870.022.775

Regarding the sale of secured assets following the aforementioned recovery and the purchase, sale, or investment of the Company's assets as outlined in the Board of Directors' Submissions No. 10/2025/TT-HĐQT dated April 1, 2025, No. 13/2025/TT-HĐQT dated November 19, 2025, and No. 11/2025/TT-HĐQT dated November 19, 2025: The Board of Directors and the Management Board continue to seek and negotiate with partners to select the appropriate timing, selling price, and plan to ensure compliance with legal regulations and the Company's interests. These matters will continue to be implemented in 2026.

Regarding the change of the Company's registered address as per the Board of Directors' Proposal No. 12/2025/TT-HĐQT dated November 19, 2025: The Board of Directors and the Management Board continue to explore and negotiate with partners to select a suitable location and study options for changing the Company's registered address to ensure compliance with legal regulations and the Company's interests. These matters will continue to be implemented until the end of the second quarter of 2026.

Regarding the change of the Company's head office address under Proposal No. 12/2025/TT-HĐQT dated 19 November 2025 of the Board of Directors, as approved by the

Extraordinary General Meeting of Shareholders in 2025 (“**Proposal No. 12/2025/TT-HĐQT**”), the BOD and the Management Board are continuing to evaluate and negotiate with relevant partners to select an appropriate location and develop the plan for changing the Company’s head office address. Accordingly, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval the extension of the implementation timeline for the relevant tasks under the aforesaid Proposal until the end of 2026.

Entering 2026, amidst expectations of a more positive stock market thanks to improvements in the macroeconomic environment and supportive capital market policies, the Company continues to restructure its operations, enhance its management capabilities, and seize market opportunities to gradually improve operational efficiency and move towards sustainable development goals in the next phase.

3. BOD meetings and activities of its members

In 2025, the Board of Directors fully fulfilled its role in guiding, supervising, and making decisions on key issues. Accordingly, the Board held regular and extraordinary meetings, as well as conducted discussions via online meetings, emails and telephone, to discuss, review, and promptly issue important resolutions/decisions related to the Company’s strategic direction, organizational structure, risk management, and business operations. The Board of Directors also regularly monitored and supervised the implementation of its resolutions and the General Director’s operational plan, ensuring that the Company’s activities were carried out in accordance with the established direction, legal regulations, and internal rules.

3.1. Board of Directors meetings in 2025

No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Note
1	Nguyen Hai Chau	39/39	100%	
2	Nguyen Thanh Hai	23/39	59%	Appointed as a Board Member effective April 22, 2025
3	Do Thi Hong Hai	39/39	100%	
4	Nguyen Dinh Tuan	22/39	56%	Appointed as a Board Member effective April 22, 2025

				Dismissed from the position of Board Member effective December 11, 2025
5	Vu Manh Tien	39/39	100%	
6	Ngo Thanh Tung	38/39	97%	Dismissed from the position of Board Member effective December 11, 2025
7	Vu Hai Anh	39/39	100%	
8	Nguyen Thanh Chung	16/39	41%	Dismissed from the position of Board Member effective April 22, 2025
9	Tran Dinh Cuong	16/39	41%	
10	Hoang Don Hung	16/39	41%	
11	Nguyen Xuan Ha	16/39	41%	
12	Pham Hong Minh	16/39	41%	

The Board of Directors' Resolutions/Decisions for the year 2025 are as follows:

No.	Resolution/Decision Number	Day	Content	Approval rate
1	01A/2025/NQ-HĐQT	January 3, 2025	Approval of the contract/transaction between the Company and Ms. Vu Hai Anh (Member of the Board of Directors)	88.89%
2	01/2025/NQ-HĐQT	January 6, 2025	Approval of the contract/transaction between the Company and Dong Nai Construction Materials and Fuel Joint Stock Company	90%
3	02/2025/NQ-HĐQT	January 7, 2025	Approval of the issuance of the "Risk Policy" and the approval of the Company's total risk limit for 2025.	100%
4	03/2025/NQ-HĐQT	January 10, 2025	Approval of the dismissal of Mr. Pham Hong Minh from the position of General Director of the Company.	100%
5	04/2025/NQ-HĐQT	January 10, 2025	Approval of the appointment of Mr. Nguyen Thanh Hai as the General Director of the Company.	100%

6	05/2025/NQ-HĐQT	January 10, 2025	Approval of the termination of the employment contract between the Company and Mr. Pham Hong Minh - General Director	100%
7	06/2025/NQ-HĐQT	January 10, 2025	Approval of the change of the Company's Legal Representative.	100%
8	07/2025/NQ-HĐQT	January 23, 2025	Convening the Annual General Meeting of Shareholders 2025 (Date of Shareholders' Registration: February 17, 2025)	100%
9	08/2025/NQ-HĐQT	February 20, 2025	Approval of the corporate restructuring plan and other related matters within the authority of the Board of Directors.	100%
10	09/2025/NQ-HĐQT	February 20, 2025	Approval of the closure of the Ba Trieu Branch and the dismissal of Ms. Vu Thi Thanh Hang from her position as Director of the Ba Trieu Branch.	100%
11	10/2025/NQ-HĐQT	February 20, 2025	Approval of the relocation and withdrawal of securities underwriting services of the Nguyen Trai Branch.	100%
12	11/2025/NQ-HĐQT	February 20, 2025	Approval of changes in location or reduction of leased area and withdrawal of securities underwriting services by the Saigon Branch.	100%
13	11A/2025/NQ-HĐQT	February 21, 2025	Approval of the implementation and execution of proposals submitted to the General Meeting of Shareholders for approval of policies on the purchase, sale, investment, transfer, investment cooperation, capital contribution, and other asset transactions with a maximum value of up to 80% of the total asset value, and such transactions between the Company and related parties and insiders of the Company falling under	100%

			the approval authority of the General Meeting of Shareholders (if any)	
14	12/2025/NQ-HĐQT	February 26, 2025	Approval of the cancellation of the record date of February 17, 2025, for exercising the right to attend the 2025 Annual General Meeting of Shareholders and the cancellation of the list of securities holders under number V052/2025-EVS/VSDC-ĐK	100%
15	13/2025/NQ-HĐQT	March 3, 2025	Convening the Annual General Meeting of Shareholders 2025 (Date of Shareholders' Registration: March 24, 2025)	100%
16	14/2025/NQ-HĐQT	March 6, 2025	Approval of the relocation of the Saigon Branch.	100%
17	14A/2025/NQ-HĐQT	March 20, 2025	Approval of the extension of payment terms for the payment obligations of Tien Thanh Consulting Services Co., Ltd.	100%
18	15/2025/NQ-HĐQT	March 24, 2025	Approval of the dismissal of Mr. Le Minh Khue from the position of Deputy General Director	100%
19	16/2025/NQ-HĐQT	March 24, 2025	Approval of the dismissal of Mr. Le Minh Khue from the position of Director of the Nguyen Trai Branch and the appointment of Ms. Vu Thi Thanh Hang as Director of the Nguyen Trai Branch.	100%
20	17/2025/NQ-HĐQT	April 1, 2025	Approval of the time, venue, agenda and draft documents for the 2025 Annual General Meeting of Shareholders	100%
21	18/2025/NQ-HĐQT	April 8, 2025	Approval of the dismissal of Mr. Nguyen Phi Long from the position of Deputy General Director	100%

