

matters submitted to the General Meeting of Shareholders, except for matters submitted to be voted on after the opening of the General Meeting of Shareholders.

- c. Shareholders/authorized persons participating in the online General Meeting of Shareholders must have a computer/laptop/tablet/smartphone or any device that can connect to the internet and access the Company's online General Meeting of Shareholders System.

6.2. Authorization to attend the General Meeting of Shareholders

In case the shareholder does not attend the meeting, the shareholder may authorize another individual or legal entity (authorized person) to attend the General Meeting of Shareholders on behalf of the shareholder in respect of the shareholder's voting shares. The authorization dossier includes the following documents:

- a. Original Power of Attorney made using the Company's form and bearing the Company's seal, or in another form as prescribed by law (The Power of Attorney form is attached to this Meeting Invitation);
- b. Copy of ID card/Passport/Business Registration Certificate of the Shareholder (authorizer);
- c. Documents of the authorized person:
 - Authorized person is an individual: VNeID or copy of valid ID card/Passport;
 - Authorized person is an organization:
 - + Copy of the latest Business Registration Certificate;
 - + VNeID or copy of valid ID card/Passport of the representative attending the General Meeting of Shareholders;
 - + Original/valid copy of the authorization document or letter of introduction from the organization for the representative of that organization to attend the General Meeting of Shareholders (*in case the representative attending the General Meeting of Shareholders is not the Legal Representative*).

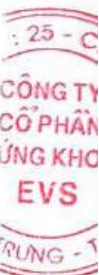
The authorization dossier can be submitted directly or sent by post/express delivery to the Company at the Company's address in **Section 8** below. In case of sending by post/express delivery, the shareholder or authorized person must send a complete and valid authorization dossier to the Company **before 16:00 on October 28, 2026**.

7. Meeting documents

All documents of the Company's General Meeting of Shareholders are posted on the Company's website at: <https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin> and on the Online System. To support Shareholders/Authorized Persons attending the General Meeting of Shareholders, the Company will post instructions for attending the online General Meeting of Shareholders on the Company's website and the Online System.

8. Contact information

For any information related to the organization of the Company's Extraordinary General Meeting of Shareholders, Shareholders are kindly requested to contact the Company at the following address:



Office of the Board of Directors - EVS Securities Joint Stock Company

Address: Floor 9, No. 2A Dai Co Viet, Hai Ba Trung Ward, Hanoi

Tel: (84.24) 3936 6866 (Extension: 665, 676)

We respectfully invite Shareholders to attend the Meeting.

Sincerely./.

Hanoi, October 06, 2026

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN



Nguyen Hai Chau



POWER OF ATTORNEY



To: **Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders**
EVS Securities Joint Stock Company ("Company")

1. Authorizing Party:

Name of Shareholder/Authorizer:
ID Card/Passport/Business Registration Certificate No.: issued on by
Permanent address/Head office:
Telephone:
Number of shares owned (as of September 28, 2026): shares
(In words:)
Full name of Legal Representative of the shareholder being an organization:
ID card/ Passport No.: issued on by

2. Authorized Party:

I hereby authorize shares owned by me in the Company to:

- ☐ Mr. Nguyen Hai Chau - Chairman of the Board of Directors
☐ Mrs. Do Thi Hong Hai - Independent Member of the Board of Directors
☐ Mr./Ms./Legal entity named below:

Authorized person:
ID card/Passport/Business Registration No.: issued on by
Permanent address/Head office:
Mobile phone (for authorized party being an individual) (*):
Full name of Representative of the authorized party (in case of authorization for organization):
.....
ID card/Passport No.: issued on by
Mobile phone (of the organization's representative)(*):

3. Authorization content:

The Authorized Party shall represent the Authorizing Party in attending the 2026 Extraordinary General Meeting of Shareholders of the Company and exercise all rights and perform all obligations of a shareholder at the General Meeting of Shareholders related to the authorized shares.

4. Responsibilities and Term of Authorization:

- I/We are fully responsible for this authorization and undertake to strictly comply with the current provisions of law and the Charter of the Company.
- This authorization is effective from the date of signing until the Company receives a document replacing/cancelling this authorization, or until the end of the 2026 Extraordinary General Meeting of Shareholders of the Company.
- I hereby undertake to accept and shall not raise any claims or objections regarding the voting results of the person authorized by me above.

AUTHORIZED PARTY

(Signature and full name, stamp if organization)

....., dated month 2026
SHAREHOLDER/ AUTHORIZER

(Signature and full name, stamp if organization)

(*) This is required information for the Authorized Party to receive the Account and Password to log into the Online General Meeting of Shareholders (GMS) System after the Organizing Committee of the Extraordinary General Meeting of Shareholders receives a valid Authorization Document.



MEETING AGENDA
2026 EXTRAORDINARY GENERAL MEETING OF
SHAREHOLDERS
EVS SECURITIES JOINT STOCK COMPANY

Expected opening time: 13:30, Thursday, October 29, 2026

Meeting venue: 7th Floor, 2A Dai Co Viet, Hai Ba Trung Ward, Hanoi

Time	Content
13:00 – 13:30	- Instructions and support for shareholders to log into the online General Meeting of Shareholders (GMS) System
13:30 – 14:15	- Announcement of the reasons for convening the Meeting and introduction of attendees of the General Meeting of Shareholders - Opening of the General Meeting of Shareholders by the Chairperson - Announcement of the results of the shareholder eligibility verification - Introduction of the Presidium and the Secretariat of the Meeting - Presentation of the Meeting Agenda and the Meeting Rules/Regulations - Election of the Vote Counting Committee and approval of the Meeting Agenda
14:15 – 14:45	Presentation of proposals on the following matters: - Dismissal of a member of the Board of Directors from office, approval of the list of candidates for election to the Board of Directors, and election of a member of the Board of Directors; - Approval of the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism; - Approval of the extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited; - Approval of the restructuring of the Company and cost reduction; - Other matters within the authority of the General Meeting of Shareholders (if any).
14:45 – 15:15	- Discussion at the meeting - Voting on proposals submitted to the General Meeting of Shareholders
15:15 – 15:30	- Break
15:30 – 16:00	- Announcement of vote counting results for proposals, vote counting results for the election of members of the BOD - Approval of the Minutes of the Meeting and the Resolution of the General Meeting of Shareholders - Closing of the General Meeting of Shareholders

Hanoi, October 06, 2026

ANNOUNCEMENT
**WORKING REGULATIONS OF THE 2026 EXTRAORDINARY GENERAL
MEETING OF SHAREHOLDERS**

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;*
- *The Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;*
- *The Charter of EVS Securities Joint Stock Company (the “Company” or “EVS”);*
- *The Internal Corporate Governance Regulations of the Company;*
- *Resolution of the Board of Directors of the Company No. 25/2026/NQ-HĐQT dated October 06, 2026 on the determination of the time and venue for convening the 2026 Extraordinary General Meeting of Shareholders and the approval of the agenda and meeting materials thereof.*

