

**40 INVESTMENT AND
CONSTRUCTION JOINT
STOCK COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Da Nang, 28 July, 2026

No: 2807/BCQT-HĐQT

**CÔNG TY
CỔ PHẦN
ĐẦU TƯ
VÀ XÂY
DỰNG 40**

Digitally signed by CÔNG TY
CỔ PHẦN ĐẦU TƯ VÀ XÂY
DỰNG 40
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CN=CÔNG TY CỔ PHẦN
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**REPORT
CORPORATE GOVERNANCE
(First 6 months of 2026)**

**To: - State Securities Commission of Vietnam;
- Hanoi Stock Exchange.**

- Name of public company: 40 Investment and Construction Joint Stock Company
- Head office address: 201/58 Nguyen Xi, Ward 26, Binh Thanh District, HCMC
- Phone: (028) 3899 0099 Fax: (028) 3511 7533
- Email: ir.l40.vn@gmail.com
- Charter capital: 237,599,650,000 VND
- Securities code: L40
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- Regarding the implementation of internal audit function: Not yet implemented.

I. Activities of the General Meeting of Shareholders

In the first 6 months of 2026, the Company held 01 meeting: The 2026 Annual General Meeting of Shareholders:

No.	Resolution/Decision No.	Date	Content
01	2602A/N Q- ĐHĐCĐ/ L40	26/02/2026	Article 1: Approval of the 2025 Board of Directors Report No. 001/BC-DHĐCĐ dated February 4, 2026 Article 2: Approve the 2025 Supervisory Board Report No. 002/2025/BC/BKS-L40 dated 04/02/2026. Article 3: Approve the 2025 Business Performance Report and 2026 Business Plan No. 003/2025/BC/BĐH-L40 dated 04/02/2026. Article 4: Approval of Proposal on Approval of Audited 2025 Financial Statements No. 004/TTr/ĐHĐCĐ dated February 4, 2026 Article 5: Approve the Proposal on 2025 Production and Business Results and 2025 Profit Distribution No. 005/TTr/ĐHĐCĐ dated 04/02/2026. Article 6: Approving the Proposal on Choosing a

			company to audit the 2026 Financial Statements No. 006/TTr/DHĐCĐ dated February 4, 2026 Article 7: Approve the Proposal on Remuneration for the Board of Directors and the Supervisory Board No. 007/TTr/DHĐCĐ dated 04/02/2026. Article 8: Approve the Proposal on Changing the Head Office Address of 40 Investment and Construction Joint Stock Company No. 008/TTr/DHĐCĐ dated 04/02/2026. Article 9: Approve the Proposal on the mechanism for additional nomination of members of the Board of Directors and the list of expected candidates for the 2026-2030 term introduced by the Board of Directors for additional nomination under the mechanism in case it is approved by the General Meeting of Shareholders No. 009.1/TTr/DHĐCĐ dated 15/02/2026. Article 10: Approving the Proposal on Election of Board Members for the 2026 - 2030 term No. 009/TTr/DHĐCĐ dated 04/02/2026 Article 11: Approve the Proposal on the mechanism for additional nomination of members of the Supervisory Board and the list of expected candidates for the 2026-2030 term introduced by the Supervisory Board for additional nomination under the mechanism in case it is approved by the General Meeting of Shareholders No. 010.1/TTr/DHĐCĐ dated 15/02/2026 Article 12: Approving the Proposal on Election of Supervisory Board Members for the 2026 - 2030 term No. 010/TTr/DHĐCĐ dated February 4, 2026 Article 13: Approval of Proposal on Cash Dividend Payment in 2025 at the rate of 5% No. 011/TTr/DHĐCĐ dated February 4, 2026 Article 14: Approval of the Proposal on the Plan to Issue Shares for Dividend Payment at a Ratio of 10:12 for 2025 No. 012/TTr/DHĐCĐ dated February 4, 2026 ELECTION RESULTS:
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			Election results for members of the BOD for the 2026 – 2030 term 1. Mr. Do Tan Cuong 2. Mr. Dinh Van Xuan 3. Mr. Phan Anh 4. Mr. Nguyen Van Tuan 5. Mr. Pham Huu Tai Election results for additional members of the Supervisory Board for the 2026 – 2030 term 1. Mr. Tran Van Hung 2. Mr. Nguyen Le Duy 3. Mr. Tran Cong Hau
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II. Board of Directors:

1. *Information on members of the Board of Directors for the 2026–2030 term (BOD)*

No.	BOD Member	Position	Date of becoming/no longer being a BOD/Independent BOD member	
			Appointment date	Dismissal date
1	Mr. Do Tan Cuong	Non-executive Chairman of the BOD	26/02/2026	
2	Mr. Dinh Van Xuan	Member of the BOD and General Director	26/02/2026	
3	Mr. Phan Anh	Independent member of the BOD	26/02/2026	
4	Mr. Nguyen Van Tuan	Independent member of the BOD	26/02/2026	
5	Mr. Pham Huu Tai	Non-executive member of the BOD	26/02/2026	

2. *BOD meetings: In the first 6 months of 2026, the Board of Directors held 14 meetings:*

N o.	Member of the BOD	Number of BOD meetings attended	Attendance rate	Reason for non-attendance
1	Mr. Do Tan Cuong	14/14	100%	
2	Mr. Dinh Van Xuan	14/14	100%	
3	Mr. Phan Anh	14/14	100%	
4	Mr. Nguyen Van Tuan	14/14	100%	
5	Mr. Pham Huu Tai	14/14	100%	

3. Supervisory activities of the BOD over the Board of General Directors:

The Board of Directors (BOD) has proactively performed its role of supervising, inspecting, and urging the implementation of tasks by the Board of General Directors, departments, and affiliated units, to ensure all activities of the Company are carried out in accordance with Resolutions of the General Meeting of Shareholders and Resolutions of the BOD, in compliance with legal regulations and the Company's Charter, while ensuring a balance of interests between shareholders and the enterprise.

The BOD periodically organizes meetings with the General Director and invites representatives of the Supervisory Board to deal with update on production and business situations, listen to operational reports, and promptly propose handling plans to ensure the progress of set plans. In 2026, to better align with the general development orientation, the BOD adjusted the leadership personnel structure. The BOD expects this change will contribute to promoting the stable and sustainable development of the Company.

Under the close direction and supervision of the BOD, the Board of General Directors has seriously implemented specific tasks to each member, while maintaining a full and timely reporting regime on implementation results, arising difficulties, and proposed solutions for the BOD to consider and adjust within its authority.

4. Activities of sub-committees under the Board of Directors (if any): None

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2026/NQ-HDQT/L40	05/01/2026	Article 1: The Board of Directors agrees to approve the plan for organizing the 2026 Annual General Meeting of Shareholders ("GMS") of 40 Investment and Construction Joint Stock Company as follows: Record date to close the list of shareholders attending the GMS: 26/01/2026; - Expected date of organization: February 2026. The specific date will be announced in the meeting invitation letter; - Expected venue: will be announced specifically in the meeting invitation letter; - Expected program and content at the Meeting: approve issues under the authority of the General Meeting of Shareholders. Detailed content will be announced in the meeting invitation letter. - The BOD authorizes the Chairman of the BOD to approve the time, program, content, and documents for organizing the 2026 Annual GMS of 40 Investment and Construction Joint Stock Company.	100%
2	02/2026/NQ-HDQT/L40	31/01/2026	Article 1: Approve borrowing capital to serve production and business activities: a. Total loan amount in Vietnamese Dong is: 60,000,000,000 VND (In words: Sixty billion VND), in which: - Loan from Mr. Dinh Van Xuan: 10,000,000,000 VND (ten	100%