22	19/2025/NQ-HĐQT	April 8, 2025	Approval of the dismissal of Mr. Ta Minh Anh from the position of Director of the Saigon Branch and the appointment of Ms. Tran Thi Le Quyen as Director of the Saigon Branch.	100%
23	19A/2025/NQ-HĐQT	April 23, 2025	Approval of the allocation of monthly remuneration for the Board of Directors and the Audit Committee in 2025.	100%
24	20/2025/NQ-HĐQT	April 28, 2025	Approval of the dissolution of units under the Board of Directors.	100%
25	22/2025/NQ-HĐQT	May 7, 2025	Approval of the dismissal of Mr. Nguyen Hai Chau from his position as a member of the Audit Committee and the appointment of Ms. Vu Hai Anh as a member of the Audit Committee.	100%
26	23/2025/NQ-HĐQT	May 12, 2025	Approval of the dismissal of Mr. Tran Vu Hong Quang from the position of Head of Internal Audit Department and the appointment of Mr. Tran Cao Khanh as Head of Internal Audit Department.	100%
27	24/2025/NQ-HĐQT	May 30, 2025	Approval of a contract/transaction between the Company and its related party - Central Pharmaceutical Company I - Pharbaco (PBC)	100%
28	25/2025/NQ-HĐQT	May 30, 2025	Approval of adjustments to the floor area of the Company headquarters.	100%
29	26/2025/NQ-HĐQT	June 2, 2025	Approval of the extension of payment terms for the payment obligations of Toan Xuan Thinh Investment and Trading Joint Stock Company.	100%
30	27/2025/NQ-HĐQT	June 2, 2025	Approval of the extension of payment terms for the payment obligations of Viet Media Investment, Trading and Entertainment Services Joint Stock Company.	100%

31	28/2025/NQ-HĐQT	June 4, 2025	Approval of changing the names of the Company's branches.	100%
32	29/2025/NQ-HĐQT	June 30, 2025	Approval of the termination of the contract/transaction between the Company and the insider - Ms. Vu Hai Anh	100%
33	30/2025/NQ-HĐQT	July 4, 2025	Approval of the issuance of the "Company's Internal Governance Regulations"	100%
34	31/2025/NQ-HĐQT	July 4, 2025	Approval of the issuance of the "Regulations on the Organization and Operation of the Company's Board of Directors"	100%
35	32/2025/NQ-HĐQT	July 16, 2025	Approval of the assignment of duties, powers, and responsibilities in the operation of the Company's Board of Directors.	100%
36	33/2025/NQ-HĐQT	July 18, 2025	Approval of the extension of payment terms for the payment obligations of Toan Xuan Thinh Investment and Trading Joint Stock Company.	71.43%
37	34/2025/NQ-HĐQT	July 18, 2025	Approval of the extension of payment terms for the payment obligations of Tien Thanh Consulting Services Co., Ltd.	71.43%
38	35/2025/NQ-HĐQT	July 30, 2025	Approval of the contract/transaction between the Company and Ms. Vu Hai Anh (Member of the Board of Directors)	100%
39	36/2025/NQ-HĐQT	August 6, 2025	Approval of the credit granting plan for 2025 at Vietnam Foreign Trade Commercial Bank - Thanh Xuan Branch.	100%
40	37/2025/NQ-HĐQT	August 19, 2025	Approval of the contract/transaction between the Company and Mr. Vu	100%

			Manh Tien (Member of the Board of Directors)	
41	38/2025/NQ-HĐQT	August 27, 2025	Approval of the issuance of the Company's "Risk Management Regulations"	100%
42	39/2025/NQ-HĐQT	October 14, 2025	Approval of the plan to receive credit limits in 2025 at the Electricity Corporation's General Finance Company.	100%
43	39A/2025/NQ-HĐQT	October 14, 2025	Approval of the continued implementation of corporate restructuring in accordance with Resolution No. 08/2025/NQ-HĐQT dated February 20, 2025, of the Board of Directors.	100%
44	39B/2025/NQ-HĐQT	October 14, 2025	Approval of the implementation and submission to the General Meeting of Shareholders of the policy for handling collateral assets securing receivables that have been extended multiple times, and transactions falling under the authority of the General Meeting of Shareholders.	100%
45	40/2025/NQ-HĐQT	October 22, 2025	Approval of the extension of payment terms for the payment obligations of Tien Thanh Consulting Services Co., Ltd.	100%
46	41/2025/NQ-HĐQT	October 22, 2025	Approval of the extension of payment terms for the payment obligations of Viet Media Investment, Trading and Entertainment Services Joint Stock Company.	100%
47	42/2025/NQ-HĐQT	October 22, 2025	Approval of the extension of payment terms for the payment obligations of	100%

			Toan Xuan Thinh Investment and Trading Joint Stock Company.	
48	43/2025/NQ-HĐQT	October 23, 2025	Approval of the convening of an extraordinary general meeting of shareholders in 2025.	100%
49	44/2025/NQ-HĐQT	October 30, 2025	Approval of the option of acquiring property rights arising from the ownership of individual corporate bonds.	100%
50	45/2025/NQ-HĐQT	November 19, 2025	Approval of the time, venue, agenda and draft documents for the Company's 2025 Extraordinary General Meeting of Shareholders	100%
51	46/2025/NQ-HĐQT	November 19, 2025	Approval of the establishment of the Organizing Committee for the Company's 2025 Extraordinary General Meeting of Shareholders.	100%
52	47/2025/NQ-HĐQT	December 18, 2025	Approval of the issuance of the "Company's Internal Governance Regulations"	100%
53	48/2025/NQ-HĐQT	December 18, 2025	Approval of the issuance of the "Regulations on the Organization and Operation of the Company's Board of Directors"	100%

The Chairman of the Board of Directors is responsible for coordinating and directing the activities of the Board of Directors; developing work programs and plans in accordance with the Company's operational situation and the resolutions of the General Meeting of Shareholders. At the same time, together with the members of the Board of Directors, he/she monitors and supervises the implementation of the Board's resolutions and considers and handles any arising issues within the Board of Directors' authority.

Non-executive members of the Board of Directors perform supervisory functions over the activities of the Management Board, while also providing independent and objective opinions in the process of reviewing and deciding on issues within the Board of Directors' authority,

contributing to improving governance efficiency and supporting the Management Board in the management of the Company.

3.2. Other directing and supervisory activities/duties

In 2025, the Board of Directors worked closely and with a high sense of responsibility, promptly issuing important resolutions and directions for the Company's operations. Specifically, as follows:

- In relation to the Management Board: The Chairman of the Board of Directors regularly communicates and works with the Management Board to receive updates on the Company's operations, while also providing guidance, direction, and considering proposals and recommendations in the management process, ensuring that management decisions are consistent with market developments and the Company's development direction.
- The Board of Directors consistently adhered to the objectives and operational plans set by the General Meeting of Shareholders, while also directing the Management Board to implement solutions appropriate to the actual conditions.
- The Management Board actively implemented operational activities in accordance with the direction and decisions of the General Meeting of Shareholders and the Board of Directors, such as: focusing on comprehensive restructuring; reviewing and evaluating the effectiveness of business operations; promoting the handling and recovery of outstanding receivables; perfecting the internal regulations and procedures to improve governance efficiency, control risks, and ensure full compliance with legal regulations as well as the requirements of regulatory agencies. At the same time, the Management Board regularly reported and exchanged information with the Board of Directors on the Company's operational situation, thereby helping the Board of Directors to promptly provide necessary directions and adjustments in line with market developments.

4. Remuneration of the Board of Directors and the Audit Committee in 2025

No.	Target	Number	Remuneration fund for payment in 2025 (VND)
A	Board of Directors' Remuneration		
1	Chairman of the Board	1	3.765.000.000
2	Board Member	9	2.900.525.692

B	Remuneration of the Audit Committee		
1	Chairman of the Audit Committee	1	280.000.000
2	Member of the Audit Committee	1	186.800.000
	Total		7.132.325.692

The total remuneration fund for the Board of Directors and the Audit Committee in 2025, as approved by the General Meeting of Shareholders, is VND 8.500.000.000 (Eight billion, five hundred million dong). The amount of remuneration already paid to members of the Board of Directors and the Audit Committee is VND 7.132.325.692 (Seven billion, one hundred thirty-two million, three hundred twenty-five thousand, six hundred ninety-two dong).

For members of the Board of Directors who also hold other positions within the Company, they are entitled to additional income in accordance with the Company's salary system.

5. Results of monitoring the performance of the Management Board and management personnel

In 2025, the Management Board and management personnel proactively implemented operational activities in accordance with the Board of Directors' guidelines and resolutions amidst a volatile stock market. Operational activities and issues arising during operations were promptly reported to the Board of Directors, and full information was provided to support the oversight work of the Audit Committee and relevant departments.

