

**CÔNG TY CỔ PHẦN CHỨNG
KHOÁN PHÚ HUNG
PHU HUNG SECURITIES
CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: 240./2026/PHS-PL

Thành phố Hồ Chí Minh, ngày 14 tháng 07 năm 2026
Ho Chi Minh City, July 14, 2026

**CÔNG BỐ THÔNG TIN ĐỊNH KỲ
PERIODIC INFORMATION DISCLOSURE**

- Kính gửi/ To:
- Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
 - Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
 - Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
 - Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HUNG (“PHS”)**
Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)

- Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022
- Địa chỉ/ Address: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.*
- Điện thoại liên hệ/Tel.: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
- E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2026 (chi tiết tham khảo tài liệu đính kèm).

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses Report on Corporate Governance in the first 6 months of year 2026 (further information, please find the attachment).

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 14/07/2026 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

This information was published on PHS's website on on July 14, 2026 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:

Attached Document:

- Báo cáo tình hình quản trị công ty 6 tháng đầu năm năm 2026.
Report on Corporate Governance in the first 6 months of year 2026.

ĐẠI DIỆN TỔ CHỨC

ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN

Tổng Giám đốc

General Director

PHU HUNG SECURITIES CORPORATION

No.: 01/2026/BC-PHS

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Ho Chi Minh City, July 14, 2026

REPORT ON CORPORATE GOVERNANCE
In the first 6 months of Year 2026

To: - STATE SECURITIES COMMISSION;
- VIETNAM EXCHANGE;
- HANOI STOCK EXCHANGE;
- HO CHI MINH STOCK EXCHANGE.

- Name of company: **PHU HUNG SECURITIES CORPORATION (PHS)**
- Address of head office: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.
- Telephone: (84-28) 54135479 Fax: (84-28) 54135472
- Charter capital: VND 2,000,098,190,000
- Stock symbol: PHS
- Governance model: General Meeting of Shareholders, Board of Directors, Inspection Committee and General Director
- The implementation of internal audit (Implemented/ Not yet implemented): Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions of the General Meeting of Shareholders (including the Resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution No.	Date	Content
1.	01/2026/NQ-DHĐCĐ	16/04/2026	1. Pass the Audited Financial Statement in 2025; 2. Pass the Report of the Board of Directors on the Business performance in 2025; 3. Pass the Report of the Inspection Committee on the Business performance in 2025; 4. Pass the Report on Corporate governance of PHS in 2025; 5. Pass the Profit Distribution Plan in 2025 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders; 6. Pass the Development and Business plan of PHS in 2026; 7. Pass the Financial budget in 2026; 8. Pass the Selection of Auditor in 2026; 9. Pass the Plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization; 10. Pass the Amendment of the Company's Charter.

II. Board of Directors (In the first 6 months of Year 2026):

1. Information about the members of the Board of Directors (BOD):

No.	Board of Directors' members	Position (Independent members of the BOD, Non-executive members of BOD)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment	Date of dismissal
1.	Mr. Albert Kwang-Chin Ting	Chairman of the Board of Directors	06/04/2016	-
2.	Mr. Nguyen Doan Hung	Member	06/04/2016	-

3.	Mr. Wu, Jin-Jeng	Member	06/04/2016	-
4.	Mr. Chen Chia Ken	Member	06/04/2016	-
5.	Ms. Lin, Hsiu Chu	Independent member	17/04/2025	-

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1.	Mr. Albert Kwang-Chin Ting	07	100%	-
2.	Mr. Nguyen Doan Hung	07	100%	-
3.	Mr. Wu, Jin-Jeng	07	100%	-
4.	Mr. Chen Chia Ken	07	100%	-
5.	Ms. Lin, Hsiu Chu	07	100%	-

3. Supervising the Board of Management by the Board of Directors:

In the first 6 months of Year 2026, Board of Directors promptly conducted the assignment from General Meeting of Shareholders in the spirit of in accordance to laws and internal regulations of PHS. The supervision activities of Board of Directors over Board of Management is prescribed in the monthly report of Board of Management and the Meeting Minutes of Board of Directors.