The 2026 Extraordinary General Meeting of Shareholders of EVS Securities Joint Stock Company shall be organized and conducted in accordance with the Working Regulations of the 2026 Extraordinary General Meeting of Shareholders (“**Regulations**”) as follows:

ARTICLE 1. SCOPE AND SUBJECTS OF APPLICATION

1. These Regulations apply to the organization of the 2026 Extraordinary General Meeting of Shareholders (“GMS”/“Meeting”) of the Company in the form of online attendance and electronic voting.
2. These Regulations set out the rights and obligations of shareholders/proxies and parties participating in the online General Meeting; the procedures for conducting the online General Meeting; the voting method to approve the contents and issues of the online General Meeting and other related contents.
3. Shareholders, proxies and parties participating in the General Meeting of Shareholders are responsible for implementing these Regulations. The contents related to the organization of the General Meeting of Shareholders that are not specified in detail in these Regulations shall be applied according to the Company Charter, the Company's internal governance regulations, other relevant regulations and laws.

ARTICLE 2. INTERPRETATION OF TERMS

1. Shareholder: A person who owns shares of the Company and is listed in the List of shareholders entitled to attend the General Meeting of Shareholders, as issued by the Vietnam Securities Depository and Clearing Corporation (“VSDC”), with the final registration date being September 28, 2026 (“Shareholder List for the Meeting as of September 28, 2026”).
2. Proxy (Authorized Representative): A person who has been lawfully authorized by a shareholder to attend the online General Meeting, cast electronic votes, and exercise other

shareholder rights at the Company's General Meeting in accordance with these Regulations, the Company's Charter, the Company's internal governance regulations, and other relevant legal provisions.

3. Online General Meeting/General Meeting: A form of GMS conducted using electronic means to facilitate the transmission of images, sound, and/or other data formats via the internet, enabling shareholders/proxies at different locations to attend, follow the proceedings, participate in discussions, and vote on matters presented at the meeting.
4. Online System: A comprehensive technical infrastructure (including but not limited to hardware devices, applications, software/websites) established and used by the Company to organize and operate the Online General Meeting and conduct electronic voting. Shareholders/Proxies can access the Online System to register for attendance, follow the proceedings, participate in discussions, and vote electronically.
5. Electronic Voting: The process by which shareholders/proxies vote on the matters presented at the General Meeting through the Online System.
6. Location of the Meeting: The meeting venue where the Chairperson attends and presides over the meeting.
7. Time of Online System Opening: The moment when the Online System becomes accessible for shareholders/proxies to confirm attendance, review documents, and vote electronically on the matters presented at the General Meeting, except for issues that require voting after the meeting's opening. The Online System will officially open at **13:00 on October 28, 2026**.

ARTICLE 3. PARTICIPANTS OF THE MEETING

All shareholders owning shares of the Company according to the list of shareholders entitled to attend the meeting as of September 28, 2026 (or persons legally authorized to attend the meeting).

ARTICLE 4. RIGHTS AND OBLIGATIONS OF SHAREHOLDERS/PROXIES

1. Shareholders/Proxies have the right to attend the General Meeting, follow its proceedings in real time, express opinions, participate in discussions, and exercise voting rights on all matters within the authority of the General Meeting of Shareholders (GMS) through the Online System. This must be done in accordance with these Regulations, the Company's guidelines for attending the Online General Meeting, the Company's Charter, internal governance regulations, and other relevant legal provisions.
2. Shareholders/Proxies must prepare and use appropriate electronic devices (such as a computer/laptop/tablet/smartphone or any other internet-enabled device) to access the Company's Online System, ensuring uninterrupted participation in the General Meeting, active online discussions, and electronic voting.
3. Shareholders/Proxies are responsible for safeguarding their personal information and other identification credentials (such as login accounts and passwords) issued by the Company. This ensures that only shareholders/proxies can access the Online System to exercise their rights and fulfill their obligations at the General Meeting.
4. Shareholders/Proxies bear full responsibility for any risks or disputes related to their attendance and/or voting at the General Meeting using their login account and password on

the Online System. Participation and/or voting through the Online System using the provided login credentials will be deemed to represent the shareholder's intent.

5. Shareholders/Proxies agree and acknowledge that all information, content, and communications sent to or from the shareholder's phone number, as listed in the Summary List of Securities Holders entitled to attend the General Meeting issued by VSDC, or from any registered phone number provided to the Company, shall be considered as having been sent by or to the shareholder/proxy.
6. Shareholders/Proxies must comply with these Regulations and follow the instructions of the Chairperson throughout the meeting. They must not disrupt the orderly conduct of the meeting during the Online General Meeting.
7. Shareholders/Proxies understand and accept that conducting the General Meeting online and voting electronically involves unavoidable risks, including system errors, technical failures, network disruptions, viruses, or other unforeseen incidents beyond the Company's control.

ARTICLE 5. REGISTRATION TO ATTEND THE ONLINE MEETING

1. Shareholders/Proxies exercise their right to attend the General Meeting by accessing the Company's Online System at the link: <https://vote.eves.com.vn> through their login account and password, specifically:
 - a. Login ID:
 - The login ID of a shareholder shall be provided in the Invitation Letter sent to such shareholder. The login ID of a Proxy shall be issued and sent to the mobile phone number of the Proxy registered in the power of attorney for attendance at the meeting.
 - The login ID shall be the Identity Card number, Citizen Identity Card number, or Passport number for an individual; the enterprise code or tax code for an organization; the securities trading code for a foreign investor; or any other ownership registration code registered with VSDC in the case of shareholders, or registered with the Company in the case of a proxy arrangement. In the event of duplication of ownership registration information among shareholders, the shareholder's login ID shall be supplemented with additional characters for differentiation.
 - b. Login password:
 - The login password of a shareholder shall be provided in the Invitation Letter sent to such shareholder. The login password of a Proxy shall be issued and sent to the mobile phone number of the Proxy registered in the power of attorney for attendance at the meeting.
 - In the event that the login password is forgotten, the shareholder/proxy shall click the "forgot password" function on the online system and provide the required information in order to be issued a new password. A new password for a shareholder shall be sent to the mobile phone number registered with VSDC. Where a shareholder has changed the mobile phone number previously registered with VSDC, such shareholder must contact the Company to register the new mobile phone number for receipt of the login password. A new password for a Proxy shall be sent to the mobile phone number registered with the Company in the power of attorney.

