

No.: 03 /BC-HĐQT-MTS

Quang Ninh, July 29, 2026

REPORT ON CORPORATE GOVERNANCE
6 months of 2026

To: - The State Securities Commission
- Ha Noi Stock Exchange

- Name of company: **Vinacomin-Materials Trading Joint Stock Company.**
- Address of head office: Group 1, Area 2, Ha Long Ward, Quang Ninh Province.
- Telephone: 0203. 3695 899 Fax: 0203. 3634 899 Email: mts@vmts.vn
- Charter capital: 150,000,000,000 VND (*One hundred and fifty billion dong*).
- Stock symbol: MTS
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and Director.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders:

The Company held the Annual General Meeting of Shareholders on April 24, 2026 and issued Resolution No.: 01/26/NQ-ĐHĐCĐ dated April 24, 2026 with the following contents:

No.	Resolution No.	Date	Content
1	01/26/NQ-ĐHĐCĐ	24/4/2026	The General Meeting voted to approve the following contents: 1. Report on Business Performance in 2025 and the Business Plan for 2026. 2. Report of the Board of Directors in 2025 on corporate governance and the performance of the Board of Directors, and the directions and tasks for 2026. 3. Report on the Settlement of Remuneration, Allowances, and Salaries for members of the Board of Directors and the Board of Supervisors in 2025; and the Plan for Remuneration, Allowances, and Salaries for the Board of Directors and the Board of Supervisors in 2026.

No.	Resolution No.	Date	Content
			4. Proposal on the list of contracts and transactions to be entered into between the Company and related parties in 2026. 5. Audited Financial Statements for 2025. (Summary) 6. Report on the dividend payment and profit distribution plan for 2025. 7. Report of the Board of Supervisors on the appraisal of the 2025 Business Performance Report, the 2025 Financial Statements, and the profit distribution plan of Vinacomin-Materials Trading Joint Stock Company. 8. Self-assessment Report on the activities of the Board of Supervisors and the supervision of the Board of Directors and the Board of Management in 2025. 9. Proposal on the list of approved auditing firms to audit the 2026 Financial Statements.

II. Board of Directors (2026 Semi-annual report):

1. Information about the members of the Board of Directors (BOD):

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of dismissal	Date of appointment
1	Pham Dang Phu	Chairman of BOD, Representative capital of TKV (Non-executive)		20/6/2024 – Annual General Meeting of Shareholders 2024 (Term 2024–2029)
2	Ta Quang Tuan	BOD member, Director		29/4/2025 Annual General Meeting of Shareholders 2025 (Term 2024–2029)
3	Nguyen Manh Toan	BOD specialized member (Non-executive)		20/6/2024 – Annual General Meeting of Shareholders 2024 (Term 2024–2029)

N o.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of dismissal	Date of appointment
4	Nguyen The Hung	BOD member, Chairman of the Trade Union (Non-executive)		20/6/2024 – Annual General Meeting of Shareholders 2024 (Term 2024–2029)
5	Le Dung	BOD member (Non-executive)		20/6/2024 – Annual General Meeting of Shareholders 2024 (Term 2024–2029)

Of the 05 members of the Board of Directors, 04 are non-executive members. Each member of the Board of Directors is assigned to oversee and monitor specific areas of management and business operations in alignment with their expertise and experience.

2. Meetings of the Board of Directors:

N o.	Board of Directors' members	Position	Number of meetings attended by BOD	Attendance rate (%)	Reasons for absence
1	Pham Dang Phu	Chairman of BOD, Representative capital of TKV (Non-executive)	08/08	100%	
2	Ta Quang Tuan	BOD member, Director	08/08	100%	
3	Nguyen Manh Toan	BOD specialized member (Non- executive)	08/08	100%	
4	Nguyen The Hung	BOD member, Chairman of the Trade Union (Non-executive)	08/08	100%	
5	Le Dung	BOD member (Non-executive)	08/08	100%	

3. Supervising the Board of Management by the Board of Directors:

3.1. Activities of the Board of Directors:

- The Board of Directors, with responsibility for managing all aspects of the Company's production and business operations, proactively and closely monitored the

Company's business performance and promptly issued management and operational directives in line with the approved orientation.

- The Board of Directors strictly complied with applicable laws and the Company's Charter on organization and operation, and timely implemented the resolutions of the General Meeting of Shareholders. During the first six months of 2026, the Board of Directors convened 08 meetings and issued 26 Resolutions and 26 Decisions providing management and operational direction; successfully organized the 2026 Annual General Meeting of Shareholders held on 24 April 2026. In addition, the Board of Directors directed the following:

+ To strengthen the management and administration of the Company's units in implementing production and business tasks in accordance with the orientations and plans assigned by TKV, ensuring compliance with TKV's unified management system and operational discipline;

+ To review, promulgate, amend and supplement management regulations covering the Company's operational and management activities in conformity with prevailing regulations;

+ To fully and accurately prepare and submit reports on production and business performance, supervision reports, reports of TKV's capital representatives to the Owner, and reports seeking TKV's opinions prior to voting at the General Meeting of Shareholders;

+ To direct the preparation and implementation of production and business plans, financial plans and organizational restructuring plans in line with the Company's actual conditions;

+ To direct the timely disclosure of information, ensuring transparency in management and administration, while maintaining regular communication and relations with shareholders in accordance with applicable laws; and to authorize, through the issuance of Board Resolutions, the Director of the Company to appoint Company-level managerial personnel.

- Members of the Board of Directors performed their duties within their assigned areas of responsibility, fully utilizing their expertise and capabilities, and exercised their rights and responsibilities honestly and prudently to safeguard the Company's legitimate interests to the greatest extent possible. They did not abuse their positions, powers or the Company's assets for personal gain or for the benefit of any other organization or individual. All resolutions of the Board of Directors were adopted with a high level of consensus in strict compliance with the principles of collective leadership and democratic decision-making. All members attended Board meetings in full.