			billion dong) - Borrowing from Mr. Do Tan Cuong: 10,000,000,000 VND (ten billion VND) - Borrowing from Mr. Tran Van Hung: 10,000,000,000 VND (ten billion VND) - Borrowing from Mr. Do Tan Vu: 10,000,000,000 VND (ten billion VND) - Loan from Mr. Huynh Van Phat: 10,000,000,000 VND (ten billion dong) - Loan from Mr. Bui Do Linh: 10,000,000,000 VND (ten billion dong) b. Purpose of borrowing: To supplement capital for the Company's production and business activities. Article 2: Authorization for implementation: Authorize the Chairman of the Board of Directors and the General Director of the Company to sign loan contracts and related documents on behalf of the Company in accordance with the law.	
3	03/2026/NQ-HĐQT/L40	04/02/2026	Article 1: The Board of Directors agrees to announce the convening of the 2026 Annual General Meeting of Shareholders at 08:30 on 26/02/2026. Details are in the meeting invitation notice. Article 2: The Board of Directors agrees on the documents to be submitted to the 2026 Annual General Meeting of Shareholders including: - 2025 Board of Directors Report; - 2025 Supervisory Board Report; - 2025 Business Performance Report and 2026 Business Plan; - Proposal on the Approval of the 2025 Audited Financial Statements; - Proposal on 2025 Production and Business Results and 2025 Profit Distribution; - Proposal on Selecting an auditing firm for the 2026 Financial Statements; - Proposal on Remuneration for the Board of Directors and Supervisory Board; - Proposal on Changing the Head Office Address of 40 Investment and Construction Joint Stock Company; - Proposal on the Election of Members of the Board of Directors for the 2026 – 2030 term; - Proposal on the Election of Members of the Supervisory Board for the 2026 – 2030 term; - Proposal on the plan for share issuance to pay dividends at a rate of 10:12 for 2026; - Proposal on 2025 cash dividend payment at a rate of 5%; - Proposal on offering additional shares to existing	100%

			shareholders to increase charter capital at a rate of 1:1; - Other contents under the authority of the GMS (if any).	
4	04/2026/NQ-HĐQT/L40	15/02/2026	Article 1: The Board of Directors agrees to approve the list of candidates for members of the Board of Directors for the 2026-2030 term including: 1. Mr. Do Tan Cuong 2. Mr. Dinh Van Xuan Article 2: Pursuant to Clause 5, Article 115 of the 2020 Law on Enterprises; Clause 3, Article 25 of the Charter of 40 Investment and Construction Joint Stock Company; Article 26 of the Internal Regulations on Corporate Governance of 40 Investment and Construction Joint Stock Company; and the draft Regulation on nomination, self-nomination, and election of members of the Board of Directors and members of the Supervisory Board for the 2026-2030 term, due to the number of candidates for the Board of Directors through nomination and self-nomination still not meeting the necessary quantity, the BOD approved Proposal No. 009.1/TTr/ĐHĐCĐ regarding the approval of the mechanism for additional nomination of members of the Board of Directors and the list of expected candidates for the 2026-2030 term introduced by the Board of Directors for additional nomination according to the mechanism in case of approval by the General Meeting of Shareholders ("Proposal 009.1"). According to Proposal 009.1, the list of expected candidates is as follows: 1. Mr. Phan Anh (Candidate for Independent member of the BOD) 2. Mr. Nguyen Van Tuan (Candidate for Independent member of the BOD) 3. Mr. Pham Huu Tai. Article 3: Approve that immediately after the GMS votes to approve Proposal 009.1, the List of expected candidates introduced by the BOD will automatically become the Official list of candidates nominated by the BOD for the GMS to conduct the election attached to Proposal No. 009/TTr/ĐHĐCĐ dated 04/02/2026 of the BOD regarding the Election of Members of the Board of Directors for the 2026 - 2030 term. Therefore, in case Proposal 009.1 is approved by the GMS, the entire List of candidates for members of the Board of Directors for the 2026-2030 term for the GMS to conduct the election attached to Proposal No. 009/TTr/ĐHĐCĐ dated 04/02/2026 of the BOD regarding the Election of Members of the Board of Directors for the 2026-2030 term includes the following persons: 1. Mr. Do Tan Cuong 2. Mr. Dinh Van Xuan	100%

		3. Mr. Phan Anh (Candidate for Independent member of the BOD) 4. Mr. Nguyen Van tuan (Candidate for Independent member of the BOD) 5. Mr. Pham Huu Tai Article 4: The Board of Directors agrees to approve the list of candidates for members of the Supervisory Board for the 2026-2030 term including: 1. Mr. Tran Van Hung 2. Mr. Nguyen Le Duy Article 5: Pursuant to Clause 5, Article 115 of the 2020 Law on Enterprises; Clause 3, Article 36 of the Charter of 40 Investment and Construction Joint Stock Company; and the draft Regulation on nomination, candidacy, and election of members of the Board of Directors and the Supervisory Board for the 2026-2030 term, as the number of candidates for the Supervisory Board through nomination and candidacy was still insufficient, the Supervisory Board held a meeting according to the Minutes of the Supervisory Board meeting dated 15/02/2026. Accordingly, the Supervisory Board approved Submission No. 010.1/TTr/ĐHĐCĐ regarding the approval of the mechanism for supplementing members of the Supervisory Board and the list of expected candidates for the 2026-2030 term introduced by the Supervisory Board for supplementary nomination per the mechanism in case of approval by the General Meeting of Shareholders ("Submission 010.1"). According to Submission 010.1, the list of expected candidates includes the following individuals: 1. Mr. Tran Cong Hau Article 6: Approve that immediately after the General Meeting of Shareholders (GMS) votes to approve Submission 010.1, the List of Expected Candidates introduced by the Supervisory Board will automatically become the Official Nomination List nominated by the Supervisory Board for the GMS to conduct the election, as attached to Submission No. 010/TTr/ĐHĐCĐ dated 04/02/2026 of the Board of Directors regarding the Election of Members of the Supervisory Board for the 2026-2030 term. Therefore, in case Submission 010.1 is approved by the GMS, the entire List of candidates for members of the Supervisory Board for the 2026-2030 term for the GMS to conduct the election, as attached to Submission No. 010/TTr/ĐHĐCĐ dated 04/02/2026 of the Board of Directors regarding the Election of Members of the Supervisory Board for the 2026-2030 term, includes the following individuals: 1. Mr. Tran Van Hung 2. Mr. Nguyen Le Duy	
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			3. Mr. Tran Cong Hau Article 7: Approve the adjustment and supplementation of documents related to the content submitted to the 2026 Annual General Meeting of Shareholders Article 8: Approve the cancellation of the Submission regarding the offering of additional shares to existing shareholders to increase charter capital at a ratio of 1:1	
5	05/2026/NQ-HĐQT/L40	26/02/2026	Article 1: The Board of Directors (“BOD”) unanimously elects Mr. Do Tan Cuong - Member of the Board of Directors for the 2026-2030 term, to hold the position of Chairman of the Board of Directors of 40 Investment and Construction Joint Stock Company from 26/02/2026 until the BOD announces otherwise.	100%
6	06/NQ-HĐQT/PHTCT/L40	02/03/2026	Article 1: Approve the details for implementing the plan to issue shares to pay dividends. The Board of Directors of 40 Investment and Construction Joint Stock Company unanimously agrees to approve the implementation of the Plan to issue shares to pay dividends which has been approved by the General Meeting of Shareholders The Company approved this at the General Meeting of Shareholders Resolution No. 2602A/NQ-ĐHĐCĐ/L40 dated 26/02/2026, with details as follows: 1. Share name: Shares of 40 Investment and Construction Joint Stock Company 2. Share type: Common shares 3. Stock code: L40 4. Par value: 10,000 VND/share. 5. Number of shares already issued: 10,800,000 shares 6. Number of treasury shares: 0 shares 7. Number of outstanding shares: 10,800,000 shares 8. Number of shares expected to be issued: 12,960,000 shares. 9. Total expected issuance value at par value: 129,600,000,000 VND. 10. Issuance ratio (number of shares expected to be issued/number of outstanding shares): 120%. 11. Exercise ratio: 10:12 (Shares issued to existing shareholders will be distributed according to the exercise ratio of 10:12. At the record date for the list of shareholders to exercise rights, shareholders owning 10 shares will be entitled to 12 rights; each shareholder owning 10 rights will receive 12 additional shares). 12. Purpose of issuance: Issue shares to pay dividends. 13. From the source of undistributed profit after tax accumulated up to 31/12/2025 according to the 2025 audited financial statements of 40 Investment and Construction Joint	100%



