



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 6588/UBCK-QLKD

*Re: Notification dossier for maximum foreign ownership
ratio at Wall Street Securities JSC*

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Hanoi, July 16, 2026

To: Wall Street Securities Joint Stock Company

The State Securities Commission (SSC) received the notification dossier regarding the maximum foreign ownership ratio of Wall Street Securities Joint Stock Company (the Company) pursuant to Notification No. 29/WSS-CV dated July 01, 2026, on the maximum foreign ownership ratio in a public company at the level of 100%. The SSC hereby provides the following opinions:

1. Organizations and individuals participating in the process of preparing reports, dossiers, and documents related to securities and securities market activities must be legally responsible for the legality, accuracy, truthfulness, and completeness of such reports, dossiers, and documents; organizations and individuals participating in certifying reports, dossiers, and documents shall be legally responsible within their scope of involvement in relation to such dossiers and documents in accordance with Clause 1, Article 11a of the Securities Law as supplemented by Clause 4, Article 1 of Law No. 56/2024/QH15.
2. The Company is responsible for the accuracy and legality when determining the foreign ownership ratio for its business lines and the maximum foreign ownership ratio at the Company pursuant to Clause 2, Article 141 of Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law, as amended and supplemented by Decree No. 245/2025/NĐ-CP.
3. The SSC requests the Company to comply with Clause 1, Article 77 of the Securities Law as amended and supplemented by Law No. 56/2024/QH15, and perform information disclosure obligations in accordance with Clause 2, Article 13 of Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Minister of Finance guiding information disclosure on the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC, Circular No. 18/2025/TT-BTC, Circular No. 08/2026/TT-BTC, and comply with all legal regulations regarding foreign ownership ratios on the Vietnamese securities market.

The SSC hereby notifies the Company for information and compliance./.

Recipients:

- As above;
- SSC Chairman (for report);
- VNX, HOSE, HNX;
- VSDC;
- Archived: Secretariat, Securities Business Management (086).

**FOR THE CHAIRMAN
FOR THE HEAD OF SECURITIES
BUSINESS MANAGEMENT
DEPARTMENT
DEPUTY HEAD OF DEPARTMENT**

(Signed)

Le Thi Mai



**VIETNAM SECURITIES DEPOSITORY
AND CLEARING CORPORATION**

No.: 9404/VSDC-ĐKCP.NV

*Re: Adjustment of maximum foreign ownership ratio -
stock code WSS*

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Hanoi, July 20, 2026

To: Hanoi Stock Exchange

Based on Official Letter No. 6588/UBCK-QLKD dated July 16, 2026, of the State Securities Commission regarding the notification dossier for the maximum foreign ownership ratio of Wall Street Securities Joint Stock Company (stock code WSS), the Vietnam Securities Depository and Clearing Corporation (VSDC) announces the adjustment of the maximum foreign ownership ratio for the aforementioned stock code from 49% to 100%.

Effective date: July 21, 2026.

VSDC hereby announces for the Exchange's information and tracking./.

Recipients:

- As above;
- Wall Street Securities JSC;
- State Securities Commission (SSC);
- General Director (for report);
- Deputy General Director Nguyen Cong Quang (for report);
- Archived: Secretariat, Securities Registration (076).

**BY AUTHORIZATION OF THE
GENERAL DIRECTOR
DEPUTY HEAD OF SHARE
REGISTRATION DIVISION**

(Signed)

Trinh Thi Hang