BMSC
Together we strive, Together we win

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH

Lầu 3, Tòa nhà Pax Sky, 34A Phạm Ngọc Thạch, P. Võ Thị Sáu, Q.3, TP. Hồ Chí Minh
028 7306.8686 - 028 4455.0686 028 3824.7436 MST: 0102727651
info@bmsc.com.vn www.bmsc.com.vn

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH
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Hồ Chí Minh City, November 09, 2026

MEETING INVITATION

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

The Board of Directors of Bao Minh Securities Company (BMSC) respectfully invites shareholders to attend the 2026 Extraordinary General Meeting of Shareholders ("the 2026 EGM"):

Time : **09:30 a.m on Thursday, December 03, 2026**
Venue : 12th Floor, BMS Building, 34A Pham Ngoc Thach, Xuan Hoa Ward, HCMC
Participants : Shareholders owning shares of BMSC as of November 5, 2026 (last registration date according to VSDC's announcement), or legally authorized persons.

Attendance procedures: Shareholders attending the 2026 EGM please bring Meeting invitation and:

- Citizen identity card/ID card/Passport; *or*
- Citizen identity card/ID card/Passport and Power of Attorney (if attending the 2026 EGM as an authorized representative).

Meeting Contents:

- Proposal Re: Dismissal and supplementary election of members of the BOD Term IV (2023 - 2028);
- Proposal Re: Adjustment of the implementation plan for the share listing application;
- Proposal Re: Amendment of the Charter on Organization and Operation;
- Proposal Re: Amendment and supplementation of the Internal Regulations on Corporate Governance;
- Proposal Re: Amendment of the Operating Regulations of the Board of Directors;
- Proposal Re: Implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism.

To facilitate the preparation, organization, and reception, Shareholders are kindly requested to confirm your attendance via phone, email, mail, or by faxing the Power of Attorney to BMSC before 4:30 p.m on December 02, 2026.

BAO MINH SECURITIES COMPANY

Address: 3rd Floor, Pax Sky Building, 34A Pham Ngoc Thach, Xuan Hoa Ward, HCMC
Tel: (028) 7306 8686 (Ext: 1154 Mr. Bình) Fax: (028) 3824 7436
Email: *binhnv@bmsc.com.vn*

Meeting invitation, Meeting agenda, Meeting documents, and Power of Attorney form for the 2026 EGM are available on our website at *https://www.bmsc.com.vn/tin-co-dong/* from November 09, 2026. The amended and supplemented contents of the meeting documents (if any) will be disclosed by BMSC in accordance with applicable regulations. Please check BMSC's website for updates.

We are looking forward to your presence for the success of the 2026 EGM./.

ON BEHALF OF THE BOD
CHAIRWOMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH

LƯƠNG THỊ CẨM TÚ

AGENDA OF
2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

BAO MINH SECURITIES COMPANY

***Time*:** 09:30 am on Thursday, December 03, 2026

Venue: 12th Floor, BMS Building, 34A Pham Ngoc Thach, Xuan Hoa Ward, Ho Chi Minh City

NO.	CONTENT	EXECUTED BY	TIME
1	**Welcoming guests**		
1.1	Reception welcoming guests	Receptionist	From 09:00
1.2	Verifying shareholder eligibility, distributing EGM documents - aggregating attendance rate	Shareholder Eligibility Verification Committee	
2	**Opening**		
2.1	Declaring the reasons and introducing guests and delegates attending the 2026 Extraordinary General Meeting of Shareholders	Organizing Committee	09:30 – 09:50
2.2	Report on the verification of eligibility of shareholders attending the EGM	Shareholder Eligibility Verification Committee	
2.3	Introducing and approving the Presidium and the Chairperson of the 2026 EGM	Organizing Committee	
2.4	Introducing and approving the Secretariat and the Vote Counting Committee	Organizing Committee	
2.5	Approving the Meeting Agenda	Organizing Committee	
2.6	Approving the Regulations on the organization of the EGM	Organizing Committee	
2.7	Opening speech for the 2026 EGM	Chairperson of the BOD	
3	**Main Agenda**		
3.1	The BOD submits to the EGM for approval:		09:50 – 10:10
	- Proposal Re: Dismissal and supplementary election of members of the Board of Directors for Term IV (2023 – 2028	Presidium	
	- Proposal Re: Adjustment of the implementation plan for the share listing application	Presidium	
	- Proposal Re: Amendment of the Charter on Organization and Operation	Presidium	
	- Proposal Re: Amendment and supplementation of the Internal Regulations on Corporate Governance	Presidium	
	- Proposal Re: Amendment of the Operating Regulations of the Board of Directors	Presidium	
	- Proposal Re: Implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism	Presidium	
3.2	General Meeting discusses, votes, and elects		