		Stock Company. 14. Expected issuance time: in 2026, after receiving written notification from the State Securities Commission regarding the receipt of the Company's documents for share issuance to pay dividends. 15. Subjects of issuance: Existing shareholders named in the list of shareholders on the record date (closing date) to exercise the right to receive additional issued shares provided by the Vietnam Securities Depository and Clearing Corporation. 16. Issuance method: Issued to existing shareholders according to the exercise method; treasury shares are not allocated rights to receive shares. The right to receive shares is non-transferable. 17. Transfer restrictions: Shares issued for dividend payment are not subject to transfer restrictions. 18. Rounding principle and handling plan for fractional shares (if any): The number of shares issued to existing shareholders will be rounded down to the unit level; fractional shares arising (if any) due to rounding will be cancelled. Example: Shareholder A owns 100 shares on the record date for the list of shareholders for share issuance. With an issuance ratio of 120%, shareholder A will receive the number of additional shares calculated as follows: $102 \text{ shares} \times 120\% = 122.4 \text{ shares}$. After rounding, the shareholder receives 122 shares. 19. Distribution method: Shares are distributed directly to existing shareholders named in the list of shareholders on the record date for exercising the right to receive dividends: - For deposited securities: securities owners complete procedures to receive issued shares at the Depository Members where the securities depository account is opened. - For undeposited securities: securities owners complete procedures to receive dividends in shares at the Headquarters of 40 Investment and Construction Joint Stock Company (address: 359 Nguyễn Phước Lan, Hòa Xuân Ward, Đà Nẵng City). Article 2: Approve the registration of depository and additional trading registration for all newly issued shares at the Vietnam Securities Depository and Clearing Corporation (VSDC) and the Hanoi Stock Exchange (HNX). Article 3: Approve the dossier for share issuance to pay dividends. The dossier for share issuance to pay dividends is as follows: - Report on share issuance to pay dividends.	
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			- Resolution of the General Meeting of Shareholders approving the share issuance plan. - Minutes of the General Meeting of Shareholders meeting approving the share issuance plan. - Submission regarding the share issuance plan to pay dividends. - Resolution of the Board of Directors approving the implementation of the share issuance plan to pay dividends. - 2025 Audited Separate Financial Statements. - 2025 Audited Consolidated Financial Statements. - Copy of the Business Registration Certificate. - Copy of the Company's Charter of Organization and Operation. - Document from the State Securities Commission regarding the maximum foreign ownership ratio of the Company. Article 4: The Board of Directors authorizes the Chairman of the BOD: The BOD authorizes and assigns the Chairman of the BOD to direct the Board of General Directors and relevant departments to implement necessary procedures according to regulations: - Complete the Report on share issuance to pay dividends and submit it to the SSC according to regulations. - Perform share issuance procedures according to regulations after receiving written notification from the State Securities Commission regarding the receipt of the Company's documents for share issuance to pay dividends. - Amend provisions regarding the charter capital level in the Company's Charter. Carry out procedures to change the Company's Business Registration Certificate related to the change in charter capital based on the actual results of the issuance with competent State agencies. - Implement necessary procedures to register depository and additional listing of issued shares at the Vietnam Securities Depository and Clearing Corporation and the Hanoi Stock Exchange in accordance with the law.	
7	07/2026/NQ-HĐQT/L40	12/03/2026	Article 1: Approve borrowing capital to serve production and business activities: a. Loan from Mr. Dinh Van Xuan with a total loan amount in VND of 20,000,000,000 VND (In words: Twenty billion VND) b. Loan purpose: Supplement capital to serve the Company's production and business activities. Article 2: Authorization for implementation: Authorize the Chairman of the Board of Directors and the General Director of the Company to represent the Company in signing loan agreements and related documents in accordance	100%

			with the law.	
8	08/NQ- HĐQT/PHTCT/ L40	20/04/2026	Article 1: Approve the amendment of the content in Item 18, Article 1 of the Board of Directors' Resolution No. 06/NQ-HĐQT/PHTCT/L40 regarding the Rounding principle and handling plan for fractional shares (if any), specifically as follows: The Board of Directors of 40 Investment and Construction Joint Stock Company unanimously agrees to approve the implementation of the Plan to issue shares to pay dividends which has been approved by the General Meeting of Shareholders of the Company in Resolution of the General Meeting of Shareholders No. 2602A/NQ-ĐHĐCĐ/L40 dated 26/02/2026, with details as follows: 1. Share name: Shares of 40 Investment and Construction Joint Stock Company 2. Share type: Common shares 3. Stock code: L40 4. Par value: 10,000 VND/share. 5. Number of shares already issued: 10,800,000 shares 6. Number of treasury shares: 0 shares 7. Number of outstanding shares: 10,800,000 shares 8. Number of shares expected to be issued: 12,960,000 shares. 9. Total expected issuance value at par value: 129,600,000,000 VND. 10. Issuance ratio (number of shares expected to be issued/number of outstanding shares): 120%. 11. Exercise ratio: 10:12 (Shares issued to existing shareholders will be distributed according to the exercise ratio of 10:12. At the record date for the list of shareholders to exercise rights, shareholders owning 10 shares will be entitled to 12 rights; each shareholder owning 10 rights will receive 12 additional shares). 12. Purpose of issuance: Issue shares to pay dividends. 13. From the source of undistributed profit after tax accumulated up to 31/12/2025 according to the 2025 audited financial statements of 40 Investment and Construction Joint Stock Company. 14. Expected issuance time: in 2026, after receiving written notification from the State Securities Commission regarding the receipt of the Company's documents for share issuance to pay dividends. 15. Subjects of issuance: Existing shareholders named in the list of shareholders on the record date (closing date) to exercise the right to receive additional issued shares provided by the Vietnam Securities Depository and Clearing Corporation. 16. Issuance method: Issued to existing shareholders according to the exercise method; treasury shares are not allocated rights to receive shares. The right to receive shares is non-transferable. 17. Transfer restrictions: Shares issued for dividend payment are not subject to transfer restrictions. 18. Rounding principle and handling plan for fractional shares (if any): The number of shares issued to pay	100

dividends will be rounded down to the unit level; fractional shares arising (if any) due to rounding down will be cancelled. Example: Shareholder A owns 102 shares on the record date for the list of shareholders for share issuance. With an issuance ratio of 120%, shareholder A will receive the number of additional shares calculated as follows: 102 shares x 120% = 122.4 shares. After rounding, the shareholder receives 122 shares.

19. Distribution method: Shares are distributed directly to existing shareholders named in the list of shareholders on the record date for exercising the right to receive dividends:

- For deposited securities: securities owners' complete procedures to receive issued shares at the Depository Members where the securities depository account is opened.
- For undeposited securities: securities owners' complete procedures to receive dividends in shares at the Headquarters of 40 Investment and Construction Joint Stock Company (address: 359 Nguyễn Phước Lan, Hòa Xuân Ward, Đà Nẵng City).

Article 2: Approve the registration of depository and additional trading registration for all newly issued shares at the Vietnam Securities Depository and Clearing Corporation (VSDC) and the Hanoi Stock Exchange (HNX).

Article 3: Approve the dossier for share issuance to pay dividends. The dossier for share issuance to pay dividends is as follows:

- Report on share issuance to pay dividends.
- Resolution of the General Meeting of Shareholders approving the share issuance plan.
- Minutes of the General Meeting of Shareholders meeting approving the share issuance plan.
- Submission regarding the share issuance plan to pay dividends.
- Resolution of the Board of Directors approving the implementation of the share issuance plan to pay dividends.
- 2025 Audited Separate Financial Statements.
- 2025 Audited Consolidated Financial Statements.
- Copy of the Business Registration Certificate.
- Copy of the Company's Charter of Organization and Operation
- Document from the State Securities Commission regarding the maximum foreign ownership ratio of the Company.

