

No. 02/2026/TTr-HDQT-ART

Hanoi, dated 09/07/2026

**STATEMENT**

***Amendments and supplements to the Company's Charter***

**TO: THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its amending, supplementing documents, and guiding implementation documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, as amended and supplemented by Law No. 56/2024/QH15 dated November 29, 2024;
- Pursuant to the Law on Electronic Transactions No. 20/2023/QH15 passed by the National Assembly of the Socialist Republic of Vietnam on June 22, 2023;
- Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020, of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;
- Pursuant to Resolution No. 57/NQ-TW dated December 22, 2024, of the Political Bureau on breakthroughs in the development of science, technology, innovation, and national digital transformation;
- Pursuant to the Charter of Artex Securities Joint Stock Company;
- Based on the actual situation of the Company,

The Board of Directors ("BOD") of the Company has conducted a review of the Company's Charter and respectfully submits to the General Meeting of Shareholders for voting and approval the amendments and supplements to the Company's Charter, specifically as follows:

1. To approve the amendments and supplements to some contents of the Company's Charter (the details of the amendments and supplements are specified in the Appendix attached to this Submission).

2. To assign the Chairman of the Board of Directors of the Company to organize the finalization, signing, and promulgation of the amended Charter of the Company and to implement procedures with competent state agencies (if any) in accordance with the provisions of law.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval.

Thank you for your consideration!

**ON BEHALF OF BOARD OF DIRECTORS  
CHAIRMAN**



**TRINH HUY LINH**

# APPENDIX: AMENDMENTS AND SUPPLEMENTS TO THE CHARTER OF ARTEX SECURITIES JOINT STOCK COMPANY

(Attached to Submission No. 02/2026/TTr-HĐQT-ART dated July 09, 2026,

by the Board of Directors of Artex Securities Joint Stock Company)

- Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its amending, supplementing documents, and guiding implementation documents ("Law on Enterprises");
- Pursuant to the Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 ("Law on Securities");
- Pursuant to the Law on Electronic Transactions No. 20/2023/QH15 passed by the National Assembly of the Socialist Republic of Vietnam on June 22, 2023 ("Law on Electronic Transactions").

| No. | Amended/<br>Supplemented<br>Article | Current Regulation                                                                                                                                    | Amended/Supplemented Regulation                                                                                                                                                                                                                    | Grounds for<br>Amendment/<br>Supplement                                           |
|-----|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| 1   | Point d,<br>Clause 1,<br>Article 1  | "Law on Enterprises" is the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on 17/06/2020. | "Law on Enterprises" is the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly of the Socialist Republic of Vietnam on 17/06/2020, as amended and supplemented, documents guiding the implementation of the Law on Enterprises. | Amended in accordance with the Law on Securities and the Law on Enterprises       |
| 2   | Point d,<br>Clause 1,<br>Article 20 | Decide on investing or selling assets valued at 35% or more of the total asset value shall be recorded in the Company's latest financial statements.  | Decide on investing or selling assets valued at 50% or more of the total asset value shall be recorded in the Company's latest financial statements.                                                                                               | Amended in accordance with the Law on Securities and the Law on Enterprises       |
| 3   | Point i,<br>Clause 3,<br>Article 20 | Make decision on investing or selling assets valued at 35% or more of the total asset value recorded in the Company's latest financial statements.    | Make decision on investing or selling assets valued at 50% or more of the total asset value recorded in the Company's latest financial statements.                                                                                                 | Amended in accordance with the Law on Securities, the Law on Enterprises, and the |

