



No : 01/2026/BB-DHĐCĐ

Hochiminh City, June 08, 2026

MINUTES OF THE ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

The 2025 Annual General Meeting of Shareholders is held at 8:30 a.m. on June 08, 2026, at: 40 Pham Ngoc Thach, Ward Xuan Hoa, Ho Chi Minh City.

The meeting is convened with the number of representatives on the registered charter capital as prescribed, which is VND 1,466,076,000,000 (In words: One thousand four hundred and sixty-six billion seventy-six million VND), corresponding to 146,607,600 (In words: one hundred and forty-six million six hundred and seven thousand six hundred) shares, in which the representative on the voting capital is VND 1,466,076,000,000 corresponding to 146,607,600 shares.

The total number of shareholders of the Company according to the shareholder list on March 25, 2026 is 14,488 shareholders representing 100% of the Company's share capital.

The number of shareholders validly present at the meeting (as of 08:35) is: 17,717,050 shares, accounting for 12.08% of the Company's total shares.

Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020 and the Company's Charter, the third annual General Meeting of Shareholders of SBS Securities Joint Stock Company convened is valid.

The General Meeting voted to approve with 100% of the votes in favor the full text of the General Meeting agenda.

The General Meeting voted to approve with 100% of the votes in favor the list of the Presidium, Secretariat and Vote Counting Committee, including:

I. Presiding Committee:

1. Mr. Phan Quoc Huynh - Chairman of the Board of Directors - Chairman
2. Mr. Duong Manh Hung - Deputy Chairman of the Board of Directors cum General Director
3. Mr. Tran Van Dinh - Independent Member of Board of Directors

II. Secretariat:

1. Ms. Tran Thi Thu Nga - Head of the Committee
2. Mr. Hoang Anh Tien

III. Ballot Counting Committee:

1. Mr. Tran Nguyen Sinh - Head of the Committee



2. Ms. Nguyen Kim Trinh

The Congress voted with 100% of the votes in favor to approve the Working Regulations, the vote was presented by Ms. Nguyen Kim Trinh - Member of the Ballot Counting Committee.

CONGRESS PROGRESS:

After Mr. Phan Quoc Huynh, Chairman of the Board of Directors, gave the opening speech, the Congress spent time listening to the following reports and Submissions:

A. Mr. Duong Manh Hung - Permanent Vice Chairman of the Board of Directors read the 2025 Operational Report of the Board of Directors and the 2026 Operational Orientation

B. Mr. Tran Ngoc Luom - Deputy General Director read the 2025 Operational Results Report and the 2026 Business Plan of the Executive Board

C. Ms. Le Huynh Hong - Head of the Supervisory Board read the Report on the implementation of duties, powers and audit of the 2025 financial situation of the Supervisory Board

D. Mr. Luu Thanh Hung, Member of the Board of Supervisory read the Submissions, including:

1. Report on the resignation of a member of the Board of Directors
2. Submission regarding the list of independent auditing firms to review and audit the financial statements and financial safety ratios of the Company for the fiscal year 2026.

E. Mr. Luu Thanh Hung, Head of the Shareholders' Qualification Inspection Committee, reported that the number of shareholders present at the meeting (as of 09:25 am) was: 17,717,050 shares, accounting for 12.08% of the total number of shares of the Company.

F. General Meeting Discussion: After discussion, the General Meeting unanimously agreed on the candidates for additional Board of Directors members. The Presiding Committee then instructed the Board of Directors to finalize the proposals regarding resignations and the nomination of new Board members for the General Meeting to vote on and proceed with the election. Simultaneously, the General Meeting unanimously agreed on proposals from shareholders, and the Presiding Committee instructed the Board of Directors to add proposals on: Amendments to the Charter; approval of the implementation of securities clearing and settlement services under the central counterparty mechanism; change of company name; and a plan for issuing shares to increase charter capital. The Presiding Committee instructed the Board of Directors to finalize these proposals for the General Meeting's approval. The General Meeting also instructed the Presiding Committee and the Secretariat to complete all reports and proposals for the General Meeting to vote on.

G. Mr. Luu Thanh Hung, Member of the Board of Supervisory, read the Reports after the General Meeting agreed to discuss, including:

1. Proposal regarding the members to the Board of Directors
2. Proposal for amendments and additions to the Company's Charter

3. Proposal for approval of the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism
4. Proposal for changing the company's name
5. Proposal for the plan to issue shares to increase the company's charter capital

H. Summary of voting results of the General Meeting on Reports and Reports:

The General Meeting voted to approve the Reports and Reports of the Board of Directors, Supervisory Board and Executive Board, including:

1. Voting contents: (Details according to attached documents).

Content 1: Congress agenda, composition of the Presidium, Secretariat, Ballot Counting Committee and Working Regulations and Voting Procedures.

Content 2: Report on the Board of Directors' 2025 activities and 2026 operational orientation

Content 3: Report on the Board of Directors' 2025 performance results and 2026 business plan.

Content 4: Report on the implementation of duties, powers and audit of the financial situation in 2025 of the Board of Supervisors.

Content 5: Proposal regarding the members to the Board of Directors.

Content 6: Proposal for amendments and additions to the Company's Charter.

Content 7: Report on the list of independent auditing companies to review and audit the Company's financial statements and financial safety ratio for the fiscal year 2026

Content 8: Proposal for approval of the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism.

Content 9: Proposal for changing the company's name

Content 10: Proposal for the plan to issue shares to increase the company's charter capital

Public voting results: (Details according to the attached Minutes of the vote counting).

The Congress voted to approve with a rate of 100% of the total voting shares for all contents from No. 1 to No. 6 above.

The Congress voted to approve with a rate of 99.78% of the total voting capital for contents No. 7 and No. 9

The Congress voted to approve with a rate of 99.65% of the total voting capital for contents No. 8 and No. 10

Public election results: (Details according to the attached Minutes of the election)

The Congress unanimously approved the end of the 2025-2029 term of the Board of Directors for the following Mr./Ms.:

- 1) Mr. Dinh Hoai Nam - Independent member of the Board of Directors
- 2) Ms. Nguyen Thi Hoai Thuong - Member of the Board of Directors

Results of cumulative voting to supplement members of the Board of Directors:

No	Name of candidate for Board of Directors	Election rate	Result
1.	Mr Nguyen Trung Kien	98,77%	Elected
2.	Ms Le Thi Tra - Independent Board Member	98,69%	Elected

M. Mr. Hoang Anh Tien read the Minutes of the General Meeting of Shareholders and the draft Resolution of the 2026 Annual General Meeting of Shareholders. The General Meeting voted to approve:

After listening to the reading of the Minutes of the General Meeting and the draft Resolution of the 2026 Annual General Meeting of Shareholders, the General Meeting approved the full text of the Minutes of the General Meeting of Shareholders and the draft Resolution of the 2026 Annual General Meeting of Shareholders with 100% of the voting capital attending the meeting.

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END OF THE GENERAL MEETING OF SHAREHOLDERS:

The 2026 Annual General Meeting of Shareholders ended at 11:05 a.m. on the same day. The General Meeting unanimously assigned the Board of Directors, the Supervisory Board, and the Executive Board the responsibility to implement the decisions recorded in the Minutes and Resolution of the General Meeting.




