

Số/No.: ...**141**/2026/PHS-PL

Thành phố Hồ Chí Minh, ngày 22 tháng 5 năm 2026  
Ho Chi Minh City, day 22 month 5 year 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG  
EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To: • Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;  
• Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;  
• Sở Giao Dịch Chứng Khoán Hà Nội/ *Hanoi Stock Exchange*.  
• Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HUNG (“PHS”)**  
*Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)*

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ mới: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh (Địa chỉ cũ: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh)  
*New Address: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City (Old Address: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City).*
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt\_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

PHS trân trọng công bố về việc nhận các Quyết định của Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh chấp thuận hủy niêm yết chứng quyền có bảo đảm đối với 3 chứng quyền sau:

*PHS sincerely discloses the receipt of the Decisions from the Ho Chi Minh City Stock Exchange approving the delisting of covered warrants for the 3 covered warrants as follow:*

- i. Quyết định số 383/QĐ-SGDHCM ngày 08/05/2026 hủy niêm yết chứng quyền có bảo đảm MWG.04.PHS.C.EU.Cash – Mã chứng quyền: CMWG2517

*Decision No. 383/QĐ-SGDHCM dated 08/05/2026 delisting covered warrant MWG.04.PHS.C.EU.Cash - Warrant code: CMWG2517*

- ii. Quyết định số 384/QĐ-SGDHCM ngày 08/05/2026 hủy niêm yết chứng quyền có bảo đảm HPG.04.PHS.C.EU.Cash – Mã chứng quyền: CHPG2529

*Decision No. 384/QĐ-SGDHCM dated 08/05/2026 delisting covered warrant HPG.04.PHS.C.EU.Cash - Warrant code: CHPG2529.*

- iii. Quyết định số 385/QĐ-SGDHCM ngày 08/05/2026 hủy niêm yết chứng quyền có bảo đảm VHM.01.PHS.C.EU.Cash – Mã chứng quyền: CVHM2519.

*Decision No. 385/QĐ-SGDHCM dated 08/05/2026 delisting covered warrant VHM.01.PHS.C.EU.Cash - Warrant code: CVHM2519.*

Ngày nhận được các Quyết định nêu trên: Ngày 22/05/2026.

*The receipt date of the above Decision: 22/05/2026.*

(Chi tiết vui lòng tham khảo tài liệu đính kèm)

*(Further information, please find the attachment)*

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 22/05/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

*This information was published on PHS's website on 22/05/2026 in the link at <https://www.phs.vn>, tab About PHS and Investor Relations.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

**ĐẠI DIỆN TỔ CHỨC** *nh*  
**ORGANIZATION REPRESENTATIVE**



**Ông/ Mr. CHEN CHIA KEN**

**Người đại diện theo pháp luật thứ hai  
kiêm Tổng Giám đốc**

***The second legal representative cum  
General Director***





HO CHI MINH STOCK EXCHANGE

No. 383/QĐ-SGDHCM

THE SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Ho Chi Minh City, 8 May 2026

## DECISION

### On delisting of covered warrant

#### GENERAL DIRECTOR OF THE HO CHI MINH STOCK EXCHANGE

Pursuant to the Law on Securities dated 26 November 2019 and the Law amending and supplementing a number of articles of the Law on Securities, the Law on Accounting, the Law on Independent Audit, the Law on State Budget, the Law on Management and Use of Public Assets, the Law on Tax Administration, the Law on Personal Income Tax, the Law on National Reserves, and the Law on Handling Administrative Violations dated 29 November 2024;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government providing detailed regulations for the implementation of a number of articles of the Law on Securities, and Decree No. 245/2025/NĐ-CP dated 11 September 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/NĐ-CP;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Ministry of Finance providing guidance on covered warrant;

Pursuant to Decision No. 02/QĐ-HĐTV dated 30 June 2021 of the Board of Members of the Vietnam Stock Exchange on the establishment of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 07/QĐ-HĐTV dated 9 July 2021 of the Board of Members of the Vietnam Stock Exchange promulgating the Charter on organization and operation of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 22/QĐ-HĐTV dated 16 March 2026 of the Board of Members of the Vietnam Stock Exchange promulgating the Rules on listing and trading of listed securities;

Pursuant to the information disclosure on the record date for delisting and settlement to holders of covered warrants of Phu Hung Securities Corporation;

At the proposal of the Director of the Listing Management Department.

