

No: 2534/BC-VHTC

Ha Tu, July 20, 2026

**REPORT ON CORPORATE GOVERNANCE OF THE LISTED COMPANY
(6 months of 2026)**

To:

- The State Securities Commission
- Ha Noi Stock Exchange.

- **Name of company:** Ha Tu Coal Joint Stock Company – Vinacomin.
- **Address of headoffice:** Zone 6, Ha Tu Ward, Quang Ninh Province.
- **Điện thoại:** 0203 3835169; **Fax:** 0203 3836120.
- **Website:** www.hatucoal.vn;
- **Email:** thanhatu@hatucoal.vn .
- **Charter capital:** 245.690.520.000 VND (*Two hundred forty-five billion, six hundred ninety million, five hundred twenty thousand VND*).
- **Stock symbol:** THT.
- **Governance model:** General Meeting of Shareholders, Board of Directors, Board of Supervisors, Director.
- **The implementation of internal audit:** Implemented

I. Activities of the General Meeting of Shareholders:

No	Resolution No.	Date	Content
1	01/2026/NQ-DHDCD	22/4/2026	(1). Report on the Company's 2025 Business Performance, the 2026 Business Plan, and the Company's Development Strategy for the 2026–2030 Period; (2). Report on the Activities of the Board of Directors in 2025; (3). Report on the Activities of the Independent Member of the Board of Directors in 2025; (4). Proposal for Approval of Contracts and Transactions with Related Parties in 2026; (5). Proposal on the Supplementation of Business Lines and Amendment to the Company's Charter; (6). Audited Financial Statements for 2025; (7). Report on the Payment of Allowances and Remuneration to the Board of Directors and the Supervisory Board in 2025; Proposal for the Allowances and Remuneration of the Board of Directors and the Supervisory Board in 2026; (8). Proposal on the 2025 Profit Distribution Plan and the 2026 Dividend Plan; (9). Report of the Supervisory Board on the Company's

			Business Performance, the Performance of the Board of Directors and the Board of Management; Self-assessment Report on the Performance of the Supervisory Board; (10). Proposal on the Selection of the List of Independent Auditing Firms for the Audit of the 2026 Financial Statements.
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II. Board of Directors (Semi-annual report of 2026):

1. Information about the members of the Board of Directors (BOD):

No	Board of Directors' members	Position	The date becoming/ceasing to be the member of the BOD / Independent member of the BOD	
			Date of appointment	Date of dismissal
1	Vu Van Khan	Chairman of the Board of Directors, Representative of Vinacomin's state capital	28/11/2025	
2	Ngo The Phiet	Chairman of the Board of Directors, Representative of Vinacomin's state capital	23/8/2023	28/11/2025
3	Tran Quoc Tuan	Member of the Board of Directors – Director	09/01/2025	-
4	Tran Quoc Toan	Member of the Board of Directors – Deputy Director	09/01/2025	-
5	Dang Van Tinh	Member of the Board of Directors – Deputy Secretary of the Party Committee	25/4/2022	-
6	Pham Thi Hai	Independent Member of the Board of Directors	25/4/2022	-

2. Meetings of the Board of Directors in 6 months of 2026:

No	Board of Directors' members	Position	Number of meetings attended	Ratio (%)	Reasons for absence
1	Vu Van Khan	Chairman of the Board of Directors, Representative of Vinacomin's State Capital	10/10	100	
2	Tran Quoc Tuan	Member of the Board of Directors – Director	10/10	100	
3	Tran Quoc Toan	Member of the Board of Directors – Deputy Director	10/10	100	

4	Dang Van Tinh	Member of the Board of Directors – Deputy Secretary of the Party Committee	10/10	100	
5	Pham Thi Hai	Independent Member of the Board of Directors	10/10	100	

3. Supervising the Board of Management by the Board of Directors:

The Company's Board of Directors has closely adhered to the Charter on Organization and Operation, as well as the rules and regulations of Vietnam National Coal and Mineral Industries Group and of the Company, in carrying out corporate governance and supervising the Executive Management.

During the first six months of 2026, the Board of Directors successfully organized the Annual General Meeting of Shareholders on April 22, 2026. It also convened 10 meetings and issued 10 Resolutions and 21 Decisions to strengthen the Company's management in various areas, including construction investment management, materials management, and business production planning.

The BOD supervised and directed the Director to review, amend, and supplement seven management regulations, including the Regulations on Citizen Reception; the Regulations on Grassroots Democracy in the Workplace; the Risk Management and Prevention Regulations; the Exit Management Regulations; the Emulation and Reward Regulations; the Materials Management Regulations; and the amended and supplemented Construction Investment Management Regulations.

The Company has strictly complied with its Charter and the regulations and internal rules of TKV in carrying out its internal governance. In addition, the BOD continued to direct the Company to strengthen its management and administration in implementing business and production activities in accordance with the strategies, directions, and plans assigned by TKV, while ensuring compliance with TKV's unified management system and operational discipline.

The Company has fully and accurately prepared and submitted reports on its business and production performance, supervisory reports, and reports of TKV's capital representative at the Company to TKV within the prescribed deadlines.

Based on its supervisory activities, the BOD concluded that the Board of Management has duly implemented the resolutions of the General Meeting of Shareholders, the resolutions and decisions of the BOD, the regulations and internal rules of TKV and the Company, as well as the applicable laws and regulations. In performing its duties, the Board of Management submitted to the BOD proposals on restructuring the organizational model, reorganizing the workforce, and amending and supplementing the Company's internal regulations to better reflect actual operating conditions and ensure compliance with applicable laws and regulations.

4. Activities of the Board of Directors' subcommittees: (None)

5. Resolutions/Decisions of the Board of Directors (Semi-annual report of 2026):

During the first six months of 2026, the Board of Directors of the Company held 10 meetings and issued 10 Resolutions and 21 Decisions. *(Details are provided in Appendix 1 attached hereto.)*

III. Supervisory Board's Activities (Semi-annual report of 2026)

1. Information about members of Board of Supervisors (BOS):

No.	Supervisory Board Member	Position	Date of becoming/ceasing to be a member of the Supervisory Board	Qualification
1	Mrs. Nguyen Thi Luong Anh	Head of the Supervisory Board	26/4/2021	Bachelor of Economics
2	Mr. Ngo Duy Da	Member	25/4/2022	Mining Engineer
3	Mrs. Pham Thi Lan Huong	Member	25/4/2016	Bachelor of Accounting and

2. Meetings of Board of Supervisors

During the first six months of 2026, the Supervisory Board of the Company held two (02) meetings to perform its supervisory functions in accordance with the Law on Enterprises 2020, the Company's Charter, and the relevant internal regulations.

The first meeting, held on March 5, 2026, reviewed and approved the contents of the Supervisory Board's Report to be submitted to the 2026 Annual General Meeting of Shareholders, assessed the Supervisory Board's performance in 2025, and discussed its key tasks for 2026.

The second meeting was convened to review the supervisory activities conducted during the first six months of 2026; discuss and approve the supervisory matters relating to the management and administration of the Board of Directors and the Board of Management; review the implementation of the Company's business and production plan, financial performance, risk management, and other matters within the scope of the Supervisory Board's functions and responsibilities.