| No. | Amended/<br>Supplemented<br>Article | Current Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Amended/Supplemented Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Grounds for<br>Amendment/<br>Supplement<br>actual situation of<br>the Company                                    |
|-----|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| 4   | Clause 2,<br>Article 21             | <p>The authorization for individuals or organizations to represent and attend the General Meeting of Shareholders, as stipulated in Clause 1 of this Article, must be made in writing. The authorization document must be prepared in accordance with civil law regulations and must clearly state the name of the shareholder granting the authorization, the name of the individual or organization being authorized, the number of shares being authorized, the scope of the authorization, the duration of the authorization, and the signatures of both the authorizing party and the authorized party.</p> <p>The authorized representative attending the General Meeting of Shareholders must submit the authorization document when registering to attend the meeting. In case of further delegation of authority, the attendee must present the original authorization document from the shareholder or the authorized representative of the shareholder organization (if not previously registered with the Company).</p> | <p>The authorization for individuals or organizations to represent and attend the General Meeting of Shareholders, as stipulated in Clause 1 of this Article, must be made in writing. The authorization document must be prepared in accordance with civil law regulations and must clearly state the name of the shareholder granting the authorization, the name of the individual or organization being authorized, the number of shares being authorized, the scope of the authorization, the duration of the authorization, and the signatures of both the authorizing party and the authorized party.</p> <p>The authorized representative attending the General Meeting of Shareholders must submit the authorization document when registering to attend the meeting. In case of further delegation of authority, the attendee must present the original authorization document from the shareholder or the authorized representative of the shareholder organization (if not previously registered with the Company).</p> | Amended in accordance with the Law on Securities, the Law on Enterprises, and the Law on Electronic Transactions |



| No. | Amended/<br>Supplemented<br>Article | Current Regulation                                                                                                                                                                            | Amended/Supplemented Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Grounds for<br>Amendment/<br>Supplement                                                                          |
|-----|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
|     |                                     |                                                                                                                                                                                               | Electronic documents or electronic data recorded in the Company's information system, containing sufficient information to identify the authorizing shareholder, the authorized person, and the number of shares subject to the authorization, shall have the same legal validity as a written authorization.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                  |
| 5   | Point d,<br>Clause 2,<br>Article 22 | Send the notice of invitation to the General Meeting of Shareholders to all shareholders entitled the right to attend the meeting in accordance with the Law on Enterprises and this Charter. | Send the notice of invitation to the General Meeting of Shareholders to all shareholders entitled the right to attend the meeting. A shareholder's contact address shall be one of the following types of information: the registered head office address for organizations; the permanent residential address; the workplace address; the telephone number; the email address; or any other address of an individual registered with the Company as their contact address. In the event that the Company sends the notice of the meeting via email, the shareholder's email address for receiving such notice shall be the address specified in the List of Security Owners as of the final registration date – which is stored and provided by the Vietnam Securities Depository and Clearing Corporation – or the email address registered by the shareholder with the Company. | Amended in accordance with the Law on Securities, the Law on Enterprises, and the Law on Electronic Transactions |

| No. | Amended/<br>Supplemented<br>Article | Current Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Amended/Supplemented Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Grounds for<br>Amendment/<br>Supplement                                                                          |
|-----|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| 6   | Clause 3,<br>Article 22             | <p>The person convening the General Meeting of Shareholders must send the meeting invitation to all shareholders listed in the Shareholders Register entitled to attend the meeting no later than twenty-one (21) days prior to the meeting date. The agenda of the General Meeting of Shareholders and documents relating to the matters to be voted on at the meeting must be sent to shareholders and/or published on the Company's website. In case such documents are not attached to the meeting invitation, the notice must clearly specify the link to the full set of meeting materials for shareholders' access.</p> <p>The Notice of the General Meeting of Shareholders published on the Company's website is as valid as the Notice of invitation to the meeting sent to the address in the following cases: (i) The Shareholder has an unclear address, or (ii) The Company does not have enough address information to send the notice to the Shareholders, or (iii) the notice has been sent to the Shareholders at the correct address but is returned to the Company due to no recipient.</p> <p>Notice of the General Meeting of</p> | <p>No later than twenty-one (21) days prior to the opening date of the General Meeting of Shareholders, the person convening the General Meeting of Shareholders must send the Notice of the General Meeting of Shareholders to all shareholders listed in the List of Shareholders entitled to attend the meeting; concurrently, the Company must publish the Notice of the General Meeting of Shareholders on the website of the Company, the State Securities Commission, and the Stock Exchange where the Company's shares are listed or registered for trading. The agenda of the General Meeting of Shareholders and documents relating to the matters to be voted on at the meeting must be sent to shareholders and/or published on the Company's website. In case such documents are not attached to the Notice of the General Meeting of Shareholders, the meeting invitation must clearly specify the link to the full set of meeting materials for shareholders' access.</p> <p>The Notice of the General Meeting of Shareholders published on the Company's website is as valid as the Notice of meeting sent in accordance with the method specified</p> | Amended in accordance with the Law on Securities, the Law on Enterprises, and the Law on Electronic Transactions |

