

KON TUM SUGAR JOINT
STOCK COMPANY

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No: 70/BC-HĐQT/2026

Quang Ngai, July 24, 2026

REPORT
ON CORPORATE GOVERNANCE OF A LISTED COMPANY
(First Six Months of 2026)

To: - State Securities Commission of Vietnam

- Hanoi Stock Exchange

- Public Company: **Kon Tum Sugar Joint Stock Company**

- Head Office Address (before July 1, 2025): Km2, Vinh Quang Commune, Kon Tum City,
Kon Tum Province, Vietnam.

- Head Office Address (from July 1, 2025): Kon Ro Bang 1 Village, Ngok Bay Commune,
Quang Ngai Province, Vietnam.

- Tel: +84 260 6289549; Fax: +84 260 3916168

- Email: ctyduongkontum@yahoo.com.vn

- Charter Capital: **50,700,000,000 VND** (In words: Fifty billion seven hundred million
Vietnamese Dong only).

- Stock Code: **KTS**

- **Corporate Governance Structure:**

+ General Meeting of Shareholders, Board of Directors, Supervisory Board, and General
Director.

- Internal Audit Function: Implemented.

I. Activities of the General Meeting of Shareholders: None.

II. Board of Directors (First Six Months of 2026)

1. Information on Members of the Board of Directors

No.	Member	Position	Appointment	Dismissal
			Date	Date
1	Mr. Tran Ngoc Hieu	Non-Executive Chairman of the Board	October 3, 2025	

2	Ms. Dang Thi Thu Hang	Member of the Board	October 3, 2025	
3	Ms. Huynh Le Thanh	Independent Non-Executive Member of the Board	October 3, 2025	

2. Meetings of the Board of Directors

No.	Board Member	Meetings Attended	Attendance Rate	Reason for Absence
1	Mr. Tran Ngoc Hieu	2	100%	
2	Ms. Dang Thi Thu Hang	2	100%	
3	Ms. Huynh Le Thanh	2	100%	

3. Supervisory Activities of the Board of Directors over the General Director

The Board of Directors carried out its supervisory role over the General Director in accordance with the Company's Internal Corporate Governance Regulations and the Board of Directors' Operating Regulations. Supervision was conducted through Board meetings, participation in regular management meetings, review of reports submitted by the General Director, and other information channels. The Board closely directed and monitored the General Director in implementing the resolutions of the General Meeting of Shareholders and the resolutions and decisions of the Board of Directors in compliance with applicable laws and the Company's Charter.

During the first six months of 2026, the General Director managed the Company's production and business activities within the scope of his authority and responsibilities in accordance with applicable laws, the Company's Charter, internal management regulations, and the resolutions and decisions of the Board of Directors. The General Director also ensured adequate information disclosure and facilitated the Supervisory Board's access to review the Company's financial status and other activities.

The Executive Management maintained quarterly meetings and monthly coordination meetings and organized extraordinary meetings whenever necessary to review performance, evaluate results, and promptly adjust management and production measures. The Board of Directors highly appreciated the performance of the Executive Management.

4. Activities of Committees under the Board of Directors

The Board of Directors has not established any subordinate committees. The Board appointed an officer to serve as Secretary to the Board of Directors. When necessary, the Board utilizes the Company's management personnel to assist the Board in performing its duties.

5. Resolutions/Decisions of the Board of Directors

No.	Resolution/Decision No.	Date	Content	Approval Rate
1	02/NQ-HĐQT/2026	Jan. 6, 2026	Resolution approving the sales plan.	100%
2	04/NQ-HĐQT/2026	Jan. 14, 2026	Resolution approving the sales plan.	100%
3	08/NQ-HĐQT/2026	Jan. 21, 2026	Resolution approving the plan to engage a transportation service provider for sugar warehouse deliveries.	100%
4	08A/NQ-HĐQT/2026	Jan. 21, 2026	Resolution approving the regular Board meeting for Q1 of the 2025–2026 fiscal year.	100%
5	10/NQ-HĐQT/2026	Feb. 3, 2026	Resolution approving the sales plan.	100%
6	12/NQ-HĐQT/2026	Feb. 5, 2026	Resolution approving the sales plan.	100%
7	15/NQ-HĐQT/2026	Feb. 26, 2026	Resolution approving the dividend payment plan for FY2024–2025.	100%
8	16/NQ-HĐQT/2026	Feb. 27, 2026	Resolution approving the dismissal of Mr. Nguyen Huu Quang from the position of Deputy General Director.	100%
9	18/NQ-HĐQT/2026	Mar. 3, 2026	Resolution approving the sales plan.	100%
10	18A/NQ-HĐQT/2026	Mar. 3, 2026	Resolution approving the raw material procurement plan.	100%
11	20/NQ-HĐQT/2026	Mar. 13, 2026	Resolution approving the sales plan.	100%
12	24/NQ-HĐQT/2026	Mar. 25, 2026	Resolution approving the sales plan.	100%
13	26/NQ-HĐQT/2026	Mar. 28, 2026	Resolution approving the sugarcane cultivation cooperation plan Chu Prông	100%
14	28/NQ-HĐQT/2026	Apr. 1, 2026	Resolution approving the sales plan.	100%
15	30/NQ-HĐQT/2026	Apr. 10, 2026	Resolution approving the procurement plan for production materials.	100%
16	32/NQ-HĐQT/2026	Apr. 10, 2026	Resolution approving the sales plan.	100%
17	34/NQ-HĐQT/2026	Apr. 15, 2026	Resolution approving the sales plan.	100%

