

**SONG DA NO. 11 JOINT STOCK
COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No. ~~2807~~/SD11-HĐQT

Hanoi, July 28, 2026

REPORT ON CORPORATE GOVERNANCE.

(First six months of 2026)

To:

- **The State Securities Commission**
- **Hanoi Stock Exchange.**

- The Company's name in Vietnamese: **Công Ty Cổ Phần Sông Đà 11**
- The Company's name in English: **Song Da No. 11 Joint Stock Company**
- Head office: 7th floor, Song Da Ha Dong mixed-use building, No. 131 Tran Phu street, Ha Dong ward, Hanoi city.
- Phone number: 02433544735 Facsimile number: 02433542280
- Enterprise Registration Certificate No.: 0500313811 issued by the Epartment of Finance of Hanoi City on May 18, 2025 (25st change)
- Website: www.songda11.com.vn
- Email: Vanthu.sd1.com.vn
- Charter capital: VND 400,000,000,000 (*Four hundred thousand Vietnamese Dongs*)
- Number of shares registered for listing: 40,000,000 shares (four million shares)
- Stock symbol: SJE.
- Governance model:
- + General Meeting of Shareholders, Board of Directors, *Board of Supervisors* and General Director.

I. Activities of the General Meeting of Shareholders:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders approved in the form of written comments):

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No.	Resolution/Decision No.	Date	Content
1	01/NQ-ĐHĐCĐ	28/01/2026	The extraordinary General Meeting of Shareholders in 2026 of Song Da 11 Joint Stock Company approved the following contents: 1. Approve the dismissal of the position of Member of the Board of Directors for the 2024-2029 term for Mr. Vu Trọng Vinh, and the dismissal of the position of Member of the Supervisory Board for the 2024-2029 term for Mr. Doan Hai Trung; 2. Approve the results of the supplementary election, Mr. Đoàn Hải Chiến was elected as a member of the Board of Directors for

			the 2024-2029 term, and Ms. Nguyen Thi Dien was elected as a member of the Supervisory Board for the 2024-2029 term.
2	02/NQ-DHĐCĐ	09/04/2026	The Annual General Meeting of Shareholders of Song Da 11 Joint Stock Company in 2026 approved the following contents: 1. Approve the report of the Board of Directors and the Board of General Directors on the situation of operations and results of production, business and investment in 2025; Operational orientation and production and business plan, investment in 2026. 2. Approve the Supervisory Board's Operational Report in 2025, Supervisory Board's Operational Plan in 2026. 3. Approve the report of the independent member of the Board of Directors. 4. Approve the audited financial statements in 2025. 5. Approve the Proposal for selecting an independent auditor to audit the semi-annual financial statements and the financial statements in 2026. 6. Approve the profit distribution and dividend payment plan in 2026. 7. Approval of the plan for paying salaries and remuneration to members of the Board of Directors and the Company's Supervisory Board in 2025 and the plan for paying salaries and remuneration in 2026. 8. Approve by changing the business sector and amending the charter. 9. Approving the dismissal of Mr. Nguyen Quang Luan from his position as a member of the Board of Directors for the 2024-2029 term. 10. Approving the results of the by-election for a new member of the Company's Board of Directors for the 5th term (2024-2029) for Mr. Ha Quoc Thinh

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II. Board of Directors:

1. Information on members of the Board of Directors.

No.	Members of Board of Directors (BOD)	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Nguyen Quang Luan	Chairman of Board of Directors	27/03/2025	09/04/2026
2	Mr Doan Hai Chien	Chairman /A Member of Board of Directors	28/01/2026	Appointment of the Chairman of the Board of Directors 06/05/2026
3	Mr. Vu Trong Vinh	Chairman /A Member of Board of Directors	15/03/2024	Dismissal of Chairman 28/01/2026
4	Mr. Dao Viet Hung	Vice Chairman of Board of Directors	15/3/2024	
5	Mr. Le Anh Trinh	A Member of Board of Directors	15/3/2024	Appointment of the Chairman of the Board of Directors 09/04/2026, Dismissal from the position of Chairman of the Board of Directors 06/05/2026
6	Mr. Nguyen Van Hieu	A Member of Board of Directors	15/3/2024	

2. Meeting of Board of Directors.

No.	Members of Board of Directors (BOD)	Number of participating	Percent	Reason for absence
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		meetings		
I	From 01/01/2026 09/04/2026			
1	Mr. Vu Trong Vinh	0	0	Dismissed from 28/01/2026
2	Mr Nguyen Quang Luan	03	100%	None
3	Mr. Dao Viet Hung	03	100%	None
4	Mr. Le Anh Trinh	03	100%	None
5	Mr. Doan Hai Chien	03	100%	None
6	Mr. Nguyen Van Hieu	03	100%	None
II	From 10/04/2026 to 30/06/2026			None
1	Mr. Doan Hai Chien	02	100%	None
2	Mr. Dao Viet Hung	02	100%	None
3	Mr. Ha Quoc Thinh	02	100%	None
4	Mr. Le Anh Trinh	01	50%	Unexpected work
5	Mr. Nguyen Van Hieu	02	100%	None

3. Board of Directors' supervision of the Management Board.

The members of the Board of Directors have fully performed their responsibilities, have made many positive contributions to the management and operation work, have many comments and assessments that are realistic and objective, in accordance with the role and responsibility of the Board of Directors as well as the management responsibility of the Board of Directors, and have closely monitored the situation, promptly given instructions and appropriate countermeasures to successfully implement the Resolution of the General Meeting of Shareholders and the Resolutions of the Board of Directors.