All meetings were convened and conducted in accordance with the authority, procedures, and formalities prescribed by applicable laws and the Company's Charter. The meeting agenda and contents were consistent with the supervisory functions and responsibilities of the Supervisory Board.

Attendance and voting of Supervisory Board members

All members of the Supervisory Board attended all duly convened meetings. Voting was conducted on the principles of collective decision-making, democracy, and consensus, ensuring compliance with applicable laws and the Company's Charter.

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Nguyen Thi Luong Anh	02/02	100%	100%	None
2	Pham Thi Lan Huong	02/02	100%	100%	None
3	Ngo Duy Da	02/02	100%	100%	None

All members of the Supervisory Board attended all meetings as convened. Resolutions were adopted on the basis of collective discussion, democratic principles, and unanimous agreement, in compliance with applicable laws and the Company's Charter.

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors.

3.1 Monitoring activities:

Based on the functions, duties, and powers prescribed by the Law on Enterprises, the Company's Charter, and the relevant internal regulations, the Supervisory Board conducted supervision over the activities of the Board of Directors and the Board of Management. Based on its supervisory activities, the Supervisory Board concluded as follows:

- The 2026 Annual General Meeting of Shareholders was duly convened and conducted in accordance with the prescribed procedures and formalities. Information disclosure was carried out fully and in a timely manner.
- The Board of Directors convened its meetings and adopted resolutions within its authority. The resolutions focused on corporate governance, management, and the direction of the Company's business and production activities.
- The Board of Directors and the Board of Management performed their functions and duties in compliance with applicable laws, the Company's Charter, and the resolutions of the General Meeting of Shareholders. The Company's management and operations were carried out in a manner consistent with its business and production activities.
- The Company paid due attention to compliance with the laws and regulations governing enterprises, securities, labor, finance, and accounting. Within the scope of its supervision, the Supervisory Board did not identify any material violations that could adversely affect the legitimate rights and interests of the shareholders or the Company.
- The working relationship among the Supervisory Board, the Board of Directors, and the Board of Management was maintained on the principles of independence, objectivity, transparency, and mutual respect.

3.2 Review the legality and reasonableness of the Company's management and operational activities, as well as its financial and accounting operations.

The Supervisory Board reviewed and examined the legality, appropriateness, integrity, and due care exercised in the Company's management and operational activities, as well as its accounting, statistical work, and preparation of financial statements. Based on the results of its review, the Supervisory Board concluded as follows:

The management and operational decisions of the Board of Directors and the Board of Management were issued within their delegated authority and were consistent with the Company's business and production objectives as well as the applicable regulations.

The Company's accounting and statistical work was carried out in accordance with applicable laws and the prevailing accounting standards and regulations. Accounting records and supporting documents were properly prepared and adequately maintained.

The Supervisory Board reviewed and reconciled the key items presented in the financial statements against the relevant supporting documents. Within the scope of its review, the Supervisory Board did not identify any material misstatements that would affect the truthfulness and fairness of the financial statements.

The Company fulfilled its obligations to the State and its employees in accordance with applicable laws and regulations. Tax declaration and payment, the implementation of salary and bonus policies, social insurance, health insurance, unemployment insurance, and

dividend payments to shareholders were all carried out in compliance with the prevailing legal requirements.

4. Coordination between the Supervisory Board and the activities of the Board of Directors, the Executive Board, and other managers.

During the first six months of 2026, the Supervisory Board maintained close coordination with the Board of Directors, the Board of Management, and the Company's functional departments to effectively perform its supervisory duties in accordance with applicable regulations.

The Board of Directors, the Board of Management, and the Company's functional departments facilitated the Supervisory Board's performance of its duties by providing complete information and documentation as requested, and by cooperating in discussions and providing explanations regarding matters related to the Company's management, operations, and business performance.

In the course of performing its duties, the Supervisory Board communicated its comments and recommendations to the Board of Directors and the Board of Management in a timely manner for consideration, appropriate action, and remediation of any issues identified.

During the reporting period, the Supervisory Board did not receive any requests from shareholders for inspection or supervision, nor any complaints relating to the activities of the Board of Directors, the Board of Management, or the Company's corporate governance and management.

The Supervisory Board's performance during the reporting period was attributable to the dedication and sense of responsibility of each of its members, together with the active cooperation and support of the Board of Directors, the Board of Management, the Company's functional departments, and its shareholders.

5. Other activities of the Board of Supervisors: (None)

IV. Board of Management

No	Members of Board of Management	Date of birth	Qualification	Date of Appointment	Date of Dismissal
1	Mr. Tran Quoc Tuan	22/8/1968	Master of Economics Mining Engineer Bachelor of Information Technology	25/11/2024	
2	Mr. Phan Ngu Hoanh	26/6/1981	Master of Management Bachelor of Economics	01/6/2025	
3	Mr. Tran Quoc Toan	06/11/1978	Mining Engineer Bachelor of	21/02/2018	

			Economics		
4	Mr. Lam Anh Tuan	17/9/1980	Mining Engineer	01/02/2019	
5	Mr. Nguyen Trong Hieu	08/4/1968	Electromechanica l Engineer	25/7/2022	

V. Chief Accountant

No	Full Name	Date of birth	Qualification	Date of Appointment	Date of Dismissal
1	Bui Thanh Binh	16/10/1978	Bachelor of Accounting	14/11/2025	

VI. Training courses on corporate governance

1. Board of Directors members, Director, Deputy Directors:

Members of the Board of Directors and the Board of Management fully participated in professional training and development programs organized for TKV's managerial personnel at the TKV Business Administration School to enhance their leadership, management, operational, and business administration capabilities. During the first six months of 2026, the Company's senior executives attended: *The Forum entitled "2026 AGM Season: Compliance or Breakthrough – Efficiency or Sustainability"*, jointly organized by the Vietnam Institute of Directors (VIOD), the State Securities Commission of Vietnam (SSC), and the Vietnam Exchange (VNX), (vi) *The Conference on "Compliance with Securities Laws and Common Errors in Financial Statement Preparation"*, held on June 29, 2026, jointly organized by the State Securities Commission of Vietnam (SSC) and the Vietnam Association of Certified Public Accountants (VACPA).

2. Company Secretary:

The Company Secretary, who concurrently serves as the Person in Charge of Corporate Governance and the Company's Authorized Information Disclosure Officer, regularly participates in professional training and development programs on legal advisory services and corporate governance in support of the Board of Directors and the Board of Management. During the first six months of 2026, the Company Secretary attended: (i) *the seminar entitled "General Meeting of Shareholders in the Digital Era: Trends, Challenges and Opportunities"*, organized by FPTIS; (ii) *the Launch Ceremony of the 2026 Vietnam Corporate Governance Code*; (iii) *the Forum entitled "2026 AGM Season: Compliance or Breakthrough – Efficiency or Sustainability"*, jointly organized by the Vietnam Institute of Directors (VIOD), the State Securities Commission of Vietnam (SSC), and the Vietnam Exchange (VNX); (iv) *the seminar on Enhancing Financial Transparency through the Adoption of International Financial Reporting Standards (IFRS)*, organized by the Hanoi Stock Exchange (HNX); (v) *the seminar entitled "Key Considerations in Preparing the Corporate Governance Report"*, held on June 18, 2026, organized by FPTIS; (vi) *the Conference on "Compliance with Securities Laws and Common Errors in Financial Statement Preparation"*, held on June 29, 2026, jointly organized by the State Securities

Commission of Vietnam (SSC) and the Vietnam Association of Certified Public Accountants (VACPA).

