

No: 461/CV – DS3

Quang Ninh, September 10, 2026

Digitally signed by CÔNG TY CỔ
PHẦN DS3
DN: C=VN, S=QUẢNG NINH,
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**CÔNG TY
CỔ PHẦN
DS3**

To: - The State Securities Commission;
- The Stock Exchange.

1. Name of the company: DS3 Joint Stock Company
2. Stock ticker: DS3
3. Head office address: Group 2, Quarter 2, Ha Long Ward, Quang Ninh City.
4. Telephone: (+84 203) 3835 799
5. Person in charge of information disclosure:
Mr. Hoang Thanh Tung – Authorized person for information disclosure
6. Content of information disclosure:
*On September 10, 2026, DS3 Joint Stock Company received Official
Dispatch No. 8884/UBCK-PTTT from the State Securities Commission
regarding the notification dossier on the maximum foreign ownership ratio
of DS3 Joint Stock Company.*
7. Website for information disclosure: <http://ds3.vn>

We hereby certify that the information disclosed above is true and accurate, and
we take full responsibility before the law for the content of this disclosure.

Recipients:

- As above;
- Archived.

**INDIVIDUAL
AUTHORIZED TO REPORT AND
DISCLOSE INFORMATION**



Hoang Thanh Tung

**MINISTRY OF FINANCE
STATE SECURITIES COMMISSION**

**SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness**

No. 8884/UBCK-PTTT

Re: Notification dossier on the maximum foreign ownership ratio of DS3 Joint Stock Company

Hanoi, 10 September 2026

To:

- DS3 Joint Stock Company;
- Viet Nam Securities Depository and Clearing Corporation.

The State Securities Commission (SSC) received, on 28 August 2026, the notification dossier on the maximum foreign ownership ratio of DS3 Joint Stock Company (the Company) (HNX: DS3), specifying a ratio of 0%. The SSC provides the following comments:

1. Organizations and individuals involved in preparing the dossier are legally responsible for its legality, accuracy, truthfulness and completeness under Clause 1, Article 11a of Securities Law No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15, and are responsible for the results of their review of the Company's maximum foreign ownership ratio in accordance with the law.

If the Company's current foreign ownership ratio exceeds the ratio permitted by law, the Company must comply with Clause 5, Article 139 of Decree No. 155/2020/ND-CP detailing the implementation of certain provisions of the Securities Law, as amended and supplemented by Decree No. 245/2025/ND-CP.

2. The SSC requests that the Company fulfill its information disclosure obligations under Clause 2, Article 13 of Circular No. 96/2020/TT-BTC guiding information disclosure on the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC and Circular No. 18/2025/TT-BTC, and comply with the legal provisions on foreign ownership ratios in the Vietnamese securities market.

3. Viet Nam Securities Depository and Clearing Corporation shall update and adjust the Company's maximum foreign ownership ratio in its system in accordance with Clause 4, Article 142 of Decree No. 155/2020/ND-CP detailing the implementation of certain provisions of the Securities Law, as amended and supplemented by Decree No. 245/2025/ND-CP.

The SSC hereby notifies the Company, Viet Nam Securities Depository and Clearing Corporation, and relevant entities for their information and implementation in accordance with the applicable regulations.

Recipients:

- As above;
- Chairperson (for report);
- GSDC Department;
- QLCB Department;
- PCDN Department;
- Hanoi Stock Exchange;
- Archives: VT, PTTT (09 copies).

**FOR THE CHAIRPERSON
FOR THE HEAD OF THE SECURITIES MARKET
DEVELOPMENT DEPARTMENT
DEPUTY HEAD**

(Signed and sealed in the Vietnamese original)

Tran Thi Hong Ha