

Số: 61/2026/CBTT-APS
No.: 61/2026/CBTT-APS

Hanoi, ngày 29 tháng 07 năm 2026
Hanoi, day 29 month 07 year 2026

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ CỦA SỞ GIAO DỊCH
CHỨNG KHOÁN HÀ NỘI**
**INFORMATION DISCLOSURE ON THE ELECTRONIC INFORMATION PORTAL OF
THE HANOI STOCK EXCHANGE**

**Kính gửi/To: Ủy ban Chứng khoán nhà nước/The State Securities Commission of Viet Nam
Sở Giao dịch Chứng khoán Việt Nam/Viet Nam Stock Exchange
Sở Giao dịch Chứng khoán Hà Nội/Ha Noi Stock Exchange**

1. Tên tổ chức/Name of organization: Công ty CP Chứng khoán Châu Á Thái Bình Dương /Asia Pacific Securities Joint Stock Company

- Mã chứng khoán/Mã thành viên/ Stock code/ Broker code: APS
- Địa chỉ/Address: Tầng 3, tòa nhà Grand Plaza, 117 Trần Duy Hưng, Phường Yên Hòa, Thành phố Hà Nội/3rd Floor, Grand Plaza Building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City
- Điện thoại liên hệ/Tel: 024.35730200
- Fax:
- E-mail: Hantn@apec.com.vn

2. Loại thông tin công bố/Type of information disclosed: ☐ 24 giờ ☐ 72 giờ ☐ bất thường ☐ theo yêu cầu ☐ định kỳ/☐ 24 hours ☐ 72 hours ☐ irregular ☐ on request ☐ periodic

3. Nội dung thông tin công bố/Content of information disclosed: Báo cáo quản trị bán niên 2026/Semi-Annual Corporate Governance Report 2026

4. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày ngày .../07/2026 tại đường dẫn www.apec.com.vn This information was published on the company's website on .../07/2026 (date), as in the link: www.apec.com.vn

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/Attached documents:

Nội dung thông tin cần công bố và các tài liệu có liên quan/ *The Information content to be published and related documents.*

**Đại diện tổ chức
Organization representative**

Người UQ CBTT

Person authorized to disclose information

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



NGUYỄN THỊ NGỌC HÀ

Số: 02/2026/BCQT-APEC

Hanoi, July 29, 2026

MANAGEMENT REPORT
(6 months of the year 2026)

To:

- The State Securities Commission of Vietnam
- The Vietnam Stock Exchange
- The Hanoi Stock Exchange
- The Ho Chi Minh Stock Exchange

Name of the listed company: Asia - Pacific Securities Joint Stock Company
Address of the head office: 3rd Floor, Grand Plaza Building, 117 Tran Duy Hung Street, Yen Hoa
Ward, Hanoi
Telephone: 024.35730200 Fax: 024.35771969
Registered capital: 830.000.000.000 VND
Securities code: APS

Corporate governance structure:

+ General Meeting of Shareholders, Board of Directors, Supervisory Board, and CEO

Regarding the implementation of internal audit functions: It has been carried out.

I. Activities of the General Meeting of Shareholders

No	Resolution number	Date	Details
1	15/2026/APS/ NQ-ĐHĐCĐ	16/06/2026	Approved: (1) Approved the Board of Management's Report on business performance in 2025; (2) Approved the Board of Directors' Report on operations in 2025; (3) Approved the Independent BOD Member's Report on operations in 2025; (4) Approved the Supervisory Board's Report on operations in 2025; (5) Approved the audited financial statements for 2025; (6) Approved the business plan for 2026; (7) Approved the authorization for the Board of Directors to select the auditing firm for auditing/reviewing the financial statements for 2026; (8) Approved the remuneration plan for the Board of Directors and the Supervisory Board; (9) Approved the withdrawal of the securities underwriting service/business; (10) Approved the authorization for the Board of Directors to decide on certain matters under the authority of the General Meeting of Shareholders.