VII. The list of affiliated persons of the public company (Semi-annual report of 2026) and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company:

(Details in Appendix No. 2 attached)

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

(Details in Appendix No. 3 attached)

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power

(None)

4. Transactions between the Company and other objects:

4.1 Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting):

(Details in Appendix No. 3 attached)

4.2 Transactions between the Company and other companies in which related persons of members of the Board of Directors, Supervisory Board, or Executive Management are also members of the Board of Directors or Executive Management *(None)*

4.3 Transactions between the Company and other companies in which related persons of members of the Board of Directors, Supervisory Board, or Executive Management are also members of the Board of Directors or Executive Management: *(None)*

5 Share transactions of internal persons and their affiliated persons:

a. Transactions of internal persons and affiliated persons with shares of the Company:

(Details in Appendix No. 4 attached)

b. Transactions of internal persons and affiliated persons with shares of the company:

(None)

6. Other significant issues:

(None)

Ha Tu Coal Joint Stock Company – Vinacomin respectfully submits this report./.

Recipients:

- Hanoi Stock Exchange, State Securities Commission (CIMS, e-copy);
- Members of the BOD and BOS (e-copy);
- Party Committee, Executive Board (e-copy);
- Office Department for publication on the website (e-copy);
- To be archived: Office, Company Secretary.

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN**



Vu Van Khan

Appendix I
RESOLUTIONS AND DECISIONS OF THE BOARD OF DIRECTORS
(Enclosed with Report No. 2534/BC-VHTC, dated 20/7/2026 of the Board of Directors of Ha Tu Coal Joint Stock Company – Vinacomin)

No.	Resolution/ Decision No.	Date	Content	Approval Rate
1	127/BB-VHTC 127/NQ-VHTC	06/01/2026	1. The Board of Directors reviewed the submission No. 4561/TTr-VHTC dated December 22, 2025, submitted by the General Director, "regarding the approval of contracts and transactions between the Company and related parties in 2026". 2. The Board of Directors reviewed the submission No. 4595/TTr-VHTC dated December 23, 2025, submitted by the General Director, "regarding the plan to organize the 2026 Annual General Meeting of Shareholders". 3. The Board of Directors reviewed the submission No. 4710/TTr-VHTC dated December 29, 2025, submitted by the General Director, "Regarding the approval of adjustments to the plan for some key indicators in 2025".	100%
2	128/BB-VHTC 128/NQ-VHTC	08/01/2026	1. The Board of Directors reviewed the submission No. 4766/TTr-VHTC dated December 31, 2025, regarding the request for approval to reappoint staff. 2. The Board of Directors reviewed the submission No. 4765/TTr-VHTC dated December 31, 2025, regarding the issuance of the Regulations on Receiving Citizens' Complaints of Ha Tu Coal Joint Stock Company - Vinacomin. 3. The Board of Directors reviewed the reports on internal audit work: (i) Report No. 4659/BC-VHTC dated December 26, 2025 on the results of the internal audit for the fourth quarter of 2025. (ii) Report No. 32/BC-VHTC dated January 5, 2026 on the internal audit work for 2025.	100%
3	129/BB-VHTC 129/NQ-VHTC	28/01/2026	1. The Board of Directors reviewed the submission No. 240/TTr-VHTC dated January 20, 2026, from the Director "on the promulgation of regulations on the implementation of grassroots democracy at the workplace of Ha Tu Coal Joint Stock Company - Vinacomin". 2. The Board of Directors reviewed the submission No. 326/TTr-VHTC dated January 27, 2026, from the Director "Regarding the plan for profit distribution and fund allocation for 2025 to report to TKV for approval before submitting it to the Annual General Meeting of Shareholders in 2026". 3. The Board of Directors reviewed the Director's Submission No. 291/TTr-VHTC dated January 25, 2026, "Regarding the provisional approval of the Plan for the Use of the Reward Fund and Welfare Fund for 2026". 4. The Board of Directors will implement a monitoring program and evaluate the results of the monitoring for the	100%

No.	Resolution/ Decision No.	Date	Content	Approval Rate
			last six months of 2025.	
4	130/BB-VHTC 130/NQ-VHTC	11/02/2026	1. The Board of Directors reviewed the submission No. 426/TTr-VHTC dated February 4, 2026, from the Director of the Company "Regarding the approval and promulgation of the Regulations on Risk Management and Prevention of Ha Tu Coal Joint Stock Company – Vinacomin". 2. The Board of Directors reviewed the submission No. 410/TTr-VHTC dated February 3, 2026, submitted by the General Director, "Regarding the reappointment of management personnel". 3. The Board of Directors reviewed the Director's report dated January 30, 2026, "Regarding the decision not to reappoint the officer."	100%
5	131/BB-VHTC 131/NQ-VHTC	10/3/2026	1. The Board of Directors reviewed the submission No. 646/TTr-VHTC dated February 27, 2026, regarding the approval of the Regulations on managing exit permits for officers and employees. 2. The Board of Directors reviewed the submission No. 698/TTr-VHTC dated March 4, 2026, regarding the addition of business lines and updating the Company Charter in accordance with Decision No. 36/2025/QD-TTg of the Prime Minister. 3. The Board of Directors reviewed Report No. 715/TTr-VHTC dated March 6, 2026, regarding the preparation of a report proposing adjustments to the environmental permit of the "North Bang Danh Open-Pit Mining Area" facility (part of the "North Bang Danh Open-Pit Mining Area (adjustment)" project). 4. The Board of Directors reviewed the submission No. 685/TTr-VHTC dated March 4, 2026, regarding the approval of several financial targets for 2026. 5. The Board of Directors reviewed the submission No. 734/TTr-VHTC dated March 9, 2026, submitted by the General Director, "Regarding the approval of some key indicators of the 5-year plan 2026 - 2030". 6. The Board of Directors implements management tasks.	100%
6	132/BB-VHTC 132/NQ-VHTC	31/3/2026	1. The Board of Directors reviewed the submission No. 903/TTr-VHTC dated March 23, 2026, from the Director of the Company "regarding the approval of the Regulations on Emulation and Commendation in Ha Tu Coal Joint Stock Company - Vinacomin". 2. The Board of Directors reviewed the submission No. 911/TTr-VHTC dated March 24, 2026, submitted by the General Director, "regarding the approval of the salary fund for 2025".	100%