The Management Board focused on implementing the following key areas:

- Implemented operational restructuring, reviewed and reorganized investment activities in a prudent manner, improving capital efficiency and strengthening risk management;
- Implemented measures related to the handling of collateral assets and the recovery of outstanding receivables to improve the Company's financial situation and enhance its operational efficiency;
- Implemented organizational changes, and reviewed and streamlined the organizational structure and personnel in line with the restructuring direction.
- The Company officially changed its name to EVS Securities Joint Stock Company and implemented a new corporate identity system with the message "Excellence in Value and Service".

- Reviewed and improved the internal regulations, procedures, and securities trading operations to ensure compliance with legal regulations and the requirements of regulatory authorities;
- The Management Board closely monitored market developments, promptly adjusted business operations, and focused on improving the quality of human resources to enhance the Company's operational efficiency.

II. ACTIVITIES OF INDEPENDENT BOARD MEMBERS AND THEIR EVALUATION OF THE BOD'S PERFORMANCE

1. List of Independent Board Members

In 2025, the number of members of the Company's Board of Directors changed according to resolutions of the General Meeting of Shareholders. However, at all times during the year, the number of independent members of the Board of Directors and members serving on the Audit Committee ensured compliance throughout the year with the provisions of the Enterprise Law, the Securities Law, and the Company's Charter, specifically:

- **Before April 22, 2025** (The number of members on the Company's Board of Directors was 11)

No.	Independent member of the Board of Directors	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	
2	Hoang Don Hung	November 2, 2023	April 22, 2025
3	Tran Dinh Cuong	November 2, 2023	April 22, 2025

- **From April 22, 2025 to December 11, 2025** (The number of members on the Company's Board of Directors was 7)

No.	Independent Board Member	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	
2	Nguyen Dinh Tuan	April 22, 2025	December 11, 2025

- **From December 11, 2025 to December 31, 2025** (the number of members of the Company's Board of Directors was 5)

No.	Independent member of the Board of Directors	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	

2. Activities of Independent Board Members

Based on the provisions of the law, the Company Charter, and relevant internal regulations, in 2025, the independent members of the Board of Directors fully exercised their powers, functions, and duties as prescribed. The members fully participated in the meetings of the Board of Directors and actively contributed opinions on matters within their authority.

Based on ensuring operational independence, the independent members of the Board of Directors provided objective opinions and assessments, contributing to supporting the Board of Directors in considering and deciding on important issues of the Company. At the same time, the members performed their supervisory function over the activities of the Board of Directors, the Management Board, and related departments, ensuring compliance with legal regulations and the Company Charter, protecting the legitimate rights and interests of shareholders, contributing to limiting conflicts of interest, and improving the effectiveness of Company governance.

3. Evaluating the performance of the Board of Directors

3.1. Organization of Board meetings

- In 2025, the Board of Directors held a total of 39 meetings, ensuring full compliance with the procedures and regulations stipulated by law, the Company Charter, and internal regulations. Meeting notices and related documents were sent fully and promptly to Board members before the meeting as required, allowing members to study and provide input.
- The topics considered and discussed at the meetings focused on key issues for the Company, including: restructuring operational direction, handling and recovering outstanding receivables, improving the organizational structure, adjusting business strategy, and enhancing the efficiency of capital utilization in line with the market context of 2025.
- The resolutions and decisions of the Board of Directors were issued on a prudent basis, in accordance with the established business strategy and plan and were aligned with the business strategy and plans approved by the General Meeting of Shareholders, while ensuring compliance with legal regulations, the Company's Articles of Association, and relevant internal regulations. The meeting minutes were fully prepared, signed by all

attending members as required, ensuring legal validity and transparency in the Company's governance.

3.2. Supervision of the CEO and other members of the Management Board

- In 2025, the Board of Directors performed its supervisory function over the General Director, the Management Board, and relevant departments in accordance with the law, the Company's Charter, and internal regulations. This supervision was carried out through reviewing periodic reports, the results of implementing resolutions and decisions of the Board of Directors, as well as attending and participating in relevant meetings.
- The Board of Directors regularly monitored and evaluated the Management Board's performance in key areas, including: implementing the business plan, carrying out operational restructuring, handling and recovering accounts receivable, improving the organizational structure, and enhancing the efficiency of capital utilization. This helped ensure that the Company's operations were conducted in accordance with the established direction, are suitable for market conditions, and comply with legal regulations.
- In performing its supervisory function, the Board of Directors simultaneously coordinated with and supported the General Director through exchanges, consultations, and sharing of management experience at meetings. This coordination contributed to improving the efficiency of operations, enhancing the ability to respond to market fluctuations, and ensuring the stable and secure operation of the Company.

3.3. Overall assessment of the Board of Directors' performance

- In 2025, the Board of Directors fully performed its functions and duties as stipulated by law, the Company's Charter, regulations, and resolutions of the General Meeting of Shareholders. The Board's activities were conducted in a transparent, prudent manner, consistent with the Company's actual conditions and market developments.
- The Board of Directors played a guiding and supervisory role for the Management Board in implementing key solutions, including: operational restructuring; handling and recovery of receivables; risk control in proprietary trading and margin lending; and improving the efficiency of capital utilization. At the same time, through the activities of the Audit Committee, the Board of Directors strengthened supervision over the preparation and publication of financial statements, the internal control system, risk management, and compliance with relevant legal regulations.
- Based on monitoring reports, the Board of Directors promptly issued directives and recommendations to improve governance and control key risks such as market risk,

credit risk from margin lending activities, debt recovery risk, and compliance risk. This contributed to maintaining stable and safe operations and gradually improving the Company's operational capacity in a volatile market environment.

- However, the disclosure of information was slow at times compared to current regulations and needed adjustment to protect EVS's reputation and transparency in the market. Based on the monitoring activities in 2025, the independent member of the Board of Directors recommends that the Board of Directors continue to direct the General Director to strengthen portfolio management and debt recovery, closely control margin lending activities and the quality of collateral assets. At the same time, it is necessary to improve the information disclosure process to enhance timeliness, accuracy, and compliance with legal regulations, and continue to review and optimize operational activities and cost control to improve the Company's operational efficiency.

III. ACTIVITIES OF THE AUDIT COMMITTEE AND SUBCOMMITTEES /COMMITTEES/UNITS UNDER THE BOARD OF DIRECTORS

1. List and members of subcommittees/committees/units reporting to the Board of Directors

To increase operational efficiency within its authority and maximize benefits for the Company, the Board of Directors has established the Audit Committee and various subcommittees/committees/units under the Board of Directors to serve as support bodies for the Board in managing the Company. The subcommittees/committees/units under the Board of Directors and their respective members in 2025 are as follows:

No.	Full name	Position	Note
I	Audit Committee		
1	Ms. Do Thi Hong Hai	Chairman of the Audit Committee	
2	Mr. Nguyen Hai Chau	Member of the Audit Committee	Dismissal date: May 7, 2025
3	Ms. Vu Hai Anh	Member of the Audit Committee	Appointment date: May 7, 2025
II	Internal Audit Department		
1	Mr. Tran Vu Hong Quang	Head of Internal Audit Department	Dismissal date: May 12, 2025

