

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 9166/UBCK-PTTT

Hanoi, September 16, 2026

*Re: Dossier on notification of maximum foreign
ownership ratio change of PP Enterprise Investment
Consultancy Joint Stock Company*

To: - PP Enterprise Investment Consultancy Joint Stock Company;
- Vietnam Securities Depository and Clearing Corporation.

The State Securities Commission (SSC) received the dossier notifying the change in maximum foreign ownership ratio at PP Enterprise Investment Consultancy Joint Stock Company (HNX: PPE) (the "Company") at 100% on September 11, 2026. The SSC hereby conveys its opinions as follows:

1. Organizations and individuals involved in the process of preparing the dossier shall be legally responsible for the legality, accuracy, truthfulness, and completeness of the dossier pursuant to Clause 1, Article 11a of the Securities Law No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15, and shall be responsible for the results of reviewing the maximum foreign ownership ratio at the Company in accordance with applicable provisions of law.

2. The SSC requests the Company to fulfill its information disclosure obligations in accordance with Clause 2, Article 13 of Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Minister of Finance providing guidance on information disclosure in the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC and Circular No. 18/2025/TT-BTC, and to comply with legal provisions regarding foreign ownership ratios on the Vietnamese securities market.

3. The Vietnam Securities Depository and Clearing Corporation shall update and adjust the system regarding the Company's maximum foreign ownership ratio in accordance with Clause 4, Article 142 of Decree No. 155/2020/ND-CP, as amended and supplemented by Decree No. 245/2025/ND-CP.

The SSC hereby notifies the Company, the Vietnam Securities Depository and Clearing Corporation, and relevant units for information and implementation in compliance with the provisions of law./.

Recipients:

- As above;
- Chairman (for reporting);
- Securities Market Management Dept.; Legal &

**FOR THE CHAIRMAN
FOR THE HEAD OF SECURITIES MARKET
DEVELOPMENT DEPARTMENT**

Compliance Dept.;
- Market Supervision Dept.;
- HNX;
- Archives: Clerical Office, PTTT (096).

DEPUTY HEAD

Tran Thi Hong Ha

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Thị Việt Nam
Hàng Việt Nam
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