NO.	CONTENT	EXECUTED BY	TIME
	- The General Meeting conducts discussions, votes to approve the proposals, and elects additional members to the Board of Directors for Term IV (2023 - 2028)	Presidium	10:10 – 10:30
4	**General Meeting break and vote counting**	Vote Counting Committee	10:30 – 10:50
5	**Approving the results of the General Meeting**		
5.1	Report on the voting results for the proposals and the supplementary election results of the Board of Directors members	Vote Counting Committee	10:50 – 11:00
5.2	Approving the Minutes of the 2026 EGM	Meeting Secretary	
5.3	Approving the Resolution of the 2026 EGM	Meeting Secretary	
6	**Closing**	Chairperson of the BOD	11:00 – 11:05

CHAIRWOMAN

G.P: 90 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH
P. XUÂN HÒA - T.P HỒ CHÍ MINH

LƯƠNG THỊ CẨM TÚ

POWER OF ATTORNEY
Attending the 2026 Extraordinary General Meeting of Shareholders of Bao Minh Securities Company

1. **Mandator:**
   - Name of person/organization: .....
   - Number of legal documents: ..... Date of issue: .....
   - Place of issue: .....
   - Address: .....
   - Number of shares owned: .....
2. **Authorized party:**
   - Name of person/organization: .....
   - Number of legal documents: ..... Date of issue: .....
   - Place of issue: .....
   - Address: .....
   - Number of delegated shares: .....

   Or authorize one of the members of the Board of Directors named below (Shareholders please mark (x) in the box of authorized member)

   - ☐ Ms. *Luong Thi Cam Tu* — *Chairwoman of the Board of Directors*
   - ☐ Mr. *Thieu Huu Chung* — *Permanent Vice Chairman of the Board of Directors*
   - ☐ *Mr. Tran Ngo Phuc Bao* — *Vice Chairman of the Board of Directors*
3. **Contents and scope of authorization:**
   - The authorized party is authorized to represent the Mandator to attend and vote on all issues at the 2026 Extraordinary General Meeting of Shareholders of Bao Minh Securities Company and exercise all rights and obligations at the Meeting, related to the authorized shares.
   - The Authorized party may not re-authorize to another person.
4. **Commitment of the Mandator and the Authorized Party:**
   We are fully responsible for this authorization and commit to strictly comply with current regulations of law and the Charter of Bao Minh Securities Company and commit not to make any complaints or lawsuits against the Company.
5. **Period of authorization:**

   This authorization is valid during the 2026 Extraordinary General Meeting of Shareholders of Bao Minh Securities Company.

....., …… 2026

Authorized Party	**Mandator**
	(Signature & full name)
	(Signature & full name - seal if organization)

Note: *If the Mandator is an organization, it must have the signature of the organization's legal representative and the organization's seal. Shareholders authorizing members of the BOD of BMSC must fax the authorization letter to attend the meeting to BMSC before 4:30 p.m. on December 02, 2026.*

DRAFT

BAO MINH SECURITIES COMPANY

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Ho Chi Minh City, November 05, 2026

REGULATIONS

ON THE ORGANIZATION OF THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS OF BAO MINH SECURITIES COMPANY

CHAPTER I

GENERAL PROVISIONS

Article 1. Scope of application.