No.	Full name	Position	Note
2	Mr. Tran Cao Khanh	Head of Internal Audit Department	Appointment dated May 12, 2025
III	Standing Committee of the Board of Directors		Dissolved on April 28, 2025
1	Mr. Nguyen Hai Chau	Chairman of the Board	
2	Mr. Vu Manh Tien	Board Member	
3	Mr. Pham Hong Minh	Board Member	
4	Ms. Do Thi Hong Hai	Independent Board Member	
5	Mr. Tran Dinh Cuong	Independent Board Member	
6	Mr. Hoang Don Hung	Independent Board Member	
7	Ms. Vu Hai Anh	Board Member	
IV	Investment Council		
1	Mr. Nguyen Hai Chau	Chairman of the Investment Council	
2	Mr. Nguyen Thanh Hai	Member of the Investment Council	
3	Mr. Vu Manh Tien	Member of the Investment Council	
V	Personnel and Organization Committee		Dissolved on April 28, 2025
1	Mr. Nguyen Hai Chau	Head of the Personnel and Organization Committee	
2	Mr. Pham Hong Minh	Member of the Personnel and Organization Committee	
3	Mr. Ngo Thanh Tung	Member of the Personnel and Organization Committee	
4	Ms. Vu Hai Anh	Member of the Personnel and Organization Committee	
VI	Risk Management Committee		Dissolved on April 28, 2025
1	Mr. Vu Manh Tien	Head of the Risk Management Committee	
2	Ms. Do Thi Hong Hai	Member of the Risk Management Committee	

No.	Full name	Position	Note
3	Mr. Hoang Don Hung	Member of the Risk Management Committee	
4	Ms. Ngo Thi Thu Huong	Secretary of the Risk Management Committee	
VII	Technology Subcommittee		Dissolved on April 28, 2025
1	Mr. Nguyen Hai Chau	Head of the Technology Subcommittee	
2	Mr. Pham Hong Minh	Member of the Technology Subcommittee	
VIII	Business Development Subcommittee		Dissolved on April 28, 2025
1	Mr. Pham Hong Minh	Head of the Business Development Subcommittee	
2	Ms. Do Thi Hong Hai	Member of the Business Development Subcommittee	
3	Mr. Nguyen Thanh Chung	Member of the Business Development Subcommittee	

Based on the actual operational situation of the units under the Board of Directors as well as the current needs of the Company, on April 28, 2025, according to Resolution No. 20/2025/NQ-HDQT, the Board of Directors met and unanimously approved the dissolution of the following units:

- Standing Committee of the Board of Directors;
- Risk Management Committee;
- Personnel and Organization Committee;
- Technology Subcommittee;
- Business Development Subcommittee.

At the same time, personnel affiliated with these units also ceased their duties at those units from the date of dissolution.

2. Activities of the Audit Committee

- ✓ The activities of the Audit Committee include the following main points:
 - Performed supervisory and review functions regarding the Company's financial statements as required; review published information related to the Company's financial

situation; conduct an overall assessment of the Company's internal control system and risk management practices based on information provided by the Board of Directors and related reports.

- Reviewed, examine, and assess compliance with legal regulations regarding transactions with related parties that fall under the Board of Directors' approval authority.
 - Conducted monitoring to assess the level of compliance with legal regulations, requirements of state management agencies, and internal company regulations within the scope of assigned functions and duties.
 - Based on the monitoring results, the Audit Committee provides opinions and recommendations to the Board of Directors regarding risk management policies and measures to address any arising risks (if any), but does not replace the executive role of the General Director.
 - Performed other duties of the Audit Committee as prescribed by law and the Company's Articles of Association.
- ✓ Audit Committee meetings in 2025:

No.	Member of the Audit Committee	Number of meetings attended	Meeting attendance rate	Voting ratio	Reasons for not attending the meeting.
1	Do Thi Hong Hai	3	3/3	100%	
2	Nguyen Hai Chau	1	1/3	33%	Dismissal from the position of Member of the Audit Committee effective May 7, 2025
3	Vu Hai Anh	2	2/3	67%	Appointed as a Member of the Audit Committee effective May 7, 2025.

- ✓ The details of the Audit Committee meetings in 2025 are as follows:
- Financial reporting oversight: Monitoring the progress of the Company's periodic reporting and disclosure; recording data based on reports provided by the Board of Directors and confirmed by the auditing firm.
 - Risk Management and Internal Control: Reviewed the internal control and risk management system; Coordinated the review of assessment reports on the internal

control and risk management system to propose improvements appropriate to the actual situation; Coordinated the development and submission to the Board of Directors of policies for risk detection and management; Proposed solutions to the Board of Directors for handling risks arising in the Company's operations.

- Related Party Transaction Control: Updated and monitored related party transaction portfolios based on reports from various units, ensuring compliance with internal procedures and applicable legal regulations.
- Selection of Independent Audit Firm: Proposed a list of qualified audit firms for the Board of Directors' consideration and selection.
- Audit Monitoring in 2025: Tracked the progress of the 2025 financial statement audit, monitor the progress and quality of the 2025 financial statement audit process, ensuring complete, accurate, and timely information; Monitor and evaluate the independence and objectivity of the audit firm and the effectiveness of the audit process.
- Other supervisory duties include: Supervising the Company's Internal Audit Department; supervising to ensure the company complies with legal regulations, regulatory requirements, and other internal company regulations.

3. Activities of the Internal Audit Department

- Review the business performance report, financial statements, and other periodic reports;
- Analyzing and evaluating the financial situation, operational efficiency, ability to preserve and grow capital, and key risks;
- Conduct inspections and reviews of business operations' compliance with internal policies, procedures, and regulations according to the approved internal audit plan, ensuring quality and effectiveness;
- Internal audits on anti-money laundering are conducted in accordance with internal regulations and legal provisions on anti-money laundering.
- Propose corrective measures to address shortcomings; suggest ways to improve and enhance the effectiveness and efficiency of the internal control system;
- Report and evaluate the company's operational and management performance.

4. Activities of the Standing Committee of the Board of Directors

- Organize, inspect, and monitor the implementation of policies, resolutions, decisions, and directives of the General Meeting of Shareholders and the Board of Directors.
- To monitor, direct, and supervise the Company's management team in carrying out assigned tasks, the ability to organize and implement business plans, and compliance with the Company's internal regulations, Articles of Association, and current legal regulations.
- Perform other duties and responsibilities assigned/authorized by the Board of Directors arising during the course of operations and report back to the Board of Directors at meetings on decisions and approvals related to these matters.

5. Activities of the Investment Council

- ✓ The activities of the Investment Council include the following main points:
 - To advise and make recommendations to the Board of Directors on the development, adjustment, and supplementation of investment orientations, strategies, policies, operational mechanisms, investment management, regulations, procedures, rules, and other issues related to investment activities, in accordance with EVS's actual business operations and the policies and guidelines of competent state agencies and legal regulations;
 - Propose investment opportunities and options that are appropriate to the Company's conditions and circumstances at each point in time, and submit them to the Board of Directors for approval;
 - Making investment decisions within the scope of authorization/assignment of the Board of Directors in accordance with the Investment Regulations, relevant company documents, and legal regulations;
 - Review and evaluate the suitability and effectiveness of EVS's investment processes and operating mechanisms to provide recommendations and proposals to the Board of Directors regarding necessary changes;
 - Monitoring and overseeing compliance with regulations, procedures, and investment policies of the Board of Directors and investment activities carried out by the General Director;
 - Other activities as assigned by the Board of Directors, company regulations, and the law.
- ✓ Meetings of the Investment Council in 2025 :

No.	Member of the Investment Council	Number of meetings attended	Meeting attendance rate	Voting ratio	Reasons for not attending the meeting.
1	Nguyen Hai Chau	1	1/1	100%	
2	Nguyen Thanh Hai	1	1/1	100%	
3	Vu Manh Tien	1	1/1	100%	

6. Activities of the Personnel and Organization Committee

- Advise and propose changes or adjustments to the Board of Directors regarding personnel and organizational structure, in line with the Company's strategic objectives and situation;
- Advising the Board of Directors on human resource development and management strategies;
- Providing consultation, support in implementation, monitoring, and evaluation of the effectiveness of training plans, including: training programs, training targets, training schedules, and training budgets.

7. Activities of the Risk Management Committee

- To advise and consult the Board of Directors on the development and maintenance of a comprehensive risk management framework, including matters related to the issuance of risk management procedures and policies related to risk management in business operations in accordance with the law and company regulations;
- Analyze and provide timely warnings about the company's capital safety level in the face of potential risks and hazards that may affect it, and develop and propose preventive measures to proactively mitigate risks in both the short and long term;
- Regularly review and assess the suitability and effectiveness of current risk management processes and policies to provide specific recommendations and proposals to the Board of Directors regarding necessary changes to current processes, policies, and business strategies of the Company in order to optimize risk management effectiveness;
- To advise the Board of Directors on decisions regarding the approval of investments, related transactions, governance policies, and risk management plans within the scope of functions and duties assigned by the Board of Directors.