- Remuneration for members of the Board of Directors was paid in accordance with the resolutions approved annually by the General Meeting of Shareholders.

3.2. The Board of Directors regularly supervised managerial personnel and required the Board of Management to submit periodic and ad hoc reports in a timely manner on significant developments arising during the Company's operations, enabling the Board of Directors to take appropriate corrective and remedial measures. All managerial personnel satisfactorily fulfilled their assigned responsibilities.

- Supervision results with respect to the Director: In performing the duties prescribed by the Company's Charter and further specified in the Company's management regulations and the resolutions of the Board of Directors, the Director seriously implemented all assigned responsibilities, introduced timely management measures to overcome difficulties, and effectively managed the Company's production and business operations. The Director strictly complied with applicable laws, duly fulfilled reporting obligations, and directed operations to ensure the safety of the Company's capital and assets as well as occupational safety. As a result, the Company maintained effective production and business performance.

- Supervision results with respect to managerial personnel: The managerial personnel strictly fulfilled the duties assigned by the Director, directed departments and workshops to perform production and business tasks in accordance with established objectives, and showed no signs of neglecting their responsibilities. They effectively performed advisory functions and proposed practical and efficient solutions for implementation. Over the years, the Board of Directors has consistently assessed the managerial personnel as having performed their duties at a good level or above.

* Overall assessment: The activities of the Board of Directors of Vinacomin - Materials Trading Joint Stock Company were conducted in full compliance with applicable laws and the Company's Charter, ensuring the preservation of the Company's business capital. The Company fully complied with all obligations to the State.

4. Activities of the Board of Directors' subcommittees:

The Board of Directors of the Company has not established any sub-committees under the Board of Directors.

5. Resolutions, Decisions of the Board of Directors:

During the first six months of 2026, the Company's Board of Directors held 08 meetings, issued 26 Resolutions, and enacted 26 Decisions. *(Details are provided in the attached Appendix No. 01.)*

III. Board of Supervisors (2026 Semi-annual report):

1. Information about Board of Supervisor's members (BOS):

No	Members of Board of Supervisor	Position	The Date becoming/ceasing to be the member of the Board of Supervisors		Qualification
			Date of dismissal	Date of appointment	

1	Tran Thanh Tung	Head	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	Bachelor of Finance - Accounting
2	Hoang Kien	Member	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	Bachelor of Business Administration Bachelor of Accounting
3	Pham Thi Ngoc Bich	Member	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	20/6/2024 Annual General Meeting of Shareholders 2024 (Term 2019 - 2024)	Bachelor of Accounting

2. Meetings of Board of Supervisors:

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate (%)	Voting rate (%)	Reasons for absence
1	Tran Thanh Tung	3/3	100%	100%	
2	Hoang Kien	3/3	100%	100%	
3	Pham Thi Ngoc Bich	3/3	100%	100%	

- During the first six months of 2026, the Company's Board of Supervisors held 03 meetings:

No.	Date	Content
1	03/3/2026	- Assignment of supervisory responsibilities for the first quarter of 2026
2	18/5/2026	- Assignment of supervisory responsibilities for the second quarter of 2026.
3	14/4/2026	- Meeting of the Board of Supervisors' representative serving on the Board of Supervisors

3. Supervisory activities of the Board of Supervisors over the Board of Directors, the Board of Management and shareholders:

- During the first six months of 2026, the Company's Board of Supervisors performed its functions and duties in accordance with the Law on Enterprises and the Company's Charter. The Board of Supervisors formulated its supervision plans from

the beginning of the year and each quarter, and assigned specific responsibilities to its members for implementation. The Board of Supervisors prepared supervision reports on the Company's production and business activities, supervised the management activities of the Board of Directors and the Board of Management, and submitted quarterly reports to TKV in compliance with the prescribed contents and timelines.

- On a quarterly basis, the Board of Supervisors conducted supervision over a number of management areas, including the procurement of materials and fuel; outsourcing and capital construction investment; labor and wages; cost management and production cost pricing of Cominlub oil; and the management and use of the Company's land assets. The Board of Supervisors provided comments and recommendations through Management Letters for the Company to review and amend its regulations where appropriate, draw lessons learned, and enhance the effectiveness of its internal management.

- The Board of Supervisors provided comments on the promulgation and amendment of the Company's internal management regulations and policies to ensure compliance with the regulations of the State and TKV.

- The Board of Supervisors attended meetings of the Board of Directors and provided comments and recommendations thereat. It also participated in the Company's interim review and year-end review meetings to keep abreast of the Company's production and business activities in a timely manner.

4. Coordination between the Board of Supervisors and the Board of Directors, the Executive Director and other managerial personnel:

The coordination between the Board of Supervisors and the Board of Directors as well as the Board of Management of the Company was carried out in accordance with the provisions of the Company's Charter on organization and operation. Functional departments and units actively cooperated and provided dossiers and documents in accordance with the quarterly supervision plans or thematic requests, thereby facilitating the Board of Supervisors in fulfilling its assigned duties.

Representatives of the Board of Supervisors attended all meetings of the Board of Directors. During the first six months of 2026, the Board of Supervisors did not receive any requests from shareholders holding shares of the Company regarding the activities of the Board of Directors or the Board of Management of the Company.

