

No. 276./2026/TB-EVS

Hanoi, July 22, 2026

**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES
COMMISSION'S PORTAL AND STOCK EXCHANGE'S PORTAL**

To:

- The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Hochiminh Stock Exchange.

1. Organization name: **EVS SECURITIES JOINT STOCK COMPANY** (“Company”)

Ticker/Member code: EVS/040

Address: Floor 3, 6, 9 - No. 2A Dai Co Viet, Hai Ba Trung Ward, Hanoi, Vietnam

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Information disclosure type: ☒ Periodic ☐ Irregular ☐ On demand ☐ Other

2. Content of information disclosure: EVS Securities Joint Stock Company announces information The Corporate Governance Report for the first 6 months of 2026 (*For detailed content, please see the attached file*).
3. This information was posted on Company website on July 22, 2026 at this link: <https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/>

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached documents:

- The Corporate Governance Report for the first 6 months of 2026;
- And accompanying documents.

Organization representative ✓
Authorized Representative for Information Disclosure



GIÁM ĐỐC TÀI CHÍNH
Vũ Thị Thanh Hằng

No.: 272/2026/BC - EVS

Hanoi, July 22, 2026

REPORT
ON CORPORATE GOVERNANCE
(Report for the first 6 months of 2026)

To:

- The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh City Stock Exchange.

- Name of company: **EVS SECURITIES JOINT STOCK COMPANY**
- Address of head office: 3rd, 6th, and 9th Floor – No. 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi (According to the Establishment and Operation License No. 48/UBCK-GPHĐKD issued by the State Securities Commission on December 29, 2006, and subsequent amendments).

Telephone: 02437726699 Fax: 02437726763 Email: congbothongtin@eves.com.vn

- Charter capital: 1,648,006,180,000 VND (In words: One trillion six hundred forty-eight billion six million one hundred eighty thousand Vietnam Dong).
- Stock symbol: EVS
- Governance model: General Meeting of Shareholders, Board of Directors, General Director, and Audit Committee under the Board of Directors.
- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders passed in the form of written consultation):

No.	Resolution/Decision No.	Date	Content
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1	No. 01/2026/NQ-DHĐCĐ	April 22, 2026	– Approval of the Report on the operational results of the Board of Directors (“BOD”) for 2025 and the orientation for operations in 2026; – Approval of the Report on the activities of the Independent Member of the BOD serving on the Audit Committee in 2025; – Approval of the Report on business performance in 2025 and the business plan for 2026 of the Board of Management; – Approval of the audited financial statements for 2025 and the profit distribution plan for 2025; – Approval of the Proposal on the selection of the auditing firm for the financial statements and other reports as required in 2026; – Approval of the Proposal on the final settlement of remuneration for the BOD and the Audit Committee in 2025, and the remuneration plan for the BOD and the Audit Committee in 2026; – Approval of the Proposal on the plan to continue the recovery of receivables whose payment deadlines had been extended multiple times; – Approval of the Proposal on extending the implementation period for the change of the Company’s head office address; – Approval of the election of three members of the BOD for the 2026–2031 term (including one independent member of the BOD) following the expiry of the term of the 03
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			incumbent BOD members for the 2021–2026 term.
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II. Board of Directors

1. Information about the members of the Board of Directors (BOD):

No.	BOD Member	Position (Independent BOD member, Non-executive members of the BOD)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Nguyen Hai Chau	Chairman of the BOD (Non-executive BOD member)	March 6, 2018 (appointed as BOD member); July 26, 2021 (appointed as Chairman of the BOD); April 27, 2023 (reappointed as Chairman of the BOD)	
2	Nguyen Thanh Hai	Member of the BOD	April 22, 2025 (appointed as BOD member)	
3	Do Thi Hong Hai	Independent Member of the BOD	September 30, 2021 (appointed as Independent BOD member) April 22, 2026 (reappointed as Independent BOD member)	
4	Vu Manh Tien	Member of the BOD (Non-executive BOD member)	September 30, 2021 (appointed as BOD member)	

			April 22, 2026 (reappointed as BOD member)	
5	Vu Hai Anh	Member of the BOD (Non-executive BOD member)	September 30, 2021 (appointed as BOD member) April 22, 2026 (reappointed as BOD member)	