1.1. This Regulation applies to the organization of the 2026 Extraordinary General Meeting of Shareholders (hereinafter referred to as the "***General Meeting***") of Bao Minh Securities Company (hereinafter referred to as the "***Company***").

1.2. This Regulation specifies the rights and obligations of the parties participating in the General Meeting; and the conditions and procedures for conducting the General Meeting.

CHAPTER II

RIGHTS AND OBLIGATIONS OF PARTIES ATTENDING THE GENERAL MEETING

Article 2. Rights and obligations of shareholders and their representatives.

2.1. Conditions for attending the General Meeting: Those who are eligible to attend the General Meeting are shareholders whose names are listed on the list of shareholders entitled to attend the meeting prepared by the Vietnam Securities Depository and Clearing Corporation as of the record date for determining the list of shareholders entitled to attend the General Meeting (November 05, 2026) or legally authorized representatives of shareholders.

2.2. Rights of shareholders, authorized representatives of institutional shareholders, or authorized proxies:

a) Shareholders/authorized representatives of institutional shareholders may attend the meeting directly or authorize one (01) or several other individuals or organizations in writing to attend the General Meeting.

The authorization for individuals or organizations to represent at the General Meeting must be in writing. The Power of Attorney must be made according to the form issued by

the Company or in accordance with civil law, clearly stating the name of the authorizing shareholder, the name of the authorized individual or organization, the number of authorized shares, the content of authorization, the scope of authorization, the term of authorization, and the signatures of the authorizing party and the authorized party.

If not previously registered with the Company, the authorized person attending the General Meeting must present the power of attorney when registering for the meeting.

b) To discuss and vote on all matters within the authority of the General Meeting of Shareholders as prescribed in the Company's Charter and legal regulations.

c) To be informed of the content and agenda of the General Meeting and accompanying documents.

d) At the General Meeting, each shareholder/authorized proxy attending the General Meeting will receive one (01) set consisting of a voting card, a voting ballot for Approval of Proposals, a voting ballot for Additional Members of the Board of Directors, and documents related to the General Meeting after registering attendance with the Shareholder Eligibility Verification Committee.

e) To have the right to speak and contribute opinions under the guidance of the Chairperson of the General Meeting in accordance with this Regulation and legal regulations

f) Shareholders/authorized proxies arriving after the meeting has commenced have the right to register immediately and subsequently have the right to participate and vote at the General Meeting right after registration. The Chairperson of the General Meeting is not responsible for stopping the meeting to allow late shareholders/authorized proxies to register, and the validity of the contents previously voted on remains unchanged.

g) Other rights as prescribed in the Company's Charter and legal regulations.

2.3. Obligations of shareholders, authorized representatives of institutional shareholders, or authorized proxies:

a) Shareholders/authorized proxies attending the General Meeting must bring and present all of the following documents to the Shareholder Eligibility Verification Committee to complete registration for the General Meeting.

- In case the shareholder is an individual, or the legal representative of an institutional shareholder directly attends the General Meeting:
  - The original Notice of Invitation to the meeting.
  - Legal identification documents of the individual attending the meeting (*original Identity Card/Citizen Identity Card/Passport or other legal personal authentication data*)
  - Legal documents of the organization in case the legal representative of the institutional shareholder directly attends the General Meeting (*certified copy of*

the Enterprise Registration Certificate/Establishment Decision or other equivalent documents).

- In case a shareholder authorizes another individual or organization to attend the General Meeting, the authorized party must present:
  - The original Notice of Invitation to the meeting.
  - The Power of Attorney made according to the form issued by the Company (*posted on the Company's website along with the meeting documents*) or in accordance with civil law. Specifically, the Power of Attorney must fully state the information on the name of the authorizing shareholder, the name of the authorized individual or organization, the number of authorized shares, the content of authorization, the scope of authorization, the term of authorization, and the signatures of the authorizing party and the authorized party. The Pwer of Attorney of an institutional shareholder must have the confirmation of the legal/authorized representative and the seal of that institutional shareholder.
  - Legal identification documents of the authorized person.
  - Legal identification documents of the authorizing person.
- In case of re-authorization:
  - Re-authorization is only permitted when an authorized organization re-authorizes an individual to attend the General Meeting.
  - In case an authorized organization re-authorizes, the re-authorized individual must present all documents prescribed for the case where a shareholder authorizes another individual or organization to attend the General Meeting as above, and additionally present the legal identification documents of the re-authorized individual along with the re-authorization document made in accordance with civil law.