ANNUAL SHAREHOLDERS' MEETING 2026

President committee

TRAN VAN DINH PHAN QUOC HUYNH DUONG MANH HUNG

Secretariat

TRAN THI THU NGA HOANG ANH TIEN

RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

- Pursuant to Enterprise Law No. 59/2020/QH14 dated June 17, 2020 and related amendments and supplements;
- Pursuant to Securities Law No. 54/2019/QH14 dated November 26, 2019 and related amendments and supplements;
- Pursuant to the Minutes of the 2026 Annual General Meeting of Shareholders of SBS Securities Joint Stock Company dated June 08, 2026.

RESOLVES:

Article 1: Approval of the Report on the activities of the board of directors in 2025 and Orientation for activities in 2026.

Article 2: Approval of the 2025 Performance Report and 2026 Business plan of the Board of Managements.

Article 3: Approval of the Activities Monitoring Report about perform duties, powers and examine financial situation in 2025 of the Board of Supervisors.

Article 4: Through Report No. 01/2026/TT-BKS on the Auditing Firm for the Company's Financial Statements and Financial Safety Ratio Report for the fiscal year 2026, the list of auditing firms is as follows:

1. EY Vietnam Company Limited
2. Vietnam Auditing and Valuation Company Limited (AVA)
3. MOORE AISC Auditing and Informatics Services Company Limited (AISC)
4. International Auditing and Valuation Company Limited
5. Other Auditing Companies that meet the conditions approved annually by the Ministry of Finance and/or the State Securities Commission for auditing public interest entities.

Article 5: Through Proposal No. 01/2026/TT-HĐQT on amending and supplementing the Company's Charter..

Article 6: Through Proposal No. 02/2026/TT-HĐQT on the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism.

Article 7: Through Proposal No. 03/2026/TT-HĐQT regarding change of the Company name.

Article 8: Through Proposal No. 04/2026/TT-HĐQT regarding the personnel of the Board of Directors, specifically: approving the resignation of Ms. Nguyen Thi Hoai Thuong as a member of the Board of Directors, the resignation of Mr. Dinh Hoai Nam as an independent member of the Board of Directors, and electing Mr. Nguyen Trung Kien as a new member of the Board of Directors and Ms. Le Thi Tra as an independent member of the Board of Directors.



Article 9: Through Proposal No. 05/2026/TT-HĐQT regarding the issuance of shares to increase charter capital, as outlined in the proposal and attached plan..

Article 10: Terms of enforcement

This Resolution was passed by the General Meeting of Shareholders by the number of shares representing 100.00% of the voting shares at the General Meeting.

This Resolution takes effect from the date of signing. Members of the Board of Directors, members of the Supervisory Board and the Executive Board are responsible for implementing this Resolution.

Receipt

- Member of BODs
- Member of BOSs,
- BOMs,
- Shareholders,
- Filing: BOD

**ON BEHALF OF THE GENERAL MEETING
OF SHAREHOLDERS**

CHAIRMAN



PHAN QUOC HUYNH



Hochiminh City, June 08 2026

REPORT ON THE ACTIVITIES OF THE BOARD OF DIRECTORS IN 2025 AND ORIENTATION FOR ACTIVITIES IN 2026

(Report of the 2026 General Meeting of Shareholders)

Dear Shareholders,

Today, on behalf of the Board of Directors, I would like to report to the General Meeting of Shareholders on the direction, orientation and performance of the Board of Directors in implementing the contents in the past fiscal year 2025 and the plan for 2026 as follows:

I. On the performance of the Board of Directors in 2025

Based on the Resolutions of the Shareholders' Meeting over the years and especially in 2025, the Board of Directors has focused on directing the work towards the goal of stable operation of SBS, specifically:

1. Firstly, business activities are gradually developing steadily..
2. Second, continue to restructure the operating apparatus towards focusing resources on business, always actively investing in people and information technology systems. Second, continue to restructure the operating apparatus towards focusing resources on business, always actively investing in people and perfecting the information technology system.
3. Continue to direct the implementation of effective solutions to handle receivables..
4. Finally, direct the implementation of financial balancing solutions suitable to actual conditions to maintain financial structure and improve working capital..

For the direction and determination of directions to maintain stable business operations of the Company.

The Board of Directors has worked with the Executive Board to develop solutions to maintain and stabilize business operations on the basis of financial autonomy, striving to generate revenue, ensuring the maintenance of the operating apparatus, maintaining market share, taking care of policies for employees, and safely managing the Investor's assets. The above contents are specified as follows:

1. Implement well the Resolutions of the Annual Shareholders' Meeting, and in the past year, the Board of Directors promptly approved important issues in the Board of Directors' meetings, contributing to stabilizing the Company's business operations. The Board of Directors' Resolutions have been approved, strictly implemented, completed and disclosed in accordance with the law, including transactions with related parties of insiders..
2. Continue to maintain stable core operations through flexible business mechanisms, linking income regimes with business targets.
3. Effectively and safely use remaining financial resources to maintain and stabilize the operating apparatus and market share on the principle of prudence, meeting the basic conditions of the Management Agency and market practices.

4. Continue to improve infrastructure, maintain smoothness, accuracy and safety for investors' transactions.

For the work of ensuring stable operation of the company:

Continue to adjust and strengthen the Company's organizational structure in the direction of concentrating human resources on business departments. Implement risk control and management in accordance with actual operations. In 2025, the Board of Directors has effectively implemented the Resolution of the Shareholders' Meeting, effectively used capital resources, served the development strategy, and positioned the Company's brand in the new situation.

On Board of Directors' remuneration

In 2025, the Board of Directors fully complied with the resolution of the Shareholders' Meeting approving remuneration for the period 2025-2029, and made efforts to operate well in the context of limited resources for paying members but still promoting the Board of Directors' effective operation for the Company.

Activities of Independent Board Members and Evaluation Results of Independent Board Members

The independent board members have worked with a high sense of responsibility on the company's business development strategy, risk management, and debt resolution. This year, amidst a volatile business environment, the Board of Directors expects that, with their experience and capabilities, the independent board members will continue to make significant contributions to the company's operations. The independent board members all assessed the Board's activities over the past year as highly effective, promptly issuing important decisions that served the company's business operations. At the same time, the independent board members unanimously approved the Executive Board's activities and reports presented to the General Meeting of Shareholders, assessing that the Executive Board had successfully completed its tasks in accordance with the General Meeting of Shareholders' resolutions and the directives and resolutions of the Board of Directors.

For bad debt settlement

Continue to coordinate with specialized authorities to effectively handle, in accordance with legal procedures, the implementation of the judgment of the law enforcement agency, to avoid causing disadvantages and risks to the Company.