2. Meetings of the Board of Directors:

No.	BOD Member	Number of BOD meetings attended	Attendance rate	Reason for absence
1	Nguyen Hai Chau	14	100%	
2	Nguyen Thanh Hai	14	100%	
3	Do Thi Hong Hai	14	100%	
4	Vu Manh Tien	14	100%	
5	Vu Hai Anh	14	100%	

3. Supervising the Board of Management by the Board of Directors:

During the first six months of 2026, the Board of Directors duly performed its supervisory role over the Board of Management in accordance with the Law on Enterprises, the Law on Securities, the Company's Charter and internal regulations.

The Board of Directors regularly monitored and supervised the Board of Management in implementing the Resolutions of the General Meeting of Shareholders and the Board of Directors; executing the 2026 business plan; managing and operating the Company's business activities; managing risks, finance and accounting; making information disclosures; and ensuring compliance with applicable laws.

During the reporting period, the Board of Directors directed and supervised the Board of Management in implementing the following key tasks:

- a. Implementing the resolutions and matters approved by the 2026 Annual General Meeting of Shareholders pursuant to Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026.
- b. Continuing to implement the plan for the resolution and recovery of receivables whose payment deadlines had been extended multiple times and the related collateral in accordance with the Resolutions of the General Meeting of Shareholders and the Board of Directors.
- c. Continuing to review and restructure business operations, enhance the efficiency of resource utilization, strengthen cost control and improve risk management.
- d. Organizing the implementation of the 2026 business plan in accordance with the targets approved by the General Meeting of Shareholders.
- e. Continuing to improve the system of internal regulations and operational procedures, while strengthening internal control, compliance and risk management to ensure that the Company's operations are conducted in a safe, transparent and legally compliant manner.
- f. Fulfilling reporting, information disclosure and other obligations to state regulatory authorities, the Stock Exchange and other competent authorities in accordance with applicable laws.

Through periodic reports and meetings of the Board of Directors, the Board of Management reported in a timely manner on the Company's operational performance, the implementation of assigned tasks, as well as difficulties and issues arising during the course of implementation for the Board of Directors' consideration and direction. Based on such reports, the Board of Directors concluded that the Board of Management had diligently implemented the Resolutions of the General Meeting of Shareholders and the Board of Directors, demonstrated initiative in the management and operation of the Company, and ensured that the Company's operations were conducted in line with the established directions and in compliance with applicable laws and the Company's Charter.

4. Activities of the Committees under the Board of Directors (if any): *None.*

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/ Decision Number	Date	Content	Approval Rate
1	01/2026/NQ- HĐQT	January 12, 2026	Approval and issuance of the Risk Policy and approval of the overall risk	100%

			limits for 2026 of EVS Securities Joint Stock Company	
2	02/2026/NQ-HĐQT	January 28, 2026	Approval of the payment of the 13th-month remuneration for 2025 to the members of the Board of Directors	100%
3	03/2026/NQ-HĐQT	February 10, 2026	Approval of the extension of the payment obligation of Tien Thanh Consultancy Services Co., Ltd.	80%
4	04/2026/NQ-HĐQT	March 3, 2026	Approval of the convening of the 2026 Annual General Meeting of Shareholders	100%
5	05/2026/NQ-HĐQT	March 6, 2026	Approval of the plan for the disposal of the Company's fixed assets	100%
6	05A/2026/NQ-HĐQT	March 20, 2026	Approval of the partial release of mortgaged assets	100%
7	06/2026/NQ-HĐQT	March 25, 2026	Approval of the contract/transaction between the Company and Ms. Do Thi Hong Hai	100%
8	07/2026/NQ-HĐQT	March 31, 2026	Approval of the time, venue, agenda and draft meeting materials for the 2026 Annual General Meeting of Shareholders	100%
9	08/2026/NQ-HĐQT	March 31, 2026	Approval of the establishment of the Organizing Committee for the 2026 Annual General Meeting of Shareholders	100%
10	09/2026/NQ-HĐQT	April 15, 2026	Approval of the issuance of the Information Disclosure Regulations of EVS Securities Joint Stock Company	100%
11	10/2026/NQ-HĐQT	April 22, 2026	Approval of the re-appointment of the members of the Audit Committee	100%
12	10A/2026/NQ-HĐQT	April 22, 2026	Approval of the monthly allocation of remuneration for the Board of	100%

