

No.: 776/2026/CV - SHS
(Re: Disclosure of Periodic Information –
Q2/2026 Financial Statements)

Hanoi, July 20, 2026

DISCLOSURE OF PERIODIC FINANCIAL INFORMATION

**To: Vietnam Stock Exchange
Hanoi Stock Exchange**

In accordance with Clause 3, Article 14 of Circular No. 96/2020/TT-BTC dated November 16, 2020, issued by the Ministry of Finance, guiding information disclosure in the securities market, Sai Gon – Hanoi Securities Joint Stock Company (SHS) hereby discloses the Q1/2026 Financial Statements (FS) to the Hanoi Stock Exchange as follows:

1. Company Name:

- Stock Code: SHS
- Address: No. 43 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi City
- Tel: 024.38.181888 Fax: 024.38.181688
- Email: Website: <http://www.shs.com.vn/>

2. Disclosed Information:

- Q2/2026 Financial Statements:
 - ☒ Separate Financial Statements (Issuer has no subsidiaries or is an accounting entity with no affiliated units);
 - ☐ Consolidated Financial Statements (Listed company has subsidiaries);
 - ☐ Combined Financial Statements (Listed company has affiliated units with separate accounting systems);
- Cases requiring explanation are as follows:
 - + The audit firm issues a modified opinion for the audited Q2/2026 Financial Statements (for audited Q2/2026 Financial Statements):

☐ Yes ☒ No

Written explanation, if applicable:

☐ Yes ☐ No
 - + The post-tax profit for the reporting period has discrepancies exceeding 5% between pre-audit and post-audit results or shifts from loss to profit (for audited Q2/2026 Financial Statements):

☐ Yes ☒ No

Written explanation, if applicable:

☐ Yes ☒ No

+ Profit after corporate income tax in the reporting period has changed by 10% or more compared to the same period last year:

☒ Yes

☐ No

Written explanation for changes of 10% or more compared to the same period last year:

☒ Yes

☐ No

+ The post-tax profit for the reporting period shows a loss, shifting from profit to loss compared to the same period last year, or vice versa:

☐ Yes

☒ No

Written explanation, if applicable:

☐ Yes

☒ No

This information has been published on the company's website on July 20, 2026, at the following link:

<https://www.shs.com.vn/quan-he-co-dong/cong-bo-thong-tin/DINHKY>

3. Report on Transactions Equal to or Exceeding 35% of Total Assets in 2026: None

We hereby certify that the disclosed information is true and accurate, and we take full legal responsibility for its content.

Attached Documents:

- Q2/2026 Financial Statements.
- Explanation Letter.

Recipients:

- As above;

SAIGON - HA NOI SECURITIES JSC. 



Nguyen Duy Linh
CEO

**SAI GON - HANOI SECURITIES JOINT
STOCK COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 776/2026/CV - SHS
(Re: Explanation of the Financial Statements
for Q2/2026)

Hanoi, July 20, 2026

**To: Vietnam Stock Exchange
Hanoi Stock Exchange**

Pursuant to Clause 4, Article 14 of Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance providing guidelines on information disclosure on the securities market, Saigon – Hanoi Securities Joint Stock Company hereby provides an explanation of the change in profit after tax for the second quarter of 2026 as follows:

UNIT: VND

<u>Item</u>	<u>Q 2/2026</u>	<u>Q 2/2025</u>	<u>Increase/(Decrease)</u>
Profit after tax	75.774.982.473	382.739.364.894	(306.964.382.421)

Profit after tax for the second quarter of 2026 was VND 75.8 billion, representing a decrease of VND 306.9 billion, equivalent to an 80.2% decrease compared to the same period in 2025. The decrease was mainly attributable to a decline in the results of proprietary trading activities compared to the same period of the previous year, primarily due to changes in the fair value of the Company's FVTPL financial asset portfolio at the end of the period.

The above is the explanation provided by Saigon – Hanoi Securities Joint Stock Company regarding the change in profit after tax for the second quarter of 2026.

Sincerely!

Recipients:

- As above;

SAIGON - HANOI SECURITIES JSC.



**Nguyen Duy Linh
CEO**