

No. 01/2026/BBH- ĐHĐCĐ-ART

Hanoi, 15th July, 2026

MINUTES OF THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

A. MEETING TIME AND PLACE

ARTEX SECURITIES JOINT STOCK COMPANY

Head office: Floor 1, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam.

Business Registration Certificate No. 0102669368 issued by the Business Registration Office - Department of Planning and Investment of Hanoi City for the first time on 03/03/2008.

Establishment and operation license No. 85/UBCK-GP issued by the State Securities Commission on 03/03/2008; Adjustment License No. 56/GPDC-UBCK issued by the State Securities Commission on 23/7/2025.

At 14:30 on 15/07/2026, at the 5th Floor Hall, FLC Landmark Tower, No. 5 Le Duc Tho, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Artex Securities Joint Stock Company ("**Company**") held the 2026 Annual General Meeting of Shareholders (3rd Convocation) (hereinafter referred to as "**General Meeting/General Meeting of Shareholders**").

The 2026 Annual General Meeting of Shareholders (1st Convocation) on 28/05/2026 are eligible to conduct as prescribed in Article 145 Law on Enterprises 2020 and Clause 1 Article 23 of the Company's Charter because the number of shareholders attending the representative meeting is not enough for more than 50% of the total number of votes of the Company according to the list of shareholders entitled to attend the 2026 Annual General Meeting of Shareholders made by the Vietnam Securities Depository and Clearing Corporation on 04/05/2026.

The 2026 Annual General Meeting of Shareholders (2nd Convocation) on 22/06/2026 is not eligible to proceed according to the provisions of Article 145 of the Law on Enterprises 2020 and Clause 2 Article 23 of the Company's Charter due to the insufficient number of shareholders attending the representative meeting is more than 33% of the total number of voting votes of the Company according to the list of shareholders entitled to attend the 2026 Annual General Meeting of Shareholders made by the Vietnam Securities Depository and Clearing Corporation on 04/05/2026.

Pursuant to Clause 3 Article 145 of the Law on Enterprises 2020 and Clause 3 Article 23 Company Charter, Meeting Ordinary General Meeting of Shareholders year 2026 (3rd Convocation) to be held on 15/07/2026.

B. REPORT ON INSPECTION OF SHAREHOLDERS' ELIGIBILITY TO ATTEND THE GENERAL MEETING

Ms. Nguyen Thi Bich Hang – Head of the Shareholder Verification Committee read the Shareholder Verification Report to attend the General Meeting right before the opening time (*Shareholder Verification Report made on 15/07/2026*):

The results are as follows:

- Total number of shareholders of the Company: **12.533** shareholders (according to the List of Shareholders of the Company made by the Vietnam Securities Depository and Clearing Corporation on 04/05/2026).
- Total number of shareholders attending the General Meeting: **67** shareholders, representing **13.373.100** corresponding **13.373.100** sharesvoting votes, accounting for **13.8%** of the total voting shares of the Company.

In which:

- Total number of shareholders directly attending: **23** shareholder
- Total number of authorized shareholders: **44** shareholder

(By the time of voting, the number of shareholders directly attending and authorized to attend the General Meeting is: **67** shareholders, representing **13.373.100** corresponding **13.373.100** sharesvoting votes, accounting for **13,8%** of the total number of voting shares of the Company).

Thus, according to the provisions of Clause 3, Article 145 of the Law on Enterprises 2020, the 2026 Annual General Meeting of Shareholders (the third time) of the Company is eligible to be conducted.

C. PROGRAM AND CONTENT OF THE CONGRESS

I. Introduction of the Chairman and the Presidium

Pursuant to Point a, Clause 4, Article 24 of the Company's Charter "*The Chairperson of the Board of Directors shall preside over or authorize other members of the Board of Directors to preside over the meeting of the General Meeting of Shareholders convened by the Board of Directors*", pursuant to the Power of Attorney No. 03/2026/GUQ-ART dated July 13, 2026 of the Chairperson of the Board of Directors on authorizing Mr. Trinh Thanh Long – Member of the Board of Directors as the Chairman of the 2026 General Meeting of Shareholders, the Organizing Committee of the Congress introduces the Chairman and the Presidium of the Congress, including the following names:

- Mr. Trinh Thanh Long - Member of the Board of Directors ("**Board of Directors**"), General Director of the Company - Chairman of the General Meeting
- Ms. Vu Quang Hai - Chief Accountant of the Company - Member of the Presidium

The Chairman and the Presidium of the Congress receive tasks and exercise the right to administer the Congress.

II. Introduction of the Company's Representative to Audit the Financial Statements in 2025

Mr. Pham Gia Dat - Representative of UHY Auditing and Consulting Co., Ltd. – the auditing unit of the 2025 Financial Statements of Artex Securities Joint Stock Company (Pursuant to Clause 4, Article 273 of Decree 155/2020/ND-CP dated 31/12/2020)

III. Appointment of the General Conference Secretariat

Mr. Trinh Thanh Long - The Chairman of the Congress shall appoint the Secretariat of the Congress, including the following persons:

- Ms. Le Thi Huyen Trang - Head of the Secretariat
- Ms. Lu Thi Ha - Members of the Secretariat

The Secretariat receives and performs tasks at the Congress.

