
No. 101 /CV/VTPĐ

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Ha Noi, July 16 2026

REPORT ON CORPORATE GOVERNANCE
First 6 months of 2026

**To: - The State Securities Commission;
- Ha Noi City Stock Exchange.**

- Name of company: ORIENTAL SHIPPING AND TRADING JOINT STOCK COMPANY

- Address of headoffice: 278 Ton Duc Thang Street, O Cho Dua Ward, Hanoi City

- Telephone: 04.38515805 Fax: 024. 38569967 Email: info@ostc.com.vn

- Charter capital: 200,560,000,000VND

- Stock symbol: NOS

- Governance model:

+ General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

- The implementation of internal audit: Implemented: Nil

I. Activities of the General Meeting of Shareholders

Stt	Resolution number	Date	Content
1	26/NQ-ĐHĐCĐ	22/4/2026	Approval of the following reports: - Report on the implementation of the 2025 production and business plan; directions and tasks of the 2026 production and business plan and implementation solutions;

			- Financial report 2025 (audited) - Board of Directors' report on activities in 2025 and plan for 2026; - Report on the Board of Supervisors' activities in 2025 and plan for 2026; - Approval of remuneration of the Board of Directors and the Board of Supervisors in 2025; estimated for 2026; - Through the Proposal to amend and supplement the Company Charter - Through the Proposal to cancel the public company status, cancel the registration of stock trading and cancel the securities registration; - Approval of the Proposal for Divestment Plan at Nosco Shipyard Joint Stock Company; - Approval of the Proposal for selecting an independent auditor to audit the 2026 financial statements; - Authorization to the Board of Directors: - Adjust the 2026 production and business plan according to the actual situation; - Choosing an Auditing Company in 2026; - Dismissal of members of the Board of Directors and the Board of Supervisors; - Approval of the Proposal for the Establishment of a Single-Member Limited Liability Company - Approval of the Proposal on Amendments and Supplements to the Charter of Operation of the Board of Directors - Approval of the Proposal to Amend and Supplement the Operating
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			Regulations of the Board of Supervisors. - Approval of the Proposal on the Dismissal of Members of the Board of Directors and the Board of Supervisors for the 2021–2026 Term, and the Nomination of Candidates for Election to the Board of Directors and the Board of Supervisors of the Company for the 2026–2031 Term.
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II. Board of Directors (2026 Report):

Information about the members of the Board of Directors :No.	Board of Directors' members	Position Independent members of the Board of Directors, Non-executive members of the Board of Directors))	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Hoang Le Vuong	Chairman/ Non-executive Director	28/6/2025	
2	Mr. Nguyen Canh Hiep	Board Member /Non-executive Director	28/6/2025	
3	Mr. Tran Quang Toan	Board Member/ Executive Director, CEO	28/6/2025 and 01/7/2025	

2. Meetings of the Board of Directors:

Stt No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
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1	Mr. Hoang Le Vuong	10/10	100%	
2	Mr. Nguyen Canh Hiep	10/10	100%	
3	Mr. Tran Quang Toan	10/10	100%	

3. Supervisory activities of the Board of Directors over the Board of Directors

- Monitor the implementation of reports and develop the 2026 business production plan to be submitted to the 2026 Annual General Meeting of Shareholders for approval.
- Supervise the direction and operation of production and business activities, ensuring that the 2026 target is achieved.
- Monitor the implementation of the Resolution of the 2025 Annual General Meeting of.
 - Supervise the implementation of the reporting regime, periodic and irregular information disclosure to the State Securities Commission, Hanoi Stock Exchange and Vietnam Securities Depository and Clearing Corporation.
- There are 01 members of the Board of Directors who are also members of the Board of Directors, so the provision of information and reports to other members who are not directly in charge is carried out regularly and fully, promptly serving the direction and supervision work of the Board of Directors towards the Board of Directors.

4. Activities of subcommittees under the Board of Directors: The Board of Directors has not established any subcommittees.

5. Resolutions/Decisions of the Board of Directors (Semi-annual report/annual report):

Stt No	Resolution/Decision No.	Date	Content	Approval rate
1	01/2026/NQ-HĐQT	09/02/2026	"Regarding the enforcement of the collateral, consisting of the	100%