2. Shareholders/Proxies may only conduct electronic voting, watch the live proceedings of the General Meeting, express opinions, and participate in discussions at the General Meeting after confirming their attendance at the General Meeting.
3. Authorization to attend the General Meeting
 - a. If a shareholder is unable to attend the meeting, such shareholder may authorize an individual or a legal entity to represent the shareholder in attending the General Meeting online and exercising all rights and performing all obligations at the General Meeting of Shareholders in relation to the authorized voting shares.
 - b. The authorization for attendance must be made in writing in the form of the Company's power of attorney, bearing the Company's seal, or otherwise in accordance with applicable law. Such power of attorney must clearly specify the particulars of the shareholder and the Proxy, the number of shares authorized, and the mobile phone number of the Proxy attending the meeting, and must be duly signed and sealed, if any, by the shareholder and the Proxy.
 - c. An authorization shall be deemed valid and legally effective only when all of the following conditions are satisfied:
 - (i) The shareholder has not yet confirmed attendance at the General Meeting on the online system.
 - (ii) The authorization dossier includes the following documents:
 - The original power of attorney referred to in Point b, Clause 3 of this Article;
 - A copy of the Identity Card, Citizen Identity Card, Passport, or Enterprise Registration Certificate of the shareholder being the principal;
 - Documents of the Proxy, as follows:
 - + For an individual Proxy: VNeID or copy of the Identity Card, Citizen Identity Card, or Passport;
 - + For an organizational Proxy:
 - ✓ Copy of the Enterprise Registration Certificate;
 - ✓ VNeID or copy of the Identity Card, Citizen Identity Card, or Passport of the representative attending the General Meeting of Shareholders;
 - ✓ The original/valid copy of the authorization letter or letter of introduction issued by such organization appointing its representative to attend the General Meeting of Shareholders, where the attending representative is not the legal representative of such organization.
 - (iii) The authorization dossier may be submitted in person or sent by post/courier service to the Company at the following address: Office of the Board of Directors – EVS Securities Joint Stock Company – 9th Floor, No. 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City, no later than **16:00 on October 28, 2026**.
 - d. Upon receipt of the shareholder's authorization information, if the authorization is valid, the Company shall grant the Proxy access to the online system for attending the General Meeting, including the login ID and login password, which shall be sent to the mobile phone number of the Proxy attending the meeting, so that the Proxy may attend the General Meeting online. Where a shareholder authorizes all of his or her voting shares, the login account of such

authorizing shareholder shall be locked and shall no longer be permitted to access the online system.

- e. Termination of authorization: If a shareholder wishes to terminate a previously valid authorization, the shareholder must send the original written notice of termination of authorization, whether delivered in person or by post/courier service, to the Company no later than **16:00 on October 28, 2026** at the address specified in Item (iii), Point c, Clause 3 of this Article.
4. The termination of authorization shall take effect from the time the Company receives the shareholder's valid written notice. Upon termination of the authorization, the login account of the Proxy shall be locked, and any electronic voting results previously submitted by the Proxy shall be cancelled, if already cast.

ARTICLE 6. CONDITIONS FOR CONVENING THE GENERAL MEETING

1. The Shareholder Eligibility Verification Committee shall, based on the total number of shares held and/or the attendance confirmation by shareholders, their representatives, or proxies on the Online System from the time the Online System is opened (**13:00 on October 28, 2026**) until the scheduled commencement time of the meeting (**13:30 on October 29, 2026**), determine whether the conditions for opening the General Meeting have been satisfied in accordance with applicable regulations.
2. The General Meeting of Shareholders shall be duly convened when the shareholders and/or proxies attending the meeting represent more than 50% of the total voting shares (each ordinary share carrying one vote).
3. If the General Meeting of Shareholders does not satisfy the conditions for convening as set out above, the convening and conduct of any subsequent General Meeting of Shareholders shall be carried out in accordance with the Company's Charter, the Company's Internal Corporate Governance Regulations, and the applicable laws.

ARTICLE 7. VOTING AT THE GENERAL MEETING

1. Shareholders/Proxies shall exercise their voting rights in respect of the contents and matters submitted to the online General Meeting by means of electronic voting on the Online System in accordance with these Regulations.
2. Voting period: Voting may be conducted before the opening of the General Meeting and/or during the course of the General Meeting, specifically as follows:
 - a. In respect of matters relating to the opening and closing procedures of the General Meeting, including the approval of the Vote Counting Committee, the meeting agenda, the minutes of meeting, the resolution of the 2026 Extraordinary General Meeting of Shareholders, and other matters required to be approved at the General Meeting under the direction of the Chairperson of the General Meeting, Shareholders/Proxies may only cast their votes after the General Meeting has been declared open and in accordance with the direction of the Chairperson, up to the time the voting period closes as determined by the Chairperson of the General Meeting.
 - b. In respect of matters submitted to the General Meeting of Shareholders, including reports and proposals, Shareholders/Proxies may cast their votes from the time the Online System is opened (**from 13:00 on October 28, 2026**, prior to the opening of the General Meeting) until the close of the voting period as determined by the Chairperson of the General Meeting.

- c. Shareholders/Proxies who join the online General Meeting after the meeting has commenced shall still be entitled to participate in voting. In such case, the validity of any matters that have already been voted on prior to their attendance shall remain unchanged.
3. Voting method: Shareholders/Proxies shall cast their votes by selecting **one (01)** of the **three (03)** voting options, namely **“For”**, **“Against”**, or **“Abstain”**, in respect of each matter requiring approval, and must click the submission button to confirm, send, and save the voting result on the Online System.
4. A Shareholder/Proxy who has cast a vote may amend his or her voting instruction, provided that the voting period for the relevant matter has not yet expired. If, upon expiry of the voting period, a Shareholder/Proxy has not cast a vote, such person shall be deemed not to have participated in the voting on that matter, and the corresponding voting shares shall not be counted toward the total number of voting shares of shareholders attending and voting at the meeting. From the time the voting period closes, voting on the Online System shall be disabled, and the Shareholder/Proxy shall no longer be entitled to amend any vote already cast. The Company shall record the final voting result validly submitted by the Shareholder/Proxy on the Online System during the applicable voting period.
5. In respect of any matter submitted for voting that is amended or newly arising during the course of the General Meeting, the Shareholder/Proxy shall re-cast or additionally cast his or her vote in relation to such amended or newly arising matter. If the Shareholder/Proxy fails to do so, he or she shall be deemed not to have participated in the voting on such amended or newly arising matter. Any other matters previously voted on by the Shareholder/Proxy shall remain unchanged.
6. The voting result of a Shareholder/Proxy shall be the aggregated final voting result recorded at the close of the voting period as determined by the Chairperson at the General Meeting. In the course of presiding over the General Meeting, the Chairperson shall announce the time at which voting closes so that Shareholders/Proxies may exercise their voting rights accordingly. From the close of the voting period as determined by the Chairperson, the Online System shall automatically close the voting portal for the relevant matter, and Shareholders/Proxies shall no longer be able to vote on any matter for which the voting portal has been closed. Voting results shall be determined separately for each matter submitted and shall be consolidated, reported, and announced to the General Meeting by the Vote Counting Committee immediately upon completion of the vote counting process.
7. A valid vote means a vote cast by a Shareholder/Proxy using the login account issued by the Company, selecting a voting option and completing the voting process on the Online System in accordance with these Regulations. To ensure the validity of online voting, the Online System is configured such that each Shareholder/Proxy may select only one (01) of the three (03) voting options specified in Clause 3 of this Article.
8. Where a Shareholder/Proxy has confirmed attendance at the online General Meeting and proceeded to vote but fails to select any voting option for a particular matter, such vote shall be deemed invalid in respect of that matter.
9. Where a Shareholder/Proxy attending on behalf of two (02) or more shareholders elects to combine the authorized votes by different shareholder groups, such Shareholder/Proxy shall cast votes separately for each corresponding shareholder group.