Article 4: The Board of Directors authorizes the Chairman of the BOD: The BOD authorizes and assigns the Chairman of the BOD to direct the Board of General Directors and relevant departments to implement necessary procedures according to regulations:

- Complete the Report on share issuance to pay dividends and submit it to the SSC according to regulations.
- Perform share issuance procedures according to regulations after receiving written notification from the State Securities Commission regarding the receipt of the Company's documents for share issuance to pay

			dividends. - Amend provisions regarding the charter capital level in the Company's Charter. Carry out procedures to change the Company's Business Registration Certificate related to the change in charter capital based on the actual results of the issuance with competent State agencies. - Implement necessary procedures to register depository and additional listing of issued shares at the Vietnam Securities Depository and Clearing Corporation and the Hanoi Stock Exchange in accordance with the law.	
9	09/2026/NQ-HĐQT/L40	23/04/2026	Article 1: The Board of Directors ("BOD") decides to dismiss Mr. Nguyen Van Son from the position of Deputy General Director cum Legal Representative of Investment and Construction 40 Joint Stock Company. - Date of Birth: 20/04/1970 - Citizen ID No.: 04270013606 Issued on: 10/07/2021 - Place of Issue: Department of Administrative Management of Social Order Police; - Address: 649/24B Dien Bien Phu, Ward 25, Binh Thanh District, Ho Chi Minh City. Article 2: The Board of Directors decides to appoint Ms. Le Thi Thuy - Date of Birth: 2/04/1987 - Citizen ID No.: 036187015077 Issued on: 0/12/2021 - Place of Issue: Department of Administrative Management of Social Order Police; - Address: 8.17 Apartment 326/1 Ung Van Khiem, Thanh My Tay Ward, Ho Chi Minh City. To hold the position of Deputy General Director cum Legal Representative of Investment and Construction 40 Joint Stock Company. Article 3: The company's legal representative is changed as follows: Legal representative after change: 1. Full Name: DINH VAN XUAN Gender: Male Date of Birth: 05/07/1983 Personal Identification Number: 049083015546 Position: General Director Contact Address: Quang Lang B, Dien Ban Dong Ward, Da Nang City 2. Full Name: DO TAN CUONG Gender: Male Date of Birth: 12/12/1982 Personal Identification Number: 049082022493 Position: Chairman of the Board of Directors Contact Address: 19C Street No. 2, Quoc Tuan, Nha Trang Ward, Khanh Hoa Province 3. Full Name: LE THI THUY Gender: Female Date of Birth: 22/04/1987	100%

			Personal Identification Number: 036187015077 Position: Deputy General Director Contact Address: 8.17 Apartment 326/1 Ung Van Khiem, Thanh My Tay Ward, Ho Chi Minh City.	
10	10/2026/NQ-HĐQT/L40	20/05/2026	Article 1: Approve the closing of the list of shareholders entitled to receive shares issued for dividend payment according to the share issuance plan approved at the 2026 Annual General Meeting of Shareholders in Resolution No. 2602A/NQ-ĐHĐCĐ/L40 dated 26/02/2026, specifically as follows: - Record date: 03/06/2026. - Subjects entitled to receive additional issued shares: Existing shareholders named in the list of share holders on the record date (closing date) to exercise the right to receive additional issued shares provided by the Vietnam Securities Depository and Clearing Corporation. - Exercise ratio: 10:12 (Shares issued to existing shareholders will be distributed according to the exercise ratio of 10:12. At the record date for the list of shareholders to exercise rights, shareholders owning 10 shares will be entitled to 10 rights; each shareholder owning 10 rights will receive 12 additional shares). - Rounding plan and handling plan for fractional shares and fund certificates (if any): The number of shares issued to pay dividends will be rounded down to the unit level; fractional shares arising (if any) due to rounding down will be cancelled. Example: Shareholder A owns 102 shares on the record date for the list of shareholders for share issuance. With an issuance ratio of 120%, shareholder A will receive the number of additional shares calculated as follows: $102 \text{ shares} \times 120\% = 122.4 \text{ shares}$. After rounding, the shareholder receives 122 shares. - Implementation location: + For deposited securities: Securities owners complete procedures to receive dividends in shares at the Depository Members (DM) where the depository account is opened. + For uncertificated securities: Shareholders shall complete procedures to receive stock dividends at 359 Nguyen Phuoc Lan, Hoa Xuan Ward, Da Nang City and present their Citizen ID/ID card/passport.	100%
11	11/2026/NQ-HĐQT/L40	05/06/2026	Article 1: Approve the results of the 2025 share issuance to pay dividends, specifically as follows: - Number of shares expected to be issued: 12,960,000 shares - Total number of shares distributed: 12,960,000 shares	100%

			In which: - Number of shares distributed to shareholders to pay 2025 dividends at a ratio of 10:12 is: 12,959,965 shares for 528 shareholders. - Number of shares handled for fractional shares, fractional shares cancelled: 35 shares. 3. End date of the issuance: 03/06/2026 4. Total number of shares after the issuance (dated 03/06/2026): 23,759,965 shares (In words: Twenty-three million seven hundred fifty-nine thousand nine hundred sixty-five shares). In which: - Number of outstanding shares: 23,759,965 shares; - Number of treasury shares: 0 shares. - Charter capital after the issuance: 237,599,650,000 VND (In words: Two hundred thirty-seven billion five hundred ninety-nine million six hundred fifty thousand VND) Article 2: The Board of Directors assigns the General Director of the Company and relevant departments to implement the reporting of issuance results to state management agencies, carry out procedures to amend the Company's Charter, adjust the Business Registration Certificate, adjust the Operating License, and register additional securities, and register additional listing for all newly issued shares to increase capital according to the new charter capital.	
12	12/2026/NQ-HĐQT/L40	10/06/2026	Article 1: Approve the amendment of the content in Item 2, Article 1 of the Board of Directors' Resolution No. 11/2026/NQ-HĐQT/L40 regarding the Total number of shares distributed, specifically as follows: Approve the results of the 2025 share issuance to pay dividends, specifically as follows: 1. Number of shares expected to be issued: 12,960,000 shares 2. Total number of shares distributed: 12,959,965 shares In which: - Number of shares distributed to shareholders to pay 2025 dividends at a ratio of 10:12 is: 12,959,965 shares for 528 shareholders. - Number of shares handled for fractional shares, fractional shares cancelled: 35 shares (these shares will be cancelled due to rounding down). 3. End date of the issuance: 03/06/2026 4. Total number of shares after the issuance (dated 03/06/2026): 23,759,965 shares (In words: Twenty-three million seven hundred fifty-nine thousand nine hundred sixty-five shares). In which:	100%

			- Number of outstanding shares: 23,759,965 shares; - Number of treasury shares: 0 shares. - Charter capital after the issuance: 237,599,650,000 VND (In words: Two hundred thirty-seven billion five hundred ninety-nine million six hundred fifty thousand VND) Article 2: The Board of Directors assigns the General Director of the Company and relevant departments to implement the reporting of issuance results to state management agencies, carry out procedures to amend the Company's Charter, adjust the Business Registration Certificate, adjust the Operating License, and register additional securities, and register additional listing for all newly issued shares to increase capital according to the new charter capital.	
13	13/2026/NQ-HĐQT/L40	11/06/2026	Article 1: The Board of Directors unanimously approves the use of assets to secure the obligations of 40 Investment and Construction Joint Stock Company for current loans and loans that will arise in the future. (if any) at Vietnam Prosperity Joint Stock Commercial Bank (VPBank) specifically as follows: - Land use rights owned by Mr. Nguyen Van Huy - ID card: 049083012114 - Date of issue: 07/02/2024. Issued by: Ministry of Public Security Article 2: Authorization for implementation 1. Assign Mr. Do Tan Cuong – Chairman of the Board of Directors, and Mr. Dinh Van Xuan – General Director, who is also the legal representative of 40 Investment and Construction Joint Stock Company, to organize the implementation of the content approved by the Board of Directors above and carry out procedures to sign agreements, contracts, and related documents with VPBank in accordance with the law (if any). 2. The Board of Directors of the Company is responsible for all documents, contracts, and materials signed by Mr. Do Tan Cuong and Mr. Dinh Van Xuan with the bank and related parties in accordance with the contents stated in this Resolution.	100%
14	14/2026/NQ-HĐQT/L40	16/06/2026	Article 1: The Board of Directors (“BOD”) decides to dismiss Ms. Le Thi Thuy from the position of Deputy General Director and Legal Representative of 40 Investment and Construction Joint Stock Company - Date of birth: 22/04/1987 - ID card No.: 036187015077 Issued on: 20/12/2021 Issued by: Police Department for Administrative Management of Social Order - Address: 8.17 Apartment 326/1 Ung Van Khiem, Thanh My Tay Ward, Ho Chi Minh City	100%