8. Activities of the Technology Subcommittee

- Providing strategic advice to the Board of Directors on technology development direction and plans, including proposing plans, standards, and strategic directions for

technology development to enhance management and operational capabilities and competitiveness in securities management;

- Analyze technology trends and assess internal needs to provide recommendations on technology application to optimize processes and improve performance;
- Research, develop, and assess the feasibility, effectiveness, and potential risks before submitting to the Board of Directors policies related to technology matters within the Board's authority.

9. Activities of the Business Development Subcommittee

- Evaluate and approve modifications and adjustments that pose significant risks to existing products and involve complex transactions.
- To advise the Board of Directors on the direction of development and growth of EVS products in each specific period, based on the assessment and analysis of market trends, customer needs, the company's situation, and the competitive landscape;
- Evaluate and approve EVS's products/product programs based on market needs, projected revenue, risk levels, and investment costs associated with each specific product;
- Product risk management oversight in the implementation of products/product programs ensures that product-related risks are appropriately identified, assessed, and controlled, in compliance with relevant policies and approvals;
- Advise the Board of Directors and the Management Board on establishing control mechanisms and defining responsibilities for relevant units and individuals in product development when necessary, in order to optimize processes, minimize errors, and improve coordination efficiency;
- Evaluate and approve modifications and adjustments that pose significant risks to existing products and involve complex transactions.

IV. DIRECTION AND OPERATIONAL PLAN OF THE BOARD OF DIRECTORS FOR 2026

The company has set the following business plan targets for 2026:

Unit: million VND

No.	Target	To be implemented by 2025	Plan 2026
1	Registered capital	1.648.006	1.648.006
2	Operating revenue	275.935	122.900

3	Profit before tax	17.819	7.424
4	Net profit after tax	12.088	5.939

To achieve the business objectives for 2026, the Company's Board of Directors respectfully submits to the General Meeting of Shareholders for approval the following key activities for 2026:

- Strengthening the foundation of corporate governance: Continuing to improve the corporate governance system, enhancing internal control and risk management to ensure that the Company's operations are transparent, safe, and fully compliant with all legal regulations and regulatory requirements.
- Improving business performance: Promoting the implementation of solutions to improve business efficiency, gradually consolidating and developing the Company's core business segments, while expanding the customer base and enhancing the quality of products and services provided to customers.
- Strengthening financial capacity and optimizing capital resources: Focusing on reviewing asset structure, improving asset quality and capital utilization efficiency; gradually resolving outstanding receivables, while diversifying capital sources to support the Company's business operations.
- Boosting investment in technology and digital transformation: Investing in upgrading information technology systems, improving trading platforms, and strengthening information security and safety solutions to meet the increasingly high demands of the market and regulatory agencies.
- Human resource development and brand value enhancement: Focus on building a highly skilled and competent workforce, while continuing to implement activities to enhance the image and reputation of the EVS brand in the market, creating a foundation for stable and sustainable development in the coming years.
- Improving investment efficiency, controlling risks, and strengthening the compliance monitoring system: Implementing a prudent and flexible investment strategy, enhancing market analysis, diversifying the portfolio, and controlling risks to ensure the safety and efficient use of capital. Simultaneously, ensuring the preparation and submission of complete, accurate, and timely reports; strengthening the monitoring of related-party transactions to ensure compliance with legal regulations, the Company's Articles of Association, and enhancing transparency in operations.

Board of Directors ‘ summary report on its activities in 2025 and its operational plan for 2026. The Board of Directors submits this report to the General Meeting of Shareholders of EVS Securities Joint Stock Company for consideration and approval./.

Recipient:

- As above;
- Management Board;
- Saved: BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS



Nguyen Hai Chau

lên.

No.: 04./2026/BC-UBKT

Hanoi, March 31, 2026

REPORT
ON THE ACTIVITIES OF THE INDEPENDENT MEMBER OF THE
BOARD OF DIRECTORS ON THE AUDIT COMMITTEE IN 2025

To: The General Meeting of Shareholders

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and as amended and supplemented from time to time, together with its implementing regulations ("Law on Enterprises");*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and as amended and supplemented from time to time, together with its implementing regulations ("Law on Securities");*
- *The Charter of EVS Securities Joint Stock Company ("the Company/EVS") and related internal regulations;*
- *The powers and duties of the Audit Committee;*

I. STRUCTURE OF THE BOARD OF DIRECTORS AND THE INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS

In 2025, the number of members of the Company's BOD changed according to the resolutions of the General Meeting of Shareholders. However, at all times during the year, the number of independent members of the Board and the independent member of the Board serving on the Audit Committee complied with the Law on Enterprises, the Law on Securities and the Company's Charter.

1. Before April 22, 2025 (the Company's Board comprised 11 members)

No.	Independent member of the BOD	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	
2	Hoang Don Hung	November 2, 2023	April 22, 2025
3	Tran Dinh Cuong	November 2, 2023	April 22, 2025

2. From April 22, 2025 to December 11, 2025 (the Company's Board comprised 07 members)

No.	Independent member of the BOD	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	
2	Nguyen Dinh Tuan	April 22, 2025	December 11, 2025

3. From December 11, 2025 to December 31, 2025 (the Company's Board comprised 05 members)

No.	Independent member of the BOD	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	

4. Independent member of the Board serving on the Audit Committee

No.	Member	Appointment date	Dismissal date
1	Do Thi Hong Hai	September 30, 2021	

II. COMPOSITION AND OPERATION OF THE AUDIT COMMITTEE

1. Composition of the Audit Committee

In 2025, the Company's Audit Committee comprised 02 members, including:

- 01 Chairperson of the Audit Committee (Independent Member of the BOD)
- 01 Member of the Audit Committee (Non-executive member of the BOD)

List of members of the Audit Committee:

No.	Member of the Audit Committee	Position	Start date	End date	Reason
1	Do Thi Hong Hai	Chairman of the Audit Committee	September 30, 2021		Appointment
2	Nguyen Hai Chau	Member of the Audit Committee	April 5, 2021	May 7, 2025	Dismissal
3	Vu Hai Anh	Member of the Audit Committee	May 7, 2025		Appointment

2. Activities of the Audit Committee

In 2025, the Audit Committee held regular meetings and carried out supervisory activities in accordance with its assigned functions and duties.

2.1. Meetings of the Audit Committee

No.	Member of the Audit Committee	Number of meetings attended	Attendance rate	Voting ratio	Reason
1	Do Thi Hong Hai	3	100%	100%	
2	Nguyen Hai Chau	1	33%	33%	Dismissal effective May 7, 2025
3	Vu Hai Anh	2	67%	67%	Appointment effective May 7, 2025

2.2. Key responsibilities performed

- Supervision of the Company's financial statements and financial and accounting system: monitoring the preparation and disclosure of financial statements; reviewing the reasonableness and accuracy of financial data based on reports from the Executive Management and the opinions of the independent auditor; monitoring the progress and quality of the audit; and assessing the independence and objectivity of the auditing firm.
- Supervision of the activities of the Board of Directors: attending meetings of the BOD in order to stay informed and provide independent professional opinions; supervising the implementation of resolutions of the GMS and the BOD to ensure consistency with the approved governance direction.
- Supervision of the activities of the Executive Management: overseeing the implementation of resolutions of the GMS and the BOD, and compliance by the Executive Management with applicable laws and internal regulations.
- Other supervision: monitoring compliance with laws, internal regulations, and other operational matters.

III. MONITORING RESULTS IN 2025

The Company maintained a stable governance foundation and generally complied with legal regulations applicable to the securities sector.