10. If Shareholders/Proxies encounter any issue or technical incident relating to electronic voting on the Online System, they may contact the Company via the contact details stated in the Invitation Letter in order to receive guidance and assistance in completing the voting process.
11. The voting threshold required for approval of matters submitted to the General Meeting shall be determined in accordance with the Company's Charter and the relevant provisions of law.

ARTICLE 8. ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS

1. Shareholders/Proxies shall elect members of the Board of Directors ("BOD") at the Online General Meeting of Shareholders using the cumulative voting method through electronic voting on the Online System in accordance with these Regulations.

The Guidelines on Cumulative Voting are attached to these Regulations.

2. Time of election voting: Shareholders/Proxies may only cast election votes after the General Meeting has been declared open and in accordance with the direction of the Chairperson, up to the time the election voting period closes as determined by the Chairperson.
3. Each shareholder/proxy shall have a total number of votes for the election of Members of the Board of Directors equal to the number of shares owned and/or represented under authorization multiplied by one (01), being the number of Members of the Board of Directors approved by the General Meeting of Shareholders for election at the General Meeting. Each shareholder/proxy shall be entitled to cumulate all or part of his, her, or its total votes in favor of one or more candidates for the position of Member of the Board of Directors.
4. Voting method: For each voting round, shareholders/proxies shall cast election votes by entering the corresponding number of votes for each candidate for the Board of Directors, provided that the aggregate number of votes cast for all candidates for the Board of Directors does not exceed the total number of votes available for the election of members of the Board of Directors of each shareholder/proxy, and must click the submission button to confirm, send, and save the election voting results on the Online System.
5. A shareholder/proxy who has cast election votes may amend his, her, or its voting instructions at any time before the election voting period for the relevant round expires. Upon expiry of the election voting period for a voting round, any shareholder/proxy who has not cast election votes shall be deemed not to have participated in the election voting for such round. From the time the election voting period for each round closes, election voting for the Board of Directors on the Online System shall be disabled, and shareholders/proxies shall no longer be entitled to amend their election voting instructions.
6. The election voting result for the Board of Directors of each shareholder/proxy shall be the aggregated final election voting result recorded at the close of each voting round for the election of the Board of Directors, as determined by the Chairperson at the General Meeting. In the course of presiding over the General Meeting, the Chairperson shall announce the closing time of each election voting round for the Board of Directors so that shareholders/proxies may exercise their election voting rights accordingly. From the closing time of each election voting round as determined by the Chairperson at the General Meeting, the Online System shall automatically close the election voting portal for that round, and shareholders/proxies shall no longer be able to cast election votes for the Board of Directors in that round. The election results shall be consolidated by the Vote Counting Committee and

reported to, and announced before, the General Meeting upon completion of the vote counting process.

7. Principles for determining elected candidates to the Board of Directors:

- The elected members of the Board of Directors shall be determined in descending order based on the number of votes received, beginning with the candidate receiving the highest number of votes, until the approved number of members of the Board of Directors to be elected at the General Meeting has been filled.

- In the event that two (02) or more candidates for the Board of Directors receive an equal number of votes for the final seat on the Board of Directors, a re-election shall be conducted among those tied candidates in order to select the candidate receiving the higher number of votes.

8. A valid election vote means an election vote cast by a shareholder/proxy using the login account issued by the Company, where the aggregate number of votes cast for candidates for the Board of Directors does not exceed the total number of votes available for the election of members of the Board of Directors of such shareholder/proxy, and the election voting process is duly completed on the Online System in accordance with these Regulations. To ensure the validity of online election voting, the Online System has been configured so that shareholders/proxies may only cast election votes in a manner such that the aggregate number of votes cast for candidates for the Board of Directors does not exceed the total number of votes available for the election of members of the Board of Directors of each shareholder/proxy.

9. Where a shareholder/proxy has confirmed attendance at the online General Meeting and has proceeded with election voting, but leaves blank the number of votes for all candidates for the Board of Directors, such shareholder's/proxy's election vote shall be deemed invalid.

10. Where a shareholder/proxy attending on behalf of two (02) or more shareholders elects to combine the authorized votes by different shareholder groups, such shareholder/proxy shall cast election votes separately for each corresponding shareholder group.

11. If shareholders/proxies encounter any issue or technical incident relating to electronic voting on the Online System, they may contact the support telephone numbers stated in the Invitation Letter in order to receive guidance and assistance in completing the voting process.

ARTICLE 9. DISCUSSION AT THE GENERAL MEETING

1. Shareholders/Proxies may participate in discussions at the online General Meeting by speaking directly or by submitting questions and/or opinions to the Presidium through the Online System. The Secretariat shall be responsible for consolidating the questions and opinions raised by shareholders/proxies and forwarding them to the Presidium.

2. Discussions and the handling of questions and concerns raised by shareholders/proxies shall be conducted in accordance with the following principles:

a. Discussions shall only be conducted within the prescribed time limit and must fall within the scope of the matters and issues included in the meeting agenda as approved by the General Meeting of Shareholders.

b. Shareholders/Proxies shall participate in discussions in accordance with the instructions of the Chairperson during the course of the online General Meeting.

- c. Based on the time allocation of the General Meeting agenda, the Chairperson shall arrange and schedule the order of speaking by shareholders/proxies, and shall at the same time provide responses, or authorize and/or designate appropriate personnel to respond, to questions and concerns raised by shareholders.
- d. Shareholders/Proxies shall make concise statements, avoid repetition, and focus on the key matters for discussion, in line with the approved meeting agenda. The Chairperson shall have the right to exclude any question from the discussion and/or to stop a shareholder/proxy from speaking if the Chairperson determines that such question or discussion is not relevant to the approved meeting agenda. In the event that there are multiple overlapping opinions on the same matter, the Chairperson shall have the right to select the most general comments or those most closely aligned with the meeting agenda for response.
- e. All shareholders/proxies shall have the right, and are encouraged, to express their opinions at the General Meeting. However, where an excessive number of comments results in the meeting being extended beyond the expected duration, any questions that remain unanswered during the online General Meeting shall be recorded by the Secretariat, and the Presidium may respond to shareholders/proxies thereafter by email or by other means in accordance with the Company's Charter, the Company's Internal Corporate Governance Regulations, and the relevant provisions of law.

