

No. 44/2026/NO-HĐQT-GTHTVN

Hanoi, July 3, 2026



**RESOLUTION**

Re: Approval of the name change of Ho Chi Minh Branch

**THE BOARD OF DIRECTORS OF GUOTAI HAITONG SECURITIES  
(VIETNAM) CORP.**

**Pursuant to:**

- The Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- The Law on Securities No. 54/2019/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, and its implementing regulations;
- Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance providing guidelines on information disclosure in the securities market;
- The company Charter;
- The Minutes of the Board of Directors Meeting No. 05/2026/BBHĐQT.GTJAVN dated July 3, 2026.

**RESOLVES**

**Article 1:** To approve the change of the name of the Ho Chi Minh Branch as follows:

		Before change	After change
Branch name	Vietnamese	Công ty Cổ phần Chứng khoán Guotai Junan (Việt Nam) – Chi nhánh Hồ Chí Minh	Công ty Cổ phần Chứng khoán Guotai Haitong (Việt Nam) – Chi nhánh Hồ Chí Minh
	English	Guotai Junan Securities (Vietnam) Corp. – Ho Chi Minh Branch	Guotai Haitong Securities (Vietnam) Corp. – Ho Chi Minh Branch
	Chinese	国泰君安证券（越南）股份有限公司 - 胡志明分行	国泰海通证券（越南）股份有限公司 - 胡志明分行

**Article 2:** To authorize the General Director of the Company and the Director of Ho Chi Minh Branch to carry out the necessary procedures in accordance with legal regulations to implement the name change of Ho Chi Minh Branch.

**Article 3:** The Board of Directors, company executives, and relevant departments shall be responsible for the implementation of this Resolution. This Resolution takes effect from the date of signing.

**Recipients:**

- As stated in Article 3  
(for implementation);
- Office of the Secretary.

**ON BEHALF OF THE  
BOARD OF DIRECTORS  
CHAIRMAN**

(signed)

**WANG JUN HONG**