Regarding maintaining financial structure stability and operational stability:

Continuing to restructure non-performing loans in accordance with current regulations, maintaining appropriate levels of total assets to ensure the available capital adequacy ratio meets the operational requirements of the Securities Company. The private placement, which was requested and approved by the 2025 Annual General Meeting, was attempted but unsuccessful. Simultaneously, with regular operations and compliance with the law, the Board of Directors closely monitors and supervises, and is ready to amend and update the Company's regulations, from the Charter and operating procedures to operational processes, ensuring timely updates to meet current legal requirements and support efficient business operations.

II. On evaluating the Company's operational management

Although the Board of Directors' performance in 2025 is still modest compared to other units in the same industry, considering the current conditions of SBS, the limited scope of business



operations, the business results in 2025 in particular and the financial indicators achieved by the Board of Directors are a valuable and noteworthy achievement.

The consensus of the SBS Collective is an important factor to maintain the Company's achievements after market fluctuations. The synchronous coordination and cooperation between the Board of Directors, the Executive Board, and the Board of Supervisors is an important factor to continue promoting the achievements after SBS restructured its operations and repositioned its brand, in addition to the patience, expectations, and efforts of the SBS Collective, along with the support of Shareholders, Investors, State management agencies and SBS in the past time.

Regarding daily operations and tasks, generally, standards are implemented, responsibilities are clearly divided, specific, convenient, and safe for customers. The Board of Directors has also boldly implemented policies and mechanisms in business operations, ensuring the creation of financial resources to ensure stable and sustainable operations of the Company.

In the past year of operation, the Board of Directors has successfully completed the tasks assigned by the General Meeting of Shareholders on the basis of coordination and compliance with the directions and tasks directed by the Board of Directors, successfully maintained the operations of SBS, ensured safe operations, served customers well, and preserved financial resources. What the Board of Directors has achieved is an important foundation for SBS in the following years. The Board of Directors respectfully requests the General Meeting of Shareholders to approve all the contents of the Report submitted by the Board of Management to the Meeting.

III. On directions and tasks in 2026

In 2026, the Company will continue its mission of maintaining and stabilizing operations, ensuring the safety of the trading system, the safety of investor deposits and not causing fluctuations in the market. The Board of Directors will provide timely instructions to the Executive Board in business operations, ensuring quick adaptation to the unpredictable fluctuations of the market, supporting the Executive Board in completing business goals. At the same time, the Board of Directors respectfully submits to the General Meeting for approval the proposals and reports on the Company's upcoming operational directions and strategies.

Finally, before concluding my speech, on behalf of the Board of Directors, I would like to send our best wishes for health and our sincere thanks to the General Meeting!

ON BEHALF OF THE BOARD OF THE DIRECTOR

Chairman



PHAN QUOC HUYNH

2025 PERFORMANCE REPORT AND 2026 BUSINESS PLAN

Report of the Board of Managements at the 2026 Annual General Meeting of Shareholders

I. MAIN FEATURES OF THE ECONOMY AND STOCK MARKET IN 2025

1. Overview of the economic situation in 2025

Amidst the volatile global economic landscape, Vietnam's economy in 2024-2025 is expected to show a clear recovery and enter a more positive growth trajectory. Overall, 2025 will demonstrate significant improvement compared to 2024 in key areas such as GDP growth, inflation control, and attracting foreign direct investment (FDI).

- Regarding economic growth, Vietnam achieved a GDP growth rate of approximately 7.09% in 2024, reflecting a strong recovery after the previous difficult period. In 2025, the growth rate is projected to further improve, reaching approximately 8.02%. This is a high growth rate in the region and globally, indicating that the economy is not only recovering but also accelerating. The main drivers of growth will come from recovering exports, increased public investment, improved domestic consumption, and a significant contribution from the foreign-invested enterprise sector. This shows that the economy has gradually narrowed the gap between actual and potential growth.
- Regarding inflation, the consumer price index (CPI) in 2024 is projected to increase by approximately 3.63%, within the government's control target. By 2025, inflation is expected to remain stable, fluctuating between 3.5% and 4%. Despite faster economic growth, inflationary pressure has not increased significantly, demonstrating the effectiveness of monetary and fiscal policy management.
- Regarding attracting foreign direct investment (FDI), 2024 is expected to see total FDI reaching approximately US\$25.3 billion, an increase of nearly 9.4% compared to the previous year. In 2025, FDI flows are expected to continue their positive growth trend, with both registered and disbursed capital improving. Vietnam continues to be an attractive destination for international investors thanks to its stable political environment, competitive costs, and position in the global supply chain. The FDI sector plays a crucial role in boosting exports and industrial growth. However, heavy reliance on this sector also poses challenges to the self-reliance of the economy and the competitiveness of domestic businesses.

Overall, compared to 2024, Vietnam's economy in 2025 has shifted from a recovery phase to stronger and more stable growth. Higher GDP growth, controlled inflation, and continued increases in FDI inflows are prominent highlights. Nevertheless, the economy still faces several challenges such as dependence on the FDI sector, risks from global economic fluctuations, and limitations in the internal capacity of domestic businesses.

In conclusion, 2025 can be considered a pivotal year, laying the foundation for a more sustainable growth phase for Vietnam in the following years, provided that the quality of growth continues to improve and the internal capacity of the economy is enhanced.

2. Vietnam stock market in 2025

In 2025, the Vietnamese stock market is expected to experience many positive developments amidst a stable and high-growth macroeconomic environment. Compared to the previous period, the market has clearly recovered in terms of index points, liquidity, and investor sentiment, thereby strengthening its role as an important capital mobilization channel for the economy.

Regarding index performance, the market in 2025 is expected to maintain a predominantly upward trend. The VN-Index will experience several periods of strong growth, reflecting positive investor expectations regarding economic prospects and corporate business results.

Market liquidity is also expected to improve significantly. The average trading value has increased compared to 2024, indicating a return of capital to the market.

In terms of supporting factors, the market in 2025 will benefit from a relatively low interest rate environment, flexible monetary policy, and increased public investment. Furthermore, the expectation of upgrading the market from frontier to emerging market status has contributed to improving investor confidence, especially among foreign investors.

However, the market still faces certain risks. Volatility from the global economy, international interest rate policies, and exchange rate pressures could affect foreign capital flows. In addition, the transparency and quality of information of some listed companies still need improvement to enhance the sustainability of the market.

Overall, 2025 marks a positive development for the Vietnamese stock market, shifting from a recovery phase to more stable growth. Despite remaining challenges, the market continues to affirm its role as an important capital channel with strong growth potential in the medium and long term, especially in the context of a sustained high economic growth rate.

II. COMPANY PERFORMANCE IN 2025

1. Achievements in 2025:

- (1) In 2025, the Board of Directors has well implemented the Resolution of the 2025 Shareholders' Meeting and the direction of the Board of Directors, successfully completed business tasks and stabilized the Company's operations..
- (2) **Securities Brokerage Business:** continues to be identified as a core activity, bringing in the main revenue for the Company. Personnel for this segment are constantly being recruited and improved with the support and participation of the Company's professional analysis team.
- (3) **Information technology infrastructure** is always focused on investment and development. The IT Department has coordinated with Brokers over the past year to complete transaction applications to meet the diverse needs of transaction services for customers. At the same time, the IT Department has always closely monitored and coordinated with Brokers to test and complete the KRX system, meeting the requirements

of the Departments, VSD and UBCK, promptly putting it into operation according to regulations.