b) When wishing to express opinions or discuss, shareholders/authorized proxies attending the General Meeting must obtain the consent of the Chairperson of the General Meeting, speak concisely, and focus on the main contents to be discussed, in line with the approved Meeting agenda of the General Meeting. Matters already addressed by previous speakers should not be repeated to avoid duplication. Shareholders/authorized proxies attending the General Meeting can also write their contents on a question slip and pass it to the Secretariat.

c) During the General Meeting, shareholders/authorized proxies attending the meeting must comply with the provisions of this Regulation, follow the guidance/direction of the Chairperson of the General Meeting, the Presidium, and the Shareholder Eligibility Verification Committee; behave civilly and politely, avoid causing disorder, hindering the normal progress of the meeting, or refusing security check requests of the meeting.

d) Shareholders/authorized proxies attending the General Meeting must comply with the order and safety of the General Meeting, including: Arriving on time, bringing identification and other necessary documents; Wearing polite attire appropriate for the General Meeting; Adhering to the seating arrangement/area of the Organizing Committee; No smoking in the meeting room; Maintaining order during the General Meeting, keeping phones on vibrate or silent mode, and stepping outside to take calls if necessary; and Other regulations of the Organizing Committee and the Chairperson.

In case a shareholder/authorized proxy fails to comply with the checking regulations or the aforementioned measures and regulations, the Chairperson, after careful consideration, may refuse or expel said shareholder/authorized proxy from the venue of the General Meeting to ensure the meeting proceeds normally according to the planned agenda.

e) Other obligations as prescribed in the Company's Charter and legal regulations.

Article 3. Rights and obligations of the Chairperson of the General Meeting, the Presidium.

3.1. The Chairman of the Board of Directors shall act as the Chairperson of the General Meeting or authorize another member of the Board of Directors to act as the Chairperson of the General Meeting. In case the Chairman is absent or temporarily unable to work, the remaining members of the Board of Directors shall elect one (01) person among themselves to act as the Chairperson of the General Meeting on a majority basis. In case no person is elected as the Chairperson of the General Meeting, the Head of the Supervisory Board shall direct the General Meeting to elect the Chairperson of the General Meeting from among the attendees, and the person with the highest number of votes shall act as the Chairperson of the General Meeting.

3.2. The Chairperson of the General Meeting may propose a Presidium to co-chair the General Meeting. The Presidium shall assist the Chairperson of the General Meeting in directing the General Meeting in accordance with this Regulation, and the Meeting agenda and contents approved by the General Meeting.

3.3. The members of the Presidium must follow the main direction of the Chairperson of the General Meeting in debating and discussing issues related to the direction of the General Meeting. In all cases, the decision of the Chairperson of the General Meeting is final.

3.4. The members of the Presidium have the right to take necessary and reasonable measures to direct the General Meeting in an orderly manner, strictly in accordance with the contents and agenda approved by the General Meeting, and reflecting the wishes of the majority of attendees.

3.5. As assigned by the Chairperson of the General Meeting, the members of the Presidium are responsible for:

a) Guiding the General Meeting to discuss and vote on matters in a sequence and duration appropriate to the Meeting agenda and contents approved by the General Meeting.

b) Announcing the voting results, election results, draft minutes and resolutions of the General Meeting, or re-assigning these tasks to the Secretariat of the General Meeting/ the Vote Counting Committee/other individuals to execute.

c) Answering queries from shareholders/authorized proxies attending the General Meeting upon request regarding matters related to the meeting agenda and contents approved by the General Meeting right at the meeting, or answering in writing when it is deemed necessary to collect more information or when time limits cannot be guaranteed.

d) Requiring all attendees to undergo checks or other lawful and reasonable security measures; Requesting competent authorities to maintain order at the General Meeting if necessary; Expelling from the General Meeting those who do not comply with the direction of the Chairperson, intentionally cause disorder, hinder the normal progress of the meeting, or fail to comply with security check requirements.

e) Resolving issues arising during the course of the General Meeting.

