

**TU HAI HA NAM
JOINT STOCK COMPANY**

Number: 02 /2026/BCQT

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Ninh Binh, the 28th July 2026

REPORT
Corporate governance situation
(half-year 2026)

To: - State Securities Commission;
- Stock Exchange.

- Company name: TU HAI HA NAM JOINT STOCK COMPANY
- Head office address: Luong Trang Hamlet, Liem Tuyen Ward, Ninh Binh Province, Vietnam.
- Phone : 0226.221.0424 - 0915.046.851
- Email: tuhaijsc.company@gmail.com
- Registered capital : 121,528,000,000 VND
- Stock ticker symbol: THM
- Corporate governance model :
 - + The General Meeting of Shareholders , the Board of Directors, the Director, and the Audit Committee are members of the Board of Directors .
- Regarding the performance of internal audit functions: This has been completed .

I. Activities of the General Shareholders' Meeting

Information regarding meetings and resolutions/decisions of the General Meeting of Shareholders (including resolutions of the General Meeting of Shareholders adopted through written ballots):

No.	Resolution/Decision Number	Day	Content
1	01/2026/NQ-ĐHĐCĐ	June 30, 2026	Through: - Board of Directors Report 2026 and Report of Independent Board Members; - Audited financial statements for 2025; - Business plan for 2026; - Compensation plan for the Board of Directors; - We have selected Grant Thornton (Vietnam) Co., Ltd. as the auditor for the 2026 financial statements. - Selling assets and dealing with related parties; - Divest from the affiliated company, transferring all shares to Mr. Nguyen Duc Toan; - Real estate investment.

II. Board of Directors (Semi-Annual Report 2026) :

1. Information about the members of the Board of Directors:

No.	Board Member	Position (<i>Independent Board Member, Non-Executive Board Member</i>)	Date of commencement/cessation of membership on the Board of Directors/independent board member	
			Appointment date	Dismissal date
1	Mr. Nguyen Duc Toan	Chairman of the Board, Non-Executive Board Member.	September 11, 2025	-
2	Ms. Nguyen Thi Mai Huong	Board Member	May 19, 2024	-
3	Mr. Trinh Cong Son	Independent Board Member	May 19, 2024	-

2. Board of Directors meetings :

No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reasons for not attending the meeting.
1	Mr. Nguyen Duc Toan	3/3	100%	-
2	Ms. Nguyen Thi Mai Huong	3/3	100%	-
3	Mr. Trinh Cong Son	3/3	100%	-

3. The Board of Directors' oversight of the Management Board :

The Board of Directors fully supervises the Management Board in the implementation of the Board's resolutions and decisions:

The Board of Directors' oversight activities are carried out in accordance with the oversight regulations and the rules on delegation of authority for oversight work, through monitoring reports on the implementation of the Board of Directors' directives and a system of periodic information reporting to support the Board's oversight and governance activities. Emphasis is placed on monitoring compliance with the Board of Directors' directives, ensuring that all resolutions, decisions, and directives of the Board of Directors/Chairman of the Board are implemented strictly, regularly, and transparently in terms of accountability.

4. Activities of subcommittees of the Board of Directors (if any): To date, the company has not established any support subcommittees.

5. Resolutions/Decisions of the Board of Directors (Semi-Annual Report 2026):

No.	Resolution/Decision Number	Day	Content	Approval rate
1	1803/2026/NQ-HĐQT	March 18, 2026	Extending the date for holding the 2026 Annual General Meeting of Shareholders.	100%
2	1405/2026/NQ-HĐQT	May 14, 2026	Convening the 2026 Annual General Meeting of Shareholders	100%
3	0806/2026/NQ-HĐQT	June 8, 2026	Approved for presentation at the 2026 Annual General Meeting of Shareholders.	100%

III. Committee Audit (Semi-annual Report 2026)

1. Information about the members of the Audit Committee :

No.	Member of the Audit Committee	Position	Date of commencement/cessation of the Audit Committee	Professional qualifications
1	Mr. Trinh Cong Son	Chairman of the Inspection Committee	July 13, 2024	Bachelor of University
2	Mr. Nguyen Duc Toan	Member of the Inspection Committee	September 12, 2025	Bachelor of Arts from the Army Academy

2. Meeting of the Audit Committee

No.	Committee Member Auditing	Number of meetings attended	Meeting attendance rate	Voting ratio	Reasons for not attending the meeting.
1	Mr. Trinh Cong Son	2/2	100%	100%	-
2	Mr. Nguyen Duc Toan	2/2	100%	100%	-

3. Supervisory activities of the Audit Committee over the Board of Directors, the Executive Management Board, and shareholders :

The audit committee has effectively monitored the operations and compliance of the Board of Directors and the Executive Management Board with the law and the company's charter by attending and contributing opinions at Board of Directors meetings, supervising the process of obtaining written opinions from Board members, and approving important company reports.