| No. | Amended/<br>Supplemented<br>Article       | Current Regulation                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Amended/Supplemented Regulation                                                                                                                                                                                                                                                                                                                                                                           | Grounds for<br>Amendment/<br>Supplement                      |
|-----|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
|     |                                           | Shareholders must be sent at least ten (10) days before the date of the General Meeting of Shareholders (calculated from the date the notice is properly dispatched, postage paid, or placed into the mailbox). The delivery of meeting documents according to the notice may be replaced by posting on the Company's website. In this case, the notice of invitation to the meeting must clearly state the path to all meeting documents for the Shareholders to access. | in Point d, Clause 2 of this Article in the following cases: (i) The shareholder has an unclear, incorrect, or incomplete contact address, or (ii) the notice has been sent to the shareholder at the correct contact address but is returned to the Company.                                                                                                                                             |                                                              |
| 7   | Clause 2,<br>Article 23                   | In case the first meeting does not meet the conditions for conducting as prescribed in Clause 1 of this Article, the second meeting may be convened within 30 days from the scheduled date of the first meeting unless otherwise provided in the Company's Charter. The second meeting of the General Meeting of Shareholders shall be conducted when the number of shareholders attending the meeting represents at least 33% of the total number of votes.              | In case the first meeting does not meet the conditions for conducting as prescribed in Clause 1 of this Article, the second meeting may be convened within 30 days from the scheduled date of the first meeting. The second meeting of the General Meeting of Shareholders shall be conducted when the number of shareholders attending the meeting represents at least 33% of the total number of votes. | Typographical correction                                     |
| 8   | (iii) Point b,<br>Clause 3,<br>Article 25 | The decision to invest in or sell the Company's assets with a value of 35% or more of the total value of the Company's assets is recorded in the latest audited                                                                                                                                                                                                                                                                                                           | The decision to invest in or sell the Company's assets with a value of 50% or more of the total value of the Company's assets is recorded in the latest audited                                                                                                                                                                                                                                           | Amended in accordance with the Law on Securities, the Law on |



| No. | Amended/<br>Supplemented<br>Article | Current Regulation                                                                                                                                                                                                                                                                                                                                              | Amended/Supplemented Regulation                                                                                                                                                                                                                                                                                  | Grounds for<br>Amendment/<br>Supplement              |
|-----|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|
|     |                                     | financial statements.                                                                                                                                                                                                                                                                                                                                           | financial statements.                                                                                                                                                                                                                                                                                            | Enterprises, and the actual situation of the Company |
| 9   | Clause 6,<br>Article 31             | Unless otherwise provided by law and the Charter, the Board of Directors may authorize subordinate employees and managers to act on behalf of the Company.                                                                                                                                                                                                      | The Board of Directors may authorize subordinate employees and managers to act on behalf of the Company.                                                                                                                                                                                                         | Typographical correction                             |
| 10  | Clause 12,<br>Article 33            | Majority voting: Unless otherwise provided for in this Charter, the Board of Directors shall approve resolutions and make decisions by following the approval of the majority of the members of the Board of Directors present (over 50%). In case the number of votes for approval and disapproval is equal, the Chairperson's vote will be the decisive vote. | Majority voting: The Board of Directors shall approve resolutions and make decisions by following the approval of the majority of the members of the Board of Directors present (over 50%). In case the number of votes for approval and disapproval is equal, the Chairperson's vote will be the decisive vote. | Typographical correction                             |
| 11  | Article 61                          | Unless otherwise specified in this Charter, shareholders holding half of the outstanding shares with voting rights in the election of Board members have the right to file a complaint with the court to request the dissolution based on one or more of the following grounds:                                                                                 | Shareholders holding half of the outstanding shares with voting rights in the election of Board members have the right to file a complaint with the court to request the dissolution based on one or more of the following grounds:                                                                              | Typographical correction                             |