The reporting and provision of information on the activities of the Board of Directors to the Board of Directors is also very favorable. The Board of Directors has supervised the activities of the Board of Directors through the General Director's reports at the Board of Directors' meetings and issues that were consulted in writing, or through the General Director's submission, including the following tasks:

- Directing the Board of Directors to report on the implementation results of the Resolution of the General Meeting of Shareholders in 2025. The Extraordinary General Meeting of Shareholders will be held on January 28, 2026; Organizing the 2025 Annual General Meeting of Shareholders of the Company on March 26, 2025.

- Financial statement in 2025.

- Production and business situation in 2025; developing a production and business plan for 2026.

- Assessing, analyzing, and forecasting the business situation on a monthly and quarterly basis to have timely instructions for the Board of Directors to effectively mobilize the Company's resources.

- Checking the compliance with the regulations of the State and competent authorities, and the Company's operating regulations.
- Decisions on strategies, approve the company's quarterly and annual business plans.
- Directing the promotion of bidding for job search to ensure employment for employees and maintain the development of production and business of the unit.
- Inspecting and supervising signed contracts at the Company.
- Issuing internal management regulations and rules to ensure compliance with the provisions of law and the Company's Charter;
- Other issues under the authority of the Board of Directors and the General Director must make detailed written submissions to seek opinions and implement them in accordance with their authority.
- Monitoring the implementation of the Board of Directors' resolutions and decisions.
- Performing tasks according to the provisions of law

4. Activities of subcommittees under the Board of Directors: No subcommittees are established. Board members perform their duties according to the assignment of tasks of the Board members, including specific assignments of each field for each member.

5. Board of Directors' resolutions.

No.	Resolution/ Decision No.	Date	Content	Approval rate (%)
1.	01/2026/NQ-HĐQT	05/01/2026	Through the plan and content of organizing the 2026 extraordinary General Meeting of Shareholders	80
2.	02/2026/NQ-HĐQT	21/01/2026	Approval of the credit limit for Song Da 11 Northern Limited Liability Company at TP Bank - Thanh Đô Branch	80
3.	03/2026/NQ-HĐQT	22/01/2026	Approval of capital recovery bonuses for units	100
4.	04/2026/NQ-HĐQT	23/01/2025	Through the policy of mortgaging all the ownership shares of the Solar Power Investment Joint Stock Company at the Nam Ma 1A Hydropower Investment Joint Stock Company.	80
5.	05/2026/NQ-HĐQT	23/01/2025	Through the policy of registering to purchase shares to increase the charter capital of Nam Ma 2A Hydropower Investment Joint Stock Company	80
6.	06/2026/NQ-HĐQT	26/01/2026	Approval of the 13th-month salary payment for 2025 for the employees of Song Da 11 Joint Stock Company, its affiliated units, and subsidiaries.	100
7.	07/2026/NQ-HĐQT	26/01/2026	Approval for Tet gift giving and gifts for parents	100

No.	Resolution/ Decision No.	Date	Content	Approval rate (%)
8.	08/2026/NQ-HĐQT	30/01/2026	Approval of the credit limit for Song Da 11 Thang Long One Member Limited Liability Company at TP Bank - Thanh Do Branch	80
9.	09/2026/NQ-HĐQT	30/01/2026	Approval of the repair and renovation plan for the office at BT03-VT24 XaLa Urban Area, Ha Dong, Hanoi	100
10.	10/2026/NQ-HĐQT	30/01/2026	Approval of the organizational structure adjustment for Song Da 11 Southern Limited Liability Company	100
11.	11/2026/NQ-HĐQT	11/02/2026	Through the final registration date to exercise the right to attend the 2026 Annual General Meeting of Shareholders.	100
12.	12/2026/NQ-HĐQT	26/02/2026	Through personnel work	100
13.	13/2026/NQ-HĐQT	03/03/2026	Approval of the plan to renovate the conference hall for the working office on the 7th floor of the HH Song Da Ha Dong building, No. 131 Tran Phu Street, Ha Dong, Hanoi, and the purchase of equipment at the BT03-VT24 office in Xa La Urban Area, Ha Dong, Hanoi.	100
14.	14/2026/NQ-HĐQT	09/03/2026	Resolution approving the liquidation sale price of fixed assets that are fully depreciated and no longer in use	80
15.	15/2026/NQ-HĐQT	17/03/2026	Through the program and documents for the 2026 Annual General Meeting of Shareholders.	80
16.	16/2026/NQ-HĐQT	20/03/2026	Approval of the budget for supplying replacement and backup materials and equipment for Thác Trắng Hydropower Plant in 2026	100
17.	17/2026/NQ-HĐQT	24/03/2026	Approval of credit limit and capital utilization plan at Agribank Yen Bai	80
18.	18/2026/NQ-HĐQT	25/03/2026	Through the report of the Capital Representative at SJE Energy Co., Ltd.	80
19.	19/2026/NQ-HĐQT	31/03/2026	Approval of the credit limit for contract number 118/2025/HĐXD/ITASCO-SĐ11 at Tien Phong Commercial Joint Stock Bank - Thanh Do Branch	80
20.	20/2026/NQ-HĐQT	31/03/2026	Through personnel work	100
21.	21/2026/NQ-HĐQT	31/03/2026	Through the internal allocation regulation	80

