



ADJUSTMENT LICENSE

License for establishing and operating a securities business

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No.54/2019/QH14, as amended and supplemented by Law No.56/2024/QH15;

Pursuant to Government Decree No.155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Government Decree No.245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No.686/QĐ-BTC dated February 28, 2025, of the Minister of Finance, which stipulates the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to Establishment and Operation License No.116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities JSC.;

Pursuant to the application for change of charter capital of MB Securities JSC.;

Based on the request by the Head of the Securities Business Management Department.

DECISION:

Article 1. The License for Establishment and Operation No.116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company, is hereby amended as follows:

Charter capital: VND 10,009,634,510,000 (Ten trillion, nine billion, six hundred and thirty-four million, five hundred and ten thousand dong).

Article 2. This amended license is effective from the date of signing and is an integral part of the Establishment and Operation License No.116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company.

Article 3. The amended license is made in two (02) original copies: 01 copy for MB Securities Joint Stock Company; 01 copy stored at the State Securities Commission.

ON BEHALF OF CHAIRMAN

VICE CHAIRMAN

(Signed)

LÊ THỊ VIỆT NGÀ