II. Board of Directors

1. Information about the Members of the Board of Directors (BOD) for the term (2024-2029):

No	Members of the Board of Directors	Position	Start date as a Board Member	End date as a Board Member
1	Mr Nguyen Doan Tung	Chairman of the Board of Directors	6/6/2024	
2	Mr Nguyen Duc Quan	Member of the Board of Directors	6/6/2024	
3	Ms Nguyen Do Hoang Lan	Member of the Board of Directors	6/6/2024	
4	Mr Vanfleteren Zamiel	Member of the Board of Directors	6/6/2024	

2. Meetings of the Board of Directors

No	Members of the Board of Directors	Position	Number of meetings attended	Rate	Reason for non-attendance
1	Mr Nguyen Doan Tung	Chairman of the Board of Directors	8/8	100%	
2	Mr Nguyen Duc Quan	Member of the Board of Directors	8/8	100%	
3	Ms Nguyen Do Hoang Lan	Member of the Board of Directors	8/8	100%	
4	Mr Vanfleteren Zamiel	Member of the Board of Directors	8/8	100%	

3. Supervision activities of the Board of Directors over the Board of Management

During the first 6 months of 2026, the Board of Directors fully performed its governance functions in accordance with the provisions of law, the Company's Charter, and internal regulations. The Board of Directors convened regular and extraordinary meetings to review and resolve key issues related to development orientations, business plans, risk management, investments, finance, and other major operations of the Company. Resolutions of the Board of Directors were issued in a timely manner, ensuring alignment with market developments and corporate governance requirements.

Pursuant to the Company's Charter, the Board of Directors maintained regular and close supervision, provided timely support, and ensured the operational efficiency of the Board of Management in executing the 2026 business plan, as reflected in the BOD meeting minutes.

Specifically:

- a. Organized, directed, and supervised the strict compliance with reporting requirements in accordance with current regulations, such as: the 2025 Financial Statements, the 2025 Annual Report, Quarterly Financial Statements of 2026, and other reports as required by the State Securities Commission (SSC), the Stock Exchange, etc.;
- b. Supervised compliance with periodic and extraordinary information disclosure in accordance with legal regulations;
- c. Maintained regular and close supervision, provided timely support, and ensured the operational efficiency of the Board of Management in executing the 2026 business plan as reflected in the BOD meeting minutes. On a quarterly basis, the Board of Directors required the Board of Management to prepare business performance reports against the set plans, highlighting achievements, advantages, and operational challenges;
- d. Directed and supervised the Board of Management in completing documentation, equipping physical facilities, and executing activities related to changes in business operations and branch relocation in full compliance with legal requirements;
- e. Concurrently, the Board of Directors instructed the Board of Management to implement solutions to enhance operational efficiency, strengthen internal control, manage risks, and ensure financial safety for the Company.

Throughout the term, the Chairman of the Board of Directors also regularly communicated with BOD members via phone and email regarding the Company's operations to reach consensus on direction and make timely decisions.

4. Activities of the subcommittees of the Board of Directors: (None)

5. Decisions of the Board of Directors:

No	Resolution/Decision number	Date	Details	Approval rate
1	02/2026/APS/NQ-HĐQT	13/01/2026	BOD Resolution on the Withdrawal of Securities Underwriting Business	100%
2	04/2026/APS/NQ-HĐQT	21/01/2026	Resolution of the Board of Directors regarding the withdrawal of the application for withdrawing securities underwriting service	100%
3	06/2026/APS/NQ-HĐQT	30/01/2026	Resolution of the Board of Directors regarding the recovery of advances through the transfer of shares from individuals	100%
4	06b/2026/APS/NQ-HĐQT	10/02/2026	Resolution of the Board of Directors regarding the acquisition of shares in PVF CAND	100%
5	08/2026/APS/NQ-HĐQT	25/03/2026	Resolution of the Board of Directors regarding the convening of the 2026 Annual General Meeting of Shareholders	100%
6	10/2026/APS/NQ-HĐQT	13/04/2026	Resolution of the Board of Directors regarding the change of address of Ho Chi Minh City Branch	100%
7	13/2026/APS/NQ-HĐQT	08/05/2026	Resolution of the Board of Directors regarding the amendment and supplementation of address information of	100%

			Ho Chi Minh City Branch	
8	17/2026/APS/NQ-HĐQT	23/06/2026	Resolution of the Board of Directors regarding the acquisition of shares of Kim Boi Trading and Tourism Joint Stock Company from Ms. Pham Hoai Phuong	100%

III. Board of Supervisors

1. Information on the Members of the Supervisory Board for the term (2024-2029)

No	Member of the Supervisory Board	Position	Start date as a Board of Supervisors	End date as a Board of Supervisors	Qualification
1	Ms Nguyen Phuong Dung	Head of the Supervisory Board	6/6/2024		Bachelor of Economics
2	Mr Nguyen Quang Hoc	Member of the Supervisory Board	6/6/2024		Bachelor of Economics
3	Ms Hoang Thi Huyen	Member of the Supervisory Board	6/6/2024		Bachelor of Economics

2. Meetings of Board of Supervisors or Audit Committee

No	Member of the Supervisory Board	Position	Number of meetings attended	Rate	Reason for non-attendance
1	Ms Nguyen Phuong Dung	Head of the Supervisory Board	1/1	100%	
2	Mr Nguyen Quang Hoc	Member of the Supervisory Board	1/1	100%	
3	Ms Hoang Thi Huyen	Member of the Supervisory Board	1/1	100%	

3. Supervision activities of the Supervisory Board over the Board of Directors and the Board of Management:

- The Supervisory Board participated in meetings with the Board of Directors to promptly grasp the business operations.
- The Supervisory Board has audited and supervised the Company's financial situation and business operations.