5. Other activities of the Board of Supervisors: (None)

IV. Board of Management:

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management
1	Mr. Nguyen Manh Toan Director	15/9/1978	Bachelor of Business Administration	Appointment from 16/01/2025
2	Ms. Ninh Thi My Nga Deputy Director	31/01/1976	Master of Business Administration	Appointment from 28/7/2020
3	Mr. Nguyen Van Tuan Deputy Director	9/4/1976	Automotive Mechanical Engineer	Appointment from 16/02/2022
4	Mr. Tran Binh Minh Deputy Director	8/7/1977	Mining Engineer	Appointment from 01/01/2026

V. Chief Accountant, the person in charge of internal audit:

Full Name	Date of birth	Qualification	Date of appointment/dismissal
Hoang Xuan Tung (Chief Accountant)	21/11/1979	Bachelor of Finance	Appointment from 25/05/2016; Reappointed from 17/05/2021; Dismissal from 25/5/2026
Truong Thi Thuong (Chief Accountant)	16/01/1980	BACHELOR OF ECONOMICS	Person in charge of accounting from 25/5/2026; Appointed as Chief Accountant from 11/6/2026

VI. Training courses on corporate governance:

- Members of the Board of Directors and the Board of Management fully participated in professional training and capacity-building programs for officers of TKV and its subsidiaries conducted at the TKV Business Administration School, with a view to enhancing their leadership, management, executive, and business operation skills.

- During the first six months of 2026, the Board of Directors, the Board of Management, Internal Audit, and the Company Administrator concurrently serving

as the Company Secretary fully attended conferences and training courses organized by the State Securities Commission of Vietnam, the Ho Chi Minh Stock Exchange (HOSE), the Hanoi Stock Exchange (HNX), the Vietnam Institute of Directors (VIOD), and the Securities Research and Training Center.

VII. The list of affiliated persons of the Company (2026 Semi-annual report) and transactions of affiliated persons of the Company:

1. The list of affiliated persons of the Company: *(Details in Appendix No. 02 attached).*

2. Transactions between the Company and its affiliated persons or between the Company and major shareholder, internal persons and affiliated persons: *(Details in Appendix No. 03 attached).*

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transaction between the company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): *(Details in Appendix No. 03 attached).*

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director and other managers as a member of Board of Directors, CEO: *(Details in Appendix No. 03 attached).*

4.3. Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, Director and other managers: None.

5. Share transactions of internal persons and their affiliated persons:

5.1. The list of internal persons and their affiliated persons: *Details in Appendix No. 04 attached).*

5.2. Transactions of internal persons and affiliated persons with shares of the Company: None.

6. Other significant issues: None.

Sincerely report./.

**ON BEHALF OF BOARD
OF DIRECTORS
CHAIRMAN**

Recipients:

- SSC, HNX (B/c)
- BOD, BOS (e-copy);
- BOM, Chief accountant (e-copy);
- Company's Website (e-copy);
- Archived: Office, the company's Secretariat.



Pham Dang Phu

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Appendix 01

RESOLUTIONS AND DECISIONS OF THE BOARD OF DIRECTORS

Issued with Report No.: 03/BC-HĐQT-MTS, date 20/01/2026 of the Board of Directors)

No.	Resolution No.	Date	Contents
1	01/2026/NQ-HĐQT-MTS	01/01/2026	Regarding the appointment of the Deputy General Director of Vinacomin - Materials Trading Joint Stock Company
2	02/2026/NQ-HĐQT-MTS	29/01/2026	Regarding the amendment and promulgation of the Management Regulations of Vinacomin - Materials Trading Joint Stock Company, including: - Regulation on Grassroots Democracy at the Company. - Regulation on Materials Management of the Company.
3	03/2026/NQ-HĐQT-MTS	05/02/2026	Regarding the continued implementation of the Regulation on Personnel Management; amendment, supplementation and promulgation of the Management Regulations of Vinacomin - Materials Trading Joint Stock Company
4	04/2026/NQ-HĐQT-MTS	13/02/2026	Regarding the amendment and promulgation of the Regulation on Overseas Travel Management for employees of Vinacomin - Materials Trading Joint Stock Company
5	05/2026/NQ-HĐQT-MTS	18/03/2026	Regarding the promulgation of the plan for the average short-term credit limit and certain financial ratios for 2026 of Vinacomin - Materials Trading Joint Stock Company
6	06/2026/NQ-HĐQT-MTS	03/04/2026	Regarding the approval of the contents and documents to be submitted to the 2026 Annual General Meeting of Shareholders of Vinacomin - Materials Trading Joint Stock Company
7	07/2026/NQ-HĐQT-MTS	03/04/2026	Regarding the discontinuation of the investment preparation for the Office Building Project in Cam Thinh Ward, Cam Pha City (now Cua Ong Ward, Quang Ninh Province)
8	08/2026/NQ-HĐQT-MTS	03/04/2026	Regarding the implementation of management activities of Vinacomin - Materials Trading Joint Stock Company
9	09/2026/NQ-HĐQT-MTS	17/04/2026	Regarding the approval of the actual salary fund for 2025 of Vinacomin - Materials Trading Joint Stock Company
10	10/2026/NQ-HĐQT-MTS	21/04/2026	Regarding the amendment of the voting documents for the 2026 Annual General Meeting of Shareholders of Vinacomin - Materials Trading Joint Stock Company
11	11/2026/NQ-HĐQT-MTS	24/04/2026	Regarding the selection of an independent auditing firm to audit the Company's 2026 financial statements
12	12/2026/NQ-HĐQT-MTS	24/04/2026	Regarding the approval of the personnel policy and appointment plan for the Chief Accountant of Vinacomin - Materials Trading Joint Stock Company
13	13/2026/NQ-HĐQT-MTS	24/04/2026	Regarding the report on the results of Internal Audit activities for the first quarter of 2026 of Vinacomin - Materials Trading Joint Stock Company