4. Activities of the Board of Directors' subcommittees (If any):

PHS does not establish the Board of Directors' subcommittees so there is not any activities to report.

5. Resolutions of the Board of Directors (In the first 6 months of Year 2026):

No.	Resolution No.	Date	Content	Approval rate
1.	01/101_0126/NQ-HĐQT	29/01/2026	1. To pass the purchase Phu Hung Assurance Corporation (PAC) shares with Ky Do Corporation 2. To pass the renewing credit line with Bank of Panhsin 3. To pass the renewing credit line and short-term treasury market unit facility with Bank SinoPac – Ho Chi Minh City branch 4. To pass the renewing credit line with Joint Stock Commercial Bank for Foreign Trade of Vietnam – Saigon South branch	100%
2	01/102_0226/NQ-HĐQT	26/02/2026	1. To pass the record date, meeting date of the Annual General Meeting of Shareholders 2. To pass the renewing and increasing credit line with Taishin International Bank Co., Ltd 3. To pass the renewing credit line with Yuanta Commercial Bank Co., Ltd., – Offshore Banking branch 4. To pass the new credit line with Bank of Taiwan 5. To pass the offering conditions of covered warrants in 2026	100%
3	01/103_0326/NQ-HĐQT	23/03/2026	1. To pass the agenda and documents using in the 2026 Annual General Meeting of Shareholders (AGMS) dated April 16th, 2026 2. To pass the audited financial statement in 2025 3. To pass the report of the Board of Directors on the business performance in 2025 4. To pass the report on corporate governance of PHS in 2025 5. To pass the profit distribution plan in 2025 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders 6. To pass the development and business plan of PHS in 2026 7. To pass the financial budget in 2026	100%

			8. To pass the plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization 9. To pass the amendment of the company's Charter 10. To pass the power of attorney for Mr. Chen Chia Ken – BOD member cum General Director to be the meeting chairman and sign the documents of the AGMS dated April 16th, 2026 11. To pass the audited financial safety ratio report on December 31st, 2025 12. To pass the update the Head Office address in accordance with resolution No. 1685/NQ-UBTVQH15 on the reorganization of commune-level administrative units of HCMC in 2025 13. To pass the renewing credit line with Mega International Commercial Bank Co., Ltd – HCMC branch 14. To pass the renewing credit line with Mega International Commercial Bank – offshore banking branch 15. To pass the new credit line with Shanghai Commercial and Savings Bank – offshore banking branch	
4	01/104_0426/NQ-HĐQT	08/04/2026	1. To pass the syndication credit line with Cathay United Bank – Offshore Banking branch	100%
5	01/105_0526/NQ-HĐQT	28/04/2026	1. To pass the moving office of Thanh Xuan branch and changing its registered address with State Securities Commission 2. To pass the remuneration of each member of Board of Directors and Inspection Committee in year 2026 3. To pass the date to finalize the list of shareholders to pay cash dividend in 2025 4. To pass the increasing credit line with Chang Hwa bank – HSI-Sung branch 5. To pass the new credit line with Shinhan Bank Vietnam Limited 6. To pass the increasing credit line with Yuanta, Offshore Banking branch	100%