ARTICLE 10. PRESIDIUM

- 1. The Chairman of the Board of Directors shall act as the Chairperson of the online General Meeting, or may authorize another member of the Board of Directors to act as the Chairperson of the online General Meeting of Shareholders. The Chairperson of the General Meeting may nominate certain individuals, including members of the Board of Directors and members of the Board of Management, to jointly administer the online General Meeting (the "Presidium").
- 2. Members of the Presidium shall act in accordance with the principal direction and administration of the Chairperson in matters relating to the conduct of the online General Meeting. In all circumstances, the decision of the Chairperson shall be final.
- 3. The Presidium shall have the following rights and responsibilities:
 - a. To administer and conduct the activities of the General Meeting in accordance with these Regulations and the meeting agenda approved by the General Meeting of Shareholders.
 - b. To present, or designate appropriate personnel to present, reports and proposals for discussion and voting at the General Meeting.
 - c. To guide and direct discussions at the General Meeting; and to answer, or designate a person to answer, questions and concerns raised by shareholders/proxies.
 - d. To take such necessary and reasonable measures as may be required to ensure that the General Meeting is conducted in an orderly manner, in accordance with the approved agenda, and in a way that reflects the wishes of the majority of attending shareholders/proxies.
 - e. To exercise such other rights and perform such other responsibilities as may be prescribed in the Company's Charter, the Company's Internal Corporate Governance Regulations, and the relevant provisions of law.

ARTICLE 11. SHAREHOLDER ELIGIBILITY VERIFICATION COMMITTEE

1. The Head of the Organizing Committee of the General Meeting of Shareholders shall establish the Shareholder Eligibility Verification Committee. Such Committee shall consist of at least two (02) members, including one (01) Head and other member(s).
2. The Shareholder Eligibility Verification Committee shall be responsible for examining and confirming the eligibility of shareholders/proxies attending the online General Meeting, ensuring compliance with these Regulations, the Company's Charter, the Company's Internal Corporate Governance Regulations, and the other relevant provisions of law.
3. A representative of the Shareholder Eligibility Verification Committee shall report to the General Meeting of Shareholders on the results of the eligibility verification and on whether the conditions for convening the General Meeting of Shareholders have been satisfied in accordance with Article 6 of these Regulations.

ARTICLE 12. SECRETARIAT

1. The Chairperson shall appoint one or more persons to act as the secretary(ies) of the online General Meeting (the "Secretariat").
2. The Secretariat shall have the following duties:
 - a. To fully and accurately record the entire course of the meeting, as well as the contents and matters approved by the General Meeting of Shareholders or otherwise noted, in the Minutes of Meeting and the Resolution of the General Meeting, and to submit the same for approval prior to the close of the General Meeting.
 - b. To receive and consolidate questions and opinions raised by shareholders/proxies at the online General Meeting and forward them to the Presidium.
 - c. To perform such other tasks related to the online General Meeting as may be assigned by the Chairperson, and such other duties as may be prescribed in the Company's Charter, the Company's Internal Corporate Governance Regulations, and the relevant provisions of law.

ARTICLE 13. VOTE COUNTING COMMITTEE

1. The General Meeting of Shareholders shall elect one or more persons to the Vote Counting Committee for the purpose of counting votes and/or supervising the vote counting process at the online General Meeting, as proposed by the Chairperson. The number of members of the Vote Counting Committee shall be decided by the General Meeting of Shareholders based on the proposal of the Chairperson of the meeting.
2. The Vote Counting Committee shall have the following duties:
 - a. To instruct shareholders/proxies on the procedures for voting and election at the online General Meeting by way of electronic voting through the Online System.
 - b. To conduct the counting of votes for resolutions and elections.
 - c. To accurately, fully, and faithfully record the voting and election results of shareholders/proxies.
 - d. To consolidate and report to the Presidium the voting and election results in respect of the matters and issues submitted to the General Meeting; and to prepare, report on, and publicly announce the Minutes of Vote Counting and Election Counting before the General Meeting.
 - e. To review and report to the General Meeting of Shareholders for decision on any violations of the voting or election rules, or any complaints relating to voting or election, if any.

- f. To perform such other assigned tasks and such other duties as may be prescribed in the Company's Charter, the Company's Internal Corporate Governance Regulations, and the relevant provisions of law.

ARTICLE 14. MINUTES AND RESOLUTIONS OF THE GENERAL MEETING OF SHAREHOLDERS

1. All matters and proceedings at the online General Meeting must be recorded by the Secretariat in the Minutes of Meeting.
2. The Minutes of Meeting and the Resolution of the General Meeting of Shareholders shall be announced and adopted before the close of the meeting.
3. The Minutes of Meeting, the Resolution of the General Meeting of Shareholders, and all related documents shall be prepared, retained, and disclosed in accordance with the Company's Charter, the Company's Internal Corporate Governance Regulations, and the relevant provisions of law.

ARTICLE 15. IMPLEMENTATION PROVISIONS

1. These Regulations are promulgated pursuant to the Resolution of the Board of Directors of the Company No. 25/2026/NQ-HĐQT dated October 06, 2026 and shall apply to the preparation for and organization of the Company's 2026 Extraordinary General Meeting of Shareholders.
2. Shareholders/Proxies attending the General Meeting of Shareholders, and all parties participating in or otherwise involved in the preparation for and organization of the General Meeting of Shareholders, shall be responsible for implementing these Regulations.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN


Nguyen Hai Chau

GUIDELINES ON CUMULATIVE VOTING

(Attached to the Announcement of the Working Regulations of the 2026 Extraordinary General Meeting of Shareholders of EVS Securities Joint Stock Company)

1. Rules on cumulative voting

The election of members of the Board of Directors at the General Meeting of Shareholders shall be conducted in accordance with the principle of cumulative voting, whereby a shareholder may allocate the total number of his/her votes to one candidate; or to several candidates, with the number of votes cast for each candidate not necessarily being equal; or may cast only part of the total number of his/her votes for one or several candidates, in which case the remaining votes may be left unallocated to any candidate.

2. Voting method

a) Determination of the total number of votes for the election of members of the Board of Directors of a shareholder (or proxy)

The total number of votes for the election of members of the Board of Directors of a shareholder/proxy shall be determined according to the following formula:

Total number of votes = Total number of shares owned and/or represented under authorization × Number of members to be elected

Example: The number of persons to be elected as member of the Board of Directors as approved by the General Meeting of Shareholders is one (01) person. Mr. X is a shareholder holding 1,000 voting shares. Accordingly, the total number of votes for the election of the member of the Board of Directors available to Mr. X is $(1,000 \times 1) = 1,000$ votes. Assume that the list of candidates approved by the General Meeting of Shareholders for election as member of the Board of Directors consists of three (03) candidates.

b) Manner of casting votes

The election shall be conducted by allocating all or part of the **total number of votes** of the shareholder/proxy to one or more candidates, in which the number of votes allocated to each candidate may differ depending on the degree of confidence placed in that candidate.