- (4) **Self-trading investment:** In the past year, following the direction of the Board of Directors, the Executive Board directed the Analysis - Investment Division to closely monitor self-trading investments. At the same time, the Analysis - Investment Division always closely monitored and ensured the effectiveness of investment capital.
- (5) **Regarding affiliated units:** The Branches continue to promote good business results over the past years, contributing significantly to the overall business results of the Company.

2. Difficulties to overcome

In addition to the achievements, the Executive Board encountered the following difficulties and had to resolve them:

- (1) Competition on brokerage commissions and transaction fees is still fierce in the market, along with free transactions on customer accounts and free securities investment consulting. This is a great pressure on transaction fees, affecting the Company's main source of revenue.
- (2) Competition for human resources in the securities industry is also very fierce, making it difficult to find experienced personnel in the profession. Talented personnel, with a solid career and many customers, are easily lured away by companies in the same industry..
- (3) Due to the Company's financial situation, it is not possible to perform derivative services as well as other services, such as issuing warrants..., thereby affecting the competition for trading customers.
- (4) Besides, currently many securities companies have foreign capital support, easily provide many utilities as well as exempt/reduce transaction fees, increase commissions for collaborators, so it is easier to attract customers than our company.
- (5) Due to the nature of the securities industry, the Company must continuously spend investment costs on the Information Technology sector, in order to meet at least the minimum requirements from the State Securities Commission, VSDC as well as the Departments.

3. Financial Report and Business Performance Results 2025

The Company's 2025 financial statements have been audited and disclosed by Vietnam Auditing and Valuation Company Limited (AVA) in accordance with regulations. Hereby, we would like to briefly report on the audited business results of SBS in 2025 as follows:

Items	2025 (billion VND)
1. Operating Revenue	140
2. Operating costs	93
3. Financial revenue	3
4. Financial operating expenses	23
5. Management costs	73

6. Performance results	(46)
7. Other profits	8
8. Total accounting profit before tax	(38)
9. Profit after corporate income tax	(40)

With the financial results achieved as above, although not yet meeting the 2025 plan expectations due to various objective market fluctuations, the Company continues to maintain a safe capital adequacy ratio, strengthens the safe use of working capital, ensures all financial indicators comply with the Company's regulations and current laws, and maintains the stability of the Company's core operations.

III. BUSINESS PLAN 2026

1. Operational orientation

The Executive Board identifies the key tasks in the coming time as still the following main programs:

- Taking advantage of the market's growth momentum, actively increase human resources and expand business network, seeking additional sources of revenue for the Company.
- Improve existing products and services, develop new products and Manage transaction support. Increase the number of collaborators.
- Ensure safety in all aspects of operations: trading system, risk management system, safety of investor deposits.

2. Important action programs.

To achieve the above goals, in 2026, SBS will implement the following plans:

- (1) Strengthening business human resources is still the key task; Developing a team of customer brokers, organizing and improving the quality of the business human resources team.
- (2) Strengthening risk control and expanding the business network. Maintaining and developing the number and quality of collaborators.
- (3) Building a customer classification system; Developing customers as investor groups. Implementing customer classification and applying preferential policies on transaction fees and interest rates for VIP customers.
- (4) Ensuring transaction safety, providing the best service to investors through constantly strengthening the customer service block, gradually perfecting all processes, shortening service delivery time while still ensuring service quality for customers.
- (5) Regarding the quality of capital to meet transaction needs: Ensuring the quality of safe and effective capital management to serve business activities.
- (6) Survey customer needs, then focus on investing in modern information technology, while closely following requirements from departments and VSDC to better serve customers, and complete the construction of level 3 information system security.

(7) Implement strict risk control to ensure the Company's operations, keep the transaction system safe, and ensure the safety of investors' deposits.

3. Revenue and profit targets

SBS's basic business goals for 2026 are based on continued efforts to maintain company operations in a market with many difficulties and unpredictable fluctuations.

Details of revenue, cost and profit plans are as follows:

- Net revenue: About 120 - 140 billion VND
- Operating and management costs: About 117 - 135 billion VND
- Profit from business activities: About 3 - 5 billion VND

In 2026, due to the objective situation in the market, along with the complicated developments of the domestic and international macro economy, the market may fluctuate strongly, greatly affecting business performance. Therefore, the Board of Managements requests permission not to pay dividends in 2026. However, the Board of Directors is still determined to try to motivate all staff to overcome difficulties and complete all assigned business tasks.

At the same time, in the current challenging market conditions, the Company currently lacks sufficient working capital. Therefore, the Board of Managements respectfully requests the General Meeting of Shareholders to consider seeking sources of working capital for the Company and to authorize a capital increase to ensure the Company's resources for business operations in the current difficult circumstances.

IV. CONCLUSION

Dear Shareholders,

The above are the business results achieved, the difficulties encountered in the past year as well as the business plan for the coming year. We respectfully submit to the General Meeting of Shareholders for approval and the Executive Board always hopes to receive the highest level of consensus and support from all shareholders. The Executive Board always believes that it will successfully complete the business goals, ensuring safe and smooth operations during the Company's operation.

We are committed and strive to continue to fulfill our mission..

Sincerely thank the General Meeting of Shareholders!

CHIEF EXECUTIVE OFFICER




DUONG MANH HUNG

Hochiminh City, June 08, 2026

ACTIVITY MONITORING REPORT

About Perform duties, powers and examine financial situation in 2025

Dear Shareholders,

Based on the functions, duties and powers of the Board of Supervisors as prescribed in the Charter of SBS Securities Joint Stock Company, in compliance with the provisions of the Enterprise Law and the Operating Regulations of the Board of Supervisors, the Board of Supervisors would like to report to the General Meeting the following contents:

I. RESULTS OF ACTIVITY MONITORING

We focus on monitoring through evaluating the entire process of directing and implementing solutions to implement the Resolution of the Shareholders' Meeting last year and ensuring compliance and effectiveness, specifically:

- i. Supervise the management and operation process to ensure safety and maintain stable operations of the Company.
- ii. Monitor all aspects of operations to ensure compliance with policies and mechanisms approved by the General Meeting of Shareholders.
- iii. Monitoring financial situation, asset structure, capital sources.

1. On the results of monitoring the Board of Directors' activities

The SBS Board of Directors, with its responsibilities and duties, has effectively directed key tasks in implementing the tasks approved by the Resolution of the General Meeting of Shareholders No. 01/2025/NQ-ĐHĐCĐ dated June 16, 2025, which is to continue to stabilize operations and gradually restore the Company's overall operations in 2025, specifically:

- The Board of Directors has given timely instructions and decisions for the Executive Board to implement key tasks to effectively use capital from the Company's capital sources, while maintaining the Company's stable operations and achieving positive results, generating revenue to ensure safe and convenient operation of the apparatus for investors' transactions in the context of the stock market facing many difficulties in 2025, negatively affecting the Company's business results.
- Regarding other aspects of operation, the Company has stabilized its organizational structure, increased its business personnel, while ensuring the safety of the transaction system, ensuring the safety of investors' assets and always maintaining and contributing to the stability of the entire market. At the same time, ensure that internal transactions are executed and comply with legal regulations.