1. Assessment by area

1.1. Financial statements and financial and accounting system

- The 2025 financial statements have been audited by AASC Auditing Firm Co., Ltd. and, in all material respects, present fairly, in all material respects, the financial position of the Company.
- The Company's accounting practices comply with Vietnamese Accounting Standards applicable to securities companies.

Unit: VND million

No.	Item	2025
1	Operating revenue	275,935
2	Profit after tax	12,088

Source: Data extracted from the 2025 audited financial statements

- The accounting work was carried out in compliance with Vietnamese accounting standards applicable to securities companies.
- The accounting system operated stably, complied with Vietnamese accounting standards, and ensured full and timely recording and reporting.

1.2. Activities of the Board of Directors

- In 2025, the Board of Directors fully implemented the resolutions of the GMS and the BOD in accordance with the governance orientation established for the Company, on the basis of compliance with applicable laws and the Company's internal regulations.

1.3. Activities of the Executive Management

- The Executive Management implemented the resolutions of the GMS and the BOD in compliance therewith, particularly by focusing on the restructuring process and the handling of outstanding receivables.
- Debt recovery showed positive progress. The Company carried out offsetting assets against receivables to reduce receivables. The Executive Management sought approval from the General Meeting of Shareholders and proceeded with asset set-off arrangements to reduce figures relating to receivables, thereby contributing to an improvement in asset quality. Specifically, in 2025, the Company recovered VND 111 billion out of total receivables of VND 1,255 billion. The remaining amount to be recovered in 2026 is VND 1,144 billion. The amount already recovered in early 2026 was VND 625 billion out of the total receivables of VND 1,144 billion. The remaining amount still to be recovered during 2026 is VND 519 billion.
- The Executive Management complied with the Company's Charter, internal corporate governance regulations, and legal regulations on securities and the securities market.

2. Inspection results and compliance

In 2025, the regulatory authority conducted a comprehensive inspection of the Company covering the period from 2023 to 2025 and identified a number of violations relating to governance, finance, and operational organization. Accordingly, the Company committed several administrative violations concerning information disclosure obligations and compliance with reporting requirements in the securities sector. In relation to these violations, the State Securities Commission issued sanctioning decisions and imposed, together with administrative penalties,

temporary suspension measures in respect of certain business operations, and required remedial actions. The violations were concentrated in the following areas: licensing conditions, business operations, bond issuance advisory services, investment limits and financial safety ratios, clearing obligations, and reporting and information disclosure requirements. These violations were procedural in nature and did not have a material adverse impact on the Company's financial position. The Company's Executive Management strictly complied with the sanctioning decisions and implemented remedial measures, including reviewing and standardizing operational procedures, strengthening compliance personnel, completing operational documentation, and enhancing training and compliance awareness.

3. Outstanding issues

- In addition to the results achieved, the Audit Committee notes that the remaining receivables balance is still significant and continues to carry potential risks. The handling of collateral after recovery remains dependent on market conditions and negotiation processes, which may affect the actual efficiency of recovery. The outstanding long-term receivables also reflect limitations in credit risk management during previous periods.
- With respect to the sanctioning decisions and remedial measures required by the state authorities, although corrective reviews have been undertaken, the strengthening of the organizational structure and completion of internal regulations still need to be implemented in a synchronized manner. In order to avoid unnecessary errors, the Company should continue to strengthen internal controls, especially in receivables management and compliance with information disclosure obligations, so as to minimize the risk of recurrence of similar issues in the future.

IV. RECOMMENDATIONS OF THE AUDIT COMMITTEE

Based on the risks identified, and with a view to optimizing operating efficiency and minimizing risks, the Audit Committee makes the following key recommendations:

1. Receivables management and collateral management:

Classify receivables by risk level; develop a specific recovery roadmap for each receivable and apply strict handling thresholds. Implement collateral realization plans in a manner that optimizes recovery value and minimizes losses, while creating liquidity to support the Company's operations and reduce the risk of losses in the event of weak market liquidity.

2. Strengthening compliance:

Review and reassess outstanding violations from previous periods in order to make timely adjustments by: clearly assigning responsibilities within the information disclosure process; strengthening multi-level controls; applying technology to deadline management; ensuring full, timely, and to prevent recurrence of violations; and introducing necessary measures to ensure that the internal control system operates effectively, transparently, safely, and in strict compliance with legal requirements.

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3. Operational optimization:

Continue to implement a streamlined organizational model, improve the efficiency of resource utilization, and strengthen cost control.

V. ORIENTATION FOR ACTIVITIES IN 2026

The Audit Committee identifies the following key priorities for 2026:

1. Closely monitor the handling of collateral:

Focus on receivables management and supervision of the receivables recovery roadmap. The Audit Committee will periodically review the status of collateral and require revaluation of liquidation values in line with market conditions in order to ensure adequate risk provisioning and protect the interests of the Company.

2. Strengthen the compliance system and information disclosure:

- Improve the information disclosure system on a multi-level control basis; supervise the operation of the centralized information disclosure process.
- Review related-party transactions: continue maintaining close supervision over transactions with related parties to ensure transparency and strict compliance with legal regulations and the Company's Charter.

3. Cost management and operational optimization: Monitor the implementation roadmap of the “streamlined organizational structure” initiative, ensuring that cost optimization goes hand in hand with maintaining effective control.

4. Coordination with the Executive Management: Continue to implement remedial actions in respect of the matters identified in the inspection conclusions, and develop solutions following asset set-off transactions in order to optimize financial capacity, compliance, financial safety ratios, and investment ratios in 2026.

The foregoing constitutes the Report on the activities of the Independent Member of the Board of Directors within the Audit Committee in 2025, respectfully submitted to the 2026 Annual General Meeting of Shareholders for consideration and approval.

Respectfully submitted./.

**INDEPENDENT MEMBER OF THE
BOARD OF DIRECTORS**



Đo Thi Hong Hai

REPORT

BUSINESS PERFORMANCE RESULTS FOR 2025 AND BUSINESS PLAN FOR 2026

I. BUSINESS PERFORMANCE RESULTS FOR 2025

1. Overview of the stock market in 2025

In 2025, Vietnam's economy maintained positive growth despite facing numerous challenges, with GDP growth reaching 8.02%, exceeding the initial forecasts of many international financial institutions. This impressive growth was driven by strong performance across key economic sectors, including manufacturing, consumption, trade, and public investment, along with supportive economic policies from the Vietnamese government. The average consumer price index (CPI) in 2025 was 3.25%, remaining below the government's target of 4-4.5%. The USD/VND exchange rate increased by 5% in 2025, despite the US Federal Reserve (FED) lowering interest rates by a total of 0.75% during the year. This was partly due to the State Bank of Vietnam's easing of monetary policy to support economic development.

The VN-Index ended 2025 at 1,784.49 points, up 40.87%, representing a significant gain despite substantial global economic and political volatility during the year. In 2025, the stock market continued to expand, with market capitalization reaching approximately US\$310 billion, equivalent to nearly 65% of GDP. Market liquidity remained positive, with average daily trading value reaching around VND 24-25 trillion, a 15% increase compared to 2024.

2. Business results for 2025

Unit: VND million

No.	Item	Plan 2025	Actual 2025	Actual/Plan 2025 (%)
1	Operating revenue	174,770	275,935	158%
2	Profit after tax	9,360	12,088	129%

Source: Audited financial statements for 2025

Some key business performance indicators for 2025 compared to 2024 of the Company are as follows:

Unit: VND million

No.	Item	2025	2024	Growth (%)
1	Operating revenue	275,935	251,595	9.67%
2	Operating expenses	198,566	143,355	38.51%
3	Financial income	24,395	10,223	138.63%
4	Finance expenses	31,855	33,105	-3.78%
5	General and administrative expenses	50,013	63,999	-21.85%

No.	Item	2025	2024	Growth (%)
6	Profit before tax	17,819	21,324	-16.44%
7	Profit after tax	12,088	17,177	-29.63%

Source: Audited financial statements for 2025

The revenue structure in 2025 primarily consisted of income from financial assets measured at fair value through profit or loss (FVTPL), interest from loans and receivables, and securities brokerage revenue. The remainder came from interest on investments held to maturity, underwriting and securities issuance agency services, securities custody services, financial advisory services, and other operating income.