### DECIDES:

**Article 1.** To approve the delisting of the covered warrants issued by Phu Hung Securities Corporation with the following details:

- |    |                      |  |
|----|----------------------|--|
| 1. | Issuing organization | <b>Phu Hung Securities Corporation</b> |
| 2. | Trading name         | <b>PHS</b>                             |
| 3. | Type of securities   | <b>Covered warrant</b>                 |



4.	Name of covered warrant	<b>Warrant MWG.04.PHS.C.EU.Cash</b>
5.	Covered warrant code	<b>CMWG2517</b>
6.	Underlying securities code	<b>MWG</b>
7.	Issuer of the underlying securities	<b>Mobile World Investment Corporation</b>
8.	Type of covered warrant	<b>Call warrant</b>
9.	Style of covered warrant	<b>A covered warrant that may be exercised only on the maturity date</b>
10.	Method of exercise	<b>Cash settlement</b>
11.	Tenor	<b>10 months</b>
12.	Maturity date	<b>25/05/2026</b>
13.	Conversion ratio	<b>8.8723:1</b>
14.	Exercise price	<b>VND 76,401</b>
15.	Number of covered warrants to be delisted	<b>4,000,000 warrants</b>
16.	Effective date of delisting	<b>26/05/2026</b>
17.	Reason for delisting	<b>Delisting due to maturity</b>

**Article 2.** The last trading date of the covered warrant on the Ho Chi Minh Stock Exchange:  
**21/05/2026**

**Article 3.** This Decision takes effect from the date of signing.

**Article 4.** The Director of the Listing Management Department, the Director of the Trading System Department, the Director of the Trading Surveillance Department, the Director of the Market Information Department, and Phu Hung Securities Corporation shall be responsible for implementing this Decision.

**Recipients:**

- As stated in Article 4;
- SSC;
- VNX;
- VSDC;
- Storage: VT, NY (09).

**GENERAL DIRECTOR**

**(signed)**

**Nguyen Thi Viet Ha**





HO CHI MINH STOCK EXCHANGE

No. 384/QĐ-SGDHCM

THE SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Ho Chi Minh City, 8 May 2026

## DECISION

### On delisting of covered warrant

#### GENERAL DIRECTOR OF THE HO CHI MINH STOCK EXCHANGE

Pursuant to the Law on Securities dated 26 November 2019 and the Law amending and supplementing a number of articles of the Law on Securities, the Law on Accounting, the Law on Independent Audit, the Law on State Budget, the Law on Management and Use of Public Assets, the Law on Tax Administration, the Law on Personal Income Tax, the Law on National Reserves, and the Law on Handling Administrative Violations dated 29 November 2024;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government providing detailed regulations for the implementation of a number of articles of the Law on Securities, and Decree No. 245/2025/NĐ-CP dated 11 September 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/NĐ-CP;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Ministry of Finance providing guidance on covered warrant;

Pursuant to Decision No. 02/QĐ-HĐTV dated 30 June 2021 of the Board of Members of the Vietnam Stock Exchange on the establishment of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 07/QĐ-HĐTV dated 9 July 2021 of the Board of Members of the Vietnam Stock Exchange promulgating the Charter on organization and operation of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 22/QĐ-HĐTV dated 16 March 2026 of the Board of Members of the Vietnam Stock Exchange promulgating the Rules on listing and trading of listed securities;

Pursuant to the information disclosure on the record date for delisting and settlement to holders of covered warrants of Phu Hung Securities Corporation;

At the proposal of the Director of the Listing Management Department.

### DECIDES:

**Article 1.** To approve the delisting of the covered warrants issued by Phu Hung Securities Corporation with the following details:

- |                         |                                 |
|-------------------------|---------------------------------|
| 1. Issuing organization | Phu Hung Securities Corporation |
| 2. Trading name         | PHS                             |
| 3. Type of securities   | Covered warrant                 |

4.	Name of covered warrant	Warrant HPG.04.PHS.C.EU.Cash
5.	Covered warrant code	CHPG2529
6.	Underlying securities code	HPG
7.	Issuer of the underlying securities	Hoa Phat Group Joint Stock Company
8.	Type of covered warrant	Call warrant
9.	Style of covered warrant	A covered warrant that may be exercised only on the maturity date
10.	Method of exercise	Cash settlement
11.	Tenor	10 months
12.	Maturity date	25/05/2026
13.	Conversion ratio	4:1
14.	Exercise price	VND 28,050
15.	Number of covered warrants to be delisted	4,000,000 warrants
16.	Effective date of delisting	26/05/2026
17.	Reason for delisting	Delisting due to maturity



**Article 2.** The last trading date of the covered warrant on the Ho Chi Minh Stock Exchange: **21/05/2026**

**Article 3.** This Decision takes effect from the date of signing.

**Article 4.** The Director of the Listing Management Department, the Director of the Trading System Department, the Director of the Trading Surveillance Department, the Director of the Market Information Department, and Phu Hung Securities Corporation shall be responsible for implementing this Decision.