The Board of Directors of SBS Company in 2025 has completed the duties and tasks approved by the General Meeting of Shareholders.

2. On the results of monitoring operational activities

The Executive Board has seriously implemented the Board of Directors' instructions in implementing the Board of Directors' Resolutions, and has appropriate solutions to stably operate the Company's operations. Specifically:

- Maintain stable operations, gradually improve business turnover, market share is always in a positive trend. Continue to improve and upgrade information technology infrastructure to serve the safe and convenient transaction processing, while ensuring to meet the requirements of the Departments and Vietnam Securities Depository and Clearing Corporation (VSDC)
- Perfecting the organization of business-oriented operations, promoting mechanisms to create business motivation, encourage and boost sales activities.



- Continue to maintain a favorable position in handling SBS debt obligations, without any adverse legal events occurring.

The Executive Board has completed the assigned tasks in 2025.

3. About control work:

The 2025 audit work and meetings of the Board of Supervisors focus on the following core activities:

- Control the implementation of policies and mechanisms approved by the General Meeting of Shareholders according to Resolution No. 01/2025/NQ-DHCD dated June 16, 2025..
- Bad debt collection is carried out in compliance with Company regulations..
- The monitoring and accounting work has accurately reflected the financial status and business activities of the Company.

In 2025, the Supervisory Board fully complied with the Shareholders' Meeting resolution approving the Supervisory Board's remuneration for the period 2025-2029.

II – RESULTS OF FINANCIAL STATUS EXAMINATION

The financial statements of SBS Securities Joint Stock Company for the fiscal year ending December 31, 2025 have been audited by Vietnam Auditing and Valuation Company Limited (AVA).

Based on the results of financial monitoring on the basis of performing functions and duties as prescribed in the Company Charter, legal regulations and the combination of the three agencies of Administration - Operation - Control in the process of performing duties, the Board of Control has the following comments:

- Agree with the audited financial statements for 2025 conducted by Vietnam Auditing and Valuation Company (AVA).
- The Company's financial situation accurately reflects the Company's operational status according to the Financial Statements and Financial Safety Ratio Report for the fiscal year ending December 31, 2025..
- All aspects of the Company's financial situation always ensure compliance with the current financial regimes of the law and the Company, comply with the Resolution of the General Meeting of Shareholders approved annually, have been periodically inspected and audited by independent auditing agencies and relevant agencies, ensuring the safety of the transaction system, ensuring the safety of investors' assets and always maintaining, contributing to stabilizing the entire market.

III – PROPOSAL

Through the activities in 2025, the Board of Supervisors has the following recommendations:

1. Vietnam Auditing and Valuation Company (AVA) continues to be the unit reviewing and auditing the financial safety ratio report, semi-annual and full-year financial statements for 2026 for SBS.
2. Propose that the General Meeting of Shareholders agree and unanimously approve the reports and proposals of the Board of Directors, thereby helping SBS continue to develop strongly in the market.

Best regards.

ON BEHALF OF THE BOARD OF SUPERVISION
Head of Supervisory Board


LE HUYNH HONG

No: 01/2026/TT-BKS

Hochiminh City, June 08 2026

PROPOSAL

**About List of Independent Auditing Companies to review and audit the
Company's financial statements and financial safety ratios for the fiscal year 2026**

Dear Shareholders!

In order to serve the work of reviewing and auditing the Company's financial statements and financial safety ratio reports in the 2026 fiscal year, meeting the requirements set forth in the 2025 Shareholders' Meeting Resolution approving the plan for the 2025-2029 term., through surveying and evaluating a number of independent auditing companies, the Board of Supervisors ("BOS") has selected independent auditing companies with sufficient capacity and qualifications to review and audit the Company's financial statements.

The Board of Supervisors respectfully submits to the General Meeting for approval.:

Authorize the Supervisory Board and/or the Board of Directors and/or the General Director to proactively decide to select and sign a contract/appendix/liquidate the contract with an independent auditing company to review and audit the financial statements and the report on the capital adequacy ratio for the Company in the fiscal year 2026, on the condition that the auditing company must be approved by the Ministry of Finance and/or the State Securities Commission to audit public interest entities announced in the annual list, typically including the following entities:

1. EY Vietnam Company Limited
2. Vietnam Auditing and Valuation Company Limited (AVA)
3. MOORE AISC Auditing and Informatics Services Company Limited (AISC)
4. International Auditing and Valuation Company Limited
5. Other Auditing Companies that meet the conditions approved annually by the Ministry of Finance and/or the State Securities Commission for auditing public interest entities

Respectfully submit to the General Meeting of Shareholders for approval./.

ON BEHALF OF THE BOARD OF SUPERVISION

Head of Supervisory Board



LE HUYNH HONG



SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

No: 01/2026/TT-HĐQT

Hochiminh City, June 08 2026

PROPOSAL

Regarding the amendment and supplementation of the Company's Charter

Dear Shareholders!

Based on Resolution No. 202/2025/QH15 dated June 12, 2025, of the Standing Committee of the National Assembly on the rearrangement of provincial-level administrative units, the Company's head office address has officially changed from 40 Pham Ngoc Thach Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City to 40 Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City. However, the Company's actual location has not been relocated.

Therefore, in order to ensure the Company's operations comply with legal regulations and meet actual operating conditions, the Board of Directors respectfully submits to the General Meeting for approval the amendment of the Company's Charter according to the main contents mentioned above and adjust other contents in the Charter to conform to this change and current regulations. At the same time, the General Meeting of Shareholders will entrust the Board of Directors and the General Management Board with the responsibility of amending and issuing the revised Charter.

Respectfully submit to the General Meeting of Shareholders for approval./.

Best Regards,

ON BEHALF OF THE BOARD OF DIRECTOR

Chairman



PHAN QUOC HUYNH



SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

No: 02/2026/TT-HĐQT

Hochiminh City, June 08 2026

PROPOSAL

Regarding the approval of the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism

Dear Shareholders!

Based on current market conditions, the Vietnam Securities Depository and Clearing Corporation (“VSDC”) is announcing a plan to implement securities transaction clearing and settlement services under the Central Counterparty Clearing (CCP) mechanism for the securities market, expected to be launched in 2027. In accordance with current regulations, and pursuant to Article 151 of Decree 155/2020/ND-CP guiding the implementation of the Securities Law and other relevant amendments and supplements, the approval of VSDC as a partner and permission to provide these services requires the approval of the General Meeting of Shareholders. Therefore, the Board of Directors respectfully submits to the General Meeting of Shareholders:

1. Approval for the Company to provide securities transaction clearing and settlement services under the Central Counterparty Clearing mechanism as a general clearing member and/or a direct clearing member.
2. Authorize the Board of Directors and the General Management Board to proactively carry out all tasks, review the conditions for providing services, and sign relevant documents and records with units and partners in the implementation of the aforementioned Central Clearing Partner (CCP)..