No.	Resolution/ Decision No.	Date	Content	Approval Rate
			3. The Board of Directors reviewed The submission No. 994/TTr-VHTC dated March 31, 2026, from the Director regarding the request for approval to reappoint staff. 4. The Board of Directors implements management tasks. 4.1 The Board of Directors monitors the company's production and business performance in the first quarter of 2026 (Report dated March 30, 2026, from the Director) 4.2 The Board of Directors reviews the draft Annual Report for 2025. 4.3 The Board of Directors reviewed Report No. 935/BC-VHTC dated March 25, 2025, from the Head of Internal Audit regarding the results of the internal audit for the first quarter of 2026.	
7	133/BB-VHTC 133 /NQ-VHTC	08/4/2026	1. The Board of Directors reviewed the submission No. 1052/TTr-VHTC dated April 6, 2026, from the Director of the Company "regarding the issuance of amendments and supplements to the Regulations on Material Management in Ha Tu Coal Joint Stock Company - Vinacomin". 2. The Board of Directors reviewed the submission No. 1061/TTr-VHTC dated April 6, 2026, submitted by the General Director, "regarding the issuance of a salary scale and salary table system". 3. The Board of Directors reviewed the submission No. 917/TTr-VHTC dated March 24, 2026, from the Director "regarding the adjustment of the contractor selection plan for package No. 85: Preparation of revised construction drawings for the open-pit mining project in the North Bang Danh area". 4. The Board of Directors implements management tasks: - The Board of Directors reviewed the feasibility study report and the investment plan for the 2026 Production Investment Project - Ha Tu Coal Joint Stock Company - Vinacomin, so that the Capital Representative could report to TKV before approval according to authority (Report 955/TTr-VHTC dated March 26, 2026).	100%
8	134/BB-VHTC 134 /NQ-VHTC	07/5/2026	1. The Board of Directors reviewed the submission No. 1397/TTr-VHTC dated April 29, 2026, from the Director of the Company "Regarding the request for approval of the planning of leadership positions of Ha Tu Coal Joint Stock Company - Vinacomin for the period 2025-2030 (after review and supplementation)". 2. The Board of Directors reviewed the submission No. 1404/TTr-VHTC dated April 29, 2026, submitted by the	100%

No.	Resolution/ Decision No.	Date	Content	Approval Rate
			General Director, "Regarding the approval of the plan for using the reward fund and welfare fund in 2026". 3. The Board of Directors reviewed the submission No. 1400/TTr-VHTC dated April 29, 2026, from the Director of the Company "Regarding the request for approval of the final settlement of the completed project: Investment for production in 2024 of Ha Tu Coal Joint Stock Company - Vinacomin". 4. The Board of Directors reviewed the submission No. 1482/TTr-VHTC dated May 7, 2026, submitted by the General Director, regarding the reappointment of management personnel. 5. The Board of Directors reviewed the submission No. 1462/TTr-VHTC dated May 6, 2026, submitted by the General Director, regarding the amendment and supplementation of the Regulations on Investment and Construction Management; 6. The Board of Directors implements management tasks:	
9	135/BB-VHTC 135/NQ-VHTC	18/6/2026	1. The Board of Directors reviewed the submission No. 1803/TTr-VHTC dated June 1, 2026, submitted by the General Director, "Regarding the development of a training and development plan for cadres included in the planning for leadership and management positions of the Company for the period 2025-2030". 2. The Board of Directors reviewed the submission No. 2069/TTr-VHTC dated June 18, 2026, submitted by the General Director, "Regarding the request for approval of personnel appointments". 3. The Board of Directors implements management tasks.	100%
10	136/BB-VHTC 136/NQ-VHTC	29/6/2026	1. The Board of Directors reviewed the submission No. 2225/TTr-VHTC dated June 27, 2026, submitted by the General Director, "Regarding the appointment of personnel". 2. The Board of Directors implements management tasks.	100%
	DECISION			
1	36/QD-VHTC	06/01/2026	Decision approving adjustments to the plan for some key indicators in 2025.	
2	66/QD-VHTC	08/01/2026	Decision promulgating the Regulations on receiving citizens at Ha Tu Coal Joint Stock Company - Vinacomin.	
3	288/QD-VHTC	28/01/2026	Decision promulgating the Regulations on the implementation of grassroots democracy at the workplace of Ha Tu Coal Joint Stock Company - Vinacomin.	
4	555/QD-VHTC	11/02/2026	Decision promulgating the Regulations on Risk Management and Prevention of Ha Tu Coal Joint Stock	

No.	Resolution/ Decision No.	Date	Content	Approval Rate
			Company - Vinacomin.	
5	836/QD-VHTC	12/3/2026	Decision promulgating the Regulations on managing exit permits for officials and employees.	
6	835/QD-VHTC	12/3/2026	Decision on approving certain financial targets for 2026.	
7	1096/QD-VHTC	31/3/2026	Decision promulgating the Regulations on Emulation and Commendation in Ha Tu Coal Joint Stock Company - Vinacomin.	
8	1095/QD-VHTC	31/3/2026	Decision approving the wage fund for implementation in 2025	
9	1212/QD-VHTC	08/4/2026	Decision to amend and supplement the Regulations on Material Management in Ha Tu Coal Joint Stock Company - Vinacomin.	
10	1215/QD-VHTC	08/4/2026	Decision promulgating the salary scale and salary table system.	
11	1219/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Nguyen Trong Hieu)	
12	1218/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Phan Ngu Hoanh)	
13	1228/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Lam Anh Tuan)	
14	1222/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Tran Quoc Toan)	
15	1226/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Tran Quoc Tuan)	
16	1225/QD-VHTC	08/4/2026	Decision on the salary level for the Company Manager (Mr. Bui Thanh Binh)	
17	1229/QD-VHTC	08/4/2026	Decision to adjust the Procurement Plan for Package No. 85 - BBD Project	
18	1584 /QD-VHTC	08/5/2026	Decision approving the planning of leadership positions of Ha Tu Coal Joint Stock Company - Vinacomin for the period 2025-2030 (after review and supplementation).	
19	1585/QD-VHTC	08/5/2026	Decision promulgating amendments and supplements to the Regulations on Investment and Construction Management;	
20	1615 /QD-VHTC	11/5/2026	Decision approving the final settlement of the completed project: Investment for production in 2024 of Ha Tu Coal Joint Stock Company - Vinacomin.	
21	2109/QD-VHTC	19/6/2026	Decision approving the plan for training and developing cadres included in the leadership and management position planning of the Company for the period 2025-2030.	

Appendix II: LIST OF RELATED PERSONS OF THE COMPANY

(Attached to Report No. **2534** /BC-VHTC dated 20/07/2026)

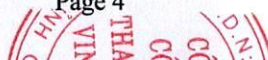
No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
1	Vu Van Khan		Chairman of the Board of Directors from November 28, 2025					28/11/2025			Insider
1.01	Dong Thi Nhuan							29/11/2025			Mother
1.02	Nguyen Thi Nhung							30/11/2025			Wife
1.03	Vu Thanh Tung							01/12/2025			biological child
1.04	Vu Thanh Binh							02/12/2025			biological child
1.05	Hoang Luu Ly							03/12/2025			Daughter-in-law
2	Tran Quoc Tuan		Director					23/8/2023			Insider
2.01	Tran Dinh Tinh							23/8/2023			biological father
2.02	Luong Thi Dao							23/8/2023			Mother-in-law
2.03	Nguyen Thi Thanh Thuy							23/8/2023			Wife
2.04	Tran Tuan Kiet							23/8/2023			biological child
2.05	Tran Minh Hien							23/8/2023			biological child
2.06	Tran Lan Anh							09/01/2025			younger sibling

