



**AN BINH SECURITIES  
JOINT STOCK COMPANY**

No.: 380 /ABS-IB.26

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom - Happiness**

*Hà Nội, October 12, 2026*

**NOTICE**

**Share issuance under the Employee Stock Ownership Plan**

**I. Information about the issuer**

1. Name of the issuer (*full*): An Binh Securities Joint Stock Company.
2. Abbreviated name: ABS.
3. Head office address: 16th Floor, Geleximco Building, No. 36 Hoang Cau Street, O Cho Dua Ward, Hanoi, Vietnam.
4. Telephone: (84-24) 3562 4626 Fax: (84-24) 3562 4628 Website: [www.abs.vn](http://www.abs.vn)
5. Charter capital: 3,011,500,000,000 VND.
6. Stock code: ABW.
7. Payment account: An Binh Commercial Joint Stock Bank – Hanoi Branch.  
Account No.: 0111077887788.
8. Enterprise Registration Certificate, Enterprise Registration No. 0102036848, first issued by the Hanoi Department of Planning and Investment on September 26, 2006, and amended for the fourth time by the Business Registration and Enterprise Finance Division – Hanoi Department of Finance on June 25, 2026.
  - Principal business line: Brokerage of commodity and securities contracts (Details: Securities brokerage). Business code: 6612.
  - Principal products/services: Securities brokerage; securities investment advisory; securities proprietary trading; securities underwriting; corporate financial advisory; and securities custody.
9. Establishment and Operation License No. 16/UBCK-GPHĐKD issued by the Chairman of the State Securities Commission of Vietnam on September 29, 2006; Amended License No. 77/GPĐC-UBCK issued on June 24, 2026.

**II. Purpose of the issuance**



To align the interests of employees with those of the Company, and the entire amount of capital raised from the issuance will be used to supplement the Company's margin lending activities.

### III. Issuance plan

1. Name of share: Shares of An Binh Securities Joint Stock Company.
2. Type of share: Ordinary shares.
3. Total number of issued shares: 301,150,000 shares.
4. Number of outstanding shares: 301,150,000 shares.
5. Number of treasury shares: 0 share.
6. Number of shares expected to be issued: 5,000,000 shares.
7. Total issuance value at par value: 50,000,000,000 VND.
8. Issuance ratio: 1,66% of the total number of outstanding shares.
9. Transfer restriction period: ESOP shares shall be subject to a transfer restriction for one (01) year from the end of the issuance. ABS shall not repurchase the issued ESOP shares.
10. Issue price (*in case of offering shares to employees*): 10,000 VND per share.
11. Escrow account for receipt of share purchase proceeds:
  - Account No.: 8601455979.
  - Opened at: Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch.
12. Source of capital for the issuance (*in case of issuance of bonus shares to employees*): Not in this case.
13. Time for receipt of share purchase proceeds: From October 12, 2026 to October 20, 2026.

**LEGAL REPRESENTATIVE  
GENERAL DIRECTOR**

*(signed)*

**Nguyễn Quang Đạt**