Article 2: The Board of Directors decides to appoint Mr. Tran Viet Thang

- Date of birth: 06/02/1973
- ID card No.: 027073000522 Issued on: 11/01/2026
- Issued by: Ministry of Public Security
- Address: No. 497 Gia Phu Street, Group 4, Quarter 1, Binh Tien Ward, Ho Chi Minh City

To hold the position of Deputy General Director and Legal Representative of 40 Investment and Construction Joint Stock Company.

Article 3: Change the company's legal representative as follows:

The legal representative after the change:

1. Full Name: DINH VAN XUAN
 Date of birth: 05/07/1983
 Personal identification number: 049083015546
 Title: General Director
 Gender: Male
 Contact address: Quang Lang B, Dien Ban Dong Ward, Da Nang City

2. Full Name: DO TAN CUONG
 Date of birth: 12/12/1982
 Personal identification number: 049082022493
 Title: Chairman of the Board of Directors
 Gender: Male
 Contact address: 19C Street No. 2, Quốc Tuấn, Nha Trang Ward, Khánh Hòa Province

3. Full Name: TRAN VIET THANG
 Date of birth: 06/02/1973
 Personal identification number: 027073000522
 Title: Deputy General Director
 Gender: Male
 Contact address: No. 497 Gia Phú Street, Residential Group 4, Quarter 1, Binh Tien Ward, Ho Chi Minh City

III. Supervisory Board:

1. Information on members of the Supervisory Board (SB):

No.	Supervisory Board Member	Title	Date of starting / no longer being a Supervisory Board member	Professional qualifications
1	Mr. Tran Van Hung	Head of Supervisory Board	Appointed on 26/02/2026	Bachelor of Business Administration
2	Mr. Nguyen Le Duy	Supervisory Board Member	Appointed on 26/02/2026	Engineer
3	Mr. Tran Cong Hau	Supervisory Board Member	Appointed on 26/02/2026	Engineer

2. Meetings of the Supervisory Board:

No.	Supervisory Board Member	Number of meetings attended	Meeting attendance rate	Voting rate	Reason for not attending the meeting
1	Mr. Tran Van Hung	2/2	100%	100%	
2	Mr. Nguyen Le Duy	2/2	100%	100%	
3	Mr. Tran Cong Hau	2/2	100%	100%	

3. Supervisory activities of the SB over the BOD, the Board of General Directors, and shareholders:

The Supervisory Board (SB) regularly inspects and supervises the activities of the BOD and the Board of General Directors to ensure compliance with the law, the Company's Charter, internal regulations, and resolutions of the General Meeting of Shareholders.

The BOD has fully organized periodic meetings and performed well the assigned tasks and powers. All BOD members possess sufficient capacity, integrity, and expertise. Resolutions and Decisions of the BOD were passed in accordance with procedures and authority with a high consensus rate.

The Board of General Directors has managed operations according to its functions and tasks, in line with the Law on Enterprises and the Company's Regulation on Organization and Operation. Decisions of the Board of General Directors were issued within their authority and in a timely manner.

4. Coordination between the SB and the activities of the BOD, the Board of General Directors, and other managers:

The coordination between the SB and the activities of the BOD, the Board of General Directors, and other managers ensures compliance with legal regulations and the Company's internal regulations. In the first 6 months of 2026, the Supervisory Board received full information and documents related to the production and business situation and other activities of the Company. The SB sent representatives to participate in meetings of the BOD and the Board of General Directors, and provided comments and proposals to the BOD and the Board of General Directors regarding the Company's production and business activities.

IV. Executive Board

No.	Executive Board Member	Title	Professional qualifications	Date of appointment	Date of dismissal
1	Mr. Nguyen Van Son	Deputy General Director	Master of Hydraulic Engineering	17/03/2025	23/04/2026
2	Mr. Dinh Van Xuan	General Director	University	07/03/2025	
3	Ms. Le Thi Thuy	Deputy General Director	Bachelor of Finance and Accounting	23/04/2026	16/06/2026
4	Mr. Tran Viet Thang	Deputy General	Bachelor of Finance and	16/06/2026	

		Director	Accounting		
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V. Chief Accountant

Full name	Date of birth	Professional qualifications	Date of appointment
Mr. Bui Thanh Tuan	28/02/1977	Bachelor of Economics	Appointed from 17/03/2025

VI. Corporate governance training:

Corporate governance training courses that members of the BOD, members of the SB, the General Director, other managers, and the Company Secretary have participated in according to corporate governance regulations: The BOD appointed representatives to attend seminars and guidance on regulations of the State Securities Commission and the Hanoi Stock Exchange regarding information disclosure and corporate governance.

VII. List of related persons of the listed Company and transactions of related persons of the company with the Company itself.

1. List of related persons of the company (Presented in Appendix 01 attached to the report).

2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, and related persons of insiders:

No.	Name of organization/individual	Relationship with the company	ID document number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision number of the GMS/BOD.. approved (if any, specify the date of issuance)	Content, quantity, total transaction value	Notes
1	Mr. Do Tan Cuong	Chairman of the Board of Directors			Q1 2026	02/2026/NQ-HĐQT/L40 31/01/2026	Content: Loan from Mr. Do Tan Cuong 10,000,000,000 VND	
2	Mr. Dinh Van Xuan	Member BOD, General Director			Q1 + 2 2026	02/2026/NQ-HĐQT/L40 31/01/2026 07/2026/NQ-HĐQT/L40 12/03/2026	Content: Loan from Mr. Dinh Van Xuan 26,788,871,474 VND	

2	Mr. Do Tan Vu	Younger brother of Mr. Do Tan Cuong - Chairman of the Board of Directors		Q1 2026	02/2026/NQ-HĐQT/L40 31/01/2026	Content: Loan from Mr. Do Tan Vu 10,000,000,000 VND	
3	Tran Van Hung	Head of the Supervisory Board		Q1 2026	02/2026/NQ-HĐQT/L40 31/01/2026	Content: Loan from Mr. Tran Van Hung 10,000,000,000 VND	

Note: ID document number: ID card/Passport number (for individuals) or Business Registration Certificate number, Operation License, or equivalent legal document (for organizations)*

- Mr. Do Tan Cuong, Chairman of the BOD. In the first 6 months of 2026, Mr. Do Tan Cuong lent the Company 10,000,000,000 VND (In words: Ten billion VND) at an interest rate of 0%. Details: opening balance: 0 VND; total deposits during the period is 10,000,000,000 VND; total withdrawals during the period is 10,000,000,000 VND; loan balance of Mr. Do Tan Cuong as of 30/06/2026 is: 0 VND.

- Mr. Dinh Van Xuan, member of the BOD and General Director of the Company. In the first 6 months of 2026, Mr. Dinh Van Xuan lent the Company 26,788,871,474 VND at an interest rate of 0%. Details: opening balance: 10,469,280,000 VND; total deposits incurred during the period were 26,788,871,474 VND; total withdrawals during the period were 36,326,000,000 VND; the loan balance of Mr. Dinh Van Xuan as of 30/06/2026 was: 932,151,474 VND.