No.	Resolution/ Decision No.	Date	Content	Approval rate (%)
22.	22/2026/NQ-HĐQT	03/04/2026	Through the addition of documents for the 2026 annual general meeting of shareholders.	100
23.	23/2026/NQ-HĐQT	09/04/2026	Elect the Chairman of the Board of Directors and change the legal representative	80
24.	24/2026/NQ-HĐQT	13/04/2026	Assign tasks to the Board of Directors members and assign tasks to the legal representative.	100
25.	25/2026/NQ-HĐQT	17/04/2026	Through the decentralization regulation of business operations	100
26.	26/2026/NQ-HĐQT	20/04/2026	Through the mortgage of the Đồng Khùa hydropower plant owned by To Bông Hydropower Joint Stock Company as collateral at Vietnam Bank for Agriculture and Rural Development - Yen Bai Branch.	80
27.	27/2026/NQ-HĐQT	06/05/2026	Resolution of the Regular Meeting Session Q2/2026	75
28.	28/2026/NQ-HĐQT	08/05/2026	Approve bonus payments for employees on the occasion of the Hung Kings' Anniversary, April 30th, and May 1st	100
29.	29/2026/NQ-HĐQT	18/05/2026	Thông qua công tác cán bộ tại Công ty TNHH Sông Đà 11 Miền Nam	100
30.	30/2026/NQ-HĐQT	28/05/2026	Through the policy of divestment in investment companies	75
31.	31/2026/NQ-HĐQT	28/05/2026	Through personnel work at subsidiary companies and affiliated units	100
32.	32/2026/NQ-HĐQT	29/06/2026	Approval of the Financial Audit Unit for the 2026 fiscal year report	100
33.	33/2026/NQ-HĐQT	30/06/2026	Through personnel work at Song Da 11 Joint Stock Company	100

III. Supervisory Board:

1. Information on members of the Supervisory Board.

No.	Members of the Supervisory Board	Position	Date of starting to be a member of the Supervisory Board	Date of cease to be a member of the Supervisory Board	Qualifications
1	Mr. Bui Quang Chung	Chief of Supervisory	15/3/2024		- Bachelor of Laws.

		Board			- Hydrogeological Engineer
2	Mr. Nguyen Truong Thinh	Member	15/3/2024		- Engineering Geologist
3	Mr. Doan Hai Trung	Member	15/3/2024	28/01/2026	- Bachelor of Commerce
4	Ms. Nguyen Thi Dien	Member	28/01/2026		- Bachelor of Accounting

2. Meetings of of the Supervisory Board:

No.	Members of Supervisory Board	Number of participating meetings	Participating percent	Voting percent	Reason for absence
1	Mr. Bui Quang Chung	2	100%	100%	None
2	Mr. Doan Hai Trung	0	0	0	Dismissal from January 28, 2026
3	Mr. Nguyen Truong Thinh	2	100%	100%	None
4	Ms. Nguyen Thi Dien	2	100%	100%	None

3. Supervision activities of the Supervisory Board over the Board of Directors, Management Board and shareholders:

Conduct regular supervision according to the work program of the Supervisory Board approved by the General Meeting of Shareholders.

The Head of the Supervisory Board has fully participated in meetings with the Board of Directors to promptly grasp the situation of the Company's production and business activities and supervise the Board of Directors' management of the Enterprise in accordance with the provisions of law and the Company's Charter.

Through inspection and supervision, the Supervisory Board found that:

- The Board of Directors has fully performed its management responsibilities, complied with the Law on Enterprises, the Law on Securities, the Company's Charter, the Resolutions of the General Meeting of Shareholders and other provisions of law and of the Company.

- All meetings of the Board of Directors were conducted in accordance with the procedures of law and the Company's Charter. The number of meetings of the Board of Directors is ensured at least once a quarter and extraordinary meetings, asking for written opinions to resolve urgent matters to meet production and business requirements.

- The Resolutions and decisions of the Board of Directors have been issued with the right authority, in accordance with the provisions of law, approved with the high consensus of the members of the Board of Directors and implemented specifically by the Board of Directors to each department and unit, and at the same time, closely inspected and supervised, so any problems arising during the implementation process are always promptly resolved and resolved.

- In the first six months of 2026, the Supervisory Board did not receive any petitions, requests or complaints from shareholders or groups of shareholders related to the management and operation of production and business of the Company.

4. Coordination between the Supervisory Board and the Board of Directors, the Board of Management and other management departments:

The Supervisory Board has been coordinated by the Board of Directors, the Board of Management and the functional departments of the Company, creating favorable conditions for updating the Company's operational situation, collecting information and documents for inspection and supervision.

The documents and materials of the Board of Directors and the Executive Board have been sent to all members of the Supervisory Board in full and in accordance with regulations.

During the working process, the Supervisory Board has been provided with full records, documents and information related to the Supervisory Board's working program by the departments and affiliated units.