			7. To pass the renewing and increasing credit line with Taipei Fubon Commercial Bank Co., Ltd., – Offshore Banking branch 8. To pass the renewing credit line and pre-settlement risk line with Taipei Fubon Commercial Bank Co., Ltd., – HCMC branch 9. To pass the pass the implement on private placement plan on increasing charter capital to VND 2,500,098,190,000 10. To pass the decision to terminate the labor contract and related procedures of Mr. Huang Kai Sheng – Head of Finance Division	
6	01/106_0626/NQ-HĐQT	28/05/2026	1. To pass the renewing credit line with Joint Stock Commercial Bank for Investment and Development of Vietnam, Nam Ky Khoi Nghia branch 2. To pass the renewing credit line and pre-settlement risk line with Cathay United bank – Ho Chi Minh City branch 3. To pass the renewing credit line with Cathay United bank – OBU 4. To pass the renewing credit line and pre-settlement risk line with CTBC Bank Co., Ltd – Ho Chi Minh City branch 5. To pass the renewing credit line with CTBC Bank Co., Ltd 6. To pass the renewing credit line and pre-settlement risk line with E.Sun Commercial Bank – Dong Nai branch 7. To pass the renewing credit line with E.Sun Commercial bank – OBU 8. To pass the renewing credit line and settlement risk/ pre-settlement risk line with Vietnam Prosperity Joint Stock Commercial Bank 9. To pass the renewing credit line with Vietnam Joint Stock Commercial Bank For Industry and Trade – branch 4 Ho Chi Minh City 10. To pass the renewing credit line with Huanan Commercial Bank Ltd – Ho Chi Minh City branch 11. To pass the new credit line with Huanan Commercial Bank Ltd 12. To pass the proposal for PHS to act as the market maker for the PHFM VNSHINE ETF & ETF funds managed by Phu Hung Fund Management JSC in the future	100%

			13. To pass the private placement registration dossier in accordance with Clause 4, Article 43 of Decree No. 155/2020/NĐ-CP 14. To pass the implementation of the private placement plan, the detailed plan for the utilization of proceeds from the offering, and the plan to ensure that the private placement complies with the foreign ownership ratio regulations	
7	01/107_0726/NQ-HĐQT	30/6/2026	1. To pass the new credit line and pre-settlement risk line with Bangkok Bank Public Company Limited – Ho Chi Minh City branch 2. To pass the renewing credit line and pre-settlement risk line with First Commercial Bank – Ho Chi Minh City branch 3. To pass the increasing credit line with First Commercial Bank 4. To pass the renewing credit line with KGI Bank	100%

III. Inspection Committee (In the first 6 months of Year 2026):

1. Information about Members of Inspection Committee:

No.	Members of Inspection Committee	Position	The date becoming/ceasing to be the member of the Inspection Committee	Qualification
1.	Mr. Liew Sep Siang	Head of Inspection Committee	The date becoming to be the member of the Inspection Committee: 17/04/2019	Master of Business Administration
2.	Mr. Chiu Hsien Chih	Member	The date becoming to be the member of the Inspection Committee: 06/04/2016	Master of Business Administration
3.	Ms. Wang, Gwan-Fang	Member	The date becoming to be the member of the Inspection Committee: 17/04/2024	Bachelor of Business Administration

2. Meetings of Inspection Committee:

No.	Members of Inspection Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1.	Mr. Liew Sep Siang	01	100%	100%	-
2.	Mr. Chiu Hsien Chih	01	100%	100%	-
3.	Ms. Wang, Gwan-Fang	01	100%	100%	-

3. Supervising Board of Directors, Board of Management and shareholders by Inspection Committee:

In the first 6 months of Year 2026, Inspection Committee operated in the spirit of objection, independence, in accordance with the laws and internal regulations of PHS. The operation of Inspection Committee includes the inspection, observation and assessment on the administration and governance of Board of Directors, Board of Management, as well as the operation of PHS' division.

4. The coordination among the Inspection Committee, the Board of Directors, Board of Management and other managers:

During operation, the Inspection Committee cooperated with Board of Directors, Board of Management and other divisions, department to maintain the management and the business activities smoothly, effectively and in accordance to regulations of laws and the internal regulation of PHS.

5. Other activities of the Inspection Committee (if any):

There is not any other activities of the Inspection Committee to report.

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management
1.	Mr. Chen Chia Ken	14/10/1971	Master of Business Administration	Date of appointment: 06/04/2016
2.	Ms. Pham Thi Thu Nhan	13/06/1984	Master of Business Administration	Date of appointment: 06/04/2016

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Do Thi Ai Vy	16/09/1986	Bachelor	Date of appointment: 07/12/2018

VI. Training courses on corporate governance:

Members of the Board of Directors, Inspection Committee, Board of Management, other managers and the Company Secretary cum Person in charge of Corporate Governance are always fully updated on training issues on corporate governance.