- Mr. Do Tan Vu, younger brother of Mr. Do Tan Cuong, Chairman of the BOD. In the first 6 months of 2026, Mr. Do Tan Vu lent the Company 10,000,000,000 VND (In words: Ten billion VND) at an interest rate of 0%. Details: opening balance: 0 VND; total deposits during the period is 10,000,000,000 VND; total withdrawals during the period is 10,000,000,000 VND; loan balance of Mr. Do Tan Vu as of 30/06/2026 is: 0 VND.

- Mr. Tran Van Hung, Head of the Supervisory Board. In the first 6 months of 2026, Mr. Tran Van Hung lent the Company 10,000,000,000 VND (In words: Ten billion VND) at an interest rate of 0%. Details: opening balance: 0 VND; total deposits during the period is 10,000,000,000 VND; total withdrawals during the period is 10,000,000,000 VND; loan balance of Mr. Tran Van Hung as of 30/06/2026 is: 0 VND.

3. Transactions between company insiders and related persons of insiders with related companies:

3.1 In the first 6 months of 2026, the Company had transactions involving the sale of goods and services with related companies as follows: None.

3.2 In 2025, the Company had transactions involving the purchase of goods and services with related companies as follows: None.

4. Transactions between the Company and other entities:

4.1. Transactions between the company and companies where members of the BOD, members of the Supervisory Board, or the General Director have been or are founding members or members of the BOD, General Director within the last three (03) years: None.

4.2. Transactions between the company and companies where related persons of members of the BOD, members of the Supervisory Board, or the General Director are members of the BOD, General Director: None.

4.3. Other transactions of the company that may bring material or non-material benefits to members of the BOD, members of the Supervisory Board, or the General Director: None.

VIII. Stock transactions of insiders and related persons of insiders:

1. List of insiders and related persons of insiders (Presented in Appendix 2 attached to the report).
2. Transactions of insiders and related persons regarding company shares: (Presented in Appendix 3 attached to the report)

IX. Other noteworthy issues: None

CHAIRMAN OF BOD

(Signed, full name and stamped)



ĐO TAN CUONG

APPENDIX 1: LIST OF RELATED PERSONS OF THE COMPANY

No.	Name of organization/i individual	Securities trading account	Position at the company	ID document number	Date of issue	Place of issue	Head office address/Contact address	Time of becoming a related person	Time of ceasing to be a related person	Reason	Notes
I. BOARD OF DIRECTORS											
1	Do Tan Cuong		Chairman of the BOD					26/02/2026		Elected by the GMS	
1.1	And related persons of Mr. Do Tan Cuong in section 1, part VIII of this report.										
2	Dinh Van Xuan		Member of the BOD					26/02/2026		Elected by the GMS	
2.1	And Mr. Dinh Van Xuan's related parties in item 1, part VIII of this report.										
3	Phan Anh		Member of the BOD					26/02/2026		Elected by the GMS	



3.1	And related persons of Mr. Phan Anh in section 1, part VIII of this report.									
4	Nguyen Van Tuan		Member of the BOD			26/02/2026		Elected by the GMS		
4.1	And related persons of Mr. Nguyễn Văn Tuấn in section 1, part VIII of this report.									
5	Pham Huu Tai		Member of the BOD			26/02/2026		Elected by the GMS		
5.1	And related persons of Mr. Pham Huu Tai in section 1, part VIII of this report.									
II. SUPERVISORY BOARD										
6	Tran Van Hung		Head of the Supervisory Board			26/02/2026		Elected by the GMS		
6.1	And related persons of Mr. Tran Van Hung in section 1, part VIII of this report.									
7	Nguyen Le Duy		Member of the			26/02/2026		Elected by the		

			Supervisory Board				GMS	
7.1	And related persons of Mr. Nguyen Le Duy in section 1, part VIII of this report.							
8	Tran Cong Hau		Member of the Supervisory Board		26/02/2026		Elected by the GMS	
8.1	And related persons of Mr. Tran Cong Hau in section 1, part VIII of this report.							
III. BOARD OF MANAGEMENT								
9	Dinh Van Xuan		General Director		07/03/2025		Appointed by the BOD	
9.1	And Mr. Dinh Van Xuan's related parties in item 1, part VIII of this report.							
10	Nguyen Van Son		Deputy General Director		17/03/2025	23/04/2026	Dismissed by the BOD	

10.1	And related persons of Mr. Nguyen Van Son in section 1, part VIII of this report.									
11	Le Thi Thuy		Deputy General Director			23/04/2026	16/06/2026	Dismissed by the BOD		
11.1	And related persons of Ms. Le Thi Thuy at section 1, part VIII of this report. (No longer a related person of the company)									
12	Tran Viet Thang		Deputy General Director			16/06/2026		Appointed by the BOD		
12.1	And related persons of Mr. Tran Viet Thang at section 1, part VIII of this report. (No longer a related person of the company)									
13	Nguyen Hai Anh		Person authorized to disclose information Company secretary Person in charge of corporate governance			13/05/2025		Authorized to disclose information		
						20/05/2025		Appointed by the BOD		
13.1	And related persons of Ms. Nguyen Hai Anh at section 1, part VIII of this report.									
IV. INTERNAL AUDIT COMMITTEE										

14	Do Tan Hung		Person performing internal audit					18/12/2025		Appointed by the BOD	
14.1	And related persons of Mr. Do Tan Hung at section 1, part VIII of this report.										
V. SUBSIDIARIES											
15	Ha My Complex Joint Stock Company			4001211498	05/02/2021	Department of Planning and Investment of Quang Nam Province	Group 12 Ha My Trung Block, Dien Ban Dong Ward, Da Nang City, Vietnam	15/09/2025			Subsidiary
15.1	Do Tan Vu										Chairman of the BOD, Legal Representative, General Director of the subsidiary

											diary
15.2	Do Thi Bich Lieu										Chief Accountant of the subsidiary

APPENDIX 2: LIST OF INSIDERS AND RELATED PERSONS OF INSIDERS

No.	Full name (*)	Securities trading account (if any)	Position at the company (if any)	Relationship with the insider	ID document (*) (ID Card/Passport/Business Registration Certificate)			Head office address/Contact address	Number of shares owned at the end of the period (*)	Ownership ratio at the end of the period	Notes (regarding absence of ID document number and other notes)
					ID document number (*)	Date of issue	Place of issue				
1	3	4	5	6	7	8	9	10	11	12	13
1	Do Tan Cuong		Chairman of the Board of Directors						4,418,260	18,6%	
1.1	Huynh Thi Canh			Biological mother of Mr. Do Tan Cuong - Chairman of the BOD					0	0%	
1.2	Do Tan Vu			Younger brother of Mr. Do Tan Cuong - Chairman of the BOD					949,740	4%	
1.3	Do Thi Hoa			Younger sister of Mr. Do Tan Cuong - Chairman of the BOD					660	0,003%	
1.4	Do Thi Hiep			Younger sister of Mr. Do Tan Cuong - Chairman of the BOD					660	0,003%	
1.5	Le Thi Huong			Spouse of Mr. Do Tan Cuong - Chairman of the BOD					0	0%	

1.6	Do Le Quynh Na			Biological child of Mr. Do Tan Cuong - Chairman of the BOD	0	0%	Minor
1.7	Do Van Minh Dat			Biological child of Mr. Do Tan Cuong - Chairman of the BOD	0	0%	Minor
1.8	AD CAPITAL GROUP JOINT STOCK COMPANY			Mr. Do Tan Cuong holds the position of Director	0	0%	
2	Phan Anh		Member of the Board of Directors		220	0.001%	
2.1	Ly Thi Thuy Tien			Spouse of Mr. Phan Anh - Member of the BOD	0	0%	
2.2	Phan Ngoc			Child of Mr. Phan Anh - Member of the BOD	0	0%	
2.3	Phan Thi Thanh Hien			Child of Mr. Phan Anh - Member of the BOD	0	0%	
2.4	Nguyen Dang Cat Vu			Daughter-in-law of Mr. Phan Anh - Member of the BOD	0	0%	