The Supervisory Board also advised the Board of Directors, the Executive Board and other management staff on the provisions of the Enterprise Law, decrees and guiding circulars to ensure proper implementation of legal provisions.

5. Other activities of the Supervisory Board: None.

IV. Management Board.

No.	Members of the Management Board	Date of birth	Qualifications	Date of appointment/ Dismissal
1	Mr. Le Anh Trinh- Former General Director	02/10/1977	- Civil Engineer - Bachelor of Law	09/04/2026
2	Mr Ha Quoc Thinh Deputy General Director	19/09/1976	- Civil Engineer - Master of Business Administratio	Dismissal 09/04/2026
3	Mr Ha Quoc Thinh Former General Director	19/09/1976	- Civil Engineer - Master of Business Administratio	09/04/2026
4	Mr. Nguyen Van Hai - Deputy General Director	17/5/1967	- Mechanical Engineer	18/4/2023
5	Mr. Nguyen Van	16/9/1978	- Civil Engineer	15/3/2024

	Dung - Deputy General Director			
6	Mr. Nguyen Ngoc Khue - Deputy General Director	24/8/1977	- Bachelor of Economics	01/07/2024
7	Mr. Doan Hai Chien- Deputy General Director	08/03/19691	- Bachelor of Economics	Dismissal 06/05/2026
8	Mr. Chu Thai Duong	29/08/1981	- Master of Business Administration -Automation Engineer	01/10/2025

V. Chief Accountant.

No.	Full name	Date of birth	Date of appointment / Dismissal
Mss. Trinh Minh Hang	09/04/1986	- Bachelor of Accounting	01/06/2025

VI. Regarding corporate governance training: The Company places great importance on training and fostering governance for its employees; creating conditions for staff to participate in professional training courses and seminars on corporate governance organized by the State Securities Commission and Hanoi Stock Exchange.

VII. List of related persons of the public company and transactions between the company and its related persons:

1. List of related persons of the company: *with attached list*
2. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, internal persons, and their related persons: **None**
3. Transactions between internal persons of listed companies, their related persons and subsidiaries, companies controlled by listed companies: **None**
4. Transactions between the company and other entities: **None.**

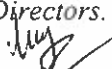
VIII. Transactions of shares by internal persons and their related persons:

1. List of internal persons and their related persons: *with attached list*
2. Transactions of internal persons and related persons on shares of the Company: **None.**

IX. Other notes: None.

Recipients:

- As above;
- Information Disclosure;
- Filed in the office of the Board of Directors.



ON BEHALF OF THE BOARD OF
DIRECTORS CHAIRMAN

CÔNG TY
CỔ PHẦN
SÔNG ĐÀ H
THÀNH PHỐ HÀ NỘI



Doan Hai Chien

Name of company: Song Da No. 11 Joint Stock
Stock symbol: SJE

APPENDIX 1: LIST OF RELATED PERSONS
ATTACHED TO REPORT ON CORPORATE GOVERNANCE OF SONG DA NO. 11 JOINT STOCK COMPANY

No.	Name of organization/individual	Position in the Company (if any)	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	2	3	9	10	11	12
I	Board of Directors					
1	Nguyen Quang Luan	Chairman of BOD	26/03/2025	09/04/2026	General Meeting of Shareholders Dismissed Chairman of the Board of Directors	Internal person
2	Doan Hai Chien	Chairman of BOD	28/01/2026		General Meeting of Shareholders Elects Board Members	Internal person
3	Dao Viet Hung	BOD member	15/03/2024		General Meeting of Shareholders Elects Board Members	Internal person
4	Vu Trong Vinh	BOD member	15/03/2024		General Meeting of Shareholders Elects Board Members	Internal person
5	Nguyen Van Hieu	BOD member	15/03/2024		General Meeting of Shareholders Elects Board Members	Internal person
6	Ha Quoc Thinh	BOD member	09/04/2026		General Meeting of Shareholders Elects Board Members	Internal person
7	Le Anh Trinh	Chairman of BOD/BOD member	15/03/2024	06/05/2026	Resignation from the position of Chairman of the Board of Directors	Internal person

No.	Name of organization/individual	Position in the Company (if any)	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	2	3	9	10	11	12
II	Management Board					
1	Le Anh Trinh	General Director	15/03/2024	09/04/2026	Dismission of General Director	Internal person
2	Ha Quoc Thinh	General Director	09/04/2026		Appointment of General Director	Internal person
3	Nguyen Van Hai	Deputy General Director	18/4/2023		Reappointment of Deputy General Director	Internal person
4	Nguyen Van Dung	Deputy General Director	15/03/2024		Appointment of Deputy General Director	Internal person
5	Nguyen Ngoc Khue	Deputy General Director	01/7/2024		Appointment of Deputy General Director	Internal person
6	Do Quang Cuong	Deputy General Director	01/10/2024		Appointment of Deputy General Director	Internal person
7	Chu Thai Duong	Deputy General Director	01/10/2025		Appointment of Deputy General Director	Internal person
III	Supervisory Board					
1	Bui Quang Chung	Chief of the Supervisory Board	15/03/2024		General Meeting of Shareholders elects members of the Supervisory Board	Internal person