4. Coordination of activities between the Audit Committee and the Board of Directors, the Executive Management, and other management personnel :

The audit committee proactively exchanged views and reached consensus with the Board of Directors on the contents, inspections, and supervision, as well as coordinating closely with the Executive Board in carrying out its duties.

The audit committee has actively contributed opinions, inspected, and thoroughly reviewed the activities of the Board of Directors and the Management Board. The audit committee maintains good relationships with functional departments; when the supervisory board requests the provision of records and documents within its authority, the departments proactively cooperate in providing and delivering them on time, accurately, and in the requested quantity.

5. Other activities of the Audit Committee (if any) : None

IV. Executive Board

No.	Executive Board Member	Date of birth	Professional qualifications	Date of appointment of Executive Board members
1	Ms. Nguyen Thi Mai Huong	March 11, 1960	Bachelor of University	December 29, 2010

V. Chief Accountant

Full name	Date of birth	Professional qualifications	Appointment date
Ms. Nguyen Thi Huong	June 1, 1984	College degree (with Chief Accountant certification)	July 2, 2021

VI. Training in corporate governance :

Board members, the CEO, other management staff, and the company secretary were given the opportunity to participate in professional training courses to enhance their skills and awareness in accordance with corporate governance regulations.

The company has assigned and arranged for personnel to participate in training courses and receive guidance on changes to regulations, decrees, and circulars issued by the state related to business, securities, and management activities, including listing, in order to improve the efficiency of the company's management and administration, with the aim of ensuring that the company's operations are correct and compliant with the law.

V II. List of Related Parties of a Public Company (Semi-Annual Report 2026) and Transactions of Related Parties with the Company itself

1. List of company associates/relatives

No.	Name of organization/individual	Securities trading account (if any)	Job title at the company (if any)	NSH Paper Type	NSH Paper Number	Date of issuance of the NSH Certificate	Issuing Authority for NSH Certificates	Head office address/Contact address	The starting point is the person involved.	The point at which one is no longer involved.	Reason	Relationship with the company
I The related party is an organization.												
1	Appatex JSC (Ms. Nguyen Thi Mai Huang is the Deputy Director)			Business Registration Certificate	0700643489	October 1, 2013	Department of Planning and Investment of Ha Nam Province	Ca Street, Thanh Nguyen Commune, Thang Liem District, Ha Nam Province	October 1, 2013	-	-	INSIDER's parent company, RELATED PERSON of Ms. Nguyen Thi Mai Huang
2	Duc Manh JSC	-	-	Business Registration Certificate	0700209335	February 4, 2002	Department of Planning and Investment of Ha Nam Province	No 55, Nguyen Van Troi Street, Luong Khan Thien Ward, Phu Ly City, Ha Nam Province	December 29, 2010	-	-	Affiliated company, INSIDER
II The person involved is an individual.												
1	Nguyen Duc Te	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider

518
VG
PH
TH
N
IN

2	Nguyen Thi Mai Huong	-	Board Member, Director	Citizen Identification Card					December 29, 2010	-	-	Insider
3	Trinh Cong Son	-	Board Member, Chairman of the Inspection Committee	Citizen Identification Card					May 19, 2024	-	-	Insider
4	Nguyen Thi Huong	-	Chief Accountant	Citizen Identification Card					July 2, 2021	-	-	Insider
5	Nguyen Viet Ha	-	The person in charge of corporate governance.	Citizen Identification Card					July 13, 2024	-	-	Insider
6	Nguyen Ngoc Tu	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
7	Duong Thi Huyen Trang	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
8	Nguyen Tuan Viet	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
9	Mai Thi Thu Ha	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
10	Nguyen Thi Minh	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider

1788
TY
IAN
AI
AM
1B

11	Nguyen Tien Dung	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
12	Nguyen Thi Thong	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
13	Nguyen Manh Cuong	-	Are not	Citizen Identification Card					December 29, 2010	-	-	Person related to an insider
14	Trinh Van Phu	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
15	Nguyen Thi Anh	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
16	Dinh Quynh Anh	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
17	Trinh Nhat Nam	-	Are not					They are too young to have ID cards.	May 19, 2024	-	-	Person related to an insider
18	Trinh Thu Hang	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
19	Nguyen The Hop	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
20	Dinh Van Dung	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider
21	Ngo Thi Hong Anh	-	Are not	Citizen Identification Card					May 19, 2024	-	-	Person related to an insider