VII. The list of affiliated persons of the public company (In the first 6 months of Year 2026) and transactions of affiliated persons of the Company.

1. The list of affiliated persons of the Company:

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			06/04/2016	-	-	Internal persons
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			06/04/2016	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3.	Mr. Wu, Jin-Jeng		Member of Board of Directors			06/04/2016	-	-	Internal persons
4.	Mr. Chen Chia Ken		Member of Board of Directors cum General Director cum the second legal representative			06/04/2016	-	-	Internal persons
5.	Ms. Lin, Hsiu Chu	-	Independent member of Board of Directors			17/4/2025	-	-	Internal persons
6.	Mr. Liew Sep Siang		Head of Inspection Committee			17/04/2019	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
7.	Mr. Chiu Hsien Chih		Member of Inspection Committee			06/04/2016	-	-	Internal persons
8.	Ms. Wang, Gwan-Fang		Member of Inspection Committee			17/04/2024	-	-	Internal persons
9.	Ms. Pham Thi Thu Nhan		Deputy General Director			06/04/2016	-	-	Internal persons
10.	Ms. Do Thi Ai Vy		Chief Accountant			07/12/2018	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
11.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum Company Secretary			07/05/2021	-	-	Internal persons
12.	Ms. Nguyen Thi Thu Anh		Deputy Manager of Risk Management Division			21/05/2022	-	-	Internal persons
13.	Ms. Nguyen Giac Ly		Chief of Internal Audit			07/10/2025	-	-	Internal persons
14.	Mr. Huang Kai Sheng		Deputy Director of Finance Division			15/07/2022	12/6/2026	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
15.	Phu Hung Far East Holding Corporation		-	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder
16	An Thinh Development Limited		-	1873621 – 12/05/2015 – British Virgin Islands	Coastal Building, Wickhams Cay II, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder
17	New Beam International INC		-	1909904 – 24/03/2016 – British Virgin Islands	Ground Floor, Coastal Building Wickhams Cay II, P O Box 3169, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No	Name of organization/individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. approved by GMS/BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organizations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-	-

4. Transactions between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Director, the Inspection Committee, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Inspection Committee, General Director and other managers as a member of Board of Directors, Board of Directors, or CEOs: Transaction with Ky Do Corporation – The legal representative of Ky Do Corporation is a related person of the Chairman of the BOD of PHS.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Inspection Committee, General Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons (In the first 6 months of Year 2026).

1. The list of internal persons and their affiliated persons of the Company.

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			-	-	-
1.1	Father: Lawrence S. Ting	-	Passed away	-	-	-	-	-
1.2	Mother: Ting Fei Tsong-Ching	-	-			-	-	-
1.3	Wife: Wong, I-Ting	-	-			-	-	-

1.4	Sister: Ting, Kwang I	-	-			-	-	-
1.5	Sister: Ting, Kwang-Yu	-	-			-	-	-
1.6	Brother: Ting, Kwang Hung		-			-	-	-
1.7	Phu Hung Far East Holding Corporation		Member of Board of Directors	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	92,004,600	46.00%	-
1.8	New Beam International Inc		Member of Board of Directors	1909904 – 24/3/2016 – British Virgin Islands	Craigmuir Chambers, Road Town, Tortola, VG	41,676,745	20.84%	

					1110, British Virgin Islands			
1.9	Freshfields Capital Corporation		Chairman of Board of Directors	80690793 – 31/03/2004 – Taiwan	18F., No.4, Sec. 1, Zhongxiao W. Rd., Zhongzheng Dist., Taipei City 100, Taiwan (R.O.C.)	1,290,486	0.65%	-
1.10	Phu Hung Fund Management Joint Stock Company		Chairman of Board of Directors	24/UBCK-GP – 28/12/2007 – State Securities Commission	Floor 20, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan My Ward, HCMC	-	-	-
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			-	-	-
2.1	Father: Nguyen Van Tran	-	Passed away	-	-	-	-	-
2.2	Mother: Pham Thi Bac	-	Retired			-	-	