No.	Resolution No.	Date	Contents
14	14/2026/NQ-HĐQT-MTS	24/04/2026	Regarding the implementation of the 2026 production equipment investment project of Vinacomin - Materials Trading Joint Stock Company
15	15/2026/NQ-HĐQT-MTS	29/04/2026	Regarding the approval of the adjustment to the salary scale and salary table of Vinacomin - Materials Trading Joint Stock Company
16	16/2026/NQ-HĐQT-MTS	07/05/2026	Regarding the assignment of employees for overseas business trips.
17	17/2026/NQ-HĐQT-MTS	07/05/2026	Regarding the approval of the leadership personnel planning for the 2025–2030 period (after review and supplementation) of Vinacomin - Materials Trading Joint Stock Company
18	18/2026/NQ-HĐQT-MTS	16/05/2026	Regarding the approval of the implementation plan for the Chief Accountant appointment review process of Vinacomin - Materials Trading Joint Stock Company
19	19/2026/NQ-HĐQT-MTS	16/05/2026	Regarding the approval of the results of the appointment review process and the proposal for the appointment of the Chief Accountant of Vinacomin - Materials Trading Joint Stock Company
20	20/2026/NQ-HĐQT-MTS	16/05/2026	Regarding the approval of the adjustment to the implementation phases of the 2026 investment plan of Vinacomin - Materials Trading Joint Stock Company
21	21/2026/NQ-HĐQT-MTS	22/05/2026	Regarding the personnel affairs of Vinacomin - Materials Trading Joint Stock Company
22	22/2026/NQ-HĐQT-MTS	27/05/2026	Regarding the approval of the allocation ratio and utilization plan for the 2026 bonus and welfare funds of Vinacomin - Materials Trading Joint Stock Company
23	23/2026/NQ-HĐQT-MTS	09/06/2026	Regarding the personnel affairs of Vinacomin - Materials Trading Joint Stock Company
24	24/2026/NQ-HĐQT-MTS	09/06/2026	Regarding the personnel affairs of Vinacomin - Materials Trading Joint Stock Company
25	25/2026/NQ-HĐQT-MTS	09/06/2026	Regarding the approval of the policy on the arrangement and relocation of the head office of Vinacomin - Materials Trading Joint Stock Company
26	26/2026/NQ-HĐQT-MTS	24/06/2026	Regarding: - Adjustment to the 2025 bonus for managers; - Policy on returning two land plots to the local authorities for management

No.	Decision No.	Date	Content
1	06/QĐ-MTS	01/01/2026	Regarding the reception and appointment of the Deputy General Director of Vinacomin – Materials Trading Joint Stock Company

No.	Decision No.	Date	Content
2	458/QĐ-MTS	29/01/2026	Regarding the issuance of the Regulation on Materials Management of Vinacomin – Materials Trading Joint Stock Company
3	459/QĐ-MTS	29/01/2026	Regarding the issuance of the Regulation on the Implementation of Grassroots Democracy at Vinacomin – Materials Trading Joint Stock Company
4	689/QĐ-MTS	11/02/2026	Regarding the issuance of the Regulation on Risk Management and Prevention of Vinacomin – Materials Trading Joint Stock Company
5	692/QĐ-MTS	11/02/2026	Regarding the issuance of the Regulation on Contracting and Business Cost Management of Vinacomin – Materials Trading Joint Stock Company
6	696/QĐ-MTS	11/02/2026	Regarding the amendment and supplementation of the Regulation on Investment and Construction Management of Vinacomin – Materials Trading Joint Stock Company issued together with Decision No. 4223/QĐ-MTS dated 25 October 2024
7	699/QĐ-MTS	11/02/2026	Regarding the continued implementation of the Regulation on Personnel Management of Vinacomin – Materials Trading Joint Stock Company
8	744/QĐ-MTS	13/12/2026	Regarding the issuance of the Regulation on Exit Management for employees of Vinacomin – Materials Trading Joint Stock Company
9	1234/QĐ-MTS	18/03/2026	Regarding the issuance of the average short-term credit quota plan and certain financial ratios for 2026 of Vinacomin – Materials Trading Joint Stock Company
10	1800/QĐ-MTS	17/04/2026	Regarding the approval of the actual salary fund for 2025 of Vinacomin – Materials Trading Joint Stock Company
11	1933/QĐ-MTS	24/04/2026	Regarding the assignment of the 2026 production and business plan of Vinacomin – Materials Trading Joint Stock Company
12	1934/QĐ-MTS	24/04/2026	Regarding the payment of dividends for 2025
13	1935/QĐ-MTS	24/04/2026	Regarding the approval of the 2026 investment plan of Vinacomin – Materials Trading Joint Stock Company
14	2014/QĐ-MTS	29/04/2026	Regarding the issuance of the salary scale and payroll system of Vinacomin – Materials Trading Joint Stock Company

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No.	Decision No.	Date	Content
15	2100/QĐ-MTS	07/05/2026	Regarding the approval of the leadership succession planning list of Vinacomin – Materials Trading Joint Stock Company for the 2025–2030 period (after review and supplementation)
16	2101/QĐ-MTS	07/05/2026	Regarding the assignment of employees for overseas business trips
17	2137/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Mr. Nguyen Manh Toan – General Director
18	2138/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Ms. Ninh Thi My Nga – Deputy General Director
19	2139/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Mr. Nguyen Van Tuan – Deputy General Director
20	2140/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Mr. Tran Binh Minh – Deputy General Director
21	2141/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Mr. Nguyen The Hung – Full-time Member of the Board of Directors
22	2142/QĐ-MTS	08/05/2026	Regarding the salary adjustment for Mr. Hoang Xuan Tung – Chief Accountant
23	2835/QĐ-MTS	16/05/2026	Regarding the adjustment of the implementation phase of the 2026 investment plan for the 2026 Production Equipment Investment Project of Vinacomin – Materials Trading Joint Stock Company.
24	3011/QĐ-MTS	26/05/2026	Regarding the assignment of employees for overseas business trips.
25	3032/QĐ-MTS	27/05/2026	Regarding the allocation and approval of the utilization plan for the 2026 bonus and welfare funds of Vinacomin – Materials Trading Joint Stock Company
26	5466/QĐ-MTS	29/06/2026	Regarding the adjustment of the 2025 bonus for the Company's Managers

Appendix 02:

THE LIST OF AFFILIATED PERSONS OF THE COMPANY
(Attached to Report No.: 03/BC-MTS dated July 28, 2026)



	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Pham Dang Phu		Chairman of the BOD						Insider
1.1	Pham Thi Thu Huong					26/08/2023			Related person of the insider
1.2	Nguyen Thi Thoa					26/08/2023			Related person of the insider
1.3	Pham Tien Vong					26/08/2023			Related person of the insider
1.4	Nguyen Thi Tich					26/08/2023			Related person of the insider
1.5	Pham Duc Hien					26/08/2023			Related person of the insider
1.6	Pham Thanh Hoi					26/08/2023			Related person of the insider
1.7	Pham Thi Phuong					26/08/2023			Related person of the insider
1.8	Pham Phuong Lam					26/08/2023			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.9	Pham Thuy Duong					26/08/2023			Related person of the insider
1.10	Pham Ngoc Bao Chau					26/08/2023			Related person of the insider
1.11	Pham Dang Phuc					26/08/2023			Related person of the insider
1.12	Nguyen Hoang Nam					26/08/2023			Related person of the insider
2	Nguyen Manh Toan		Member of the BOD, Company Director						Insider
2.1	Nguyen Thanh Diem					16/01/2025			Related person of the insider
2.2	Pham Thi Hoa					16/01/2025			Related person of the insider
2.3	Pham Thi To Mai					16/01/2025			Related person of the insider
2.4	Nguyen Quoc Tuan					16/01/2025			Related person of the insider
2.5	Nguyen Tuan Phuc					16/01/2025			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2.6	Nguyen Hai Thanh					16/01/2025			Related person of the insider
2.7	Hoang Thi Don					16/01/2025			Related person of the insider
2.8	Pham Thi Minh Hai					16/01/2025			Related person of the insider
2.9	Thai Thi Phuong Thao					16/01/2025			Related person of the insider
3	Nguyen The Hung		Full-time Member of the BOD						Insider
3.1	Nguyen Canh Giap					01/05/2014			Related person of the insider
3.2	Vu Thi Hoa					01/05/2014			Related person of the insider
3.3	Nguyen Thi Hang					01/05/2014			Related person of the insider
3.4	Tran Thi Nu					01/05/2014			Related person of the insider
3.5	Tran Cam Thach					01/05/2014			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3.6	Nguyen Thi Cam Ly					01/05/2014			Related person of the insider
3.7	Nguyen Canh Hung					01/05/2014			Related person of the insider
4	Le Dung		Member of the BOD, Chairman of the Company's Trade Union						Insider
4.1	Nguyen Thi Van					19/04/2019			Related person of the insider
4.2	Le Mai Phuong					19/04/2019			Related person of the insider
4.3	Nguyen Van Nghiep					19/04/2019			Related person of the insider
4.4	Le Thuy Ha					19/04/2019			Related person of the insider
4.5	Pham Van Kinh					19/04/2019			Related person of the insider
4.6	Le Mai Anh					19/04/2019			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
4.7	Nguyen Duc An					19/04/2019			Related person of the insider
4.8	Le Thanh Huong					19/04/2019			Related person of the insider
4.9	Nguyen Van Toan					19/04/2019			Related person of the insider
4.10	Le Thi Le					19/04/2019			Related person of the insider
4.11	Nguyen Thi Thu Nguyet					19/04/2019			Related person of the insider
4.12	Le Thuy Duong					19/04/2019			Related person of the insider
4.13	Le Anh Thu					19/04/2019			Related person of the insider
4.14	Nguyen Viet Dung					15/04/2026			Related person of the insider
5	Dang Hoai Nam		Member of the BOD						Insider
5.1	Vo Thi Hue					20/06/2024			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5.2	Dang Khoi Nguyen					20/06/2024			Related person of the insider
5.3	Dang Anh Khoi					20/06/2024			Related person of the insider
5.4	Dang Van Vuong					20/06/2024			Related person of the insider
5.5	Tran Thi Anh Tuan					20/06/2024			Related person of the insider
5.6	Vo Xuan Hoa					20/06/2024			Related person of the insider
5.7	Thai Thi Nguyet					20/06/2024			Related person of the insider
5.8	Dang Thi Anh Huyen					20/06/2024			Related person of the insider
5.9	Nguyen Canh Quy					20/06/2024			Related person of the insider
5.10	Dang Van Ngoc					20/06/2024			Related person of the insider
5.11	Ho Nhan Linh					20/06/2024			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5.12	Dang Van Dung					20/06/2024			Related person of the insider
5.13	Nguyen Thi Phuong Mai					20/06/2024			Related person of the insider
6	Nguyen Van Tuan		Deputy Director of the Company						Insider
6.1	Vu Thi Oanh					11/02/2022			Related person of the insider
6.2	Nguyen Hong Thai					11/02/2022			Related person of the insider
6.3	Nguyen Duc Minh					11/02/2022			Related person of the insider
6.4	Nguyen Quynh Chi					11/02/2022			Related person of the insider
6.5	Nguyen Thi Hang					11/02/2022			Related person of the insider
6.6	Nguyen Thanh Tung					11/02/2022			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
6.7	Nguyen Thanh Dung					11/02/2022			Related person of the insider
6.8	Nguyen Van Khiem					11/02/2022			Related person of the insider
6.9	Nguyen Thi Du					11/02/2022			Related person of the insider
7	Ninh Thi My Nga		Deputy Director of the Company						Insider
7.1	Ninh Kim Ngoc					28/07/2020			Related person of the insider
7.2	Vu Hong Quan					28/07/2020			Related person of the insider
7.3	Ninh My Nga					28/07/2020			Related person of the insider
7.4	Van Thanh Son					28/07/2020			Related person of the insider
7.5	Ninh The Dung					28/07/2020			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
7.6	Nguyen T. Phuong Thuy					28/07/2020			Related person of the insider
7.7	Nguyen Quang Hoan					28/07/2020			Related person of the insider
7.8	Tran Thi Sinh					28/07/2020			Related person of the insider
7.9	Nguyen Ninh My My					28/07/2020			Related person of the insider
7.10	Nguyen Ninh My Dan					28/07/2020			Related person of the insider
8	Tran Binh Minh		Deputy Director of the Company						Insider
8.1	Tran Quoc Phuong					01/01/2026			Related person of the insider
8.2	Dang Thi Minh Vuong					01/01/2026			Related person of the insider
8.3	Nguyen Thi Hong					01/01/2026			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
8.4	Tran Nguyen Dai Thang					01/01/2026			Related person of the insider
8.5	Tran Nguyen Thuy Anh					01/01/2026			Related person of the insider
8.6	Tran Nhat Quang					01/01/2026			Related person of the insider
8.7	Tran Quang Tho					01/01/2026			Related person of the insider
8.8	Luong Thi Thien					01/01/2026			Related person of the insider
8.9	Ta Thi Thuc					01/01/2026			Related person of the insider
9	Hoang Xuan Tung		Chief Accountant						Insider
9.1	Ho Xay Dinh					25/05/2016	25/05/2026	Insider upon term expiry	Related person of the insider
9.2	Hoang Thi Minh Hanh					25/05/2016	25/05/2026	Insider upon term expiry	Related person of the insider
9.3	Tran Trung Tuyen					25/05/2016	25/05/2026	Insider upon term expiry	Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
10	Truong Thi Thuong		Chief Accountant						Insider
10.1	Truong The Hanh					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.2	Bang Thi Thu					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.3	Nguyen Thi Buom					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.4	To Van Muon					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.5	Truong Thi Xuyen					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.6	Truong Thi Quy					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.7	To Van Nam					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
10.8	To Thuy Duong					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.9	To Lan Phuong					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
10.10	Dang Vu Lam Phong					11/06/2026		Insider appointed as Chief Accountant	Related person of the insider
11	Tran Thanh Tung		Head of the BOS						Insider
11.1	Tran Van Hanh					27/04/2022			Related person of the insider
11.2	Le Thi Tam					27/04/2022			Related person of the insider
11.3	Nguyen Thi Dung					27/04/2022			Related person of the insider
11.4	Tran The Ninh					27/04/2022			Related person of the insider
11.5	Tran Binh Minh					27/04/2022			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
11.6	Tran Anh Tuan					27/04/2022			Related person of the insider
11.7	Luu Thi Phuong					27/04/2022			Related person of the insider
11.8	Nguyen Van Vinh					27/04/2022			Related person of the insider
11.9	Dang Thi Nhung					27/04/2022			Related person of the insider
12	Hoang Kien		Member of the BOS						
12.1	Hoang The Kim					18/4/2018			Related person of the insider
12.2	Hoang Thi Thu Chung					18/4/2018			Related person of the insider
12.3	Hoang Thi Huyen					18/4/2018			Related person of the insider
12.4	Hoang Duc Huynh					18/4/2018			Related person of the insider
12.5	Nguyen Thi Den					18/4/2018			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
12.6	Hoang Tuan Kiet					18/4/2018			Related person of the insider
13	Pham Thi Ngoc Bich		Member of the BOS cum Internal Auditor						Insider
13.1	Pham Thi Tua					27/06/2023			Related person of the insider
13.2	Pham Thi Thu Trang					27/06/2023			Related person of the insider
13.3	Pham Quang Thao					27/06/2023			Related person of the insider
13.4	Pham Tuan Ngoc					27/06/2023			Related person of the insider
13.5	Pham The Duy					27/06/2023			Related person of the insider
13.6	Pham Thao Nguyen					27/06/2023			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
14	Hoang Mai Phuong		Person in charge of Corporate Governance cum Company Secretary						Insider
14.1	Hoang Anh Tuan					10/07/2023	11/06/2026	Insider upon term expiry	Related person of the insider
14.2	Phung Thi Thanh Mai					10/07/2023	11/06/2026	Insider upon term expiry	Related person of the insider
14.3	Hoang Phuong Thuy					10/07/2023	11/06/2026	Insider upon term expiry	Related person of the insider
15	Hoang Xuan Tung		Person in charge of Corporate Governance cum Company Secretary						Insider
15.1	Ho Xay Denh					11/06/2026			Related person of the insider
15.2	Hoang Thi Minh Hanh					11/06/2026			Related person of the insider