2.5	Tran Thi Xu			Biological mother of Mr. Phan Anh - Member of the BOD		0	0%	Insider did not provide
2.6	Ly Ngoc Pham			Father-in-law of Mr. Phan Anh - Member of the BOD		0	0%	Insider did not provide
2.7	Phan Nam			Younger brother of Mr. Phan Anh - Member of the BOD		0	0%	Insider did not provide
3	Dinh Van Xuan	091C105502	Member of the Board of Directors, General Director			2,470,600	10,4%	
3.1	Dinh Van Nam			Biological father of Mr. Dinh Van Xuan - Member of the BOD, General Director		0	0%	
3.2	Vo Thi Thiet			Biological mother of Mr. Dinh Van Xuan - Member of the BOD, General Director		0	0%	
3.3	Dinh Van Ha			Younger brother of Mr. Dinh Van Xuan - Member of the BOD, General Director		660	0,003%	

3.4	Dinh Thi Hong			Younger sister of Mr. Dinh Van Xuan - Member of the BOD, General Director
3.5	Kieu Thi Bich Tram			Spouse of Mr. Dinh Van Xuan - Member of the BOD, General Director
3.6	Dinh Kieu Anh Thu			Biological child of Mr. Dinh Van Xuan - Member of the BOD, General Director
3.7	Dinh Kieu An Nhien			Biological child of Mr. Dinh Van Xuan - Member of the BOD, General Director
3.8	Dinh Kieu Ngoc Bich			Biological child of Mr. Dinh Van Xuan - Member of the BOD, General Director
4	Nguyen Van Tuan	069C036016	Member of the Board of Directors	
4.1	Phan Thi Le Tham			Spouse of Mr. Nguyen Van Tuan - Member of the BOD

0	0%	
0	0%	
0	0%	
0	0%	Minor
0	0%	Minor
220	0,001%	
0	0%	

4.2	Nguyen Phuoc Minh Toan			Child of Mr. Nguyen Van Tuan - Member of the BOD	0	0%	
4.3	Nguyen Phuoc Minh Tri			Child of Mr. Nguyen Van Tuan - Member of the BOD	0	0%	
4.4	Nguyen Thuan			Biological father of Mr. Nguyen Van Tuan - Member of the BOD	0	0%	
4.5	Le Thi Hoa			Biological mother of Mr. Nguyen Van Tuan - Member of the BOD	0	0%	
4.6	Phan Phung Thoi			Father-in-law of Mr. Nguyen Van Tuan - Member of the Board of Directors	0	0%	
4.7	Phan Thi Lan			Mother-in-law of Mr. Nguyen Van Tuan - Member of the Board of Directors	0	0%	
4.8	Nguyen Van Tu			Younger brother of Mr. Nguyen Van Tuan - Member of the Board of Directors	0	0%	
4.9	Phan Thi Tuyet Nhung			Brother-in-law of Mr. Nguyen Van Tuan - Member of the Board of Directors	0	0%	

5	Pham Huu Tai	069C036017	Member of the Board of Directors		0	0%	
5.1	Than Thi Tu			Biological mother of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
5.2	Pham Ngoc Phat			Biological elder brother of Mr. Pham Huu Tai - Board Member	0	0%	
5.3	Pham Thi Tuyet Nhung			Older sister of Mr. Pham Huu Tai - Member of the BOD	0	0%	
5.4	Pham Huu Duc			Biological elder brother of Mr. Pham Huu Tai - Board Member	0	0%	
5.5	Doan Thi My Lien			Wife of Mr. Pham Huu Tai - Member of the Board of Directors	660	0,003%	
5.6	Pham Khanh Ngan			Biological son of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
5.7	Pham Khanh Ngoc			Biological son of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	

5.8	Nguyen Thi Huong			Sister-in-law of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
5.9	Ha Sy Lam			Brother-in-law of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
5.10	Huynh Thi Anh			Sister-in-law of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
5.11	Pham Thi Hoa			Mother-in-law of Mr. Pham Huu Tai - Member of the Board of Directors	0	0%	
6	Nguyen Van Son		Deputy General Director		0	0%	Dismissed
6.1	Nguyen Thi Vien			Sister of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.2	Nguyen Van Khuyen			Brother of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.3	Nguyen Van Tri			Brother of Mr. Nguyen Van Son - Deputy General Director	0	0%	

6.4	Nguyen Van Thuy			Brother of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.5	Dang Thi Xuyen			Sister-in-law of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.6	Nguyen Thi Thuy			Sister-in-law of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.7	Truong Thi Nguyet			Sister-in-law of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.8	Nguyen Thi Oanh			Wife of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.9	Nguyen Tran Quoc			Son of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.10	Nguyen Quoc Hung			Son of Mr. Nguyen Van Son - Deputy General Director	0	0%	
6.11	Nguyen Bao Tran			Son of Mr. Nguyen Van Son - Deputy General Director	0	0%	Minor

6.12	40.10 Investment and Construction Joint Stock Company			Affiliated organization of Mr. Nguyen Van Son - Deputy General Director		0	0%	
7	Le Thi Thuy	058C629604	Deputy General Director			0	0%	Dismissed
7.1	Le Van Hai	058C681882		Mrs. Le Thi Thuy's father - Deputy General Director		0	0%	
7.2	Nguyen Thi Vinh	058C887304		Mother of Ms. Le Thi Thuy - Deputy General Director		0	0%	
7.3	Dao Thi Hoa			Mother-in-law of Ms. Le Thi Thuy - Deputy General Director		0	0%	
7.4	Hoang Trong Man	058C813758		Husband of Ms. Le Thi Thuy - Deputy General Director		0	0%	
7.5	Hoang Trong Phu			Son of Ms. Le Thi Thuy - Deputy General Director		0	0%	Minor
7.6	Le Duc Quan			Ms. Le Thi Thuy's brother - Deputy General Director		0	0%	

7.7	Nguyen Thi Thu			Sister-in-law of Ms. Le Thi Thuy - Deputy General Director
7.8	40 Investment and Construction Joint Stock Company			Affiliated organization of Ms. Lê Thị Thủy - Deputy General Director
8	Tran Viet Thang	009C333223 091C966789 068C009130 049C007729 116C333223	Deputy General Director	
8.1	Nguyen Thi Mai Lan	091C968789 009C143956 058C855532 022C089532		Mother of Mr. Tran Viet Thang - Deputy General Director
8.2	Tran Viet Khoai			Father of Mr. Tran Viet Thang - Deputy General Director
8.3	Tran Ngoc Hue	091C966799		Younger sister of Mr. Tran Viet Thang - Deputy General Director
8.4	Tran Si Thai			Older brother of Mr. Tran Viet Thang - Deputy General Director

0	0%	
0	0%	
0	0%	
0	0%	
0	0%	
0	0%	
0	0%	

8.5	Pham Thi Thuy	091C969789		Wife of Mr. Tran Viet Thang - Deputy General Director
8.6	Tran Bao Binh			Biological child of Mr. Tran Viet Thang - Deputy General Director
8.7	Tran Viet Thien			Biological child of Mr. Tran Viet Thang - Deputy General Director
8.8	Pham Van Bao			Father-in-law of Mr. Tran Viet Thang - Deputy General Director
8.9	Nguyen Thi Hai Yen			Sister-in-law of Mr. Tran Viet Thang - Deputy General Director
8.10	LVA Trading and Services Joint Stock Company			Mr. Tran Viet Thang holds the position of Vice Chairman of the Board of Directors
8.11	Alphatek Joint Stock Company			Mr. Tran Viet Thang holds the position of Chairman of the Board of Directors
8.12	Lam Dong Irrigation Investment			Mr. Tran Viet Thang holds the position of Vice