Article 4. Rights and obligations of the Secretary of the General Meeting.

4.1. The Secretary of the General Meeting is appointed by the Chairperson and approved by the General Meeting.

4.2. The Secretary of the General Meeting performs supporting tasks as assigned by the Chairperson, including:

a) Receiving and synthesizing opinions and requests for answering queries from shareholders/authorized proxies attending the General Meeting during the course of the General Meeting, and forwarding them to the Presidium.

b) Fully and faithfully recording the entire proceedings of the General Meeting and the matters discussed, approved, or noted by the shareholders/authorized proxies attending the General Meeting..

c) Drafting the contents of the meeting minutes and resolutions on the matters approved at the General Meeting.

d) As assigned by members of the Presidium, reading and announcing the draft Minutes and resolutions on the matters approved at the General Meeting.

e) Performing other tasks related to the General Meeting as assigned by the Chairperson of the General Meeting.

Article 5. Rights and obligations of the Shareholder Eligibility Verification Committee.

5.1. The Shareholder Eligibility Verification Committee is appointed by the Board of Directors.

5.2. The Shareholder Eligibility Verification Committee has the following rights and obligations:

a) Verifying the Powers of Attorney submitted by shareholders to the Company in case the Powers of Attorney are sent to the Company prior to the General Meeting.

b) Verifying the eligibility of shareholders/authorized proxies attending the General Meeting in accordance with this Regulation, the Company's Charter, and legal regulations.

c) Distributing to each shareholder/authorized proxy attending the meeting one (01) set consisting of a voting card, a voting ballot for Approval of Proposals, a voting ballot for Additional Members of the Board of Directors, and documents related to the General Meeting after such shareholder/authorized proxy has completed the meeting registration with the Shareholder Eligibility Verification Committee.

d) Preparing a minutes on the results of shareholder eligibility verification. The shareholder eligibility verification minutes must include at least information on the number of shareholders/authorized proxies attending the General Meeting and the total number of votes of the shareholders/authorized proxies attending the General Meeting, and an appendix of the registration list of shareholders/authorized proxies attending the General Meeting with the corresponding number of shares and votes attending the General Meeting. The shareholder eligibility verification minutes must be signed by all members of the Shareholder Eligibility Verification Committee

e) One (01) of the members of the Shareholder Eligibility Verification Committee/the Secretary of the General Meeting/another individual shall present the shareholder eligibility verification minutes to the General Meeting.

Article 6. Rights and obligations of the Vote Counting Committee.

6.1. The Vote Counting Committee is recommended by the Chairperson of the General Meeting for approval by the General Meeting.

6.2. The Vote Counting Committee has the following rights and obligations:

a) Supervising and guiding the voting and election procedures for shareholders/authorized proxies at the General Meeting.

b) Answering queries from shareholders/authorized proxies attending the General Meeting regarding voting and casting ballots within its authority.

c) Counting the voting ballots for Approval of Proposals and voting ballots for Additional Members of the Board of Directors.

d) Preparing a minutes on the counting results of the voting and election. The vote counting minutes must include at least information on the total number of votes for each voting matter, clearly stating the voting method, the total number of valid, invalid, approving, disapproving, and no opinion, and the corresponding percentage over the total votes of

the shareholders/authorized proxies attending the General Meeting. The vote counting minutes must be signed by all members of the Vote Counting Committee.

e) Informing the counting results to the Presidium and the Secretary of the General Meeting.

f) One (01) of the members of the Vote Counting Committee/the Secretary of the General Meeting/another individual shall read and report the minutes on the counting results of the voting and election to the General Meeting.

g) Not disclosing the vote counting results to any person before the vote counting minutes is announced at the General Meeting, except for the case stipulated in point d) of this clause.