TT	Name of organization/ individual	Securities trading account (if any)	Position at the company (if any)	NSH No.*; Date of Issue, Place of Issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
15.3	Tran Trung Tuyen					11/06/2026			Related person of the insider
16	Vietnam National Coal and Mineral Industries Holding Corporation Limited (TKV)								Major shareholder

Appendix No. 03:

TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS; OR BETWEEN THE COMPANY AND ITS MAJOR SHAREHOLDERS, INTERNAL PERSONS AND AFFILIATED PERSONS

(Attached to Report No.: 03/BC-MTS dated July 28, 2026)

From January 1, 2026 to June 30, 2026

No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
1	Vietnam Coal and Mineral Industry Group (holding 51% of charter capital): - Companies directly under the TKV Group include: Hon Gai Coal Sorting Company - Vinacomin; Cam Pha Warehousing and Port Company - Vinacomin; Quang Ninh Coal Processing Company - TKV; Viet Bac Mining Machinery Joint Stock Company VVMI; Machinery Manufacturing Joint Stock Company - Vinacomin; Automotive Industry Joint Stock Company - Vinacomin; Information Technology, Technology, Mining Science and Technology Institute Joint Stock Company - Vinacomin; Cam Pha Mining Chemical Industry Company; Mining Emergency Center - Vinacomin; Quang Ninh Mining Chemical Industry Company; Deo Nai - Coc 6 Coal Joint Stock Company - TKV; Electricity Corporation - TKV; Mining and Industry Investment Consulting Joint Stock Company - Vinacomin; Nui Beo Coal Joint Stock Company - Vinacomin... and several other joint stock companies in which TKV holds a controlling stake.	Major shareholder			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	This is a preliminary contract with no specific value. For contracts with a specific value, the contract value must be less than 35% of the Company's total assets as shown in the most recent financial statement. Transaction areas: Buying and selling materials; buying and selling gasoline and lubricants; equipment repair; transporting coal by waterway, loading and unloading coal;... Value of purchase contracts: VND 3,842,373,104, Value of sale contracts: VND 2,765,195,454,310	TKV holds 51% of the charter capital.