2.3	Daughter: Nguyen Phuong Anh	-	-			-	-	-
2.4	Son: Nguyen Hung Nam	-	-			-	-	-
2.5	Daughter: Nguyen Huyen Linh	-	-	-		-	-	Child
2.6	Sister: Nguyen Nhi Ha	-	-			-	-	-
2.7	Sister: Nguyen Thai Binh	-	-			-	-	-
2.8	Sister: Nguyen Hong Nga	-	-			-	-	-
2.9	Sister: Nguyen Hong Viet	-	-			-	-	-
2.10	Brother: Nguyen Hung Manh		-			258,177	0.13%	-
2.11	Masan Group Corporation	-	Member of Board of Directors	0303576603 – 18/11/2004 –	23 Le Duan, Sai Gon Ward, HCMC	-	-	-

				Department of Planning and Investment				
3.	Mr. Wu, Jin- Jeng		Member of Board of Directors			-	-	-
3.1	Vu Thai Investment Consultant Limited		Member of Board of Directors	0102340904 – 13/09/2017 – Department of Planning and Investment	Floor 14, Cornerstone Building, 16 Phan Chu Trinh, Cua Nam Ward, Hanoi City	7,473,415	3.74%	-
3.2	Phu Hung Fund Management Joint Stock Company		Member of Board of Directors	24/UBCK-GP – 28/12/2007 – State Securities Commission	Floor 20, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan My Ward, HCMC	-	-	-
4.	Mr. Chen Chia Ken		Member of Board of Directors cum General Director cum the second legal representative			-	-	-

4.1	Father: Chen Yi Fan	-	Passed away	-	-	-	-	-
4.2	Mother: Tsai Pi Chin	-	-	-		-	-	-
4.3	Wife: Wang Tzu	-	-			-	-	-
4.4	Son: Chen Hsiu Te	-	-			-	-	-
4.5	Daughter: Chen Hsiu Yi	-	-			-	-	-
5.	Ms. Lin, Hsiu-Chu	-	Independent member of Board of Directors			-	-	-

5.1	Mother: Lin Guo Laifu	-	-			-	-	
5.2	Father: Lin Min Gjie	-	-			-	-	-
5.3	Son: Chen Yu Fu	-	-			-	-	-
6.	Mr. Liew Sep Siang		Head of Inspection Committee			-	-	-
6.1	Father: Liew Ee Khong	-	Passed away	-	-	-	-	
6.2	Mother: Lee Yeak Leak	-	-			-	-	-
6.3	Brother: Liew Sep Leng	-	-			-	-	-
6.4	Sister: Liew Chin Hui	-	-			-	-	-
6.5	Brother: Liew Sep Yong	-	-			-	-	-

6.6	Wife: Chu Zi Yan	-	-			-	-	-
6.7	Phu Hung Assurance Corporation		Deputy General Director	41/GP-KDBH – 12/12/2006 – Ministry of Finance	Floor 22, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan My Ward, HCMC.	-	-	-
6.8	Phu Hung Fund Management Joint Stock Company		Head of Inspection Committee	24/UBCK-GP – 28/12/2007 – State Securities Commission	Floor 20, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan My Ward, HCMC	-	-	-
7.	Mr. Chiu Hsien Chih		Member of Inspection Committee			-	-	-
7.1	Father: Chiu Chuei Chun	-	Passed away	-	-	-	-	-
7.2	Mother: Syu Yue Ye	-	Passed away	-	-	-	-	-
7.3	Wife: Lin Ching Yi	-	-			-	-	-