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
2.07	Tran Thach Cuong							09/01/2025			younger sibling
2.08	Dinh Thi Huong Loan							09/01/2025			sister-in-law
3	Tran Quoc Toan		Vice president					09/01/2025			Insider
3.01	Tran Quoc Dan							09/01/2025			biological father
3.02	Truong Thi Trong							09/01/2025			Mother
3.03	Nguyen Thuy Ha							09/01/2025			Wife
3.04	Tran Thi Ha Chi							09/01/2025			biological child
3.05	Tran Quoc Huy							21/02/2018			biological child
3.06	Tran Thi Cam Dung							21/02/2018			My older sister
3.07	Tran Thi Thu Huong							21/02/2018			My older sister
3.08	Tran Thi Thu Anh							21/02/2018			younger sibling
3.09	Ta Hong Bo							21/02/2018			Brother-in-law
3.10	Nguyen Van Tao							21/02/2018			Brother-in-law
3.11	Tran Chien Thang							21/02/2018			Brother-in-law
4	Dang Van Tinh		Member of Board of Directors					21/02/2018			Insider
4.01	Dang Van Yen							21/02/2018			biological father

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
4.02	Tran Thi Da							21/02/2018			Mother
4.03	Nguyen Duy San							21/02/2018			Father-in-law
4.04	Vu Thi Hoi							06/09/2012			Mother-in-law
4.05	Nguyen Bich Thuy							06/09/2012			Wife
4.06	Dang Gia Bao							06/09/2012			biological child
4.07	Dang Phuong Thao							06/09/2012			biological child
4.08	Dang Thi An							06/09/2012			younger sibling
4.09	Dang Thi Binh							06/09/2012			younger sibling
4.10	Le Minh Hien							06/09/2012			Brother-in-law
4.11	Bui Xuan Hieu							06/09/2012			Brother-in-law
5	Pham Thi Hai		Member of Board of Directors					06/09/2012			Insider
5.01	Pham Xuan Ha							06/09/2012			biological father
5.02	Nguyen Tien Sach							06/09/2012			Husband
5.03	Nguyen Manh Tuan							25/04/2022			Son
5.04	Nguyen Tien Dat							25/04/2022			Son
5.05	Ngo Thi Giang							25/04/2022			Daughter-in-law

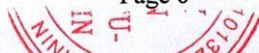


No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
5.06	Pham Thi Hien							25/04/2022			Older sister
5.07	Pham Thi Hue							25/04/2022			Younger sister
5.08	Pham Manh Hung							25/04/2022			Brother-in-law
5.09	Pham Hung Manh							25/04/2022			younger sibling
5.10	Tran Dai Nghia							25/04/2022			Brother-in-law
5.11	Vu Hong Nam							25/04/2022			Brother-in-law
5.12	Trinh Thi Hue							25/04/2022			sister-in-law
5.13	Nguyen Thi Hoa							25/04/2022			sister-in-law
5.14	Le Thi Hoa							25/04/2022			Sister-in-law
6	Nguyen Thi Luong Anh		Head of Supervisory Board					25/04/2022			Insider
6.01	Luong Thi Ngoc Bich							25/04/2022			Mother
6.02	Trinh Dac Hoa							26/04/2021			Husband
6.03	Trinh Nhu Phuong							26/04/2021			biological child
6.04	Trinh Minh Duc							26/04/2021			biological child
6.05	Nguyen Thi Luong Nga							26/04/2021			younger sibling
6.06	Nguyen Thac Cuong							26/04/2021			Brother-in-law



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
6.07	Nguyen Luong Vinh							26/04/2021			younger sibling
6.08	Vu Thi Thu Giang							26/04/2021			sister-in-law
6.09	Duong Tuan Anh							26/04/2021			Son-in-law
6.10	Trinh Dac Dau							26/04/2022			Father-in-law
7	Ngo Duy Da		Member of Supervisors					26/04/2021			Insider
7.01	Nguyen Hong Quyen							25/04/2022			Wife
7.02	Ngo Ha Phuong							25/04/2022			biological child
7.03	Ngo Phuong Thao							25/04/2022			biological child
7.04	Ngo Xuan Lang							25/04/2022			Older brother
7.05	Ngo Van Dieu							25/04/2022			younger sibling
7.06	Ngo Van Luong							25/04/2022			younger sibling
7.07	Pham Thi Thu Huong							25/04/2022			Sister-in-law
7.08	Pham Thi Hoai Thanh							01/03/2026			sister-in-law
7.09	Nguyen Van Khoi							25/04/2022			Father-in-law
7.10	Nguyen Thi Nhu							25/04/2022			Mother-in-law
8	Pham Thi Lan Huong		Member of Supervisors					25/04/2016			Insider

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
8.01	Pham Huy Lo							25/04/2016			biological father
8.02	Dang Thi Tan							25/04/2016			biological mother
8.03	Do Tien Phuong							25/04/2016			Husband
8.04	Do Thai Binh							25/04/2016			Son
8.05	Trinh Thi Hoai							25/04/2016			Daughter-in-law
8.06	Do Thi Thuy Trang							25/04/2016			Daughter
8.07	Pham Thi Lieu							25/04/2016			Older sister
8.08	Dang Viet Duc							25/04/2016			Brother-in-law
8.09	Pham Huy Nam							25/04/2016			Younger brother
8.10	Dinh Thi Hoa							25/04/2016			sister-in-law
8.11	Pham Huy Trung							25/04/2016			Younger brother
8.12	Nguyen Thi Thu Hien							02/01/2019			sister-in-law
9	Lam Anh Tuan		Vice Director					02/01/2019			Insider
9.01	Lam Van Voong							02/01/2019			Father
9.02	Luc Thi Hat							02/01/2019			Mother
9.03	Dinh Viet Ngan							02/01/2019			Father-in-law



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
9.04	Dinh Thi Thu Hang							02/01/2019			Wife
9.05	Lam Tien Dung							02/01/2019			Son
9.06	Lam Tien Duy							02/01/2019			Son
9.07	Lam Thuy Thanh							02/01/2019			younger sibling
9.08	Lam Thanh Thuan							02/01/2019			younger sibling
9.09	Nguyen Duy Duc							25/07/2022			Brother-in-law
9.10	Nguyen Tien Hau							25/07/2022			Brother-in-law
10	Nguyen Trong Hieu		Vice Director					25/07/2022			Insider
10.01	Ta Thi Com							25/07/2022			Mother
10.02	Nguyen Thi Bac							25/07/2022			Mother-in-law
10.03	Phan Thi Thuy Hoa							18/1/2023			Wife
10.04	Nguyen Quoc Hung							25/07/2022			biological child
10.05	Nguyen Truc Quynh							25/07/2022			biological child
10.06	Nguyen Thi Thu Huong							25/07/2022			Daughter-in-law
10.07	Nguyen Thi Bich							25/07/2022			My older sister
10.08	Nguyen Cam Nhung							25/07/2022			My older sister