No.	Name of organization/individual	Position in the Company (if any)	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	2	3	9	10	11	12
2	Doan Hai Trung	Member of the Supervisory Board	15/03/2024	28/02/2026	General Meeting of Shareholders elects members of the Supervisory Board	Internal person
3	Nguyen Truong Thinh	Member of the Supervisory Board	15/03/2024		General Meeting of Shareholders elects members of the Supervisory Board	Internal person
4	Nguyen Thi Dien	Member of the Supervisory Board	28/01/2016		General Meeting of Shareholders elects members of the Supervisory Board	Internal person
IV	Chief Accountant					
1	Trinh Minh Hang	Chief Accountant	01/06/2025		The Board of Directors Appoints the Person in the Chief Accountant	Internal person
V	Executives of the Company					
1	Giang Thi Tuyet	Executives of the Company	02/10/2024		Board of Directors Appoints the Person in Charge of Corporate Governance	Internal person
VI	Related Entities					
1	Energy Vietnam Investment Limited Liability Company	Parents company of the listing entity	31/12/2022			
2	Song Da Corporation - Joint Stock Company	Major shareholder of the listing entity	2004			

CHAIRMAN OF BOARD OF DIRECTORS



Doan Hai Chien

**THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS / TRANSACTIONS OF INTERNAL PERSONS
AND AFFILIATED PERSONS WITH SHARES OF THE COMPANY
FIRST SIX MONTHS 2026**

Stock code:

The Company's name

Song Da No. 11 Joint Sto

Date of preparation:

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
I	Nguyen Quang Luan	BOD chairman	0	0.00%	
1.1	Nguyen Minh Tuan		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Natural Father
1.2	Nguyen Thi Ly		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Natural Mother
1.3	Le Thi Mai Huong		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Wife
1.4	Nguyen Thi Mai Hoa		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Natural child
1.5	Nguyễn Diệp Ngân		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Natural child
1.6	Nguyen Ngọc Thanh		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Natural brother
1.7	Le Van Suu		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Father in law
1.8	Vu Thi Hong		0	0.00%	- Related Person of Mr Nguyen Quang Luan: Mother in law
1.9	SJE Energy Limited Liability Company		0	0.00%	Mr. Nguyen Quang Luan serves as the Chairman of the Members' Council

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
2	Đoàn Hải Chiến	Deputy General Director	0	0.00%	
2.1	Le Thi Chien		0	0.00%	Related Person of Mr. Doan Hai Chien: Mother
2.2	Hoang Thi Lan		0	0.00%	Related Person of Mr. Doan Hai Chien: Wife
2.3	Doan Hai Trung		0	0.00%	- Related Person of Mr. Doan Hai Chien: son
2.4	Doan Tuan Anh		0	0.00%	Related Person of Mr. Doan Hai Chien: Son
2.5	Doan Manh Sy		0	0.00%	Related Person of Mr. Doan Hai Chien: Brother
2.6	Lê Thị Thanh Hoa		0	0.00%	- Related Person of Mr. Doan Hai Chien: Daughter-in-law
2.7	Doan Quynh Anh		0	0.00%	Related Person of Mr. Doan Hai Chien: Sister-in-law
2.8	An Xuan Investment Co., Ltd		0	0.00%	-Mr Doan Hai Chien is Chairman of the Board of Members
3	Dao Viet Hung	BOD member	0	0.00%	
3.1	Dao Viet Dung		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural brother
3.2	Dao Phuong Thao		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural sister

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
3.3	Dao Phuong Lan		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural sister
3.4	Dao Phuong Hue		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural sister
3.5	Tran Phuong Thanh		0	0.00%	- Related Person of Mr. Dao Viet Hung: Wife
3.6	Dao Viet Nhat My		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural child
3.7	Dao Viet Duc Anh		0	0.00%	- Related Person of Mr. Dao Viet Hung - Natural child
3.8	Dao Viet Nhat Anh		0	0.00%	- Related Person of Mr. Dao Viet Hung: Natural child
3.9	Van Thuan Import Export Investment and Trading Joint Stock Company		0	0.00%	Mr. Dao Viet Hung is Chairman and General Director.
4	Vu Trong Vinh	BOD chairman	0	0.00%	
4.1	Pham Thi Luoc		0	0.00%	- Mr. Vu Trong Vinh's Related Person: father-in-law
4.2	Nguyen Thi Huyen Nga		0	0.00%	-Mr. Vu Trong Vinh's Related Person: wife
4.3	Vu Hoang Long		0	0.00%	- Mr. Vu Trong Vinh's Related Person: Natural child
4.4	Hoang Thuy Hang		0	0.00%	- Mr. Vu Trong Vinh's Related Person: Daughter-in-law

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
4.5	Vu Thi Minh Trang		0	0.00%	- Mr. Vu Trong Vinh's Related Person: Natural child
4.6	Vu Thi Hong Thuy		0	0.00%	Mr. Vu Trong Vinh's Related Person: Natural sister
4.7	Tran Van Vong		0	0.00%	-Mr. Vu Trong Vinh's Related Person: Older brother -in-law
4.8	Vu Trong Quang		0	0.00%	-Mr. Vu Trong Vinh's Related Person: Natural brother
4.9	Nham Thi Hue		0	0.00%	Mr. Vu Trong Vinh's Related Person: Younger sister-in-law
5	Nguyen Van Hieu	BOD member	0	0	
5.1	Nguyen Xuan Chan		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: father-in-law
5.2	Le Thi Phuong Nga		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: mother-in-law
5.3	Nguyen Thi Lan Anh		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: wife
5.4	Nguyen Manh Nghia		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Natural child
5.5	Nguyen Thanh Trung		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Natural child
5.6	Nguyen Thi Anh		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Natural sister

