

**AN BINH SECURITIES
JOINT STOCK COMPANY**



No. 75/NQ-HĐQT2026

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom - Happiness

Ha Noi, October 02, 2026

RESOLUTION OF THE BOARD OF DIRECTORS

(Re: Approval of the list of employees eligible to participate in the share issuance under the Employee Stock Ownership Plan (ESOP) of the Company and the number of shares allocated to each eligible participant)

BOARD OF DIRECTORS

AN BINH SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises dated June 17, 2020, as amended and supplemented on January 11, 2022 and June 17, 2025 (the "Law on Enterprises"), and its implementing regulations;
- Pursuant to the Law on Securities dated November 26, 2019, as amended and supplemented on November 29, 2024 (the "Law on Securities"), and its implementing regulations;
- Pursuant to the Charter of An Binh Securities Joint Stock Company;
- Pursuant to Resolution No. 17/NQ-ĐHĐCĐ2026 dated March 18, 2026 of the General Meeting of Shareholders of An Binh Securities Joint Stock Company;
- Pursuant to Resolution No. 67/NQ-HĐQT2026 dated September 7, 2026 of the Board of Directors regarding the implementation of the plan for issuing shares under the Employee Stock Ownership Plan (ESOP) of the Company;
- Pursuant to Resolution No. 68/NQ-HĐQT2026 dated September 7, 2026 of the Board of Directors regarding the approval of the Regulations on the issuance of shares under the Employee Stock Ownership Plan (ESOP);
- Pursuant to the document dated September 24, 2026 regarding the waiver of the right to purchase shares issued under the Employee Stock Ownership Plan (ESOP) of the Company by Ms. Tran Kim Khanh;
- Pursuant to the document dated September 24, 2026 regarding the waiver of the right to purchase shares issued under the Employee Stock Ownership Plan (ESOP) of the Company by Ms. Vu Thi Hai Nga;
- Pursuant to the Minutes of the meeting of the Board of Directors No. 74/BB-HĐQT2026 dated October 02, 2026.

RESOLVES:

Article 1: The Board of Directors approves the List of Employees Eligible to Participate in the share issuance under the Employee Stock Ownership Plan (ESOP) of the Company and the number of shares allocated to each eligible participant (*the List of Employees Participating in the 2026 ESOP is attached hereto as an Appendix*).

Article 2: Implementation



This Resolution shall take effect from the date of signing.

This Resolution supersedes Resolution No. 72/NQ-HĐQT2026 dated September 16, 2026 of the Company's Board of Directors regarding the approval of the List of Employees Eligible to Purchase Shares Issued under the Employee Stock Ownership Plan.

Members of the Board of Directors, the Board of Management, the Chief Accountant and relevant functional departments shall be responsible for implementing this Resolution./.

**ON BEHALF OF BOD
CHAIRMAN**

Recipients:

- As stated in Article 2;
- SSC; HNX;
- Filed: Administrative Office.

(signed)

Trần Việt Dũng