IV. Approved the Congress Program; Regulation on organization of the Congress; Voting rules; members of the Vote Counting Committee

1. Approval of the General Assembly Program

The vote to approve the General Assembly Program is carried out in the form of holding up voting cards.

Result: 100% of the total votes of the shares Attending the meeting The Congress agreed to approve the Congress Program.

2. Approval of the Regulation on Organization of the Congress

The vote to approve the Regulation on organization of the Congress shall be carried out in the form of holding up the voting card.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the Regulation on the organization of the Congress.

3. Approval of the Voting Rules at the General Meeting

The vote to approve the voting rules at the General Meeting shall be carried out in the form of holding up voting cards.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the voting rules.

4. Approved the composition of the Vote Counting Committee

The Chairman introduced the members of the Vote Counting Committee for the Congress to vote and approve, including the following names:

- 1 Mr. Trieu Xa Luan Head of the Vote Counting Committee
- 2 Mr. Pham Van Thanh Members of the Vote Counting Committee

The congress approved the composition of the Vote Counting Committee in the form of holding up voting cards.

Result: 100% of the total votes of shareholders attending the General Meeting unanimously approved the composition of the Vote Counting Committee.

V. Contents of the Reports and Submissions of the Congress

Because the reports and proposals to the General Meeting of the Board of Directors have been publicly posted on the Company's website and included in the General Meeting documents sent to shareholders attending the General Meeting, these reports and proposals have not been re-read at the General Meeting.

(Detailed contents of the reports and submissions attached to this record.)

VI. Opinions discussed at the Congress

Shareholders directly attend the General Meeting and authorized persons to attend the General Meeting to discuss the reports of the Board of Directors. The contents of the discussion at the Congress have been recorded by the Secretariat in the Appendix attached to the Minutes of this meeting.

VII. Vote to approve the contents of the General Meeting

Mr. Pham Van Thanh – Member of the Vote Counting Committee instructed shareholders to vote to approve the Reports and Submissions at the General Meeting. Shareholders vote under the guidance of the Head of the Vote Counting Committee.

Voting results on issues discussed at the Congress

Voting method: Secret ballot

- Number of votes issued: 67 votes, equivalent to 13,373,100 voting shares.
- Number of votes collected: 66 votes, equivalent to 13,372,100 voting shares.
- Number of valid votes: 66 votes, equivalent to 13,372,100 voting shares.
- Number of invalid votes: 0 votes, corresponding to 0 voting shares.

The voting results for each specific issue are as follows:

1. Approval of the Operating Report in 2025 of the Board of Directors, the Supervisory Board, CEO

Vote	Number of Voting Shares	Rate (%)
Approve	13.242.100	99,03%
Disapprove	130.000	0%
No Comments	0	0%

2. Approval of the Operating Report in 2025 of the Supervisory Board

Vote	Number of Voting Shares	Rate (%)
Approve	13.242.100	99,03%
Disapprove	130.000	0%
No Comments	0	0%

3. Approval of the Operating Report in 2025 of the CEO

Vote	Number of Voting Shares	Rate (%)
Approve	13.242.100	99,03%
Disapprove	130.000	0%
No Comments	0	0%

4. Approving the Business Results Report, Audited Financial Statements for 2025
(According to the Report No. 01/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../202..):

Vote	Number of Voting Shares	Rate (%)
Approve	13.217.100	98,84%
Disapprove	155.000	0%
No Comments	0	0%

5. Approving the 2026 Business Plan *(According to the Report No. 01/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../202..):*

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

6. Approving the Remuneration for the Board of Directors and the Supervisory Board *(According to the Report No. 01/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../202..):*

Vote	Number of Voting Shares	Rate (%)
Approve	13.347.100	99,81%
Disapprove	25.000	0%
No Comments	0	0%

7. Approval of the selection of the auditor of the Company's financial statements in 2026 *(According to the Report No. 01/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../202..):*

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

8. Approval of the cancellation of the private placement plan approved by the General Meeting of Shareholders according to Resolution No. 01/2025/NQ-ĐHDCĐ-BOS dated April 22, 2025 of the 2025 Annual General Meeting of Shareholders (According to the Report No. 01/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../2026):

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

9. Approving the amending the Company's Charter (According to the Report No. 02/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../2026):

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

10. Approving the plan to issue individual shares to increase the Company's charter capital (According to the Report No. 03/2026/TTr-HDQT-ART of the Board of Directors of the Company dated .../.../2026):

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

11. Approving the authorization of the Board of Directors to decide on issues arising under the jurisdiction of the General Meeting of Shareholders in the course of performing the tasks approved by the General Meeting of Shareholders. (According to the Reports of the Board of Directors of the Company: No. 01/2026/TTr-HDQT-ART dated .../.../2026, No. 02/2026/TTr-HDQT-ART dated .../.../2026, No. 03/2026/TTr-HDQT-ART dated .../.../2026):