3. Business areas in 2025

❖ Securities brokerage

Brokerage revenue accounted for 5.54% of total operating revenue in 2025 (2024: 8.17%). As of December 31, 2025, the number of trading accounts opened at EVS reached 14,055, 217 new trading accounts were opened in 2025, and the total trading value in 2025 was VND 11,185 billion, a decrease of 30% compared to the same period in 2024.

❖ Investment Analysis & Consulting

During the year, the Company invested significantly in developing a high-quality research and analysis team, while expanding and improving the quality of research products used for proprietary trading and investment advisory services provided to clients. The Company focused on developing practical, flexible, and timely weekly stock selection reports, to meet the specific needs of each client segment more effectively.

❖ Investment activities (proprietary trading)

The Company conducted proprietary trading on a prudent basis, based on thorough market and company analysis. In 2025, income from financial assets measured at fair value through profit or loss (FVTPL) reached VND 237.7 billion, an increase of 18.14% compared to VND 201.24 billion in 2024.

❖ Financial services

In addition to diversifying financial products to meet customer needs, EVS continued to focus on expanding and building cooperative relationships with organizations and individuals to optimize capital utilization. In 2025, the market faced many difficulties. As of December 31, 2025, the outstanding balance of securities-related loans was VND 92.39 billion, down 56.16% compared to the end of 2024. Interest from loans and receivables was VND 18.87 billion, down 28.91% compared to the same period in 2024 due to the downward trend in market interest rates in 2025.

❖ Investment banking services

The difficulties in the stock market in 2025 significantly impacted the Company's investment banking services. By the end of 2025, revenue from investment banking services reached VND 2.45 billion.

In 2025, EVS's investment banking activities primarily focused on traditional corporate finance advisory services. In addition, the Company also focused on expanding its corporate client base

across various industries and strengthening cooperation with foreign partners to create more opportunities for its investment banking activities.

II. BUSINESS PLAN FOR 2026

Based on the business results for 2025 and the outlook for the stock market in 2026, the Board of Management proposes the following business plan for 2026:

The Company's business targets for 2026 are as follows:

Unit: VND million

No.	Item	Actual 2025	Plan 2026
1	Charter capital	1,648,006	1,648,006
2	Operating revenue	275,935	122,900
3	Profit before tax	17,819	7,424
4	Profit after tax	12,088	5,939

The Company has formulated its business plan for 2026 with the following key objectives: to continue strengthening the Company's core business areas such as brokerage, proprietary trading, lending activities and investment banking services; and to implement organizational restructuring, improve management capacity and enhance the quality of human resources.

The key solutions for implementing the Company's business plan for 2026 are as follows:

- 1. Improving the governance model and enhancing operational efficiency:** Continuing to review and refine the internal regulations and procedures, strengthening risk management and compliance control in accordance with corporate governance standards and regulations of regulatory agencies, specifically:
 - **Regarding operational matters:**
 - Improve operational efficiency by building a streamlined organizational structure, enhancing the quality of human resources, and strengthening coordination among business units, thereby improving competitiveness.
 - Optimize business processes to improve cost efficiency; conduct periodic monthly, quarterly and annual reviews of business regulations and archived records to ensure compliance with operational requirements.
 - Continue restructuring branch operations to reduce the Company's operating costs.
 - **Governance matters:**
 - Review and refine the governance model and internal processes and regulations to enhance efficiency and professionalism in product and service delivery.
 - Strengthen supervision, inspection and risk management to ensure compliance with applicable laws, regulatory requirements and internal rules; ensure that reports are prepared

and submitted fully, accurately and on a timely basis; and enhance the monitoring of related-party transactions to improve transparency and compliance.

- Strengthen internal and external communication to enhance the Company's image and brand, while ensuring information security in operations and service provision.
- 2. Focusing on the development of core business activities, while enhancing financial capacity and capital efficiency, through the expansion and improvement of the operational efficiency of key business segments, as follows:**
- **Securities brokerage activities:**
 - Strengthen brokerage activities, improve service quality, and increase the value of products offered to customers.
 - Expand the network and diversify the customer base, both individual and institutional.
 - Leverage the partner ecosystem more effectively, while researching and developing a broader range of products and services to meet investment needs.
 - **Treasury and proprietary investment activities:**
 - Actively monitor the market, assess opportunities, and make flexible investment decisions to optimize capital utilization.
 - Review and restructure the portfolio; accelerate the handling and recovery of receivables and divest illiquid assets to improve asset quality and generate capital.
 - Expand cooperation with financial institutions and diversify funding sources, while strengthening treasury and margin lending activities on a risk-controlled basis.
 - **Investment banking activities:**
 - The Company will continue to invest in and develop its workforce in both scale and quality, while enhancing its capabilities in investment analysis, appraisal, due diligence and investment opportunity assessment in order to provide clients with professional, high-quality financial advisory services.
 - Focus on delivering corporate finance advisory services at the Head Office, while promoting and diversifying investment banking products such as listing advisory, issuance advisory, divestment, restructuring, equitization and related services.
- 3. Strengthening investment in technology infrastructure and digital transformation:**
- Investing in upgrades to the information technology system and applying technological solutions in management and service delivery to enhance customer experience, improve safety and security, and optimize operational efficiency.
- Ensure that the information technology system operates stably, securely and continuously, complies with applicable regulations, and minimizes transaction-related risks.

- Strengthen information security and safety, especially customer data and transactions; implement management and monitoring measures according to new standards.
 - Invest in and upgrade the core system and technical infrastructure, and promote the application of technology and digital transformation to improve operational efficiency and customer experience.
- 4. Brand building and service quality enhancement:** Based on the repositioning of the EVS brand, the Company will continue to implement activities to enhance the brand image and reputation in the market, aiming to provide professional, transparent, and efficient financial products and services. The Company is committed to placing the interests of customers and shareholders at the center of its operations, constantly improving service quality and operational standards according to the “Excellence in Value and Service” orientation.

The Board of Management hereby respectfully submits this report on business performance in 2025 and the business plan for 2026 to the General Meeting of Shareholders of EVS Securities Joint Stock Company for consideration and approval./.

Recipient:

- As above;
- Board of Directors;
- Saved: Office of the Board of Management.

**ON BEHALF OF THE BOARD OF MANAGEMENT
CHIEF EXECUTIVE OFFICER**



Nguyen Thanh Hai

No.: 01/2026/TT-HĐQT

Hanoi, March 31, 2026

PROPOSAL

*Re: Approval of the Audited Financial Statements for 2025 and
the Profit Distribution Plan for 2025*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the audited financial statements of EVS Securities Joint Stock Company (the "**Company**") for 2025;
- Pursuant to the Company's business performance in 2025;
- Pursuant to the Company's Charter;

The Board of Directors (the "**BOD**") respectfully submits to the General Meeting of Shareholders (the "**GMS**") for approval the audited financial statements for 2025 and the profit distribution plan for 2025, detailed as follows:

1. Audited Financial Statements for 2025

In implementation of Resolution No. 01/2025/NQ-ĐHĐCĐ dated April 22, 2025 of the Company's GMS, the BOD selected AASC Auditing Firm Company Limited as the independent auditor of the Company's financial statements for 2025. Based on the audit results of the 2025 financial statements, the BOD respectfully submits to the GMS for approval the audited financial statements for 2025 with the following key figures:

Unit: VND

No.	Item	Amount
1	Total Assets	2.347.548.503.165
2	Liabilities	368.757.033.120
3	Owner's Equity	1.978.791.470.045
4	Operating Revenue	275.935.086.877
5	Profit before tax	17.819.158.122
6	Profit after tax	12.088.031.629



Details of the audited financial statements for 2025 have been published on the Company's website at: <http://www.eves.com.vn>.