No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
2	Deo Nai - Coc Sau Coal Joint Stock Company	A subsidiary of TKV			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Sales contract for materials, fuel and lubricants - Framework contract. Contract value for sales: VND 704,048,842,984; Contract value for purchases: VND 79,685,107	
3	Cao Son Coal Joint Stock Company	A subsidiary of TKV			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Supply and Lubricant Sales Contract - Framework Agreement. Contract Value: Sales Contract: 1,041,310,995,815 VND; Purchase Contract Value: 66,610,305 VND	

No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
4	Ha Tu Coal Joint Stock Company	A subsidiary of TKV			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Sales contract for materials, fuel and lubricants - Framework contract. Contract value for sales: VND 426,280,755,942; Contract value for purchases: VND 27,683,060.	
5	Vinacomin Tourism and Trade Joint Stock Company	The Chairman of the Board of Directors of MTS is the Chairman of the Board of Directors of the company.			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Vacation and resort services; Conference organization; Catering; ... Contract value of purchase agreement: 1,295,052,400 VND	

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No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
6	Mao Khe Mechanical Joint Stock Company - Vinacomin	The Chairman of the Board of Directors of MTS is the Chairman of the Board of Directors of the Company.			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Sales Contract for Materials and Lubricants. Contract Value (Sales Contract): 0 VND	
7	Vinacomin Miners Transportation and Shuttle Service Joint Stock Company	The Chairman of the Board of Directors of MTS is the Chairman of the Board of Directors of the Company.			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Transportation services for employees commuting to work; buying and selling gasoline, lubricants, supplies, etc. Value of purchase contracts: 712,521,890 VND. Value of sale contracts: 25,062,834,097 VND.	

No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
8	Ha Lam Coal Joint Stock Company - Vinacomin	The Head of the MTS Supervisory Board is also the Head of the Company's Supervisory Board.			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Contract for the purchase and sale of materials, fuel and lubricants - Framework agreement; Purchase and sale of materials,... Value of the sales contract: 23,980,026,119 VND	
9	Environmental Construction Joint Stock Company	The Head of the Supervisory Board of MTS is also a Member of the Supervisory Board of the Company.			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Extraction of other non-ferrous metal ores, Construction of works (buildings of all kinds, railways, roads, other civil engineering),....	

No.	Name of organization, individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transaction with the Company	Resolution/ Decision No. approved by GSM/ BOD	Content, quantity, total value of transaction	Note
10	Dai Van Phu One-Member Limited Liability Company (Shareholders owning 10% or more of the total common shares; Dai Van Phu One-Member Limited Liability Company holds 17.67% of the Company's charter capital; Ms. Luu Tuyet Huong holds 7.33% of the Company's charter capital)	Ms. Luu Tuyet Huong - Legal representative of the Company			For 2026 until further changes	Resolution No. 44/25/NQ-HĐQT-MTS dated 31/12/2025; Resolution No. 01/26/NQ-ĐHĐCĐ dated 24/4/2026	Contract for the purchase of base oil, lubricant additives, etc. Value of the purchase transaction: VND 12,891,492,252.	

Appendix 04:

THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

(Attached to Report No.: 03/BC-MTS dated July 28, 2026)



TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Pham Dang Phu		Chairman of the BOD					Representative of the 51% Capital Contribution of TKV
1.1	Pham Thi Thu Huong							Wife
1.2	Nguyen Thi Thoa							Mother
1.3	Pham Tien Vong							Father-in-law
1.4	Nguyen Thi Tich							Mother-in-law
1.5	Pham Duc Hien							Brother
1.6	Pham Thanh Hoi							Brother
1.7	Pham Thi Phuong							Sister
1.8	Pham Phuong Lam							Daughter
1.9	Pham Thuy Duong							Daughter
1.10	Pham Ngoc Bao Chau							Daughter
1.11	Pham Dang Phuc							Son
1.12	Nguyen Hoang Nam							Son-in-law
1.13	Vinacomin Tourism and Trade Joint Stock Company							



TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.14	Mao Khe Mechanical Joint Stock Company - Vinacomin							Mr. Pham Dang Phu is the Chairman of the BOD
1.15	Vinacomin Miners Transportation and Shuttle Service Joint Stock Company							
2	Nguyen Manh Toan		Member of the BOD, Company Director					Insider
2.1	Nguyen Thanh Diem							Father
2.2	Pham Thi Hoa							Mother
2.3	Pham Thi To Mai							Wife
2.4	Nguyen Quoc Tuan							Son
2.5	Nguyen Tuan Phuc							Son
2.6	Nguyen Hai Thanh							Brother
2.7	Hoang Thi Don							Mother-in-law
2.8	Pham Thi Minh Hai							Sister-in-law
2.9	Thai Thi Phuong Thao							Daughter-in-law
3	Nguyen The Hung		Full-time Member of the BOD			17,000	0.11%	Insider
3.1	Nguyen Canh Giap							Father