Respectfully submit to the General Meeting of Shareholders for approval./.

Best Regards,

ON BEHALF OF THE BOARD OF DIRECTOR



PHAN QUOC HUYNH

No: 03/2026/TT-HĐQT

Hochiminh City, June 08 2026

PROPOSAL

Regarding the company name change

Dear Shareholders!

Based on the Company's current operational situation, and with the aim of ensuring the Company's continued sustainable operation in the coming period, the Board of Directors respectfully submits to the General Meeting the proposal to change the Company's name, specifically as follows:

A. Regarding the Change of Company Name

1. Current full name of the Company: SBS Securities Joint Stock Company
2. Proposed new name of the Company: Saigon Thuong Tin Securities Joint Stock Company, and during the implementation process, the Board of Directors and the General Director are authorized to proactively decide on adjustments and changes to the Company's new name according to procedures or opinions of competent state management agencies, in line with the long-term, sustainable development strategy from 2026 onwards.
3. Authorize the Board of Directors and the General Director to decide on and sign documents and records related to changes in the Company's brand identity due to the change of the Company's name (change of logo, colors, abbreviated name, Vietnamese trading name, English trading name, stock code (if any), member code (if any), registration and protection of trademarks, etc.).

B. Regarding other related matters To facilitate the name change process, we respectfully request the General Meeting to approve the authorization of the Board of Directors and the General Director to handle all related matters and sign the relevant documents:

1. Deciding on the appropriate time for the Company's name change.
2. Amending and supplementing the Charter, changing the Establishment and Operation License with relevant state management agencies after completing the Company's name change and reporting to the General Meeting of Shareholders at the nearest meeting.
3. To decide on supplementing, amending, and finalizing all issues and contents of the Company's name change process and completing other necessary documents as required by State management agencies in accordance with the law when carrying out the Company name change procedure.

Respectfully submit to the General Meeting of Shareholders for approval./.

ON BEHALF OF THE BOARD OF DIRECTOR

Chairman



PHAN QUOC HUYNH



SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

No: 04/2026/TT-HĐQT

Hochiminh City, June 08 2026

PROPOSAL

Regarding the appointment of members to the Board of Directors

Dear Shareholders!

The Board of Directors and the Supervisory Board, elected by the 2025 General Shareholders' Meeting for a 5-year term (2025-2029), have performed their duties well during their term, complying with the Company Charter, internal regulations, and current legal regulations. However, on June 8, 2026, the Board of Directors received resignation letters from Ms. **Nguyen Thi Hoai Thuong** and Mr. **Dinh Hoai Nam**, as independent members of the Board of Directors, citing personal reasons.

To ensure that the 2025-2029 term meets the requirements of corporate governance as stipulated by current law, the Board of Directors respectfully requests the General Shareholders' Meeting to approve the resignations of Ms. **Nguyen Thi Hoai Thuong** and Mr. **Dinh Hoai Nam**. Simultaneously, the Board of Directors unanimously agrees to and proposes the following replacements for the resigned members: Mr. **Nguyen Trung Kien** as a member of the Board of Directors and Ms. **Le Thi Tra** as an independent member of the Board of Directors. This candidate was considered by the Board of Directors and deemed fully qualified to run for the position, ensuring the stable and sustainable operation of the Company.

Respectfully submit to the General Meeting of Shareholders for approval./.

ON BEHALF OF THE BOARD OF DIRECTOR

Chairman



PHAN QUOC HUYNH

No: 05/2026/TT-HDQT

Hochiminh City, June 08, 2026

PROPOSAL

(V/v Approval of the plan to issue shares to increase the Company's charter capital)

To: Annual General Meeting of Shareholders 2026
SBS Securities Joint Stock Company

- Pursuant to the Enterprise Law No. 59/2020/QH14 passed by the 14th National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law No. 54/2019/QH14 passed by the 14th National Assembly of the Socialist Republic of Vietnam on November 26, 2019;
- Pursuant to Decree 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law;
- Circular 118/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance guiding a number of contents on offering, issuing securities, public offering, repurchasing shares, registering a public company and canceling the status of a public company;
- Pursuant to Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance regulating the operations of securities companies;
- Pursuant to the Charter of organization and operation of SBS Securities Joint Stock Company.

Aiming to seize growth opportunities and enhance competitiveness with other companies in the same industry, the Board of Directors recognizes that further increasing capital resources to meet the Company's requirements is essential. Although the capital increase plan as outlined in the 2025 Annual General Meeting of Shareholders was unsuccessful, due to the increasing demands for development and the need to meet the evolving business requirements under the securities industry's regulations, the Board of Directors of SBS Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders for consideration and approval the plan to issue shares to increase the charter capital by a maximum of VND 150 billion (one hundred and fifty billion) (expected to be implemented between 2026 and the end of 2027). (Detailed plan attached).

Respectfully submit to the General Meeting of Shareholders for consideration and approval./.

Sincerely.

Recepients:

- As "to";
- BOD, BOS, BOM;
- Save VT.

**ON BEHALF OF THE BOARD DIRECTORS
CHAIRMAN**



PHAN QUOC HUYNH

DETAILED ISSUANCE PLAN FOR THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

(Attached is the submission document No. 05/2026/TT-HDQT dated June 8, 2026)

PART 1 – SUMMARY OF ISSUANCE PLAN

❖ Private offering of shares

- Total current shares: 146.607.600 shares
- Total number of additional shares issued: 15.000.000 shares
- Total number of shares after issuance: 161.607.600 shares

❖ Shareholder structure before and after the issuance

Content	Before release		Additional releases	After release	
	Number of shares	Ratio		Number of shares	Ratio
Current shares	146.607.600	100,0%	-	146.607.600	90,72%
Number of additional shares issued	-	-	15.000.000	15.000.000	9,28%
Total	146.607.600	100,0%	15.000.000	161.607.600	100,00%

PART 2 – ISSUANCE PLAN AND CAPITAL USE

I. BUSINESS INFORMATION

1. Trading name: SBS Securities Joint Stock Company
2. Head office: 40 Pham Ngoc Thach, Xuan Hoa Ward, Ho Chi Minh City, Vietnam.
3. Telephone number: 028 6268 6868 Fax: 028 6255 5957
4. Business license No. 109/UBCK-GPHDKD issued by the State Securities Commission on January 28, 2010.
5. Charter capital: VND 1,466,076,000,000 (In words: One thousand four hundred and sixty-six billion seventy-six million dong).
6. Number of outstanding shares: 146,607,600 shares (In words: One hundred and forty-six million six hundred and seven thousand six hundred shares).

II. LEGAL BASIS FOR OFFERING SHARES

1. Law on Enterprises No. 59/2020/QH14 was passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and related amendments and supplements.
2. Law on Securities No. 54/2019/QH14 was passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and related amendments and supplements.

3. Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities and related amendments and supplements.
4. Circular No. 118/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance guiding a number of contents on offering, issuing securities, public offering, repurchasing shares, registering public companies and canceling the status of public companies and related amendments and supplements (if any)
5. Pursuant to Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance regulating the operations of securities companies and related amendments and supplements (if any)
6. Charter of SBS Securities Joint Stock Company.