**NGHỊ QUYẾT**

V/v: Thông qua các nội dung chính trình Đại hội đồng Cổ đông thường niên năm 2026

**HỘI ĐỒNG QUẢN TRỊ**

**CÔNG TY CỔ PHẦN CHỨNG KHOÁN ARTEX**

- Căn cứ Luật chứng khoán số 54/2019/QH14 ngày 26/11/2019 và các văn bản hướng dẫn, sửa đổi, bổ sung;
- Luật Doanh nghiệp số 59/2020/QH14 được Quốc hội nước Cộng hòa Xã hội Chủ nghĩa Việt Nam thông qua ngày 17/6/2020 và các văn bản hướng dẫn thi hành Luật Doanh nghiệp;
- Căn cứ Biên bản họp số: /2026/BBH-HĐQT-ART của Hội đồng Quản trị Công ty ngày 13/07/2026,

**QUYẾT NGHỊ:**

**Điều 1.** Thống nhất thông qua các nội dung chính trình Đại hội đồng cổ đông thường niên năm 2026.

- Thông qua Báo cáo hoạt động năm 2025 của Hội đồng Quản trị.
- Thông qua Báo cáo hoạt động năm 2025 của Ban Kiểm soát.
- Thông qua Báo cáo năm 2025 của Ban Tổng Giám đốc.
- Thông qua Báo cáo kết quả kinh doanh, Báo cáo tài chính kiểm toán năm 2025.
- Thông qua Kế hoạch kinh doanh năm 2026.
- Thông qua việc lựa chọn đơn vị kiểm toán Báo cáo tài chính của Công ty năm 2026.
- Thông qua Thù lao cho Hội đồng Quản trị và Ban Kiểm soát.
- Thông qua hủy Phương án phát hành cổ phiếu riêng lẻ để tăng vốn điều lệ Công ty theo Nghị quyết số 01/2025/NQ-ĐHĐCĐ-BOS ngày 22/4/2025 của ĐHĐCĐ thường niên năm 2025.
- Thông qua việc sửa đổi Điều lệ Công ty.
- Thông qua Phương án phát hành cổ phiếu riêng lẻ để tăng vốn điều lệ Công ty.
- Thông qua việc ủy quyền cho Hội đồng Quản trị quyết định các vấn đề phát sinh thuộc thẩm quyền của Đại hội đồng cổ đông trong quá trình thực hiện các công việc đã được Đại hội đồng cổ đông phê duyệt.

**Điều 2.** Hội đồng quản trị nhất trí ủy quyền cho Chủ tịch HĐQT Công ty toàn quyền quyết định các nội dung cụ thể trình ĐHĐCĐ thông qua.

**Điều 3.** Hội đồng quản trị nhất trí ủy quyền cho ông Trịnh Thành Long - Thành viên HĐQT chủ trì điều hành họp ĐHĐCĐ thường niên năm 2026.

**Điều 4.** Nghị quyết này có hiệu lực kể từ ngày ký. Các Thành viên Hội đồng Quản trị, Ban Tổng Giám đốc, các Phòng, Ban, Bộ phận và các cá nhân có liên quan trong Công ty chịu trách nhiệm thi hành Nghị quyết này./.

**Nơi nhận:**

- Như Điều 3;
- Lưu VT.