2. Profit Distribution Plan for 2025

Unit: VND

No.	Description	Amount	Notes
1	Accounting profit after corporate income tax (CIT) in 2025	12.088.031.629	(a)
2	Distribution of accounting profit after CIT in 2025	0	(d) = (e)+(f)+(g)
-	Charter capital supplementary reserve fund	0	(e)
-	Financial and operational risk reserve fund	0	(f)
-	Bonus and welfare fund	0	(g)
3	Remaining profit after fund distribution	12.088.031.629	(h) = (a)-(d)

Respectfully submitted to the GMS for consideration, feedback, and approval.

Sincerely./.

Recipients:

- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



Nguyen Hai Chau



PROPOSAL

*Re: Approval of the Selection of an Auditing Firm for the Financial Statements
and Other Reports in 2026*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the “Company”);

In compliance with the obligations stipulated in the Company’s Charter and relevant laws, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the selection of an auditing firm to audit the Company’s financial statements and other reports as required for 2026 as follows:

I. Criteria for Selection of the Auditing Firm

1. Being an auditing firm approved by the State Securities Commission of Vietnam to audit public interest entities in the securities sector in 2026;
2. Having experience in auditing large companies and corporations in the financial sector;
3. Having a team of qualified and experienced auditors;
4. Having a strong reputation for audit quality;
5. Being able to meet the Company’s requirements regarding audit scope and timeline;
6. Offering reasonable audit fees commensurate with audit quality, based on audit fee proposals and scope of audit services.

II. Proposal

The Board of Directors proposes the following six (06) auditing firms that meet the above criteria:

1. AASC Auditing Firm Company Limited.
2. CPA Vietnam Auditing Company Limited.
3. Deloitte Vietnam Company Limited.
4. Ernst & Young Vietnam Company Limited.



5. KPMG Company Limited.
6. A&C Auditing and Consulting Company Limited.

The Board of Directors respectfully requests the General Meeting of Shareholders to approve the authorization for the Board of Directors to select a qualified auditing firm in accordance with legal regulations to audit the Company's financial statements and other reports for 2026, and to authorize the Chief Executive Officer to sign the audit contract with the selected auditing firm.

The Board of Directors respectfully submits this to the General Meeting of Shareholders for consideration, comments, and approval.

Sincerely./.

Recipients:

- As above;
- Archived: BOD Office.

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN



Nguyen Hai Chau



PROPOSAL

Re: Finalization of Remuneration for the Board of Directors (BOD) and the Audit Committee (AC) in 2025 and the Remuneration Plan for the BOD and AC in 2026

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the “Company”);

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the finalization of remuneration for the Board of Directors (BOD) and the Audit Committee (AC) for 2025 and the remuneration plan for 2026 as follows:

1. Finalization of Remuneration for the BOD and AC in 2025

No.	Position	Number of persons	Remuneration Fund Paid in 2025 (VND)
A	Board of Directors’ Remuneration		
1	Chairman of the BOD	1	3.765.000.000
2	Members of the BOD	9	2.900.525.692
B	Audit Committee		
1	Chairman of the Audit Committee	1	280.000.000
2	Members of the Audit Committee	2	186.800.000
	Total		7.132.325.692

The total remuneration fund for the BOD and AC in 2025 approved by the General Meeting of Shareholders was: VND 8.500.000.000 (Eight billion five hundred million Vietnamese dong). The actual remuneration paid to members of the BOD and AC was: VND 7.132.325.692 (Seven billion one hundred thirty-two million three hundred twenty-five thousand six hundred ninety-two Vietnamese dong).

2. Remuneration Plan for the BOD and AC in 2026

- The total remuneration fund for the BOD and AC in 2026 is proposed at: VND 6.500.000.000 (Six billion five hundred million Vietnamese dong) per year.

- The General Meeting of Shareholders authorizes the Board of Directors to allocate the remuneration fund for the BOD and AC in 2026.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration, comments, and approval.

Sincerely./.

Recipients:

- As above;
- Archived: BOD Office.

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN



Nguyen Hai Chau



No.: 04/2026/TT-HĐQT

Hanoi, March 31, 2026

PROPOSAL

*Re: Approval of the Plan for Continued Recovery of Receivables
in respect of debts that have been extended multiple times*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the “Company” or “EVS”);
- Pursuant to the status of realization of collateral for receivables that have been extended multiple times in accordance with Proposal No. 11/2025/TT-HĐQT dated 19 November 2025, as approved at the Extraordinary General Meeting of Shareholders held on 11 December 2025 (“Proposal No. 11/2025”);
- Pursuant to the Company’s evaluation of the actual situation;

In order to continue implementing the policy of handling collateral for receivables that have been extended multiple times, with a view to ensuring financial safety ratios and compliance with applicable laws and the Company’s Charter, the Board of Directors (the “BOD”) respectfully submits to the General Meeting of Shareholders (the “GMS”) the plan for recovery of such receivables as follows:

1. Plan for recovery of receivables from Tien Thanh Consulting Services Company Limited (“**Tien Thanh**”): To continue implementation in accordance with the plan set out in Proposal No. 11/2025, specifically:
 - a. To require Tien Thanh to provide additional collateral (including shares, real estate, or other assets) and to complete the mortgage/pledge procedures in accordance with applicable laws.
 - b. EVS shall conduct direct sale, recovery for sale, or recovery for use (in the case where the collateral is real estate used as the Company’s office), and perform other actions in respect of the collateral in accordance with the mortgage agreements or as agreed by the parties, in order to ensure full recovery of the receivables.
2. The General Meeting of Shareholders shall assign/authorize the Board of Directors to:
 - a. Decide on the timing, method of sale/recovery, or other measures in respect of the collateral in order to ensure financial safety ratios and safeguard the interests of the Company.



- b. Carry out all necessary documentation, notification, reporting, and licensing procedures with competent authorities (if any) in accordance with applicable laws.
- c. Assign and/or authorize the Chief Executive Officer (if necessary) to implement the above-mentioned tasks in accordance with applicable laws and the Company's Charter.

The General Meeting of Shareholders is respectfully requested to review, provide opinions, and approve the above proposal.

Sincerely!

Recipient:

- As above;
- Archive: BOD Offices.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Nguyen Hai Chau



No.: 05./2026/TT-HĐQT

Hanoi, March 31, 2026

PROPOSAL

*Re: Extension of the timeline for implementation of the change of the
Company's head office address*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the "**Company**");

Pursuant to Proposal No. 12/2025/TT-HĐQT dated 19 November 2025 of the Board of Directors, as approved by the 2025 Extraordinary General Meeting of Shareholders ("**Proposal No. 12/2025/TT-HĐQT**"): Based on the locations that have been surveyed and identified, the Board of Directors and the Board of Management are in the process of evaluating and negotiating with relevant counterparties in order to select an appropriate location and study the plan for changing the Company's head office address so as to ensure compliance with applicable laws and safeguard the interests of the Company.

As additional time is required for evaluation and selection, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval the extension of the implementation timeline for the tasks relating to the change of the Company's head office address as stated in Proposal No. 12/2025/TT-HĐQT until the end of 2026.

The General Meeting of Shareholders is respectfully requested to consider, provide comments on, and approve the above.

Respectfully submitted./.

Recipient:

- As above;
- Archive: BOD Offices.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



Nguyễn Hai Chau

No.: 06./2026/TT-HĐQT

Hanoi, March 31, 2026

PROPOSAL

Re: Election of Members of the Board of Directors

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the "Company");

In accordance with the Company's Charter, the term of office of three (03) incumbent members of the Board of Directors, namely Mr. Vu Manh Tien, Ms. Do Thi Hong Hai, and Ms. Vu Hai Anh, for the 2021–2026 term has expired.

In order to consolidate the personnel structure of the Board of Directors ("BOD") and to ensure compliance with the Company's Charter and applicable laws, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the election of three (03) members of the Board of Directors (including one (01) independent member) for a term of five (05) years (2026–2031) at the 2026 Annual General Meeting of Shareholders.

The Board of Directors respectfully submits this matter to the General Meeting of Shareholders for consideration and approval./.

Recipients:

- As above;
- Archived: BOD Office.

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN



Nguyen Hai Chau