III. PURPOSE OF SHARE ISSUANCE

- Increase the scale of equity to supplement investment capital for the Company's business activities.
- Increase charter capital to improve financial capacity, competitiveness and in line with the Company's development strategy.

IV. PRINCIPLES OF THE ISSUANCE

1. The offering of shares is conducted uniformly in Vietnamese Dong.
2. The offering is in the form of private offering of shares.
3. According to the provisions of Clause 1, Article 31 of the Securities Law No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, the Company is allowed to issue private shares when:
 - There is a decision of the General Meeting of Shareholders approving the plan for issuance and use of the proceeds from the offering; clearly defining the criteria and number of investors.
 - The subjects participating in the offering include strategic investors and/or professional securities investors
 - The transfer of privately offered shares is restricted to a minimum of 03 years for strategic investors and a minimum of 01 year for professional securities investors from the date of completion of the offering, except in cases of implementation according to a judgment or decision of the Court that has come into legal effect, an arbitration decision or inheritance according to the provisions of law.
 - Individual stock offerings must be at least 06 months apart from the date of completion of the most recent offering.
 - The offering of shares must meet the regulations on foreign investors' ownership ratio according to the provisions of law.

V. ISSUANCE PLAN

1. Stock name: Shares of SBS Securities Joint Stock Company (or changed to the Company's name at the time of issuance)
2. Stock code: SBS
3. Stock type: Common stock.
4. Par value of stock: 10,000 (Ten thousand) VND/share.

5. Number of shares expected to be offered: 15,000,000 shares (In words: Fifteen million shares).
 6. Total expected offering value at par value: 150,000,000,000 VND (In words: one hundred and fifty billion VND).
 7. Issuance method: Private issuance to strategic investors and/or professional securities investors (Including domestic and foreign investors).
 8. Offering price not lower than: 10,000 (Ten thousand) VND/share, the specific offering price will be decided by the Board of Directors (BOD).
 9. Criteria for selecting the offering object: Individuals/organizations with financial potential or technological level or experience in the Company's business field that the Board of Directors considers to contribute to the business activities or bring benefits to the Company.
 10. Number of investors participating in buying shares: Less than 100 Investors.
 11. Transfer restriction: 03 years for strategic investors and 01 year for professional securities investors from the date of completion of the offering.
 12. Conditions for canceling the issuance: There are no conditions for canceling the issuance.
 13. Expected offering time: Expected offering time from 2026 to 2027 at the latest, after being approved by the State Securities Commission.
 14. Distribution period: Privately offered shares are expected to be distributed within 90 days from the date of receiving the approval document from the State Securities Commission.
 15. Expected successful offering rate and handling plan in case the offering does not collect the minimum amount as expected:
 16. The Company does not set a target for the minimum successful offering rate. In case the Company does not sell all the registered shares at the end of the prescribed offering period, the amount collected from the offering is not enough as expected, the Company will register to increase capital based on the actual number of shares sold, the General Meeting of Shareholders authorizes the Board of Directors to balance the use of the amount collected from the offering based on the actual situation of the Company on the basis of ensuring compliance with the Company's general development plan.
 17. Public Tender Offer Procedure: If a strategic investor or professional securities investor registers to purchase and exceeds the thresholds requiring a public tender offer procedure, they are not required to proceed with the public tender offer procedure because the investor has purchased under this private placement plan which has been approved by the General Meeting of Shareholders.
 18. Plan to ensure that the issuance of shares meets the regulations on foreign ownership ratio: Authorize the Board of Directors to approve the plan to ensure that the issuance of shares meets the regulations on foreign ownership ratio.
 19. Plan to compensate for the shortfall in capital expected to be raised from the offering: In case the offering does not raise 100% of the expected amount, the Board of Directors will implement a plan to compensate for the shortfall in capital, specifically: Mobilizing capital from banks, financial institutions or finding additional funding sources to implement the investment plan.
18. Registration of additional transactions and registration of additional securities: All additional shares issued will be registered for additional transactions on the Hanoi Stock Exchange and registered for additional securities at the Vietnam Securities Depository (VSD) after completing the offering.

VI. PLAN TO USE CAPITAL OBTAINED FROM THE ISSUANCE

The expected proceeds from the private placement of shares to investors, amounting to VND 150,000,000,000 (One hundred and fifty billion Vietnamese Dong), will be allocated by the Company for its securities business operations, including:

No	Capital requirements	Value (VND)	Proportion	Estimated disbursement time
1	Securities trading activities	70.000.000.000	46,67%	2026 or 2027 after approval by the State Securities Commission.
2	Activities include providing investors with advance trading loans and securities margin loans.	80.000.000.000	53,33%	2026 or 2027 after approval by the State Securities Commission.
	Total	150.000.000.000	100%	

In the event that the offering does not raise 100% of the expected amount, the Board of Directors is authorized to increase capital based on the capital raised from the offering and utilize the raised capital proportionally for the Company's business activities as per the information above. Alternatively, the Board of Directors may proactively balance the use of the raised capital in accordance with the Company's business operations to ensure maximum benefit for the Company.

VII. AUTHORIZATION

After the General Meeting of Shareholders votes to approve the private share issuance plan and the plan to use the capital raised from the issuance, the General Meeting of Shareholders authorizes the Board of Directors (and the Board of Directors is allowed to re-authorize the Board of Management) to decide and implement all issues (including but not limited to) related to the private share offering, specifically:

1. Implementing the Private Share Issuance Plan:

- Selecting the appropriate time to implement the issuance plan to bring the best benefits to shareholders and the Company.
- Searching for investors to buy private shares that meet the criteria approved by the General Meeting of Shareholders and ensure compliance with the provisions of the Law. For strategic investors, the Board of Directors will submit to the General Meeting of Shareholders for approval according to the law. For professional securities investors, the General Meeting of Shareholders authorizes the Board of Directors to make all decisions.
- Decide in detail on the stock distribution plan to ensure that the total number of shares owned by all foreign investors does not exceed the foreign investors' share ownership ratio at the Company as prescribed.
- Decide in detail on the purpose of capital use according to the policy approved by the General Meeting of Shareholders.
- Choose to replace the purpose of capital use if deemed necessary; Balance and use capital in accordance with the Company's business situation to ensure the efficiency of capital use and the interests of shareholders on the principle that the value change is less than 50% of the amount received from the offering. After adjusting the purpose of capital use, the Board of Directors will report to the General Meeting of Shareholders at the nearest meeting.