6.3. The Vote Counting Committee is responsible for the honesty and accuracy of the vote counting results. The vote counting must ensure transparency and comply with this Regulation, the Company's Charter, and legal regulations.

CHAPTER III

ORDER AND PROCEDURES FOR CONDUCTING THE GENERAL MEETING

Article 7. Conditions for conducting the General Meeting.

The General Meeting shall be conducted when the attending shareholders and legally authorized representatives of shareholders represent more than fifty percent (50%) of the total voting shares, according to the list of shareholders entitled to attend the meeting prepared by the Vietnam Securities Depository and Clearing Corporation as of the record date for determining the list of shareholders entitled to attend the General Meeting (November 05, 2026).

Article 8. Discussion at the General Meeting.

8.1. Shareholders/authorized proxies attending the General Meeting shall conduct discussions under the guidance and direction of the Chairperson of the General Meeting during the course of the General Meeting, and ensure that the discussions and contributions of opinions take place in an orderly and civilized manner, in accordance with the agenda approved by the General Meeting.

8.2. Shareholders/authorized proxies attending the General Meeting may participate in discussions at the General Meeting by speaking directly or submitting questions/opinions to the Presidium. The Secretary of the General Meeting is responsible for synthesizing and summarizing the questions and opinions of the shareholders/authorized proxies attending the General Meeting and forwarding them to the Presidium.

8.3. The discussion and answering of questions and queries from shareholders/authorized proxies attending the General Meeting shall be carried out according to the following principles and

methods:

a) The Chairperson shall base on the meeting agenda's timeframe to arrange and schedule the speeches of shareholders/authorized proxies attending the General Meeting.

b) Shareholders/authorized proxies attending the General Meeting should speak concisely and in relevance to the contents of the agenda approved by the General Meeting. The Chairperson has the right to remind and/or request the shareholder/authorized proxy attending the General Meeting to stop speaking if such questions or discussions are deemed repetitive or irrelevant to the contents of the agenda approved by the General Meeting, or if they significantly affect the duration of the General Meeting according to the approved Meeting agenda.

c) In case the discussion exceeds the timeframe of the Meeting agenda approved by the General Meeting, questions that have not been answered at the General Meeting shall be recorded by the Secretary of the General Meeting, and the Presidium may respond to the shareholders/authorized proxies attending the General Meeting later in writing, via email, or in other forms not contrary to legal regulations.

Article 9. Voting on matters of the General Meeting by voting cards or voting ballots for Approval of Proposals.

9.1. Voting by voting cards:

a) Form of the voting card (red card): Each shareholder/authorized proxy attending the General Meeting shall receive one (01) voting card, which fully prints the shareholder's full name, ownership registration number, number of owned shares, number of attending shares (including total owned and represented shares), full name of the authorized proxy, and number of authorized shares.

b) The voting card is used to vote on the following matters:

(i) Composition of the Presidium, the Secretary of the General Meeting, and the Vote Counting Committee;

(ii) Regulation on the organization of the 2026 Extraordinary General Meeting of Shareholders of Bao Minh Securities Company;

(iii) Meeting agenda;

(iv) Minutes and resolution of the General Meeting.

c) Voting method: For each matter to be voted on, the shareholder/authorized proxy attending the General Meeting shall vote for one (01) of the three (03) options: "Approve", "Disapprove", or "No opinion" regarding the matters stated in point b) of this clause by raising the voting card.

d) Announcement of voting results: The Chairperson of the General Meeting announces the

voting results immediately after conducting the vote on that matter.