Vote	Number of Voting Shares	Rate (%)
Approve	13.372.100	100%
Disapprove	0	0%
No Comments	0	0%

VIII. Issues approved by the Congress

Based on the above-mentioned voting results, based on the Regulation on organization of the Congress, the following issues have been approved with the following approval rate:

STT	Approved content	Approval Rate (%)
1.	Approval of the 2025 Operating Report of the Board of Directors, the Supervisory Board, the Board of Directors	100
2.	Approval of the 2025 Operating Report of the Supervisory Board	100
3.	Approval of the 2025 Operating Report of the Board of Directors	100
4.	Approved the Business Results Report, Audited Financial Statements for 2025	100
5.	Adoption of the 2026 Business Plan	100
6.	Approval of the selection of the auditor of the Company's financial statements in 2026	100
7.	Approval of Remuneration for the Board of Directors and the Supervisory Board	100
8.	Approval of the cancellation of the private placement plan approved by the General Meeting of Shareholders according to Resolution No. 01/2025/NQ-ĐHDCĐ-BOS dated April 22, 2025 of the 2025 Annual General Meeting of Shareholders	100
9.	Approving the amending the Company's Charter	100
10.	Approving the plan to issue individual shares to increase the Company's charter capital	100
11.	Approving the authorization of the Board of Directors to decide on issues arising under the jurisdiction of the General Meeting of Shareholders in the course of performing the tasks approved by the General Meeting of Shareholders	100

D. APPROVING THE MINUTES OF THE GENERAL MEETING OF SHAREHOLDERS

This record was fully and honestly recorded by the Secretariat of the General Meeting, read back in front of the whole General Meeting and unanimously approved by the shareholders attending the General Meeting.

The minutes of this meeting shall be made in 03 (*three*) copies in Vietnamese with the same legal validity.

The meeting ended on 16 hour 45 minutes on the same day.

SECRETARIAT



Le Thi Huyen Trang

CHAIRMAN



Trinh Thanh Long

APPENDIX
DISCUSSION AT THE ANNUAL GENERAL MEETING OF
SHAREHOLDERS IN 2026

*(Attached to the Minutes of the Annual General Meeting of Shareholders in 2026
of Artex Securities Joint Stock Company)*

STT	Question	Response of the Chairman and/or the Chairperson's designation
1.	Currently, the Company's ART shares are still suspended from trading. When will ART stock be traded again?	Dear Shareholders, up to now, the Company has overcome violations in the issuance of reports and has fulfilled the obligation to disclose information in accordance with the regulations of the SSC and the Stock Exchange; In the coming time, the Board of Directors of the Company will complete the documents in accordance with the law and carry out procedures to be able to bring ART shares back to trading soon.
2.	What are the current operations of the Company?	Dear Shareholders, according to the Financial Statements of the 1st quarter of 2026, the Company's business activities currently include Securities Brokerage, Proprietary Securities Trading, Securities Investment Consulting, lending money to customers to buy securities to carry out margin transactions, advance money from securities sales.
3.	Does the company plan to pay dividends to shareholders in the near future?	Dear Shareholders, as you know, the Company has just come out of the state of control and is in the process of restoring business, and needs capital to deploy. Therefore, the Company prioritizes taking advantage of capital sources to meet the conditions for maintaining operations and supplementing capital for business activities. Therefore, the Company has no plan to pay dividends in 2026.
4.	What solutions will the company implement in the near future to recover debts?	Dear Shareholders, according to the approval of the 2023 General Meeting of Shareholders, the Company's receivables have been set aside 100% and the 2025 financial statements have been audited to continue to record this provision. The Board of

STT	Question	Response of the Chairman and/or the Chairperson's designation
		Directors has only taken over the Company in 2025 and is still continuing to review and classify in detail receivables and loans according to the level of risk and recoverability to implement debt recovery measures.
5.	If the company is losing money, why submit remuneration to the Board of Directors and the Supervisory Board with an expenditure of 3 billion?	Dear Shareholders, in fact, the remuneration for the Board of Directors and the Supervisory Board is at the minimum level, the highest remuneration is paid to the Chairman of the Board of Directors is only about 15 million/month. The Board of Directors and the Board of Directors who took over the Company in the past year have made efforts to gradually solve problems such as: bringing the company out of control, restoring purchase-oriented transactions, cutting company costs to a minimum,....also aiming to restore business activities. Shareholders can fully monitor the actual remuneration expenditure in the financial statements that the Company has disclosed.
6.	What activities will the company conduct to find professional securities investors to buy individual shares?	Dear Shareholders, the Company will implement activities in accordance with the law to approach and find professional securities investors, through programs to introduce investment opportunities, meet investors, work with consulting organizations and appropriate distribution channels. Specific activities will be carried out at the discretion of the Board of Directors of the Company.