**Recipients:**

- As stated in Article 4;
- SSC;
- VNX;
- VSDC;
- Storage: VT, NY (09).

**GENERAL DIRECTOR**

(signed)

**Nguyen Thi Viet Ha**





HO CHI MINH STOCK EXCHANGE

No. 385/QĐ-SGDHCM

THE SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Ho Chi Minh City, 8 May 2026

## DECISION

### On delisting of covered warrant

#### GENERAL DIRECTOR OF THE HO CHI MINH STOCK EXCHANGE

Pursuant to the Law on Securities dated 26 November 2019 and the Law amending and supplementing a number of articles of the Law on Securities, the Law on Accounting, the Law on Independent Audit, the Law on State Budget, the Law on Management and Use of Public Assets, the Law on Tax Administration, the Law on Personal Income Tax, the Law on National Reserves, and the Law on Handling Administrative Violations dated 29 November 2024;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government providing detailed regulations for the implementation of a number of articles of the Law on Securities, and Decree No. 245/2025/NĐ-CP dated 11 September 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/NĐ-CP;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Ministry of Finance providing guidance on covered warrant;

Pursuant to Decision No. 02/QĐ-HĐTV dated 30 June 2021 of the Board of Members of the Vietnam Stock Exchange on the establishment of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 07/QĐ-HĐTV dated 9 July 2021 of the Board of Members of the Vietnam Stock Exchange promulgating the Charter on organization and operation of the Ho Chi Minh Stock Exchange;

Pursuant to Decision No. 22/QĐ-HĐTV dated 16 March 2026 of the Board of Members of the Vietnam Stock Exchange promulgating the Rules on listing and trading of listed securities;

Pursuant to the information disclosure on the record date for delisting and settlement to holders of covered warrants of Phu Hung Securities Corporation;

At the proposal of the Director of the Listing Management Department.

### DECIDES:

**Article 1.** To approve the delisting of the covered warrants issued by Phu Hung Securities Corporation with the following details:

- |                         |  |
|-------------------------|--|
| 1. Issuing organization | <b>Phu Hung Securities Corporation</b> |
| 2. Trading name         | <b>PHS</b>                             |
| 3. Type of securities   | <b>Covered warrant</b>                 |



4.	Name of covered warrant	<b>Warrant VHM.01.PHS.C.EU.Cash</b>
5.	Covered warrant code	<b>CVHM2519</b>
6.	Underlying securities code	<b>VHM</b>
7.	Issuer of the underlying securities	<b>Vinhomes Joint Stock Company</b>
8.	Type of covered warrant	<b>Call warrant</b>
9.	Style of covered warrant	<b>A covered warrant that may be exercised only on the maturity date</b>
10.	Method of exercise	<b>Cash settlement</b>
11.	Tenor	<b>10 months</b>
12.	Maturity date	<b>25/05/2026</b>
13.	Conversion ratio	<b>7:1</b>
14.	Exercise price	<b>VND 108,200</b>
15.	Number of covered warrants to be delisted	<b>2,000,000 warrants</b>
16.	Effective date of delisting	<b>26/05/2026</b>
17.	Reason for delisting	<b>Delisting due to maturity</b>

**Article 2.** The last trading date of the covered warrant on the Ho Chi Minh Stock Exchange:  
**21/05/2026**

**Article 3.** This Decision takes effect from the date of signing.

**Article 4.** The Director of the Listing Management Department, the Director of the Trading System Department, the Director of the Trading Surveillance Department, the Director of the Market Information Department, and Phu Hung Securities Corporation shall be responsible for implementing this Decision.

**Recipients:**

- As stated in Article 4;
- SSC;
- VNX;
- VSDC;
- Storage: VT, NY (09).

**GENERAL DIRECTOR**

**(signed)**

**Nguyen Thi Viet Ha**