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
5.7	Nguyen Thi Thanh Huyen		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Natural brother
5.8	Pham Khac Ho		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Older brother -in-law
5.9	Tran Vu Thanh		0	0.00%	- Related Person of Mr. Nguyen Van Hieu: Younger brother-in-law
5.10	Song Da Corporation - JSC		4,095,960	19.95%	Mr. Nguyen Van Hieu is the representative of Song Da Corporation's capital at Song Da 11.
6	Le Anh Trinh	BOD member cum General Director	0	0.00%	
6.1	Trinh Minh Xuan		0	0.00%	- Related Person of Mr. Le Anh Trinh: wife
6.2	Le Quang Thach		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural father
6.3	Duong Thi Dung		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural mother
6.4	Dinh Hien Minh		0	0.00%	- Related Person of Mr. Le Anh Trinh: father-in-law
6.5	Trinh Xuan Dung		0	0.00%	- Related Person of Mr. Le Anh Trinh: father-in-law
6.6	Le Chau Anh		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural child
6.7	Le Bao Chau		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural child - Reasons for unavailable NSH: minor

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
6.8	Le Thanh Than		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural brother
6.9	Nguyen Thi Ngoc		0	0.00%	- Related Person of Mr. Le Anh Trinh: Older sister-in-law
6.10	Le Thi Duyen		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural brother
6.11	Le Hai Anh		0	0.00%	- Related Person of Mr. Le Anh Trinh: Natural brother
6.12	Nguyen Hai Anh		0	0.00%	- Related Person of Mr. Le Anh Trinh: Younger sister-in-law
6.13	Trinh Minh Thu		0	0.00%	- Related Person of Mr. Le Anh Trinh: younger brother-in-law
6.14	Vu Hoang Hieu		0	0.00%	- Related Person of Mr. Le Anh Trinh: Younger brother-in-law
7	Ha Quoc Thinh		0	0.00%	
7.1	Vu Thi Xuan Mai		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Vợ
7.2	Ha Vu Bích Trang		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Con gái ruột
7.3	Pham Viet Duy		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Con rể
7.4	Ha Vu Minh Quang		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Con trai ruột

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
7.5	Ha Vu Quoc Cuong		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Con trai ruột
7.6	Ha Vu Quoc Hung		0	0.00%	Con trai ruột
7.7	Ha Duc Thang		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Bố đẻ
7.8	Dang Thi Thai		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Mẹ đẻ
7.9	Ha Duc Vuong		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Em trai ruột
7.10	Pham Thi Huyen		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Em dâu
7.11	Ha Tan Cuong		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Em trai ruột
7.12	Do Thi Hanh		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Em dâu
7.13	Vu Quang Khai		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Bố vợ
7.14	Bui Thi Bon		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Mẹ vợ
7.15	Vu Thi Thuy		0	0.00%	Người có liên quan đến Oanh Hà Quốc Thịnh: Em gái vợ
7.16	Vu Bich Thuy		0	0	Người có liên quan đến Oanh Hà Quốc Thịnh: Em gái vợ

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
8	Nguyen Van Hai	Deputy General Director	225,217	0.56%	
8.1	Thien Thi Xuan		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural mother
8.2	Nguyen Thi Thanh Xuan		0	0.00%	- Related Person of Mr. Nguyen Van Hai: wife
8.3	Nguyen Truong Giang		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural child
8.4	Nguyen Ngoc Ha		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural child
8.5	Nguyen Van Dung		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural brother
8.6	Nguyen Ngoc Anh		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural brother
8.7	Nguyen Thi Dung		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural brother
8.8	Nguyen Thanh An		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural brother
8.9	Nguyen Van Duong		0	0.00%	- Related Person of Mr. Nguyen Van Hai: Natural brother
9	Nguyen Van Dung	Deputy General Director	0	0.00%	
9.1	Nguyen Dinh Hoa		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural father

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
9.2	Le Thi Hoa		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural mother
9.3	Vo Quang Thang		0	0.00%	- Related Person of Mr. Nguyen Van Dung: father-in-law
9.4	Pham Thi Dung		0	0.00%	- Related Person of Mr. Nguyen Van Dung: father-in-law
9.5	Vo Thu Giang		0	0.00%	- Related Person of Mr. Nguyen Van Dung: wife
9.6	Nguyen Vo Nhlen An		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural child - Reasons for unavailable NSH: minor
9.7	Nguyen Vo Nhlen Anh		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural child - Reasons for unavailable NSH: minor
9.8	Nguyen Nhlen Phuc		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural child - Reasons for unavailable NSH: minor
9.9	Nguyen Thai Hung		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural brother
9.10	Nguyen Thi Ngoc Ly		0	0.00%	- Related Person of Mr. Nguyen Van Dung: Natural brother
10	Nguyen Ngoc Khue	Deputy General Director	0	0.00%	
10.1	Ngo Thi Bich		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue: Natural mother
10.2	Nguyen Thi Van Quynh		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue: wife