18	34A/NQ-HĐQT/2026	Apr. 15, 2026	Resolution approving the fertilizer procurement plan.	100%
19	36/NQ-HĐQT/2026	Apr. 19, 2026	Resolution approving the sales plan.	100%
20	37/NQ-HĐQT/2026	Apr. 24, 2026	Resolution of the regular Board meeting for Q2 of the 2025–2026 fiscal year.	100%
21	39/QĐ-HĐQT/2026	May 4, 2026	Decision on the reappointment of the Company's Chief Accountant.	100%
22	41/NQ-HĐQT/2026	May 12, 2026	Resolution approving the sales plan.	100%
23	43/NQ-HĐQT/2026	May 22, 2026	Resolution approving the sales plan.	100%
24	45/NQ-HĐQT/2026	May 26, 2026	Resolution approving the sales plan.	100%
25	46/NQ-HĐQT/2026	May 29, 2026	Resolution approving the record date for obtaining shareholders' written opinions on amendments and additions to the Company's business lines.	100%
26	49/NQ-HĐQT/2026	Jun. 5, 2026	Resolution approving the sales plan.	100%
27	51/NQ-HĐQT/2026	Jun. 9, 2026	Resolution approving the sales plan.	100%
28	53/NQ-HĐQT/2026	Jun. 12, 2026	Resolution approving the sales plan.	100%
29	55/NQ-HĐQT/2026	Jun. 18, 2026	Resolution approving documents for obtaining shareholders' written opinions.	100%
30	60/QĐ-HĐQT/2026	Jun. 23, 2026	Decision establishing the Vote Counting Committee and the Vote Counting Supervisory Committee for collecting shareholders' written opinions.	100%
31	61/NQ-HĐQT/2026	Jun. 24, 2026	Resolution approving the sales plan.	100%
32	63/NQ-HĐQT/2026	Jun. 26, 2026	Resolution approving the sales plan.	100%

III. Supervisory Board (First Six Months of 2026)

1. Information on Members of the Supervisory Board

No.	Member	Position	Appointment Date	Qualification
1	Ms. Tran Thi Bich Nhi	Head of the Supervisory Board	September 24, 2023	Bachelor's Degree
2	Ms. Nguyen Thi Thuy	Member	September 24, 2023	Bachelor's Degree
3	Mr. Nguyen Duc Can	Member	September 24, 2023	Bachelor's Degree

2. Meetings of the Supervisory Board

No.	Member	Meetings	Attendance	Voting	Reason for
-----	--------	----------	------------	--------	------------

		Attended	Rate	Rate	Absence
1	Ms. Tran Thi Bich Nhi	1	1/1	100%	
2	Ms. Nguyen Thi Thuy	1	1/1	100%	
3	Mr. Nguyen Duc Can	1	1/1	100%	

3. Supervisory Activities of the Supervisory Board over the Board of Directors, Executive Management, and Shareholders

- During the first six months of 2026, the Supervisory Board conducted one on-site inspection of the Company's production and business operations; reviewed the semi-annual reviewed financial statements for FY2025–2026 and the third-quarter financial statements for FY2025–2026; examined internal receipts and payments; reviewed investment activities, raw material procurement, recovery of investment receivables for the 2025–2026 crop season; inspected procurement of materials and chemicals, product sales, and other Company activities.

- Supervised periodic inventories of materials, goods, and cash in accordance with Company regulations and during the Supervisory Board's working visits.

- Members of the Supervisory Board proactively performed their assigned duties through direct inspections and supervision, as well as by reviewing reports submitted by the Board of Directors and Executive Management and information obtained through other channels.

- The Supervisory Board attended all meetings of the Board of Directors and closely monitored the Board of Directors and the General Director in complying with State regulations and the Company's internal corporate governance regulations while safeguarding shareholders' interests.

