

**VIETNAM SECURITIES DEPOSITORY
AND CLEARING CORPORATION**

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 9870/VSDC-ĐKCP.NV

Hanoi, 29 July 2026

Re: Adjustment of Information of the Securities
Registration Organization – Stock Code IVS



To: Guotai Haitong Securities (Vietnam) Corp.

The Vietnam Securities Depository and Clearing Corporation (VSDC) hereby notifies the adjustment of the information of the securities registration organization pursuant to the Company's request under Letter No. 190/CV-GTHTVN dated 23 July 2026, as follows:

- Name of the Securities Registration Organization: **Công ty cổ phần Chứng khoán Guotai Haitong (Việt Nam)**
(Formerly: Công ty cổ phần Chứng khoán Guotai Junan (Việt Nam))
- English Name: **Guotai Haitong Securities (Vietnam) Corp.**
(Formerly: Guotai Junan Securities (Vietnam) Corp.)
- Securities Name: Shares of Guotai Haitong Securities (Vietnam) Corp.
(Formerly: Shares of Guotai Junan Securities (Vietnam) Corp.)
- Head Office: **P9-10, 1st Floor, Charm Vit Tower, 117 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City, Vietnam**
- Stock Code: **IVS**
- ISIN: **VN000000IVS5**
- Effective Date at VSDC: **29 July 2026**

Guotai Haitong Securities (Vietnam) Corp., together with all organizations and individuals participating in the preparation of the application dossier and documents for the adjustment of the securities registration organization's information, shall be legally responsible for the legality, accuracy, truthfulness and completeness of such dossier. Organizations and individuals involved in certifying the dossier and supporting documents shall bear legal responsibility within the scope relating to such dossier and documents in accordance with Clause 1, Article 11a of the Law on Securities No. 54/2019/QH14 dated 26 November 2019, as supplemented by Clause 4, Article 1 of Law No. 56/2024/QH15 dated 29 November 2024.

Recipients:

- As above;
- Hanoi Stock Exchange (HNX);
- Depository Members;
- Board of Management (for reporting);
- VSDC Branch, Clearing and Settlement Center, Securities Depository;
- Accounting & Finance Department, General Administration Department;
- Accounting & Finance Department, General Administration Department;
- Saved: Administration, Share Registration (118 copies).

**For the General Director
Deputy General Director**

(Signed)

Nguyen Cong Quang