Overall, the Supervisory Board believes that the Board of Directors and the Board of Management have demonstrated high responsibility in managing and operating the Company. The Supervisory Board did not find any irregularities in the activities of the members of the Board of Directors, the Board of Management, and other managerial staff of the Company.

IV. Executive Board

No	Executive Board Member	Date of birth	Academic qualifications	Date of appointment to the Executive Board
----	------------------------	---------------	-------------------------	--

1	Mr Nguyen Duc Quan - General Director	14/10/1984	Bachelor's degree in Economics	9/8/2023
---	--	------------	-----------------------------------	----------

V. Chief Accountant and Accounting Manager

No	Name	Date of birth	Academic qualifications	Date of appointment
1	Ms Dau Thi Thao – Chief Accountant	01/08/1993	Bachelor's degree in Economics	18/12/2025

VI. Corporate Governance Training (None)

VII. List of Related Parties of the Company as Defined in Clause 34, Article 6 of the Securities Law and Transactions of Related Parties with the Company

1. List of Related Parties of the Company

1.1 List of Related Individuals of the Company

No	Name of Individual/Entity	Securities Trading Account	Position	Identification Number	Issued Date	Issued by	Address	Start Date of Being a Related Party	End Date of Being a Related Party	Reason	Relationship with the Company
1	Mr Nguyen Doan Tung		Chairman of the Board of Directors					06/06/2024			Internal Person
2	Mr Nguyen Duc Quan		Member of the Board of Directors and General Director					09/08/2023			Internal Person
3	Ms Nguyen Do Hoang Lan		Member of the Board of Directors					06/06/2024			Internal Person
4	Mr Vanfleteren Zamiel		Member of the Board of Directors					06/06/2024			Internal Person
5	Ms Nguyen Phuong Dung		Head of the Supervisory Board					06/06/2024			Internal Person
6	Ms Hoang Thi Huyen		Member of the Supervisory Board					06/06/2024			Internal Person
7	Mr Nguyen Quang Hoc		Member of the Supervisory Board					06/06/2024			Internal Person
8	Ms Dau Thi Thao		Chief Accountant					18/12/2025			Internal Person
9	Ms Nguyen Thi Ngoc Ha		Authorized Person for Information Disclosure					09/08/2023			Internal Person

10	Mr Nguyen Do Lang	Advisor						2006		Major Shareholder
----	-------------------	---------	--	--	--	--	--	------	--	-------------------

1.2 List of the Company's Related Organizations

No	Name of Individual/Entity	Securities Trading Account	Position	Identification Number	Issued Date	Issued by	Address	Start Date of Being a Related Party	End Date of Being a Related Party	Reason	Relationship with the Company
1	Asia-Pacific Investment Joint Stock Company							2006			The company is managed by: Mr. Nguyen Duc Quan serves as a Member of the Board of Directors. Ms Nguyen Do Hoang Lan serves as a Member of the Board of Directors. Ms. Nguyen Phuong Dung serves as a Member of the Board of Directors and the CEO. Ms Nguyen Thi Ngoc Ha serves as the Head of the Supervisory Board.
2	IDJ Vietnam Investment Joint Stock Company							26/04/2014			The company is managed by: Mr. Nguyen Duc Quan serves as the Chairman of the Board of Directors. Ms Nguyen Thi Ngoc Ha serves as a Member of the Board of Directors. Ms Dau Thi Thao serves as

		Ms Dau Thi Thao serves as the Head of the Supervisory Board.						
2	Asia-Pacific Investment Joint Stock Company	The company is managed by: Mr. Nguyen Duc Quan serves as the Chairman of the Board of Directors. Ms Nguyen Do Hoang Lan serves as a Member of the Board of Directors. Ms. Nguyen Phuong Dung serves as a Member of the Board of Directors and the CEO.	0102005769	3rd Floor, Grand Plaza Building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi.	From January to Jun 2026	Securities account custody fee	300.773	

