

**SBS SECURITIES JOINT STOCK
COMPANY**

No: 11/2026/NQ-HĐQT

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

Hochiminh City, August 19, 2026

RESOLUTION

THE BOARD OF DIRECTORS SBS SECURITIES JOINT STOCK COMPANY

- Pursuant to the Enterprise Law 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Pursuant to the Securities Law 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and its amendments and supplements;
- Based on Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of the Securities Law and its amending and supplementary documents;
- Pursuant to the Charter of SBS Securities Joint Stock Company;
- Based on Resolution No. 01/2026/NQ-ĐHĐCĐ of the Annual General Meeting of Shareholders 2026 dated June 8, 2026;
- Based on the Minutes of the Board of Directors Meeting No. 11/2026/BBH-HĐQT dated August 19, 2026

RESOLVES:

Article 1: Approval of the list of professional securities investors who have deposited funds to purchase shares in the private placement, specifically as follows:

No	Investor's name	Personal identification number or Business registration certificate	Object		Number of shares owned before the offering	Total number of shares distributed	Total number of shares held after the offering	Ownership percentage after the offering
			Strategic investor/Professional stock investor	Foreign investors/Economic organizations with foreign investors holding more than 50% of the charter capital/Domestic investors				
1	Nguyen Gia Long	001077009814	professional stock investor	domestic investors	-	7.500.000	7.500.000	4,81%
2	Pham Ngoc Chien	035067002755	professional stock investor	domestic investors	-	2.000.000	2.000.000	1,28%
Total					-	9.500.000	9.500.000	6,09%



Article 2: Based on the results of the private share placement by SBS Securities Joint Stock Company, details are as follows:

- Name of shares offered: Shares of SBS Securities Joint Stock Company
- Total number of shares expected to be offered: 15,000,000 shares (In words: Fifteen million shares)
- Total number of offered shares distributed: 9,500,000 shares (In words: Nine million five hundred thousand shares), representing 63.33% of the total number of shares planned for offering.
- Total number of shares not purchased by investors: 5,500,000 shares (In words: Five million five hundred thousand shares), representing 36.67% of the total shares intended for offering

Article 3: Through the cancellation of 5,500,000 shares (in words: five million five hundred thousand shares) due to professional securities investors failing to fully pay for the purchase.

Article 4: The CEO is authorized and directed to carry out the necessary tasks and sign relevant legal documents to complete the matters outlined above, ensuring compliance with the Resolution and applicable laws. Within the scope of authority granted by the Board of Directors, the CEO is permitted to sub-delegate specific tasks to other personnel, provided such actions remain within the limits of the authority originally granted to the CEO by the Board.

Article 5: This Resolution takes effect from the date of signing. Members of the Board of Directors, the Board of Management, and relevant divisions, departments, and units are responsible for implementing this Resolution.

Recipients:

- As Article 5;
- Save BOD Office

ON BEHALF OF THE BOARD OF THE DIRECTORS

CHAIRMAN

PHAN QUỐC HUYNH