22	Tran Quoc Tuan	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
23	Tran Quoc Bao	-	Are not					They are too young to have ID cards.	July 2, 2021	-	-	Person related to an insider
24	Tran Quoc Viet	-	Are not					They are too young to have ID cards.	July 2, 2021	-	-	Person related to an insider
25	Tran Quoc An	-	Are not					They are too young to have ID cards.	July 2, 2021	-	-	Person related to an insider
26	Truong Thi Chanh	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
27	Nguyen Thi Thanh	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
28	Nguyen Khac Thao	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
29	Tran Thi Diem	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
30	Tran Ngoc Thuy	-	Are not					Lost	July 2, 2021	-	-	Person related to an insider
31	Tran Thi Thu Nga	-	Are not	Citizen Identification Card					July 2, 2021	-	-	Person related to an insider
32	Nguyen Ha Vinh	-	Are not	Citizen Identification Card					July 13, 2024	-	-	Person related to an insider
33	Nong Thi Hien	-	Are not	Citizen Identification Card					July 13, 2024	-	-	Person related to an insider

34	Nguyen Thu Hang	-	Are not	Citizen Identification Card						July 13, 2024	-	-	Person related to an insider
35	Nguyen Duc Toan	-	Chairman of the Board of Directors, member of the Inspection Committee	Citizen Identification Card						11/09/2025	-	-	Insider
36	Duong Thi Lan Anh	-	Are not	Citizen Identification Card						11/09/2025	-	-	Person related to an insider
37	Nguyen Tuong Vi	-	Are not	Citizen Identification Card						11/09/2025	-	-	Person related to an insider
38	Nguyen Ngoc Han	-	Are not	Citizen Identification Card						11/09/2025	-	-	Person related to an insider
39	Nguyen Duong Tue Linh	-	Are not						They are too young to have ID cards.	11/09/2025	-	-	Person related to an insider
40	Nguyen Duc Tuan	-	Are not						Lost	11/09/2025	-	-	Person related to an insider
41	Pham Thi Khe	-	Are not						Lost	11/09/2025	-	-	Person related to an insider
42	Nguyen Duc Tu	-	Are not						Lost	11/09/2025	-	-	Person related to an insider
43	Nguyen Thi Huong	-	Are not	Citizen Identification Card						11/09/2025	-	-	Person related to an insider

44	Pham Hai Anh	-	Are not	Citizen Identification Card				11/09/2025	-	Person related to an insider
45	Nguyen Duc Tan	-	Are not	Citizen Identification Card				11/09/2025	-	Person related to an insider
46	Nguyen Thi Xim	-	Are not	Citizen Identification Card				11/09/2025	-	Person related to an insider
47	Duong Van An	-	Are not	Citizen Identification Card				11/09/2025	-	Person related to an insider
48	Pham Thi Tu	-	Are not	Citizen Identification Card				11/09/2025	-	Person related to an insider

2. Transactions between a company and its related parties; or between a company and major shareholders, insiders, or related parties of insiders.

ST T	Name of organization/ individual	Relationship with the company	NSH Certificate Number *, Date of Issue, Place of Issue	Head office address/ Contact address	Time of transaction with the company	Content , quantity, and total value of the transaction.	Note
1	Appatex Joint Stock Company	INSIDER's parent company, Ms. Nguyen Thi Mai Huong is the Deputy Director	number 07 00643479 issued on October 1, 2013 by the Department of Planning and Investment of Ha Nam province .	Ca Street, Thanh Liem Commune, Ninh Binh Province, Vietnam	June 16, 2026	Payment for goods processing: 43,000,000	

3. Transactions between company insiders, related parties of insiders, and subsidiaries or companies controlled by the company : None .

4. Transactions between the company and other parties .

4.1 . Transactions between the company and companies where members of the Board of Directors, members of the Supervisory Board, Directors (General Directors) and other managers have been founding members or members of the Board of Directors, Directors (General Directors) in charge during the past three (03) years (calculated at the time of report preparation) .