Continuing with the above example, in the election of the member of the Board of Directors, Mr. X may allocate his total of 1,000 votes among the candidates as follows:

No.	Full name of candidate	Number of votes
1	Nguyen A	500
2	Nguyen B	0
3	Nguyen C	500
	Total	1,000

Notes:

- Mr. X may use a number of votes **equal to or less than** his total voting entitlement to vote for the candidates. In this example, Mr. X has **1,000 votes** for the election of the member of the Board of Directors. If he uses **more than 1,000 votes**, his election result shall be deemed **invalid**.
- Each login account will clearly display the total number of votes available to that person. This number will differ from one shareholder/proxy to another depending on the number of voting shares currently owned and/or represented under authorization by such shareholder/proxy. The total number of votes allocated to all candidates **must not exceed** this total number of available votes.

No.: 07/2026/TTr-HĐQT

Hanoi, October 06, 2026

PROPOSAL

Re: Dismissal and Election of Members of the Board of Directors

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company;
- Pursuant to the Letter of Resignation submitted by a Member of the Board of Directors,

In order to complete the composition of the Board of Directors (“BOD”) of EVS Securities Joint Stock Company (the “Company”) and ensure compliance with the Company’s Charter and applicable laws, the Company’s BOD respectfully submits to the 2026 Extraordinary General Meeting of Shareholders for approval the dismissal and election of BOD members, specifically as follows:

1. To approve the dismissal of Mr. Nguyen Thanh Hai from the position of Member of the Board of Directors, pursuant to his Letter of Resignation from the position of Member of the Board of Directors dated September 03, 2026.

2. To elect one (01) new Member of the Board of Directors to replace the relieved Member of the Board of Directors.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:

- As above;
- Archived: BOD Office.

**ON BEHALF OF THE BOARD OF
DIRECTORS**



CHAIRMAN

Nguyen Hai Chau

Hanoi, October 06, 2026

NOTIFICATION

REGARDING THE NOMINATION AND SELF-NOMINATION OF CANDIDATES FOR ELECTION TO THE BOARD OF DIRECTORS OF EVS SECURITIES JOINT STOCK COMPANY

To: Shareholders

- *Pursuant to Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its guiding documents, amendments and supplements;*
- *Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, and its guiding documents, amendments and supplements;*
- *Pursuant to the Charter, Internal Governance Regulations, and Regulations on the Organization and Operation of the Board of Directors of EVS Securities Joint Stock Company;*
- *Pursuant to the Letter of Resignation from the Board of Directors submitted by Mr. Nguyen Thanh Hai;*

Purpose:

- To ensure the principles of transparency, fairness, and democracy are upheld.
- To facilitate the organization and conduct of the General Meeting of Shareholders (“GMS”) and to stabilize business operations.

In preparation for the 2026 Extraordinary General Meeting of Shareholders of EVS Securities Joint Stock Company (hereinafter referred to as “**the Company**”), the Board of Directors (“**BOD**”) of the Company hereby informs shareholders of the details regarding the nomination and candidacy of candidates for election to the BOD in accordance with the law and the Company’s Charter as follows:

I. Number and term of office of the Board members to be elected

- The number of BOD members expected to be elected at the General Meeting is **one (01)**.
- The term of office of the member of the Board of Directors to be elected is: **2026-2031**.

II. Standards and conditions for becoming a member of the Board of Directors

1. Standards and conditions for becoming a member of the Board of Directors

- a. Not falling under the cases specified in Clause 2, Article 17 of the Law on Enterprises;

- b. Having professional qualifications and experience in business administration or in the field, industry, or business activities of the Company, and not necessarily being a shareholder of the Company;
- c. A member of the Company's BOD may simultaneously be a member of the BOD of another company, provided that the Company's BOD member may only simultaneously be a member of the BOD or Board of Members in a maximum of 05 other companies and does not fall under the prohibited cases as stipulated in point d of this clause;
- d. A person is not allowed to simultaneously be a member of the Board of Directors, a member of the Board of Members, or a Director or General Director (Chief Executive Officer - CEO) of another securities company.

2. The structure of the Company's Board of Directors

According to the current Charter, the structure of the Company's BOD must comply with the following regulations:

- a. There must be at least one independent member;
 - b. At least one member of the Board of Directors must be a non-executive member.
- Non-executive members of the Board of Directors: These are board members who are not the CEO, Deputy CEO, or Chief Accountant.

III. Nomination and candidacy of candidates for the Board of Directors

1. Shareholders or groups of shareholders owning 10% or more of the total number of common shares (based on the list of shareholders entitled to attend the 2026 Extraordinary General Meeting of Shareholders as finalized by the Vietnam Securities Depository and Clearing Corporation on September 28, 2026) have the right to nominate candidates for the BOD. Common shareholders may form groups to nominate candidates for the BOD.
2. The nomination process for members of the BOD is as follows:
 - Shareholders or groups of shareholders holding from 10% to 20% of the total voting shares are entitled to nominate a maximum of one (01) candidate;
 - Shareholders or groups of shareholders holding from over 20% to 40% of the total voting shares are entitled to nominate a maximum of two (02) candidates;
 - Shareholders or groups of shareholders holding from over 40% to 60% of the total voting shares are entitled to nominate a maximum of three (03) candidates;
 - Shareholders or groups of shareholders holding more than 60% of the total voting shares are entitled to nominate a maximum of five (05) candidates.

Based on the number of members of the BOD, shareholders or groups of shareholders as stipulated in this clause have the right to nominate one or more individuals as candidates for the BOD. If the number of candidates nominated by shareholders or groups of shareholders is less than the number of candidates they are entitled to nominate according to the General Meeting of Shareholders' decision, the remaining candidates shall be nominated by the BOD. The Board of Directors' nomination of additional candidates must be clearly disclosed prior to the General Meeting of



Shareholders voting to elect members of the Board of Directors, in accordance with applicable laws.

IV. Documents for nominating and applying for election to the Board of Directors

The documents required for nominating and applying for election as members of the BOD include:

- 01 Nomination/Self-nomination Form for candidates for election to the BOD (according to the template);
- 03 Personal information form completed by the candidate (according to the template);
- One set of notarized copies including: Identity card/Citizen ID/Passport and diplomas, certificates evidencing educational and professional qualifications;
- 01 valid power of attorney for nomination (if the shareholder authorizes another person to make the nomination).

The Nomination/Self-nomination Form and the Candidate's Personal Information Form (completed by the candidate): the templates are available on the Company's website at <http://www.eves.com.vn>.

V. Selecting candidates

Based on the nominations/applications from shareholders and groups of shareholders, the Organizing Committee will compile a list of qualified candidates for the BOD to be elected to the Board.