- Through inspection and supervision, the Supervisory Board proposed several constructive recommendations to the Board of Directors and Executive Management to improve the Company's management and operations.

- Supervision over the Board of Directors: The Board of Directors effectively implemented the resolutions of the General Meeting of Shareholders and fulfilled its responsibilities in directing, managing, and supervising the Company's production and business activities as well as the General Director's executive management.

- The Company fully complied with applicable legal regulations governing production and business operations, corporate management, information disclosure, and transactions.

- Supervision over the General Director: The General Director and management personnel operated the Company in accordance with the functions, duties, and authority stipulated in the Company's Charter and internal management regulations. The Executive Management actively implemented the resolutions of the General Meeting of Shareholders and the resolutions and decisions of the Board of Directors. The business results for the 2025-2026 fiscal year are profitable, ensuring the safety of investors' capital.

4. Coordination between the Supervisory Board, the Board of Directors, Executive Management, and Other Managers

The Supervisory Board, the Board of Directors, and the General Director maintained close coordination. The Supervisory Board received active cooperation from the Board of Directors and Executive Management. The Head of the Supervisory Board was invited to attend all Board meetings, and all major policies and important decisions were communicated to the Supervisory Board together with the relevant documentation. Recommendations and proposals made by the Supervisory Board were duly acknowledged by the Board of Directors and Executive Management.

5. Other Activities of the Supervisory Board

- Monitored the Company's compliance with information disclosure requirements applicable to listed companies.

- Fully and diligently prepared all supervisory reports in accordance with applicable regulations.

IV. Executive Management

No.	Member of the Executive Management	Date of Birth	Professional Qualification	Appointment Date	Dismissal Date
1	Ms. Dang Thi Thu Hang	April 14, 1977	Bachelor's Degree	July 1, 2025	
2	Mr. Nguyen Huu Quang	June 20, 1971	Silicate Chemical Engineer	August 1, 2018	February 27, 2026

3	Mr. NARAYANASAMY RAVICHANDRAN	December 22, 1962	Technology Manager	August 8, 2023	
---	----------------------------------	----------------------	-----------------------	-------------------	--

V. Chief Accountant

Full Name	Date of Birth	Professional Qualification	Appointment Date	Dismissal Date
Ms. Vo Thi Ai Thuy	May 20, 1981	Bachelor's Degree in Accounting	May 4, 2026	

VI. Corporate Governance Training: None.

VII. List of Related Persons of the Public Company (First Six Months of 2026) and Transactions Between Related Persons and the Company

1. List of Related Persons of the Company

(Attached as Appendix 01 to this Report.)

2. Transactions Between the Company and Its Related Persons; or Between the Company and Major Shareholders, Insiders, and Related Persons of Insiders

All such transactions have been duly recorded and disclosed in the Company's quarterly, semi-annual, and annual financial statements to ensure transparency and provide full disclosure to the Company's shareholders.

3. Transactions Between Insiders of the Listed Company, Related Persons of Insiders, and Subsidiaries or Companies Controlled by the Listed Company

None.

4. Transactions Between the Company and Other Parties

4.1. Transactions between the Company and companies in which members of the Board of Directors, members of the Supervisory Board, or the Executive Director (General Director) have served or are serving as founding members, members of the Board of Directors, or Executive Directors (General Directors) within the past three (03) years (as of the reporting date): *All such transactions have been duly recorded and disclosed in the Company's quarterly, semi-annual, and annual financial statements to ensure transparency and full disclosure to shareholders.*

4.2. Transactions between the Company and companies in which related persons of members

of the Board of Directors, members of the Supervisory Board, or the Executive Director (General Director) serve as members of the Board of Directors or Executive Directors (General Directors): *All such transactions have been duly recorded and disclosed in the Company's quarterly, semi-annual, and annual financial statements to ensure transparency and full disclosure to shareholders.*

4.3. Other transactions of the Company (if any) that may provide material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, or the Executive Director (General Director): *None.*

IX. Share Transactions by Insiders and Their Related Persons (First Six Months of 2026)

1. List of Insiders and Their Related Persons (*Attached as Appendix 02 to this Report.*)

2. Transactions in the Company's Shares by Insiders and Their Related Persons: *None.*

No.	Person Conducting the Transaction	Relationship with Insider	Shares Held at Beginning of Period		Shares Held at End of Period		Reason for Increase/Decrease (Purchase, Sale, Conversion, Bonus Issue, etc.)
			Number of Shares	Ownership (%)	Number of Shares	Ownership (%)	
1	Mr. Tran Ngoc Hieu		74,529	1.47	74,529	1.47	
	Ms. Ta Ngoc Huong	Wife	251,000	4.95	251,000	4.95	
	Ms. Tran Ngoc Lan	Daughter	250,000	4.93	250,000	4.93	
	Mr. Tran Ngoc Tuan	Son	246,532	4.86	246,532	4.86	
	Ms. Tran Thi Thai	Elder Sister	152,100	3.00	0	0	Sale
2	Ms. Dang Thi Thu Hang		0	0	500,000	9.86	Purchase
	Ms. Tran Thi Thai	Mother	152,100	3.00	0	0	Sale