3. There are no transactions between the insiders of the listed company, related parties of insiders, and subsidiaries or companies controlled by the listed company.
4. Transactions between the company and other parties.
- 4.1 Transactions between the company and companies where members of the Board of Directors, members of the Supervisory Board, and the Director (CEO) have been or are currently founding members or members of the Board of Directors, Director (CEO) within the past three (03) years (calculated at the time of report preparation): None.
- 4.2 Transactions between the company and companies where related parties of members of the Board of Directors, members of the Supervisory Board, and the Director (CEO) are members of the Board of Directors or Director (CEO): None.
- 4.3 Other transactions of the company (if any) that may bring material or immaterial benefits to the members of the Board of Directors, members of the Supervisory Board, and the Director (CEO): None.

VIII. Transactions of shares by insiders and related persons of insiders

1. List of insiders and related persons of insiders

No	Name of Individual/Entity	Securities Trading Account	Position	Identification Number	Issued Date	Issued by	Address	Number of shares owned at the end of	Percentage of shares owned at the end of	Note
----	---------------------------	----------------------------	----------	-----------------------	-------------	-----------	---------	--------------------------------------	--	------

										the period	the period	
1	Nguyen Doan Tung					Chairman of the Board of Directors				0	0	
	Nguyen Van Chuong											Father
	Doan Thi Chieu											Mother
2	Nguyen Duc Quan					Member of the Board of Directors				90.000	0,11%	
	Nguyen Duc Lam											Father
	Phan Thi Thuc											Mother
	Nguyen Son Tung											Brother
	Ly Linh Phuong											Wife
	Nguyen Phan Anh											Son
	Nguyen Duc Khanh											Son
	Ly Van Binh											Father in law
	Le Thi Kim Khanh											Mother in law
	IDJ Vietnam Investment Joint Stock Company											The company is managed by: Mr. Nguyen Duc Quan serves as the Chairman of the Board of Directors.
	Asia-Pacific Investment Joint Stock Company									186.700	0,22%	The company is managed by:

											Mr. Nguyen Duc Quan serves as a Member of the Board of Directors
	Apec Group Joint Stock Company								3.818.900	4,6%	The company is managed by: Mr. Nguyen Duc Quan serves as the Chairman of the Board of Directors.
	Cotana Group Joint Stock Company										The company is managed by: Mr. Nguyen Duc Quan serves as a Member of the Board of Directors
3	Nguyen Do Hoang Lan						Member of the Board of Directors		800.000	0,96%	
	Huynh Thi Mai Dung								1.680.000	2,02%	Mother
	Nguyen Do Lang								11.872.440	14,3%	Father
	Nguyen Do Duc Lam										Brother
	Nguyen Do Tue An										Sister
	Nguyen Do Minh Duc										Brother
	Asia-Pacific Investment Joint Stock Company								186.700	0,22%	The company is managed by: Ms. Nguyen Do Hoang Lan serves as a

	Hoang Van Quyen																Father
	Tran Thi An																Mother
	Le Trong Thang																Father in law
	Le Thi Dien																Mother in law
	Hoang Van Anh																Brother
	Duong Thuy Ha																Sister in law
	Le Trong Tuan																Husband
	Le Trong Hoang Son																Son
	Mandala Hotel and Services Management Joint Stock Company																The company is managed by: Ms Hoang Thi Huyen serves as a Chief Accountant
7	Nguyen Quang Hoc																0
	Nguyen Thi Dong																Mother
	Do Xuan Hy																Father in law
	Nguyen Thi Xanh																Mother in law
	Do Thi Thuy																Wife
	Nguyen Quang Van																Brother
	Nguyen Quang Minh																Son
	Nguyen Quang Phong																Son
8	Đậu Thị Thảo																

	Dau Cao Tho																Father
	Nguyen Thi Mien																Mother
	Dau Thi Hien																Sister
	Dau Thi Yen Nhi																Sister
	Tran Thi Thien																Mother in law
	Tran Duy Hung																Husband
	Tran Duy Huy Hoang																Son
	Tran Duy Hoang Long																Son
	Asia-Pacific Investment Joint Stock Company																The company is managed by: Ms Dau Thi Thao serves as Member of the Supervisory Board
9	Nguyen Thi Ngoc Ha																0
	Nguyen Ngoc Bich																0
	Vu Thi Thuy																Father
	Tran Hai Quan																Mother
	Nguyen Tuan Anh																Husband
	Nguyen Thi My Hanh																Brother
	Tran Ha Anh																Sister-in-law
																	Daughter