7.4	Sister: Chiu Li Chiu	-	-			-	-	-
7.5	Sister: Chiu Li Ying	-	-			-	-	-
7.6	Sister: Chiu Hsueh O	-	-			-	-	-
8.	Ms. Wang, Gwan-Fang		Member of Inspection Committee			-	-	-
8.1	Father: Wang, Pai-Fu	-	-			-	-	-
8.2	Mother: Yeh, Mei-Yu	-	-			-	-	-
8.3	The Sang Media Company Limited		Director	0311068000 – 15/08/2011 – Department of Planning and	Floor 22, Phu My Hung Tower, No. 08 Hoang Van Thai,	-	-	-

				Investment of HCMC	Tan My Ward, HCMC			
8.4	Viet Brand Invest Joint Stock Company	-	Independent member of Board of Directors	0312080709 – 10/12/2012 – Business Registration Office – Department of Finance of Ho Chi Minh City	4 th Floor, Crescent Residence 3 Building, No. 109 Ton Dat Tien, Tan My Ward, HCMC	-	-	-
9	Ms. Pham Thi Thu Nhan		Deputy General Director			-	-	-
9.1	Father: Pham Ngoc Ho	-	-			-	-	-
9.2	Mother: Pham Thi Hon	-	-			-	-	-
9.3	Daughter: Nguyen Pham An Nhlen	-	-			-	-	-

9.4	Brother: Pham Ngoc Tinh		-			-	-	-
9.5	Sister: Pham Thi Cam Xuyen		-			-	-	-
10.	Ms. Do Thi Ai Vy		Chief Accountant			-	-	-
10.1	Father: Do Gia Van	-	-			-	-	-
10.2	Mother: Nguyen Thi Tuyet	-	-			-	-	-

10.3	Husband: Pham Duc Vu	-	-			-	-	-
10.4	Son: Pham Gia Khiem	-	-			-	-	-
10.5	Daughter: Pham Bao Chau	-	-			-	-	-
10.6	Sister: Do Thi Ly Ly	-	-			-	-	-

12/01/2017

10.7	Sister: Do Thi Uyen My	-	-			-	-	-
10.8	Brother: Do Gia Bao	-	-			-	-	-
11.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum Company Secretary			-	-	-
11.1	Father: Chieu Duc Nghia	-	-			-	-	-

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11.2	Mother: Luong Ngoc Mai	-	-			-	-	-
11.3	Brother: Chieu Duc Tam	-	-			-	-	-
11.4	Sister: Chieu Boi Thy	-	-			-	-	-
12.	Ms. Nguyen Thi Thu Anh		Deputy Manager of Risk Management Division			107	0.000053%	

12.1	Father: Nguyen Ngoc Dung		-			-	-	-
12.2	Mother: Ho Thi Thu Van		-			-	-	-
12.3	Brother: Nguyen Ngoc Anh		-			-	-	-
12.4	Brother: Nguyen Tuan Anh		-			-	-	-

13.	Nguyen Giac Ly		Chief of Internal Audit			-	-	-
13.1	Father: Nguyen Xuan Phong	-	Passed away	-	-	-	-	-
13.2	Mother: Thai Thi Thu Dung		-			-	-	-
13.3	Husband: Vu Tran Duc Hai		-			-	-	-
13.4	Sister: Nguyen Thao Thanh	-	-			-	-	-

13.5	Son: Vu Tran Kha Minh	-	-			-	-	-
13.6	Son: Vu Thai Lam Khang	-	-			-	-	-
14.	Mr. Huang Kai Sheng		Deputy Director of Finance Division			-	-	The date ceasing to be the Deputy Director of Finance Division: 12/6/2026
14.1	Father: Huang, Jeng-Her	-	-			-	-	-
14.2	Mother: Wu, Chen- Chen	-	-			-	-	-

14.3	Wife: Chiang, Chu-Yun	-	-			-	-	-
14.4	Son: Huang, Ping-Chieh	-	-			-	-	-

2. Transactions of internal persons and affiliated persons with shares of the company.

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
-	-	-	-	-	-	-	-


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IX. Other significant issues: None.

Recipients:

- As above;
- Archived: Company Secretariat.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

(Sign, full name and seal)



ALBERT KWANG – CHIN TING