9.2. Voting by voting ballots for Approval of Proposals (Blue ballot):

a) Form of the voting ballot for Approval of Proposals: Each shareholder/authorized proxy attending the General Meeting shall receive one (01) voting ballot for Approval of Proposals, which fully prints the voting instructions, shareholder/authorized person's full name, registration number, number of owned shares/total voting shares of that shareholder, number of authorized shares, Aggregate number of attending shares (including total owned and represented shares), Proposals to be voted on at the General Meeting, and a section for the shareholder/authorized proxy attending the General Meeting to mark their opinion as "Approve", "Disapprove", or "No opinion".

b) The voting ballot for Approval of Proposals is used to vote on the approval of the proposals of the Board of Directors/recommendations of the group of shareholders as prescribed in Clause 2, Article 115 of the Law on Enterprises.

c) Voting method: For each voting matter/content, the shareholder/authorized proxy attending the General Meeting shall vote for one (01) of the three (03) options: "Approve", "Disapprove", or "No opinion" for each matter/content by checking the corresponding box on the voting ballot.

In case a shareholder/authorized proxy attending the General Meeting makes a mistake while filling out the voting ballot for Approval of Proposals, provided it has not been cast into the ballot box, they have the right to directly meet the Vote Counting Committee to exchange it for a new voting ballot for Approval of Proposals to ensure the shareholder's rights.

The Vote Counting Committee collects the voting ballots for Approval of Proposals or the shareholders/authorized proxies attending the General Meeting cast their ballots into the ballot box prepared by the Organizing Committee of the General Meeting, and counting is conducted at the vote counting desk/area.

d) Announcement of voting results: The Chairperson of the General Meeting shall publicly announce the voting results to the General Meeting.

e) Valid voting ballot for Approval of Proposals is a ballot that does not fall into any of the cases specified in point f of this Clause.

f) Invalid voting ballot for Approval of Proposals is a ballot that falls into at least one (01) of the following cases:

(i) The ballot is not issued by the Organizing Committee of the General Meeting.

(ii) It is erased or torn.

(iii) It is not validly marked, not marked in any box, or marked in more than one (01) box among the "Approve", "Disapprove", or "No opinion" boxes for each voting

matter.

(iv) It is crossed out, edited, or has other contents added to the pre-printed contents on the ballot.

(v) It lacks the signature and full name of the attending shareholder/authorized representative.

g) Partially valid and invalid ballots: For the voting on any matter where the attending shareholder/authorized representative votes invalidly as specified in item (iii) and item (iv), point f of this Clause, only the vote for that specific matter shall be deemed invalid. Other matters that are marked in accordance with the regulations shall be recorded as valid.

Article 10. Voting for the supplementary election of members of the Board of Directors for Term IV (2023 – 2028).

10.1. Number of additional Board of Directors members to be elected: two (02) members.

10.2. Qualifications and conditions for candidates for the Board of Directors for Term IV (2023 – 2028):

a) Not falling into any of the categories specified in Clause 2, Article 17 of the Law on Enterprises (and its amending and supplementing documents), including:

(i) Cadres, civil servants, and public employees as prescribed by the Law on Cadres and Civil Servants and the Law on Public Employees, except for cases implemented in accordance with the laws on science, technology, innovation, and national digital transformation;

(ii) Commissioned officers, non-commissioned officers, career military personnel, military workers and public employees in agencies and units of Vietnam People's Army; commissioned officers, non-commissioned officers and police workers in police authorities and units.

(iii) Executive officers and managers of state-owned enterprises prescribed in Point a Clause 1 Article 88 of the Law on Enterprises;

(iv) Minors; people with limited legal capacity; incapacitated people; people having difficulties controlling their behaviors;

(v) A person who is facing criminal prosecution, kept in temporary detention, serving an imprisonment sentence, serving an administrative penalty in a correctional institution or rehabilitation center, has limited legal capacity or is incapacitated, is not able to control his/her own behaviors, or is banned by the court from holding certain positions or doing certain works; other cases as prescribed by the Law on Bankruptcy and the Anti-corruption Law.

b) Having professional qualifications and experience in business administration or in the business fields and lines of the Company, and not necessarily being a shareholder of the Company.

c) May concurrently serving as a member of the Board of Directors or a member of the Board of Members of a maximum of five (05) other companies, and must not fall under the prohibited cases specified in point d of this clause.

d) Must not concurrently serving as a member of the Board of Directors, a member of the Board of Members, or the General Director (Director) of another securities company.

e) Other qualifications and conditions as prescribed by law (if any).