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
10.09	Nguyen Trong Binh							25/07/2022			Older brother
10.10	Nguyen Trong Phu							25/07/2022			younger sibling
10.11	Tran Van Binh							25/07/2022			Brother-in-law
10.12	Doan Van Dung							01/06/2025			Brother-in-law
10.13	Ngo Thi Tam							01/06/2025			Sister-in-law
10.14	Pham Thi Thanh Huong							01/06/2025			sister-in-law
11	Phan Ngũ Hoàn		Vice Director					01/06/2025			Insider
11.01	Nguyen Thi Luan							01/06/2025			Mother
11.02	Hoang Thi Duc							01/06/2025			Mother-in-law
11.03	Nguyen Phuong Thao							01/11/2024			Wife
11.04	Phan Ngoc Minh Chau							01/11/2024			biological child
11.05	Phan Ngoc Chau Anh							01/11/2024			biological child
11.06	Phan Hoanh Son							01/11/2024			My younger brother
12	Bui Thanh Binh		Chief Accountant					01/11/2024			Insider
12.01	Bui Van Inh							01/11/2024			biological father
12.02	Dinh Thu Hoan							01/11/2024			Mother



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
12.03	Bui Thi Dung							01/11/2024			Mother-in-law
12.04	Hua Thi Anh							01/11/2024			Wife
12.05	Bui Tuan Minh							01/11/2024			biological child
12.06	Bui Thanh Huyen							01/11/2024			younger sibling
12.07	Tran Duy Hung							06/01/2020			Brother-in-law
12.08	Hua Ngoc Son							06/01/2020			Brother-in-law
12.09	Pho Thu Hang							06/01/2020			Sister-in-law
12.10	Hua Tien Dung							06/01/2020			Brother-in-law
12.11	Tran Thi Tham							06/01/2020			Sister-in-law
13	Nguyen Phuong Nhung		Corporate Governance Officer – Authorized Person for					06/01/2020			Insider
13.01	Nguyen Van Thuan							06/01/2020			Husband
13.02	Nguyen Ngoc Mai							06/01/2020			Son
13.03	Nguyen Thu Ha							06/01/2020			Son
13.04	Nguyen Thi Phuong							06/01/2020			Mother
13.05	Nguyen Quang Trung							06/01/2020			younger sibling



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
13.06	Dinh Thi Huong							06/01/2020			sister-in-law
13.07	Nguyen Viet Hoa							06/01/2020			Father-in-law
13.08	Nguyen Thi Huyen							06/01/2020			Mother-in-law
14	Vietnam National Coal and Mineral Industries Group and TKV-affiliated units							25/12/2006			Mr. Vu Van Khan is the representative of TKV's
15	Vinacomin Tourism and Trading Joint Stock Company – Quang Ninh							25/04/2022			The Head of the Supervisory Board of THT
16	Vinacomin Tourism and Trading Joint Stock Company							25/04/2022			The Head of the Supervisory Board of THT
17	Vinacomin Tourism and Trading Joint Stock Company – Van Long Branch							25/04/2022			The Head of the Supervisory Board of THT
18	Trading and Service Enterprise Branch (under the Consulting Company)							28/11/2025			The Chairman of the Board of Directors of THT is the Chairman of the Company's Board of Directors.
19	Vinacomin Mining and Industrial Investment Consulting Joint Stock Company							28/11/2025			The Chairman of the Board of Directors of THT is the Chairman of the Company's Board of Directors.
20	Deo Nai – Coc Sau Coal Joint Stock Company – TKV							25/04/2022			The Head of the Supervisory Board of THT



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Head office address/Contact address	Start date of being a related person to an insider	End date of being a related person to an insider	Reason	Relationship with the Company
21	Vinacomin Geology Joint Stock Company – TKV							28/11/2025			The Chairman of the Board of Directors of THT is the Chairman of the Company's Board of Directors.
22	Cao Son Coal Joint Stock Company - TKV							28/11/2025			The Chairman of the Board of Directors of THT is the Chairman of the Company's Board of Directors.

Appendix III

TRANSACTIONS BETWEEN THE COMPANY AND MAJOR SHAREHOLDERS & TRANSACTIONS BETWEEN THE COMPANY AND THE COMPANY IN WHICH THE BOARD OF DIRECTORS AND SUPERVISORY BOARD MEMBERS ARE BEING A MEMBER OF THE BOARD OF DIRECTORS AND SUPERVISORY BOARD IN THE PAST 3 YEARS

(Issued with Report No. 2534 /BC-VHTC, dated 20/07/2026 of the Board of Directors)

No.	Name of Organization / Individual	Relationship with the Company	Registration Certificate / Tax Code	Head Office Address / Contact Address	Time of Transaction with the Company	Resolution No. / Decision of the General Meeting of Shareholders / Board of Directors	Content, quantity and Total Transaction Value (VND million)
1	Branch of TKV Group – Hon Gai Coal Processing Company – Vinacomin	Unit directly under TKV	5700100256-002	46 Le Thanh Tong Street, Hong Gai Ward, Quang Ninh Province	2026	Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026	Coal trading, toll fees. Value: 2,970,125
2	TKV Materials Joint Stock Company	A subsidiary of TKV	5700100707	Group 1, Zone 2, Ha Long Ward, Quang Ninh Province	2026	Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026	Trading in oil and supplies. Value: 426.446
3	Cam Pha Mining Chemical Industry Company	A subsidiary of TKV	0100101072-026	Thuy Son-Cam Son-Cam Pha area - Quang Ninh	2026	Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026	Rental of blasting equipment. Value: 203,021
4	Viet Bac Mining Mechanical Joint Stock Company (VVMJ)	A subsidiary of TKV	4600432062	Hamlet 2, An Khánh Commune, Thái Nguyên Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies, repairing equipment. Value: 274
5	Vinacomin Machinery Manufacturing Joint Stock Company	A subsidiary of TKV	5700495999	486 Tran Phu Street, Quang Hanh Ward, Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies, repairing equipment. Value: 4,301
6	Vinacomin Automobile Industry Joint Stock Company	A subsidiary of TKV	5700353722	No. 370 Tran Quoc Tang Street, Cua Ong Ward, Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies, repairing equipment. Value: 22.858
7	Vinacomin Information Technology, Technology and Environment Joint Stock Company	A subsidiary of TKV	101919181	Building B15, Dai Kim New Urban Area, Dinh Cong	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Consulting and construction services.... Value: 929
8	Vietnam Coal and Mineral Resources College	Unit directly under TKV	5701740890	No. 8 Chu Van An Street, Ha Long Ward, Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling forms and classes. Value: 383