			Company's shares in Nosco Shipyard."	
2	02/2026/NQ-HĐQT	25/02/2026	"Approval of the shareholder list and the plan for organizing the 2026 Annual General Meeting of Shareholders."	100%
3	03/2026/NQ-HĐQT	17/03/2026	"Approval of the 2025 Financial Statements and the 2026 Business and Production Plan."	100%
4	04/2026/NQ-HĐQT	23/3/2026	"Approval of the documents for the 2026 Annual General Meeting of Shareholders."	100%
5	05/2026/NQ-HĐQT	24/3/2026	"Nomination of the Company's personnel for election to the Board of Directors and the Board of Supervisors for the 2026–2031 term."	100%
6	06/2026/NQ-HĐQT	25/3/2026	"Evaluation of the performance of the members of the Board of Directors and the Board of Supervisors for 2025."	100%
7	07/2026/NQ-HĐQT	22/4/2026	"Election of the Chairperson of the Board of Directors of the Company for the 2026–2031 term."	100%
8	08/2026/NQ-HĐQT	14/5/2026	"Implementation of the Resolutions of the 2026 Annual General Meeting of Shareholders and other matters."	100%
9	09/2026/NQ-HĐQT	29/5/2026	"Approval of the plan for the establishment of a single-member limited liability company."	100%
10	10/2026/NQ-HĐQT	29/6/2026	"Approval of the Charter of NOSCO Shipping One Member Limited Liability Company."	100%

III. Board of Supervisors (Semi-annual report/annual report) 2026 report:

1. Information about members of Board of Supervisors or Audit Committee:

<i>Stt No.</i>	<i>Members of Board of Supervisors/ Audit Committee</i>	<i>Position</i>	<i>The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee</i>	<i>Qualification</i>
1	Mr. Phạm Cao Nhue	<i>Members of Board of Supervisors / Head the Board of supervisors</i>	<i>Becoming date 28/6/2025 and 30/6/2025</i>	Bachelor of Finance and Accounting
2	Mr. Pham Hai Long	<i>Members of Board of Supervisors</i>	<i>Becoming date 28/6/2025</i>	<i>Master of Transport Management</i>
3	Ms. Kieu Viet Ha	<i>Members of Board of Supervisors</i>	<i>Becoming date 28/6/2025</i>	<i>Master of Economic Law</i>

3. Supervisory activities of the Board of Supervisors over the Board of Directors, Executive Board and shareholders:

Perform the functions and duties of the Board of Supervisors as prescribed in the Company Charter and the Board of Supervisors' Operating Regulations. In 2025, the Board of Supervisors has implemented inspections and supervision of the Company's activities. Thereby reflecting the results achieved, shortcomings in production and business at the unit and proposing recommendations and solutions to overcome them. After each inspection, the Board of Supervisors has a record to report to the Board of Directors and the Board of General Directors.

The Board of Directors has performed well its role in directing, supervising and supporting the Board of Directors in effectively operating production and business activities and has exceeded the production and business targets approved by the General Meeting of Shareholders.

The Board of Directors seriously restructures and rearranges OSTC's business operations while constantly seeking new investment opportunities to create a stable premise and sustainable long-term development for OSTC.

4. Coordination of activities between the Supervisory Board and the Board of Directors, the Executive Board and other management staff:

The Board of Supervisors has closely coordinated with the Board of Directors and the Board of Management in performing assigned functions and tasks, and coordinated in inspection and supervision activities according to regulations.

The Board of Directors and the Board of Management have cooperated and created favorable conditions for the Board of Supervisors to perform their duties, such as providing information and documents, assigning staff to coordinate with the Board of Supervisors when requested, and participating in meetings of the Board of Directors and meetings of the Company.

5. Other activities of the Board of Supervisors and Audit Committee (if any):
Nil

IV. BOARD OF MANAGEMENT

<i>No.</i>	<i>Members of Board of Management</i>	<i>Date of birth</i>	<i>Qualification</i>	<i>Date of appointment / dismissal of members of the Board of Management</i>
1	Mr. Tran Quang Toan	11/7/1974	Master	01/7/2025
2	Mr. Tran Thanh Ha	22/12/1966	Bachelor of Labor Economics	06/8/2010
3	Mr. Nguyen Van Hoai	25/10/1979	Bachelor of Marine Engineering	28/7/2020

4	Mr. Le The Viet	22/6/1983	Marine Engine Engineer	28/7/2020
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V. CHIEF ACCOUNTANT

<i>Name</i>	<i>Date of birth</i>	<i>Qualification</i>	<i>Date of appointment / dismissal</i>
Mrs. Hoang Thi Thu Thao	08/04/1979	Master in Finance	04/01/2016

VI. Corporate governance training:

The company continues to create all conditions for staff to participate in training courses to improve their knowledge of corporate governance organized by competent authorities.

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, General Directors, other managers and secretaries in accordance with regulations on corporate governance.

All the Board members have obtained the certificate or equivalent certificate in corporate governance.

VII. The list of affiliated persons of the public company (Semi-annual report/annual report) and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company

Please see the Annex I attached

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons. **Nil**

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power. **Nil**

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting). **Nil**

4.2. *Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO). Nil*

4.3. *Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers. Nil*

4.4. *Share transactions of internal persons and their affiliated persons (Report for the first 6 months of 2026) Nil*

VIII. Stock transactions of insiders and related parties of insiders

1. *The list of internal persons and their affiliated Please see the Annex 2 attched*

2. *Transactions of internal persons and affiliated persons with shares of the company: Nil*

IX. Other significant issues: Nil

To:

- HNX
- Save board of directors