- Carry out the necessary procedures to apply for a license to offer shares according to the approved plan, including supplementing, editing, and completing the dossier to ensure that the application for a license to offer shares is carried out legally and in accordance with regulations.
 - Decide on a plan to ensure that the issuance of shares meets the regulations on the Company's foreign ownership ratio.
 - Issue criteria for strategic investors to purchase privately issued shares of SBS.
 - Sign documents and cooperation contracts with strategic partners and/or investors who satisfy the criteria for purchasing privately issued shares of SBS
2. Prepare a dossier for additional trading registration on the Hanoi Stock Exchange and register additional securities at the Vietnam Securities Depository and Clearing Corporation (VSDC) after the issuance ends.
 3. Implement the amendment and supplementation of the Company's Charter related to the change in the Company's charter capital after completing the issuance of shares according to the actual results of the issuance and will report to the General Meeting of Shareholders at the nearest meeting.
 4. Carry out procedures to change the Establishment and Operation License of the Securities Company related to the change of charter capital according to the actual results of the issuance with the State Securities Commission after completing the issuance of shares;
 5. If during the implementation of the plans to use the capital raised in the issuance, large transactions arise, the General Meeting of Shareholders agrees to make investment decisions or purchase/sale of assets with a value of 35% or more of the total asset value recorded in the Company's most recent financial report and the General Meeting of Shareholders authorizes the Board of Directors to carry out the related detailed tasks.
 6. In addition to the above authorization contents, during the implementation of the issuance plan, the General Meeting of Shareholders authorizes the Board of Directors to supplement, amend, and complete the issuance plan according to the requirements of the State management agency and/or in accordance with the actual circumstances so that the implementation of the private offering of shares is legal, in accordance with regulations, ensuring the rights of Shareholders and the Company.

Respectfully submit to the General Meeting of Shareholders for approval of the above contents.

Sincerely thank you!

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



PHAN QUOC HUYNH

REPORT ON ELECTION BALLOTS INSPECTION
MEMBER OF BOARD OF DIRECTORS
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026
SBS SECURITIES JSC

Today, June 08, 2026, at the 2026 Annual General Meeting of Shareholders of SBS Securities Corporation, authorized by the General Meeting, the Ballot Counting Committee conducted an examination of the Ballots for the election of members of the Board of Directors of shareholders present or duly represented at the General Meeting of the Company with the following results:

The list of nominees/candidates for membership in the Board of Directors has been unanimously approved by the General Meeting of Shareholders, including:

Mr : Nguyen Trung Kien

Ms : Le Thi Tra – Independent Board Member

The vote results are as follows:

No	Full name	Number of votes	Ratio to the total number of common shares of shareholders present or duly represented at the General Meeting
1	Mr Nguyen Trung Kien	17.499.130	98,77%
2	Ms Le Thi Tra	17.484.956	98,69%

Based on the announced election rules and vote counting results, the Vote Counting Committee would like to announce the election results of members of the Board of Directors of SBS Securities Joint Stock Company as follows:

No	Full Name	Ratio of the total number of common shares of shareholders present or duly represented at the General Meeting	Result
1	Mr Nguyen Trung Kien	98,77%	ELECTED
2	Ms Le Thi Tra	98,69%	ELECTED

So,

Mr : Nguyen Trung Kien

Ms : Le Thi Tra – Independent Board Member

was ELECTED as a member of the Board of Directors of SBS Securities Joint Stock Company for the term from 2025 to 2029.

Ho Chi Minh City, June 08, 2026

Vote Counting Committee

Head of the Counting Committee



Tran Nguyen Sinh

VOTING BALLOT INSPECTION REPORT

ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

Today, June 08, 2026, at the 2026 Annual General Meeting of Shareholders of SBS Securities Joint Stock Company, authorized by the General Meeting, the Ballot Counting Committee has examined the Voting Ballots of shareholders present or legally represented at the General Meeting of the Company with the following results:

Total number of shareholders of the Company as of March 25, 2026: 14,488 shareholders, representing 146,607,600 shares, accounting for 100% of the total number of shares of the Company.

Number of shares present and legally represented at the General Meeting: 17,717,050 shares, accounting for 12.08% of the total number of shares of the Company.

Vote counting results:

1. Content "Approval of the 2025 Operational Report and 2026 Operational Orientation of the Board of Directors":

-	Number of Shares Agreed is	17.717.050 shares	proportion	100%	Number of shares with voting rights at the General Meeting
-	Number of Disapproval shares is	0	proportion	0%	Number of shares with voting rights at the General Meeting
-	Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the content of "2025 Operational Report and 2026 Operational Orientation of the Board of Directors" was approved.

2. Content "Approval of the 2025 Business Performance Report and 2026 Business Plan of the Executive Board":

-	Number of Shares Agreed is	17.717.050 shares	proportion	100%	Number of shares with voting rights at the General Meeting
-	Number of Disapproval shares is	0	proportion	0%	Number of shares with voting rights at the General Meeting
-	Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the content of "2025 Business Performance Report and 2026 Business Plan of the Executive Board" is approved.

3. Content "Approval of the Report on the implementation of tasks, powers and audit of the financial situation in 2025 of the Board of Supervisors":

-	Number of Shares Agreed is	17.717.050 shares	proportion	100%	Number of shares with voting rights at the General Meeting
-	Number of Disapproval shares is	0	proportion	0%	Number of shares with voting rights at the General Meeting

- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting
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Thus, the content of "Report on the implementation of tasks, powers and audit of financial situation in 2025 of the Board of Supervisors" was approved.

4. Content of "*the appointment of members to the Board of Directors*":

- Number of Shares Agreed is	17.717.050 shares	proportion	100%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	0	proportion	0%	Number of shares with voting rights at the General Meeting
- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the report "*the appointment of members to the Board of Directors*" was approved.

5. Content of "*the amendment and supplementation of the Company's Charter*":

- Number of Shares Agreed is	17.717.050 shares	proportion	100%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	0	proportion	0%	Number of shares with voting rights at the General Meeting
- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

So, the report "*the amendment and supplementation of the Company's Charter*" was approved.

6. Content of "Report on the list of independent auditing companies to review and audit the Company's financial statements and financial safety ratios for the fiscal year 2026":

- Number of Shares Agreed is	17.678.900 shares	proportion	99.78%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	38.150 shares	proportion	0.22%	Number of shares with voting rights at the General Meeting
- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the report "Report on the list of independent auditing companies to review and audit the financial statements and financial safety ratio of the Company for the fiscal year 2026" was approved.

7. Contents of "*the approval of the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism*":

- Number of Shares Agreed is	17.654.550 shares	proportion	99.65%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	62.500 shares	proportion	0.35%	Number of shares with voting rights at the General Meeting

- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting
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Thus, the report "*the approval of the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism*" was approved.

8. Content of "The company name change":

- Number of Shares Agreed is	17.678.900 shares	proportion	99.78%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	38.150 shares	proportion	0.22%	Number of shares with voting rights at the General Meeting
- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the report " The company name change" was approved.

9. Contents of "Report on the Plan to issue shares to increase the Company's charter capital and attached plan":

- Number of Shares Agreed is	17.654.550 shares	proportion	99.65%	Number of shares with voting rights at the General Meeting
- Number of Disapproval shares is	62.500 shares	proportion	0.35%	Number of shares with voting rights at the General Meeting
- Number of shares No opinion is	0	proportion	0%	Number of shares with voting rights at the General Meeting

Thus, the report "Report on the Plan to issue shares to increase the Company's charter capital and the attached plan" was approved.

Ho Chi Minh City, June 08, 2026

Vote Counting Committee

Head of the Counting Committee



Tran Nguyen Sinh