0	0%	
0	0%	Minor
0	0%	Minor
0	0%	
0	0%	
0	0%	
0	0%	
0	0%	

	and Construction Joint Stock Company			Chairman of the Board of Directors
9	Bui Thanh Tuan		Chief Accountant	
9.1	Nguyen Thi Thong			Biological mother of Mr. Bui Thanh Tuan - Chief Accountant
9.2	Bui Thanh Phuong			Younger brother of Mr. Bui Thanh Tuan - Chief Accountant
9.3	Bui Thi Hong Phuong			Younger sister of Mr. Bui Thanh Tuan - Chief Accountant
9.4	Bui Thanh Tung			Younger brother of Mr. Bui Thanh Tuan - Chief Accountant
9.5	Bui Thanh Long			Younger brother of Mr. Bui Thanh Tuan - Chief Accountant

3,300	0,014%	
0	0%	
0	0%	
0	0%	
0	0%	
0	0%	

9.6	Bui Thi Thuy Hong			Younger sister of Mr. Bui Thanh Tuan - Chief Accountant
9.7	Pham Thi Minh Trang			Wife of Mr. Bui Thanh Tuan - Chief Accountant
9.8	Bui Tuan Kha			Biological child of Mr. Bui Thanh Tuan - Chief Accountant
9.9	Bui Tuan Khang			Biological child of Mr. Bui Thanh Tuan - Chief Accountant
9.10	Bui Tuan Khanh			Biological child of Mr. Bui Thanh Tuan - Chief Accountant
10	Tran Van Hung	091C092897	Head of the Supervisory Board	
10.1	Tran Van Dung			Father of Mr. Tran Van Hung - Head of the Supervisory Board
10.2	Do Thi Hanh			Mother of Mr. Tran Van Hung - Head of the Supervisory Board

0	0%	
0	0%	
0	0%	Minor
0	0%	Minor
0	0%	Minor
1,540	0,006%	
0	0%	
0	0%	

10.3	Vo Thi Anh			Wife of Mr. Tran Van Hung - Head of the Supervisory Board		0	0%	
10.4	Tran Gia Han			Son of Mr. Tran Van Hung - Head of the Supervisory Board		0	0%	Minor
10.5	Tran Van Cuong			Younger brother of Mr. Tran Van Hung - Head of the Supervisory Board		660	0,003%	
10.6	Tran Thi Du			Mother-in-law of Mr. Tran Van Hung - Head of the Supervisory Board		0	0%	
10.7	Tran Minh Khoi			Son of Mr. Tran Van Hung - Head of the Supervisory Board		0	0%	Minor
11	Nguyen Le Duy		Member of the Supervisory Board			0	0%	
11.1	Nguyen Ngoc Toan			Father of Mr. Nguyen Le Duy - Member of the Supervisory Board		0	0%	Insider did not provide
11.2	Le Son Ca			Mother of Mr. Nguyen Le Duy - Member of the Supervisory Board		0	0%	Insider did not provide

11.3	Nguyen Ha Doan			Older sister of Mr. Nguyen Le Duy - Member of the Supervisory Board		0	0%	
11.4	Nguyen Ha Uyen			Older sister of Mr. Nguyen Le Duy - Member of the Supervisory Board		0	0%	
12	Tran Cong Hau		Member of the Supervisory Board			0	0%	
12.1	Pham Thi Thuy Trang			Wife of Mr. Tran Cong Hau - Member of the Supervisory Board		0	0%	
12.2	Tran Cong Gia Huy			Child of Mr. Tran Cong Hau - Member of the Supervisory Board		0	0%	
12.3	Tran Cong Gia Hung			Child of Mr. Tran Cong Hau - Member of the Supervisory Board		0	0%	
12.4	Tran Hung			Biological father of Mr. Tran Cong Hau - Member of the Supervisory Board		0	0%	
12.5	Bui Thi Nhu Y			Biological mother of Mr. Tran Cong Hau - Member of		0	0%	

				the Supervisory Board			
12.6	Pham Van Tam			Father-in-law of Mr. Tran Cong Hau - Member of the Supervisory Board	0	0%	
12.7	Le Thi Luc			Mother-in-law of Mr. Tran Cong Hau - Member of the Supervisory Board	0	0%	
12.8	Tran Cong Hoang			Younger brother of Mr. Tran Cong Hau - Member of the Supervisory Board	0	0%	
12.9	Pham Thi Van Anh			Sister-in-law of Mr. Tran Cong Hau - Member of the Supervisory Board	0	0%	
13	Nguyen Hai Anh		Person authorized to disclose information, Company Secretary, Person in charge of corporate governance		0	0%	
13.1	Nguyen Van Hoa			Biological father of Ms. Nguyễn Hải Anh - Person	0	0%	

				authorized to disclose information, Company Secretary, Person in charge of corporate governance
13.2	Vu Thi Chien			Biological mother of Ms. Nguyễn Hải Anh - Person authorized to disclose information, Company Secretary, Person in charge of corporate governance
13.3	Nguyen Quoc Viet			Younger brother of Ms. Nguyễn Hải Anh - Person authorized to disclose information, Company Secretary, Person in charge of corporate governance
14	Do Tan Hung		Person performing internal audit work	
14.1	Do Tan Thanh			Father of Mr. Do Tan Hung -

0	0%	
0	0%	
0	0%	
0	0%	



				Person performing internal audit work
14.2	Nguyen Thi Tuyen			Mother of Mr. Do Tan Hung - Person performing internal audit work
14.3	Do Thanh Long			Older brother of Mr. Do Tan Hung - Person performing internal audit work
14.4	Do Tan Hau			Younger brother of Mr. Do Tan Hung - Person performing internal audit work
14.5	Tran Thi Thu My			Sister-in-law of Mr. Do Tan Hung - Person performing internal audit work
14.6	Tran Thi Huynh Thao			Sister-in-law of Mr. Do Tan Hung - Person performing internal audit work

0	0%	
9,900	0,04%	
0	0%	
0	0%	
0	0%	

(*): The number of shares held includes the shares issued for the payment of dividends in shares, based on the list of shareholders determined as of the record date of 03 June, 2026.

APPENDIX 3: TRANSACTIONS OF INSIDERS AND RELATED PERSONS REGARDING COMPANY SHARES

No.	Person performing the transaction	Relationship with the insider	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period (*)		Reason for increase, decrease (buy, sell, convert, bonus,...)
			Number of shares	Ratio	Number of shares	Ratio	
1	Do Tan Cuong	Insider	2,160,000	20%	4,418,260	18.6%	Sell, Receive dividends
2	Do Tan Vu	Younger brother of Mr. Do Tan Cuong (Chairman of the BOD, Legal Representative), General Director of a subsidiary	431,700	4%	949,740	4%	Receive dividends
3	Do Thi Hoa	Younger sister of Mr. Do Tan Cuong	300	0.003%	660	0.003%	Receive dividends
4	Do Thi Hiep	Younger sister of Mr. Do Tan Cuong	300	0.003%	660	0.003%	Receive dividends
5	Dinh Van Xuan	Insider	1,123,000	10%	2,470,600	10.4%	Receive dividends
6	Dinh Van Ha	Younger brother of Mr. Dinh Van Xuan	300	0.003%	660	0.003%	Receive dividends
7	Phan Anh	Insider	300	0.003%	220	0.001%	Sell, Receive dividends
8	Nguyen Van Tuan	Insider	100	0.001%	220	0.001%	Receive dividends
9	Pham Huu Tai	Insider	107,000	1%	0	0%	Sell
10	Doan Thi My Lien	Mr. Pham Huu Tai's wife	300	Below 1%	660	0.003%	Receive dividends
11	Tran Van Hung	Insider	426,000	4%	1,540	0.006%	Sell, Receive dividends

12	Tran Van Cuong	Mr. Tran Van Hung's younger brother	300	0.003%	660	0.003%	Receive dividends
13	Bui Thanh Tuan	Insider	0	0%	3,300	0.014%	Buy, Receive dividends
14	Do Thanh Long	Mr. Do Tan Hung's brother	4,500	0.04%	9,900	0.04%	Receive dividends
15	Tran Thi Thu My	Mr. Do Tan Hung's sister-in-law	3,200	0.03%	0	0%	Sell

(*): The number of shares held includes the shares issued for the payment of dividends in shares, based on the list of shareholders determined as of the record date of 03 June, 2026.