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
10.3	Nguyen Thi Quynh Phuong		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:Natural child
10.4	Nguyen Vinh Khang		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:Natural child - Reasons for unavailable NSH: minor
10.5	Nguyen Ba Long		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:Natural brother
10.6	Vu Thi Lan		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:Older sister-in-law
10.7	Nguyen Van Hanh		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:father-in-law
10.8	Dinh Thi Ngan		0	0.00%	- Related Person of Mr. Nguyen Ngoc Khue:father-in-law
11	Chu Thái Dương	Deputy General Director	0	0.00%	
11.1	Duong Diep Thuy		0	0.00%	Related Person of Mr.Chu Thai Duong : Wife
11.2	Chu Kien Quoc		0	0.00%	Related Person of Mr.Chu Thai Duong: son
11.3	Chu Khanh Linh		0	0.00%	Related Person of Mr.Chu Thai Duong :Daughter
11.4	Chu Huu Binh		0	0.00%	Related Person of Mr.Chu Thai Duong: Natural Father
11.5	Trinh Thi Mai		0	0.00%	Related Person of Mr.Chu Thai Duong:Natural Mother

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
11.6	Chu Thi Thai		0	0.00%	Related Person of Mr.Chu Thai Duong Natural Sister
11.7	Bui Dinh Hung		0	0.00%	Related Person of Mr.Chu Thai Duong Brother-in-law
11.8	Chu Thanh Huong		0	0.00%	Related Person of Mr.Chu Thai Duong Sister
11.9	Tran Xuan Thai		0	0.00%	Related Person of Mr.Chu Thai Duong Brother-in-law
11.10	Duong Ngoc Thang		0	0.00%	Related Person of Mr.Chu Thai Duong Father-in-law
11.11	Nguyen Thi Phuong		0	0.00%	Related Person of Mr.Chu Thai Duong Mother-in-law
11.12	Duong Kim Tuyen		0	0.00%	Related Person of Mr.Chu Thai Duong Brother-in-law
11.13	SMT Energy new Investment Co., Ltd.		0	0.00%	Chairman of the Board of Directors Mr Chu Thai Duong
11.14	MT Vietnam Energy Group Joint Stock Company		0	0.00%	Vice Chairman of Board of Directors Mr Chu Thai Duong
11.15	Chu Gia Phat Investment and Trading Co., Ltd.		0	0.00%	Chairman of the Board of Directors Mr Chu Thai Duong
12	Trinh Minh Hang	Chief Accountant	0	0.00%	
12.1	Trinh Dang Ba		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Natural father

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
12.2	Duong Thi Hoi		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Natural mother
12.3	Trinh Dang Tai		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Natural Brother-Wife
12.4	Nguyen Thi Ly		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Sister-in-law
12.5	Trinh Dang Thuan		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Natural Brother
12.6	Nguyen Thi Phuong		0	0.00%	- Related Person of Mss. Trinh Minh Hang: Sister-in-law
12.7	Lê Văn Nam		0	0.00%	- Người có liên quan đến bà Trịnh Minh Hằng: Em Trai
12.8	Lê Văn Thịnh		0	0.00%	- Người có liên quan đến bà Trịnh Minh Hằng: Bố Chồng
12.9	Dương Thị Kim		0	0.00%	- Người có liên quan đến bà Trịnh Minh Hằng: mẹ Chồng
12.10	Lê Thị Bắc		0	0.00%	- Người có liên quan đến bà Trịnh Minh Hằng: Chị Chồng
12.11	Lê Thị Phương		0	0.00%	- Người có liên quan đến Bà Trịnh Minh Hằng: Chị Chồng
13	Bui Quang Chung	Chief of the Supervisory Board	0	0.00%	
13.1	Bui Duc Tho		0	0.00%	Related Person of Mr. Bui Quang Chung : Natural father

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
13.2	Pham Thi Gao		0	0.00%	Related Person of Mr. Bui Quang Chung: Natural mother
13.3	Dang Van Dam		0	0.00%	- Related Person of Mr. Bui Quang Chung: father-in-law
13.4	Nguyen Thi Dung		0	0.00%	- Related Person of Mr. Bui Quang Chung: father-in-law
13.5	Dang Thi Bac		0	0.00%	- Related Person of Mr. Bui Quang Chung: wife
13.6	Bui Ha Linh		0	0.00%	
13.7	Bui Ha Van		0	0.00%	- Related Person of Mr. Bui Quang Chung: Natural child - Reasons for unavailable NSH: minor
13.8	Bui Thi Luong		0	0.00%	- Related Person of Mr. Bui Quang Chung: Natural sister
13.9	Bui Trung Kien		0	0.00%	- Related Person of Mr. Bui Quang Chung: Natural brother
13.10	Bui Quy Don		0	0.00%	- Related Person of Mr. Bui Quang Chung: Natural brother
13.11	ENERGY VIETNAM INVESTMENT Limited Liability Company		12,805,580	52.98%	Mr. Bui Quang Chung as Director
13.12	Dak Doa Hydropower Joint Stock Company		0	0.00%	Mr. Bui Quang Chung as Chairman of the Board of Directors
13.13	Su Pan 2 Hydropower Joint Stock Company		0	0.00%	Mr. Bui Quang Chung as Deputy Director
13.14	Vinacomin Investment, Trade and Service Joint Stock Company		0	0.00%	Mr. Bui Quang Chung as Chief of the Supervisory Board