			Directors and the Audit Committee for 2026	
13	11/2026/NQ-HĐQT	May 4, 2026	Approval of the continuation of the plan to acquire property rights arising from the ownership of privately placed corporate bonds	80%
14	12/2026/NQ-HĐQT	May 22, 2026	Approval of the extension of the payment obligation of Tien Thanh Consultancy Services Co., Ltd.	60%
15	13/2026/NQ-HĐQT	June 25, 2026	Approval of the divestment plan relating to the Company's investments in Investment and Sharing Joint Stock Company and Vina2 Homes Joint Stock Company	80%

III. Audit Committee under the Board of Directors:

1. Information about Members of the Audit Committee under the Board of Directors:

Information on members of the Audit Committee (UBKT):

No.	Members of Audit Committee	Position	Date of appointment as an Audit Committee member	Date of dismissal	Professional Qualification
1	Do Thi Hong Hai	Chair of the Audit Committee	October 7, 2021		Bachelor's Degree / Certified Auditor
2	Vu Hai Anh	Member of the Audit Committee	May 07, 2025		Master's Degree in Finance – Banking

2. Meetings of the Audit Committee:

No.	Audit Committee Member	Number of Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
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1	Do Thi Hong Hai	1/1	100%	100%	
2	Vu Hai Anh	1/1	100%	100%	

2.1 Supervisory activities of the Audit Committee: *As presented in Section 4.1, Part II – Activities of the Audit Committee.*

2.2 The coordination among the Audit Committee, the Board of Management, Board of Directors and other managers:

During the first six months of 2026, the Audit Committee maintained close coordination with the Board of Directors, the Board of Management and other management personnel in the performance of its functions and duties in accordance with applicable laws, the Company's Charter and the Audit Committee's Charter.

The Audit Committee worked closely with the Board of Directors and the Board of Management in monitoring and supervising the Company's financial position, the preparation and disclosure of financial statements, information disclosure activities, and the implementation of the Resolutions of the General Meeting of Shareholders and the Board of Directors. In addition, the Audit Committee monitored the implementation of the 2026 business plan, risk management, internal control and compliance with applicable laws, the Company's Charter and internal regulations.

Furthermore, the Audit Committee coordinated with the Board of Directors in reviewing, discussing and providing comments on a number of key matters falling within the authority of the Board of Directors during the reporting period, including the continued implementation of plans for the recovery of receivables and the disposal of collateral in accordance with the Resolutions of the General Meeting of Shareholders and the Board of Directors; the review of transactions subject to the approval authority of the Board of Directors; and the organizational and personnel arrangements of the Audit Committee following the 2026 Annual General Meeting of Shareholders. The Audit Committee also coordinated with the relevant parties to monitor the implementation of the recommendations made by the independent auditor and measures to further strengthen the internal control system, thereby contributing to enhancing corporate governance and ensuring that the Company's operations were conducted in a safe, transparent and legally compliant manner.

2.3 Other activities of the Audit Committee (if any):

During the first six months of 2026, the Audit Committee performed its functions and duties in accordance with the Law on Enterprises, the Law on Securities, the Company's

Charter and the Audit Committee's Charter, while assisting the Board of Directors in overseeing governance and management activities, risk management, internal control and compliance.

During the reporting period, the Audit Committee focused on the following key activities:

- a. Supervision of receivables management and handling of collateral: Monitoring the implementation of plans for the disposal of collateral and recovery of receivables in accordance with the Resolutions of the General Meeting of Shareholders and the Board of Directors; reviewing the legal status and value of collateral; recommending the reassessment and updating of collateral values in line with market conditions to support risk management, provisioning (where applicable), and the protection of the Company's lawful rights and interests.
- b. Supervision of related-party transactions: Reviewing and supervising related-party transactions falling within the authority of the Board of Directors to ensure transparency and compliance with applicable laws, the Company's Charter and internal regulations.
- c. Supervision of cost management: Monitoring the implementation of measures to optimize costs, improve operational efficiency and enhance the efficient utilization of the Company's resources.
- d. Supervision of the implementation of post-inspection corrective actions and the strengthening of the Company's financial capacity: Coordinating with the Board of Management in monitoring the implementation of corrective actions in accordance with the conclusions of the competent inspection authority, supervising the implementation of asset disposal plans, financial restructuring measures and initiatives to strengthen the Company's financial capacity with a view to enhancing compliance, maintaining financial soundness and ensuring stable business operations.
- e. Other activities: Performing other functions and duties in accordance with applicable laws, the Company's Charter, the Audit Committee's Charter and the assignments of the Board of Directors.

IV. Board of Management:

No.	Member of the Board of Management/Board of General Directors	Date of Birth	Professional Qualifications	Date of Appointment	Date of Dismissal

1	Nguyen Thanh Hai (Chief Executive Officer)	November 23, 1975	Master of Business Administration	10/01/2025	
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V. Director of the Finance Division

Full Name	Date of birth	Professional Qualifications	Date of Appointment	Date of Dismissal
Vu Thi Thanh Hang	December 20, 1975	Bachelor of Finance and Accounting	August 1, 2021	

VI. Chief Accountant

Full Name	Date of birth	Professional Qualifications	Date of Appointment	Date of Dismissal
Nguyen Thi Bich Hang	December 16, 1981	Bachelor of Finance and Accounting	August 25, 2021	

VII. Training courses on corporate governance:

Members of the Board of Directors, members of the Audit Committee, the Board of Management and other management personnel of the Company are encouraged to participate in training programmes to enhance their knowledge and corporate governance capabilities, including orientation programmes, securities-related knowledge, corporate governance, project management, professional training, and skills development programmes covering presentation skills, sales, customer relationship management, service quality management, market analysis and financial analysis.

In addition, in line with its commitment to human resource development, EVS regularly organizes internal training programmes and provides financial support for employees to participate in professional training courses conducted by the Training and Research Institute under the State Securities Commission of Vietnam, as well as training programmes organized by other professional institutions. Accordingly, EVS implements policies to support employees in participating in training and professional development programmes, while facilitating the completion of securities practising certificates as prescribed by law, thereby enabling employees to meet job requirements, support their career development, and enhance the knowledge and skills necessary for effective corporate governance.

VIII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company:

1. The list of affiliated persons of the Company: *As provided in Appendix I attached to the Report.*
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

No.	Name of organization/individual	Relationship with the Company	ID/Certificate No., date of issue, place of issue	Head office/contact address	Time of transaction with the Company	Resolution/Decision No. of the GMS/BOD approving the transaction	Content, quantity, total transaction value	Remarks
1	Ms. Do Thi Hong Hai	Ms. Do Thi Hong Hai is an Independent Member of the Board of Directors and Chair of the Audit Committee of EVS Securities Joint Stock Company	Citizen ID No.: 025178006641 Date of Issue: August 17, 2021 Place of Issue: Department of Administrative Management of Social Order – Ministry of Public Security	No. 1056 La Thanh Street, Giang Vo Ward, Hanoi, Vietnam	March 25, 2026	BOD Resolution No.: 06/2026/NQ-HĐQT	The Company entered into a sale and purchase agreement with Ms. Do Thi Hong Hai for the sale of a used motor vehicle classified as a liquidated asset of the Company. The vehicle was valued and offered for sale in accordance with applicable laws and the Company's regulations on asset disposal. Contract value: VND 681,000,000, inclusive of applicable taxes but exclusive of the fees payable for the transfer of vehicle ownership in accordance with applicable regulations.	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: *None.*
4. Transactions between the Company and other objects:

- 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): *None*.
- 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): *None*.
- 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: *None*.

IX. Share transactions of internal persons and their affiliated persons:

1. The list of internal persons and their affiliated persons: As provided in Appendix II attached to the Report.
2. Transactions of internal persons and affiliated persons with shares of the Company: *None*.

X. Other significant Issues: *None*.

Recipients:

- As addressed;
- Archived: BOD Office.

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



Nguyen Hai Chau