X. Other Matters Requiring Attention: *None.*

ON BEHALF OF THE BOARD OF DIRECTORS

Recipients:

- As stated above;
- Members of the BOD and the SB;
- Archived by the Board Secretary.



CHAIRMAN

TRẦN NGỌC HIỆU



APPENDIX 01

LIST OF RELATED PERSONS OF THE COMPANY

Attached to the Corporate Governance Report of the Listed Company for the First Six Months of 2026, No. ~~7.0~~/BC-HĐQT/2026 dated July 24, 2026, of Kon Tum Sugar Joint Stock Company)

No	Name of Organization/ Individual	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Business Registration No.	Date of Issue	Issuing Authority	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
I. Board of Directors											
1	Tran Ngoc Hieu		Chairman of the Board of Directors					03/07/2015			Related Person of the Company
2	Dang Thi Thu Hang		Member of the Board of Directors and General Director					24/10/2019			Related Person of the Company
3	Huynh Le Thanh		Independent Member of the Board of Directors					3/10/2025			Related Person of the Company
II. Supervisory Board											
1	Tran Thi Bich Nhi		Head of the Supervisory Board					03/07/2015			Related Person of the Company
2	Nguyen Thi Thuy		Member of the Supervisory Board					03/07/2015			Related Person of the Company
3	Nguyen Duc Can		Member of the Supervisory Board					12/04/2016			Related Person of the Company

[illegible]

APPENDIX 02

LIST OF INSIDERS AND THEIR RELATED PERSONS

(Attached to the Corporate Governance Report of the Listed Company for the First Six Months of 2026, No. 79/BC-HDQT/2026 dated July 24, 2026, of Kon Tum Sugar Joint Stock Company)

No	Full name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Business Registration No.	Date of Issue	Issuing Authority	Contact Address	Shares Held at the End of the Period	Ownership (%) at the End of the Period	Remarks
1.	Tran Ngoc Hieu		Chairman of the Board of Directors							
1.1	Father									Deceased
1.2	Mother									Deceased
1.3	Father-in-law									Deceased
1.4	Mother-in-law									Deceased
1.5	Ta Ngoc Huong		None							Wife
1.6	Tran Ngoc Lan		None							Daughter
1.7	Tran Ngoc Tuan		None							Son
1.8	Tran Thi Thai		None							Elder Sister
1.9	Brother-in-law									Deceased
1.10	Tran Thi Lien		None							Elder Sister
1.11	Tran Ngoc Hien		None							Elder Brother
<i>Related Organizations</i>										
1.12	Son La Sugar Joint Stock Company		Organization related to the Insider							Chairman of the Board of Directors
1.13	Can Tho Sugar Joint Stock Company		Organization related to the Insider							Chairman of the Board of Directors
2.	Dang Thi Thu Hang		General Director and							

[illegible]

Related Persons of Mr. Nguyen Duc Can		Board								
6.1	Father	None								Deceased
6.2	Tran Thi Chi	None								Mother
6.3	Huynh Van Chin	None								Father-in-law
6.4	Phan Thi Xay	None								Mother-in-law
6.5	Huynh Thi Mai Tuyen	None								Wife
6.6	Nguyen Huynh Duc Luan	None								Son
6.7	Nguyen Huynh Duc Khoi	None								Son
6.8	Nguyen Thi Tuyet	None								Elder sister
6.9	Nguyen Thi Diep	None								Elder sister
7	Dang Thi Thu Hang	General Director cum Member of the Board of Directors								
Related Persons of Ms. Dang Thi Thu Hang										
7.1	Tran Thi Thai	None								Mother
7.2	Father	None								Deceased
7.3	Dang Viet Anh	None								Younger brother
7.4	Le Thi Sang	None								Sister-in-law
Related Organizations										
7.5	Tipharco Pharmaceutical Joint Stock Company	Organization Related to the Insider								Member of the Board of Directors
7.6	Tra Vinh Sugar Joint Stock Company	Organization Related to the Insider								Chairman of the Board of Directors
7.7	Tuy Hoa Sugar Joint Stock Company	Organization Related to the Insider								Member of the Board of Directors

[illegible]

[illegible]