We respectfully request:

Shareholders or groups of shareholders wishing to nominate or run for election to the BOD must submit their candidacy or nomination documents to the Organizing Committee before **12:00 on October 27, 2026** to the following address:

EVS Securities Joint Stock Company

Address: Board of Directors' Office - 9th Floor - 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi

Phone: (84.24) 3772 6699 - Fax: (84.24) 377 26763.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN


Nguyen Hai Chau



NOMINATION/SELF-NOMINATION FORM

FOR NOMINATION OF CANDIDATES TO THE BOARD OF DIRECTORS OF EVS SECURITIES JOINT STOCK COMPANY

**To: The Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders
of EVS Securities Joint Stock Company**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements and guidance documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements and guidance documents;
- Pursuant to the Charter, the Internal Regulations on Corporate Governance, and the Regulations on Organization and Operation of the Board of Directors of EVS Securities Joint Stock Company (hereinafter referred to as the "Company");

I/We, being shareholder(s) currently holding ... shares, representing ...% of the total voting shares of the Company, provide details as follows:

No.	Shareholder name	Personal Identification Number	Permanent address/ Head office	Shares held ¹	
				Number	Percentage %
Total					

I/We hereby nominate the following individual(s) or stand for election as candidates for the Board of Directors of EVS Securities Joint Stock Company for the 2026–2031 term. Details of the nominees/candidates are as follows:

No.	Full name of nominee/ self-nominated candidate	Personal Identification Number	Educational background	Note

I/We hereby confirm that I/we fully satisfy all conditions and eligibility requirements for nominating a candidate or standing for election to the position of Member of the Board of Directors for the 2026–2031 term, in accordance with applicable laws, the Company's Charter, the Notification on

¹ The number of shares held is determined based on the list of shareholders entitled to attend the 2026 Extraordinary General Meeting of Shareholders, as of the record date of September 28, 2026; the ownership percentage is calculated as the ratio of shares held to the total number of the Company's voting shares.

the nomination and self-nomination of candidates for election to the Board of Directors, and the Working Regulations of the 2026 Extraordinary General Meeting of Shareholders of EVS Securities Joint Stock Company.

I/We respectfully request the Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders of EVS Securities Joint Stock Company to acknowledge my/our nomination / self-nomination of candidates for election to the Board of Directors.

Yours sincerely.

Attached documents include:

- *Three (03) copies of the Personal Information Form (in the Company's prescribed form);*
- *One (01) copy of the Identity Card/Citizen Identification Card/Passport and certified true copies of other relevant professional documents and certificates;*
- *One (01) Power of Attorney (if the shareholder authorizes another person to make the nomination).*

....., dated, 2026

SHAREHOLDER/SHAREHOLDERS

(Signature, full name, and seal, if an organization)



SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

4x6 Photo
(Attach photo and
affix seal)

PERSONAL INFORMATION FORM

1. Full name (capital letters): Male/Female:
2. Date of birth:
3. Place of birth:
4. Nationality: *(All current nationalities)*
5. Identity Card/Citizen Identification Card No. (for Vietnamese citizens) or Passport No. (for foreigners), issued on, at, valid until (for passports)
6. Permanent residence address (as per household registration): house number.....
block/hamlet/village/..... ward/commune..... province/city
7. Regular contact address:
8. Email: Phone:
9. Professional qualifications:
10. Occupation:
11. Current position at the organization subject to information disclosure:
.....
.....
.....
12. Current positions held in other organizations:
.....
.....
.....
13. Interests related to the Company and its related persons (if any):
.....
.....
.....
14. Educational background:



No.	Time (Month/Year)	Institution	Major	Degree
(1)	(2)	(3)	(4)	(5)
1				
2				
3				

15. Work experience

No.	Time (Month/Year)	Place of work (Name of organization)	Title	Position
(1)	(2)	(3)	(4)	(5)
1				
2				
3				

16. Related persons *(Declare all related persons as stipulated by the Law on Securities)*

No.	Name of related person	Relationship	Business Registration Certificate (organization)/ID Card (Vietnamese citizen) / Passport (foreigner) Date and place of issue	Place of study/ work/ other	Position	Name of securities company / fund management company in which capital is contributed	Ownership ratio (%)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1							
2							
3							

I hereby declare and take full responsibility for the accuracy and truthfulness of the above information.

....., dated, year.....

Certification of the declarant's signature by a notary public, the employing organization, or the competent local authority where the declarant's permanent residence is registered

DECLARANT
(Signature and full name)



No.: 08/2026/TTr-HĐQT

Hanoi, October 06, 2026

PROPOSAL

*Re: Approval of the provision of clearing and settlement services for securities transactions
under the central counterparty mechanism*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Law on Securities, and its amending and supplementing documents;
- Pursuant to Circular No. 121/2020/TT-BTC dated December 31, 2020, regulating the operations of securities companies, and its guiding, amending and supplementing documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company ("EVS" or the "Company");

With the objective of implementing the clearing and settlement system for the underlying securities market under the central counterparty (CCP) mechanism, the State Securities Commission of Vietnam ("SSC") has announced the Implementation Plan for the Central Counterparty Mechanism for the underlying securities market.

On that basis, in preparation for EVS to provide clearing and settlement services for securities transactions in accordance with applicable laws and the implementation plan announced by the SSC, and with a view to enhancing convenience and the experience for investors, the Board of Directors ("BOD") respectfully submits the following matters to the General Meeting of Shareholders of the Company ("GMS") for approval:

1. To approve the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism.
2. To approve the assignment/authorization of the BOD to carry out the following matters:
 - To decide whether the Company shall register as a Direct Clearing Member or a General Clearing Member, based on its ability to satisfy the conditions prescribed by applicable laws and the Company's actual circumstances.



- To decide on and carry out the procedures and make the necessary preparations for obtaining the Certificate of Eligibility to Provide Clearing and Settlement Services for Securities Transactions, the Clearing Membership Certificate, and other licenses, certificates and approvals from competent authorities.
- To decide on and carry out other matters related to the provision of clearing and settlement services for securities transactions.
- In the event that the Company has not been granted a Clearing Membership Certificate before the central counterparty mechanism becomes operational pursuant to a decision of the competent state authority, the BOD shall decide on and organize the implementation of necessary measures in accordance with applicable laws and the requirements of the competent state authority, so as to ensure that the Company's trading activities operate continuously and safely without adversely affecting the lawful rights and interests of customers.
- In the course of carrying out the matters assigned/authorized above, the BOD shall have the right to further assign/authorize the Chairman of the BOD and/or any other individual to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:

- *As above;*
- *Archived: BOD Office.*

**ON BEHALF OF THE BOARD OF
DIRECTORS**

CHAIRMAN


Nguyen Hai Chau



No.: 09/2026/TTr-HĐQT

Hanoi, October 06, 2026

PROPOSAL

*Re: Approval of the extension and recovery plan for the receivable from Tien Thanh
Consulting Services Company Limited*

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the "Company");
- Pursuant to the status of the disposal of collateral securing the repeatedly extended receivables (including the receivable from Tien Thanh Consulting Services Company Limited) under Proposal No. 11/2025/TT-HĐQT dated November 19, 2025, which was approved at the Extraordinary General Meeting of Shareholders held on November 19, 2025 ("Proposal No. 11/2025"), and Resolution No. 02/2025/NQ-ĐHĐCĐ dated December 11, 2025;
- Pursuant to the status of implementation of the plan to continue the recovery of the repeatedly extended receivable under Proposal No. 04/2026/TT-HĐQT dated March 31, 2026, which was approved at the Annual General Meeting of Shareholders held on April 22, 2026 ("Proposal No. 04/2026"), and Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026;
- Pursuant to Meeting Minutes No. 20/2026/BBH-HĐQT dated October 06, 2026 of the Company's Board of Directors.