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
14	Nguyen Truong Thinh	Member of the Supervisory Board	0	0.00%	
14.1	Nguyen Anh Tuan		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: Natural father
14.2	Nguyen Thi Thanh Ha		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: Natural mother
14.3	Nguyen Van Quang		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: father-in-law
14.4	Do Thi Quyen		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: father-in-law
14.5	Nguyen Kieu Oanh		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: wife
14.6	Nguyen Tung Lam		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: Natural child - Reasons for unavailable NSH: minor
14.7	Nguyen Giang Lam		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: Natural child - Reasons for unavailable NSH: minor
14.8	Nguyen Phuong Anh		0	0.00%	- Related Person of Mr. Nguyen Truong Thinh: Natural brother
15	Doan Hai Trung	Member of the Supervisory Board	0	0.00%	
15.1	Doan Hai Chien		0	0.00%	- Mr. Doan Hai Trung's Related Person:
15.2	Hoang Thi Lan		0	0.00%	- Related Person of Mr. Doan Hai Trung: Natural mother
15.3	Le Xuan Thao		0	0.00%	- Related Person of Mr. Doan Hai Trung: father-in-law

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
15.4	Hua Thi Que		0	0.00%	- Related Person of Mr. Doan Hai Trung: father-in-law
15.5	Le Thi Thanh Hoa		0	0.00%	- Related Person of Mr. Doan Hai Trung: wife
15.6	Doan Kha Han		0	0.00%	- Related Person of Mr. Doan Hai Trung: Natural child - Reasons for unavailable NSH: minor
15.7	Doan Tuan Anh		0	0.00%	- Mr. Doan Hai Trung's Related Person: Natural brother
16	Nguyen Thi Dien		20,000	0.05%	
16.1	Hà Thị Thu		0	0.00%	Ms Nguyen Thi Dien's related person Mother
16.2	Hoàng Thị Hòa Nam		0	0.00%	Ms Nguyen Thi Dien's related person Mother in law
16.3	Hứa Hòa Hải		0	0.00%	Ms Nguyen Thi Dien's related person husband
16.4	Hứa Khánh Linh		0	#DIV/0!	Ms Nguyen Thi Dien's related person: Natural child - Reasons for unavailable NSH: minor
16.5	Nguyễn Minh Tự		0	0.00%	Ms Nguyen Thi Dien's related person: Brother
16.6	Thai Xuan House Development Investment Company Limited		0	0.00%	Ms Nguyen Thi Dien's related person :chief accountant
17	Giang Thi Tuyet	Thư ký HĐQT	0	0.00%	-The Company's Administration Officer. - The Company' Secretary
17.1	Le Thi Huong		0	0.00%	- Ms. Giang Thi Tuyet's related person: Natural mother
17.2	Hoang Ngoc Tinh		0	0.00%	- Ms. Giang Thi Tuyet's related person: father-in-law
17.3	Tran Thi Mai		0	0.00%	- Ms. Giang Thi Tuyet's related person: Mother-in-law
17.4	Hoang Van Chien		0	0.00%	- Ms. Giang Thi Tuyet's related person: Husband
17.5	Hoang Tien Binh		0	0.00%	- Ms. Giang Thi Tuyet's related person: Natural child - Reasons for unavailable NSH: minor

No.	Full name	Position in the Company	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Remarks
17.6	Hoang Khanh An		0	0.00%	- Ms. Giang Thi Tuyet's related person: Natural child - Reasons for unavailable NSH: minor
17.7	Giang Thi Huyen		0	0.00%	- Ms. Giang Thi Tuyet's related person: Older sister
17.8	Le Van Thanh		0	0.00%	- Ms. Giang Thi Tuyet's related person: Older brother -in-law
17.9	Giang Van Tan		0	0.00%	- Ms. Giang Thi Tuyet's related person: Younger brother
18	Hoang Thi Thanh Tu		0	0.00%	- Ms. Giang Thi Tuyet's related person: Younger sister-in-law
18.11	Hoang Thi Hang		0	0.00%	- Ms. Giang Thi Tuyet's related person: Older sister-in-law
18.12	Tran The Van		0	0.00%	- Ms. Giang Thi Tuyet's related person: father-in-law
18.13	Hoang Vu Duc		0	0.00%	- Ms. Giang Thi Tuyet's related person: Older brother-in-law
18.14	Tran Thi Hue		0	0.00%	- Ms. Giang Thi Tuyet's related person: Older sister-in-law
18.15	Hoang Duc Thien		0	0.00%	- Ms. Giang Thi Tuyet's related person: her older brother-in-law
18.16	Hoang Van Thanh		0	0.00%	- Ms. Giang Thi Tuyet's related person: her younger brother-in-law

CHAIRMAN OF BOARD OF DIRECTORS
(Please sign and attach full name & seal)

CÔNG TY
CỔ PHẦN
SÔNG ĐÀ 11

THÀNH PHỐ HÀ NỘI

Đoan Hai Chien