No.	Name of Organization / Individual	Relationship with the Company	Registration Certificate / Tax Code	Head Office Address / Contact Address	Time of Transaction with the Company	Resolution No. / Decision of the General Meeting of Shareholders / Board of Directors	Content, quantity and Total Transaction Value (VND million)
9	Institute of Energy and Mining Mechanical Engineering – Vinacomin	A subsidiary of TKV	100100632	No. 565 Nguyen Trai Street, Thanh Xuan Nam Ward, Thanh Xuan District, Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies. Value: 2,137
10	Quang Ninh Mining Chemical Industry Company	A subsidiary of TKV	0100101072-001	Group 25 - K4 - Ha Khanh Ward - Ha Long City - Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Toll fee. Value: 170
11	TKV Environmental One Member Limited Liability Company	A subsidiary of TKV	5700100425	KM 4 Tran Phu Street, Quang Hanh Ward - Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Wastewater treatment, waste treatment, environmental projects. Value: 7.813
12	Mine Geological Joint Stock Company – TKV	A subsidiary of TKV	5701436805	No. 304, Tran Phu Street, Cam Pha City, Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Monitoring of movement. Value: 399
13	Vinacomin Inspection Joint Stock Company	A subsidiary of TKV	5700100552	55 Le Thanh Tong Street, Hong Gai Ward, Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Inspection and verification. Value: 1,982
14	Vinacomin Mining and Industrial Investment Consulting Joint Stock Company	A subsidiary of TKV	500237543	No. 565 Nguyen Trai Street, Thanh Liet Ward, Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies. Value: 2.386
15	Hon Gai Mechanical Joint Stock Company – Vinacomin	A subsidiary of TKV	5700889986	No. 108, Le Thanh Tong Street, Hong Gai Ward, Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies, repairing equipment. Value: 2,332
16	Branch of Vietnam National Coal and Mineral Industries Group – Business Administration School – Vinacomin	A subsidiary of TKV	5700100256-068	No. 25, Alley 46, An Hoa Street, Ha Dong Ward, Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Organize a class. Value: 409
17	Nui Beo Coal Joint Stock Company – Vinacomin	A subsidiary of TKV	5700101700	No. 799 - Le Thanh Tong Street - Hong Gai Ward - Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Equipment rental, landfill leveling, road maintenance. Value: 700



No.	Name of Organization / Individual	Relationship with the Company	Registration Certificate / Tax Code	Head Office Address / Contact Address	Time of Transaction with the Company	Resolution No. / Decision of the General Meeting of Shareholders / Board of Directors	Content, quantity and Total Transaction Value (VND million)
18	Viet Bac Geological Joint Stock Company – TKV	A subsidiary of TKV	104944595	No. 30B, Doan Thi Diem Street, Quoc Tu Giam Ward, Dong Da District, Hanoi City	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Exploratory drilling and monitoring. Value: 40
19	Miner Transportation and Shuttle Services Joint Stock Company – Vinacomin	A subsidiary of TKV	5700477326	No. 750 Hoang Quoc Viet Street, Cam Pha - Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Transportation for employees. Value: 9,821
20	Branch of Vietnam National Coal and Mineral Industries Group – Mine Emergency Rescue Center – Vinacomin	Unit directly under TKV	5700100256-004	Km 8, Ha Tu Ward, Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Troubleshooting, training, troubleshooting. Value: 2.943
21	Vinacomin Tourism and Trading Joint Stock Company	Subsidiary of TKV; the Head of the Supervisory Board of THT concurrently serves as a member of the Company's Supervisory Board.	100101298	8th Floor, Viet A Building, Duy Tan Street, Dich Vong Hau Ward, Cau Giay District, Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies and services. Value: 15,244
22	Vinacomin Tourism and Trading Joint Stock Company – Quang Ninh Branch	Subsidiary of TKV; the Head of the Supervisory Board of THT concurrently serves as a member of the Company's Supervisory Board.	0100101298-004	Vuon Dao Street - Bai Chay Ward - Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Serving meals, hazardous. Value: 17,691
23	Vinacomin Tourism and Trading Joint Stock Company – Van Long Branch	Subsidiary of TKV; the Head of the Supervisory Board of THT concurrently serves as a member of the Company's Supervisory Board.	0100101298-003	Cam Pha - Quang Ninh	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Buying and selling supplies and services. Value: 1.761
24	Quang Ninh Coal Processing Company - VINACOMIN	Unit directly under TKV	5700100256-061	Cao Xanh New Urban Area, Cao Xanh Ward, Ha Long City, Quang Ninh Province	2026	Resolution No. 127/NQ-VHTC dated January 6, 2026	Trading of waste rock and soil — Value: 83

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No.	Name of Organization / Individual	Relationship with the Company	Registration Certificate / Tax Code	Head Office Address / Contact Address	Time of Transaction with the Company	Resolution No. / Decision of the General Meeting of Shareholders / Board of Directors	Content, quantity and Total Transaction Value (VND million)
25	Vinacomin Hospital	Unit directly under TKV	5700100256-035	Alley 1 - Phan Dinh Giot Street - Phuong Liet Ward - Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2027	Routine health check-up, medication, value 84
26	Vietnam National Coal and Mineral Industries Group (Vinacomin)	Parent company	5700100257	No. 3 Duong Dinh Nghe Street, Yen Hoa Ward, Cau Giay District, Hanoi	2026	Resolution No. 127/NQ-VHTC dated January 6, 2028	Dividend payment transactions for 2025: 10,024

Appendix IV: LIST OF INSIDERS AND RELATED PERSONS OF INSIDERS

(Attached to Report No. 2534 /BC-VHTC dated 20/07/2026)

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
1	Vu Van Khan		Chairman of the Board of Directors from November 28, 2025					0	0	Insider
1.01	Dong Thi Nhuan							0	0	Mother
1.02	Nguyen Thi Nhung							0	0	Wife
1.03	Vu Thanh Tung							0	0	biological child
1.04	Vu Thanh Binh							0	0	biological child
1.05	Hoang Luu Ly							0	0	Daughter-in-law
2	Tran Quoc Tuan		Director					0	0	Insider
2.01	Tran Dinh Tinh							0	0	biological father
2.02	Luong Thi Dao							0	0	Mother-in-law
2.03	Nguyen Thi Thanh Thuy							0	0	Wife
2.04	Tran Tuan Kiet							0	0	biological child



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
2.05	Tran Minh Hien							0	0	biological child
2.06	Tran Lan Anh							0	0	younger sibling
2.07	Tran Thach Cuong							0	0	younger sibling
2.08	Dinh Thi Huong Loan							0	0	sister-in-law
3	Tran Quoc Toan		Vice president					120	0.0005	Insider
3.01	Tran Quoc Dan							0	0	biological father
3.02	Truong Thi Trong							0	0	Mother
3.03	Nguyen Thuy Ha							0	0	Wife
3.04	Tran Thi Ha Chi							0	0	biological child
3.05	Tran Quoc Huy							0	0	biological child
3.06	Tran Thi Cam Dung							0	0	My older sister
3.07	Tran Thi Thu Huong							0	0	My older sister
3.08	Tran Thi Thu Anh							0	0	younger sibling
3.09	Ta Hong Bo							0	0	Brother-in-law
3.10	Nguyen Van Tao							0	0	Brother-in-law

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
3.11	Tran Chien Thang							0	0	Brother-in-law
4	Dang Van Tinh		Member of Board of Directors					0	0	Insider
4.01	Dang Van Yen							0	0	biological father
4.02	Tran Thi Da							0	0	Mother
4.03	Nguyen Duy San							0	0	Father-in-law
4.04	Vu Thi Hoi							0	0	Mother-in-law
4.05	Nguyen Bich Thuy							0	0	Wife
4.06	Dang Gia Bao							0	0	biological child
4.07	Dang Phuong Thao							0	0	biological child
4.08	Dang Thi An							0	0	younger sibling
4.09	Dang Thi Binh							0	0	younger sibling
4.10	Le Minh Hien							0	0	Brother-in-law
4.11	Bui Xuan Hieu							0	0	Brother-in-law
5	Pham Thi Hai		Member of Board of Directors					0	0	Insider