In order to ensure financial safety, comply with applicable laws and the Company's Charter, and implement the policy on the disposal of collateral securing the receivable from Tien Thanh Consulting Services Company Limited ("Tien Thanh Company"), the Board of Directors ("BOD") of the Company respectfully submits the following matters to the General Meeting of Shareholders ("GMS") for consideration and approval:

1. Approval of the extension of the receivable from Tien Thanh Company
 - 1.1. Outstanding receivable and collateral of Tien Thanh Company

As of September 30, 2026, the outstanding receivable from Tien Thanh Company amounts to VND 518,870,022,775 and is secured by 51,900,000 shares of Mineral Import Export Joint Stock Company.
 - 1.2. Status of the disposal of collateral securing the receivable from Tien Thanh Company:
 - Pursuant to Proposal No. 04/2026, the GMS approved the disposal of the collateral securing the receivable from Tien Thanh Company and assigned/authorized the BOD to determine the timing and method for the sale/recovery or other measures in respect of the collateral in order to ensure compliance with financial safety indicators and protect the interests of the Company.



To implement the above, the BOD has been carrying out relevant tasks to determine an appropriate method for the disposal of the collateral in compliance with applicable laws and in the interests of the Company.

Accordingly, pending the disposal of the aforesaid collateral, the BOD respectfully submits to the GMS for consideration and approval the extension of the receivable from Tien Thanh Company until June 30, 2027.

2. Approval of the continued implementation of the debt recovery plan for the receivable from Tien Thanh Company as follows:
 - If, upon expiry of the payment extension period specified in Section 1 above, Tien Thanh Company has not made payment or has not fully paid the outstanding amount: EVS shall sell/recover the collateral or take other measures in respect of the collateral in accordance with applicable laws, the Company's Charter, and the provisions of the contracts/agreements entered into with the relevant parties.
 - If, during the payment extension period specified in Section 1 above, Tien Thanh Company and the Collateral Provider propose the sale of the collateral to fulfill the payment obligations to EVS: EVS, Tien Thanh Company, the Collateral Provider, and the relevant parties shall carry out the required procedures in accordance with applicable regulations to recover in full the aforesaid receivable from Tien Thanh Company.
3. The GMS assigns/authorizes the BOD to carry out the following matters:
 - To carry out the procedures for extending the receivable from Tien Thanh Company in compliance with applicable laws, the Company's Charter, and the Company's internal regulations.
 - To determine the timing and method for the sale/recovery or other measures in respect of the collateral in order to ensure compliance with financial safety indicators and protect the interests of the Company.
 - To make notifications, reports, and information disclosures to relevant organizations and competent state authorities (if any) in accordance with applicable laws.
 - In the course of carrying out the matters assigned/authorized above, the BOD shall have the right to further assign/authorize the Chairman of the BOD and/or any other individual to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:

- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF
DIRECTORS

CHAIRMAN



Nguyen Hai Chau



No.: 10/2026/TTr-HĐQT

Hanoi, October 06, 2026

PROPOSAL

Re: Approval of the restructuring of the Company and cost reduction

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of EVS Securities Joint Stock Company (the "Company" or "EVS");
- Pursuant to the Company's business performance and actual circumstances;

Pursuant to Report No. 0210/2026/BC-BĐH dated October 02, 2026 of the Board of Management on the Company's current operational status, the Board of Directors ("BOD") respectfully submits the following matters to the General Meeting of Shareholders ("GMS") for approval:

1. To approve the policy on the restructuring of the Company and cost reduction, including but not limited to: changing and reorganizing the organizational structure and the functions and duties of units toward a streamlined and leaner structure, while minimizing inefficient costs; changing the governance structure and necessary policies (including but not limited to the policies/remuneration of the BOD, the Audit Committee, etc.) and regulations; and streamlining personnel across all departments and units, with the objective of optimizing costs and increasing the Company's revenue and profits, while ensuring compliance with applicable laws and the Company's internal regulations.
2. The GMS assigns and authorizes the BOD to direct and organize the implementation of, and supervise the implementation of, the approved matters in accordance with applicable laws and the Company's Charter.
3. In the course of carrying out the matters assigned/authorized under Section 2 above, the BOD shall have the right to further assign/authorize the Acting Chief Executive Officer to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:

- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN



Nguyen Hai Chau





Hanoi, October 29, 2026

RESOLUTION
THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;*
- *The Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;*
- *The Charter of EVS Securities Joint Stock Company (the “Company”);*
- *The Minutes of the 2026 Extraordinary General Meeting of Shareholders of the Company dated October 29, 2026,*

HEREBY RESOLVES:

Article 1. The 2026 Extraordinary General Meeting of Shareholders (the “GMS”) of the Company hereby approves the following matters:

1. To approve the dismissal of Mr. Nguyen Thanh Hai from the position of Member of the BOD;
2. To approve the election of Mr./Ms. as a Member of the BOD;
3. To approve the Proposal on the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism (for details, please refer to Proposal No. 08/2026/TTr-HĐQT dated October 06, 2026 of the BOD);
4. To approve the extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited (for details, please refer to Proposal No. 09/2026/TTr-HĐQT dated October 06, 2026 of the BOD);
5. To approve the restructuring of the Company and cost reduction (for details, please refer to Proposal No. 10/2026/TTr-HĐQT dated October 06, 2026 of the BOD).

Article 2. The General Meeting of Shareholders assigns the BOD of the Company to direct the implementation of the above matters in accordance with applicable laws and the Charter of the Company.

Article 3. This Resolution shall take effect from October 29, 2026. The BOD, the Board of Management, and all relevant units and individuals within the Company shall be responsible for the implementation of this Resolution./.

Recipients:

- Shareholders;
- Members of the BOD;
- Board of Management, for implementation;
- State Securities Commission and Stock Exchange, for information disclosure;
- Archived: BOD Office.

**ON BEHALF OF THE GENERAL MEETING OF
SHAREHOLDERS
CHAIRMAN OF THE BOARD OF DIRECTORS**

Nguyen Hai Chau