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.2	Vu Thi Hoa							Mother
3.3	Nguyen Thi Hang							Sister
3.4	Tran Thi Nu							Mother-in-law
3.5	Tran Cam Thach							Wife
3.6	Nguyen Thi Cam Ly							Son
3.7	Nguyen Canh Hung							Son
4	Le Dung		Member of the BOD, Chairman of the Company's Trade Union			5,200	0.03%	Insider
4.1	Nguyen Thi Van							Mother
4.2	Le Mai Phuong							Sister
4.3	Nguyen Van Nghiep							Brother-in-law
4.4	Le Thuy Ha							Sister
4.5	Pham Van Kinh							Brother-in-law
4.6	Le Mai Anh							Sister
4.7	Nguyen Duc An							Brother-in-law
4.8	Le Thanh Huong							Sister
4.9	Nguyen Van Toan							Brother-in-law
4.10	Le Thi Le							Mother-in-law
4.11	Nguyen Thi Thu Nguyet							Wife

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.12	Le Thuy Duong							Son
4.13	Le Anh Thu							Son
4.14	Nguyen Viet Dung							Son-in-law
5	Dang Hoai Nam		Member of the BOD					Insider
5.1	Vo Thi Hue							Wife
5.2	Dang Khoi Nguyen							Child
5.3	Dang Anh Khoi							Child
5.4	Dang Van Vuong							Father
5.5	Tran Thi Anh Tuan							Stepmother
5.6	Vo Xuan Hoa							Father-in-law
5.7	Thai Thi Nguyet							Mother-in-law
5.8	Dang Thi Anh Huyen							Sister
5.9	Nguyen Canh Quy							Brother-in-law
5.10	Dang Van Ngoc							Brother
5.11	Ho Nhan Linh							Sister-in-law
5.12	Dang Van Dung							Brother
5.13	Nguyen Thi Phuong Mai							Sister-in-law
6	Nguyen Van Tuan		Deputy Director of the Company			10.300	0.07%	Insider

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.1	Vu Thi Oanh							Mother
6.2	Nguyen Hong Thai							Wife
6.3	Nguyen Duc Minh							Child
6.4	Nguyen Quynh Chi							Child
6.5	Nguyen Thi Hang							Sister
6.6	Nguyen Thanh Tung							Brother
6.7	Nguyen Thanh Dung							Sister-in-law
6.8	Nguyen Van Khiem							Father-in-law
6.9	Nguyen Thi Du							Mother-in-law
7	Ninh Thi My Nga		Deputy Director of the Company					Insider
7.1	Ninh Kim Ngoc							Sister
7.2	Vu Hong Quan							Brother-in-law
7.3	Ninh My Nga							Sister
7.4	Van Thanh Son							Brother-in-law
7.5	Ninh The Dung							Brother
7.6	Nguyen T. Phuong Thuy							Sister-in-law
7.7	Nguyen Quang Hoan							Husband
7.8	Tran Thi Sinh							Mother-in-law
7.9	Nguyen Ninh My My							Child
7.10	Nguyen Ninh My Dan							Child

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8	Tran Binh Minh		Deputy Director of the Company					Insider
8.1	Tran Quoc Phuong							Father
8.2	Dang Thi Minh Vuong							Mother
8.3	Nguyen Thi Hong							Wife
8.4	Tran Nguyen Dai Thang							Son
8.5	Tran Nguyen Thuy Anh							Daughter
8.6	Tran Nhat Quang							Brother
8.7	Tran Quang Tho							Brother
8.8	Luong Thi Thien							Mother-in-law
8.9	Ta Thi Thuc							Sister-in-law
9	Truong Thi Thuong		Chief Accountant			8,000	0.05%	Insider
9.1	Truong The Hanh							Father
9.2	Bang Thi Thu							Mother
9.3	Nguyen Thi Buom							Mother-in-law
9.4	To Van Muon							Husband
9.5	Truong Thi Xuyen							Sister
9.6	Truong Thi Quy							Sister
9.7	To Van Nam							Sister-in-law
9.8	To Thuy Duong							Daughter
9.9	To Lan Phuong							Daughter

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.10	Dang Vu Lam Phong							Son-in-law
10	Tran Thanh Tung		Head of the BOS					Insider
10.1	Tran Van Hanh							Father
10.2	Le Thi Tam							Mother
10.3	Nguyen Thi Dung							Wife
10.4	Tran The Ninh							Son
10.5	Tran Binh Minh							Son
10.6	Tran Anh Tuan							Brother
10.7	Luu Thi Phuong							Sister-in-law
10.8	Nguyen Van Vinh							Father-in-law
10.9	Dang Thi Nhung							Mother-in-law
10.10	Ha Lam Coal Joint Stock Company - Vinacomin							Mr. Tran Thanh Tung is the head of the BOS of the Company.
10.11	Environmental Construction Joint Stock Company							Mr. Tran Thanh Tung is a member of the BOS of the Company.
11	Hoang Kien		Member of the BOS			3,200	0.02%	Insider

TT	Name of organization, individual	Securities trading account (if any)	Position at the company (if any)	ID card/ Passport No.; Date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.1	Hoang The Kim							Father
11.2	Hoang Thi Thu Chung							Sister
11.3	Hoang Thi Huyen							Wife
11.4	Hoang Duc Huynh							Father-in-law
11.5	Nguyen Thi Den							Mother-in-law
11.6	Hoang Tuan Kiet							Son
12	Pham Thi Ngoc Bich		Member of the BOS cum Internal Auditor					Insider
12.1	Pham Thi Tua							Mother
12.2	Pham Thi Thu Trang							Sister
12.3	Pham Quang Thao							Brother-in-law
12.4	Pham Tuan Ngoc							Brother
12.5	Pham The Duy							Son
12.6	Pham Thao Nguyen							Daughter
13	Hoang Xuan Tung		International Relations Officer cum Company Secretary			1,100	0.01%	Insider
13.1	Ho Xay Denh							Mother
13.2	Hoang Thi Minh Hanh							Sister
13.3	Tran Trung Tuyen							Brother-in-law