ST T	Name of organization/individual	Relationship with the company	NSH Certificate Number *, Date of Issue, Place of Issue	Head office address/ Contact address	Time of transaction with the company	Content, quantity, and total value of the transaction.	Note
1	Appatex Joint Stock Company	INSIDER's parent company, Ms. Nguyen Thi Mai Huong is the Deputy Director	number 07 00643479 issued on October 1, 2013 by the Department of Planning and Investment of Ha Nam province	Ca Street, Thanh Liem Commune, Ninh Binh Province, Vietnam	June 16, 2026	Payment for goods processing: 43,000,000	

4.2 . Transactions between the company and companies where related parties of Board of Directors members, Supervisory Board members, Directors (General Directors), and other managers are members of the Board of Directors or Directors (General Directors): None

4.3 . Other transactions of the company (if any) that may bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, Directors (General Director) and other managers : None

VIII. Insider and related-party stock transactions (Semi-annual Report 2026)

1. List of insiders and related parties of insiders

S TT	Full name	Securities trading account (if any)	Job title at the company (if any)	Relationship with Insiders	Citizen Identification Number/ Passport Number/ Business Registration Number	Date issued	Issuing authority	Contact address	Number of shares held at the end of the period	Ending shareholding (%)	Note
1	Nguyen Duc Te	-	Chairman of the Board of Directors, Member of the Inspection Committee	-					0	0	Dismissed from office as of September 11, 2025
1.1	Nguyen Thi Mai Huong	-	Board Member, Director	Wife					0	0	-

1.2	Nguyen Ngoc Tu	-	Are not	Boy						0	0	-
1.3	Duong Thi Huyen Trang	-	Are not	Daughter-in-law						0	0	-
1.4	Nguyen Tuan Viet	-	Are not	Boy						0	0	-
1.5	Mai Thi Thu Ha	-	Are not	Daughter-in-law						0	0	-
1.6	Appatex Joint Stock Company		Are not	Mr. Nguyen Duc Te is the Chairman of the Board of Directors and represents the capital.						7,969,500	65.58	Mr. Qi represents 7,969,500 shares.
1.7	Duc Manh JSC	-	Are not	Mr. Nguyen Duc Te is the Director.						0	0	-
2	Nguyen Thi Mai Huong	-	Board Member, Director							0	0	-
2.1	Nguyen Duc Te	-	Chairman of the Board of Directors, Member of the Inspection Committee	Husband						0	0	-
2.2	Nguyen Ngoc Tu	-	Are not	Boy						0	0	-
2.3	Duong Thi Huyen	-	Are not	Daughter-in-law						0	0	-

3.4	Trinh Nhat Nam	-	Are not	Boy				They are too young to have ID cards.		0	0	-
3.5	Trinh Thu Hang	-	Are not	My older sister						0	0	-
3.6	Nguyen The Hop	-	Are not	Brother-in-law						0	0	-
3.7	Dinh Van Dung	-	Are not	Father-in-law						0	0	-
3.8	Ngo Thi Hong Anh	-	Are not	Mother-in-law						0	0	-
4	Nguyen Thi Huong	116C122810	Chief Accountant							0	0	-
4.1	Tran Quoc Tuan	-	Are not	Husband						26,400	0.22	-
4.2	Tran Quoc Bao	-	Are not	Son				They are too young to have ID cards.		0	0	-
4.3	Tran Quoc Viet	-	Are not	Son				They are too young to have ID cards.		0	0	-
4.4	Tran Quoc An	-	Are not	Son				They are too young to have ID cards.		0	0	-
4.5	Truong Thi Chanh	-	Are not	Mother						0	0	-
4.6	Nguyen Thi Thanh	-	Are not	Older sister						0	0	-
4.7	Nguyen Khac Thao	-	Are not	Brother-in-law						0	0	-

4.8	Tran Thi Diem	-	Are not	Mother-in-law						0	0	-
4.9	Tran Ngoc Thuy	-	Are not	Father-in-law					Lost	0	0	-
4.10	Tran Thi Thu Nga	-	Are not	sister-in-law						0	0	-
5	Nguyen Viet Ha	-	The person in charge of corporate governance.							0	0	-
5.1	Nguyen Ha Vinh	-	Are not	biological father						0	0	-
5.2	Nong Thi Hien	-	Are not	Mother						0	0	-
5.3	Nguyen Thu Hang	-	Are not	Older sister						0	0	-
6	Nguyen Duc Toan	-	Chairman of the BoDs, member of the Inspection Committee							0	0	Appointment effective September 11, 2025
6.1	Duong Thi Lan Anh	-	Are not	Wife						100	0	-
6.2	Nguyen Tuong Vi	-	Are not	Daughter						0	0	-
6.3	Nguyen Ngoc Han	-	Are not	Daughter						0	0	-
6.4	Nguyen Duong Tue Linh	-	Are not	Daughter					Young children don't have citizen identification cards yet.	0	0	-
6.5	Nguyen	-	Are not	biological					Lost	0	0	-