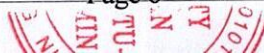


No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
5.01	Pham Xuan Ha							0	0	biological father
5.02	Nguyen Tien Sach							0	0	Husband
5.03	Nguyen Manh Tuan							0	0	Son
5.04	Nguyen Tien Dat							0	0	Son
5.05	Ngo Thi Giang							0	0	Daughter-in-law
5.06	Pham Thi Hien							0	0	Older sister
5.07	Pham Thi Hue							0	0	Younger sister
5.08	Pham Manh Hung							0	0	Brother-in-law
5.09	Pham Hung Manh							0	0	younger sibling
5.10	Tran Dai Nghia							0	0	Brother-in-law
5.11	Vu Hong Nam							0	0	Brother-in-law
5.12	Trinh Thi Hue							0	0	sister-in-law
5.13	Nguyen Thi Hoa							0	0	sister-in-law
5.14	Le Thi Hoa							0	0	Sister-in-law
6	Nguyen Thi Luong Anh		Head of Supervisory Board					0	0	Insider



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
6.01	Luong Thi Ngoc Bich							0	0	Mother
6.02	Trinh Dac Hoa							0	0	Husband
6.03	Trinh Nhu Phuong							0	0	biological child
6.04	Trinh Minh Duc							0	0	biological child
6.05	Nguyen Thi Luong Nga							0	0	younger sibling
6.06	Nguyen Thac Cuong							0	0	Brother-in-law
6.07	Nguyen Luong Vinh							0	0	younger sibling
6.08	Vu Thi Thu Giang							0	0	sister-in-law
6.09	Duong Tuan Anh							0	0	Son-in-law
6.10	Trinh Dac Dau							0	0	Father-in-law
7	Ngo Duy Da		Member of Supervisors					0	0	Insider
7.01	Nguyen Hong Quyen							0	0	Wife
7.02	Ngo Ha Phuong							0	0	biological child
7.03	Ngo Phuong Thao							0	0	biological child
7.04	Ngo Xuan Lang							0	0	Older brother

No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
7.05	Ngo Van Dieu							0	0	younger sibling
7.06	Ngo Van Luong							0	0	younger sibling
7.07	Pham Thi Thu Huong							0	0	Sister-in-law
7.08	Pham Thi Hoai Thanh							0	0	sister-in-law
7.09	Nguyen Van Khoi							0	0	Father-in-law
7.10	Nguyen Thi Nhu							0	0	Mother-in-law
8	Pham Thi Lan Huong		Member of Supervisors					3000	0.01	Insider
8.01	Pham Huy Lo							0	0	biological father
8.02	Dang Thi Tan							0	0	biological mother
8.03	Do Tien Phuong							0	0	Husband
8.04	Do Thai Binh							0	0	Son
8.05	Trinh Thi Hoai							0	0	Daughter-in-law
8.06	Do Thi Thuy Trang							0	0	Daughter
8.07	Pham Thi Lieu							0	0	Older sister
8.08	Dang Viet Duc							0	0	Brother-in-law



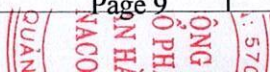
No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
8.09	Pham Huy Nam							0	0	Younger brother
8.10	Dinh Thi Hoa							0	0	sister-in-law
8.11	Pham Huy Trung							0	0	Younger brother
8.12	Nguyen Thi Thu Hien							0	0	sister-in-law
9	Lam Anh Tuan		Vice Director					0	0	Insider
9.01	Lam Van Voong							0	0	Father
9.02	Luc Thi Hat							0	0	Mother
9.03	Dinh Viet Ngan							0	0	Father-in-law
9.04	Dinh Thi Thu Hang							0	0	Wife
9.05	Lam Tien Dung							0	0	Son
9.06	Lam Tien Duy							0	0	Son
9.07	Lam Thuy Thanh							0	0	younger sibling
9.08	Lam Thanh Thuan							0	0	younger sibling
9.09	Nguyen Duy Duc							0	0	Brother-in-law
9.10	Nguyen Tien Hau							0	0	Brother-in-law



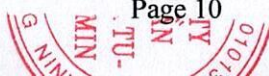
No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
10	Nguyen Trong Hieu		Vice Director					0	0	Insider
10.01	Ta Thi Com							0	0	Mother
10.02	Nguyen Thi Bac							0	0	Mother-in-law
10.03	Phan Thi Thuy Hoa							0	0	Wife
10.04	Nguyen Quoc Hung							0	0	biological child
10.05	Nguyen Truc Quynh							0	0	biological child
10.06	Nguyen Thi Thu Huong							0	0	Daughter-in-law
10.07	Nguyen Thi Bich							0	0	My older sister
10.08	Nguyen Cam Nhung							0	0	My older sister
10.09	Nguyen Trong Binh							0	0	Older brother
10.10	Nguyen Trong Phu							0	0	younger sibling
10.11	Tran Van Binh							0	0	Brother-in-law
10.12	Doan Van Dung							0	0	Brother-in-law
10.13	Ngo Thi Tam							0	0	Sister-in-law
10.14	Pham Thi Thanh Huong							0	0	sister-in-law



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
11	Phan Ngu Hoanh		Vice Director					0	0	Insider
11.01	Nguyen Thi Luan							0	0	Mother
11.02	Hoang Thi Duc							0	0	Mother-in-law
11.03	Nguyen Phuong Thao							0	0	Wife
11.04	Phan Ngoc Minh Chau							0	0	biological child
11.05	Phan Ngoc Chau Anh							0	0	biological child
11.06	Phan Hoanh Son							0	0	My younger brother
12	Bui Thanh Binh		Chief Accountant					0	0	Insider
12.01	Bui Van Inh							0	0	biological father
12.02	Dinh Thu Hoan							0	0	Mother
12.03	Bui Thi Dung							0	0	Mother-in-law
12.04	Hua Thi Anh							0	0	Wife
12.05	Bui Tuan Minh							0	0	biological child
12.06	Bui Thanh Huyen							0	0	younger sibling
12.07	Tran Duy Hung							0	0	Brother-in-law



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue			Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
12.08	Hua Ngoc Son							0	0	Brother-in-law
12.09	Pho Thu Hang							0	0	Sister-in-law
12.10	Hua Tien Dung							0	0	Brother-in-law
12.11	Tran Thi Tham							0	0	Sister-in-law
13	Nguyen Phuong Nhung		Corporate Governance Officer – Authorized					0	0	Insider
13.01	Nguyen Van Thuan							0	0	Husband
13.02	Nguyen Ngoc Mai							0	0	Son
13.03	Nguyen Thu Ha							0	0	Son
13.04	Nguyen Thi Phuong							0	0	Mother
13.05	Nguyen Quang Trung							0	0	younger sibling
13.06	Dinh Thi Huong							0	0	sister-in-law
13.07	Nguyen Viet Hoa							0	0	Father-in-law
13.08	Nguyen Thi Huyen							0	0	Mother-in-law



No.	Name	Securities trading account	Position at the company	ID card/Passport number, date of issue, place of issue	Address	Number of shares held at the end of the period	Percentage of shareholding at the end of the period (%)	Notes
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