10.3. The nomination and self-nomination for members of the Board of Directors shall be carried out as follows:

a) Shareholders holding less than ten percent (10%) of the total ordinary shares may group together to nominate candidates to the Board of Directors and must notify the attending shareholders of the group formation before the opening of the General Meeting.

b) Individual shareholders holding ten percent (10%) or more of the total ordinary shares have the right to self-nominate for the Board of Directors.

c) A shareholder or a group of shareholders holding from ten percent (10%) to less than twenty percent (20%) of the total ordinary shares may nominate a maximum of one (01) candidate to the Board of Directors.

A shareholder or a group of shareholders holding from twenty percent (20%) to less than thirty percent (30%) of the total ordinary shares may nominate a maximum of two (02) candidates to the Board of Directors.

d) In case the number of candidates nominated by a shareholder or a group of shareholders is lower than the required number, the remaining candidates shall be nominated by the Board of Directors in accordance with the law. The introduction of additional candidates must be clearly announced before the General Meeting votes to elect members of the Board of Directors in accordance with the law.

10.4. The dossier for nomination and self-nomination for members of the Board of Directors shall be submitted in accordance with *Notice No. ..... /2026-BMSC/HĐQT-TB dated ...../...../2026 of the Company Re: Nomination and candidacy for members of the Board of Directors for Term IV (2023 – 2028).*

10.5. Voting for the supplementary election of members of the Board of Directors for Term IV (2023 – 2028) by voting ballots for Additional Members of the Board of Directors (Yellow ballot):

a) Form of the voting ballots for Additional Members of the Board of Directors: Each attending shareholder/authorized representative shall receive one (01) voting ballots for

Additional Members of the Board of Directors, which prints in full the election instructions, full name of the shareholder/authorized person, registration number of the shareholder, number of owned and/or authorized shares, total votes (equal to (=) the total number of owned shares multiplied by (x) the number of additional Board of Directors members to be elected), the list of candidates for the Board of Directors, and a section for the attending shareholder/authorized representative to mark or write the number of votes for each candidate.

b) Voting method: The voting for electing members of the Board of Directors shall be conducted by the cumulative voting method. Accordingly, each attending shareholder/authorized representative has a total number of voting rights corresponding to the total number of owned and represented shares multiplied by (x) the number of additional Board of Directors members to be elected, and:

(i) If the attending shareholder/authorized representative casts all of their total votes for one (01) candidate, they shall mark (x) in the "Number of votes" box or write specifically their total votes in the "Number of votes" box in the same row as that candidate's name.

(ii) The attending shareholder/authorized representative writes their number of votes for their chosen candidate in the "Number of votes" box in the same row, provided that the total number of votes for all candidates chosen by the attending shareholder/authorized representative is at most equal to their total votes or the total number of authorized votes (as applicable).

(iii) If the attending shareholder/authorized representative does not vote for a candidate in the election list, the "Number of votes" column shall be left blank, and this ballot is considered a blank vote and still valid.

(iv) If the attending shareholder/authorized representative decides to equally divide their total votes among the candidates, they shall mark (x) in the "Equally divide votes among selected candidates" box in the same row as those candidates's names.

In case the attending shareholder/authorized representative makes a mistake while filling out the voting ballot for Additional Members of the Board of Directors, provided that it has not been cast into the ballot box, they have the right to directly meet the Vote Counting Committee to exchange it for a new voting ballot for Additional Members of the Board of Directors to ensure the shareholder's rights.

c) The Vote Counting Committee collects the voting ballots for Additional Members of the Board of Directors, or each attending shareholder/authorized representative casts the ballot into the ballot box prepared by the Organizing Committee of the General Meeting. The Vote Counting Committee performs the vote counting task at the vote counting desk/area and compiles the number of votes in accordance with regulations.

The elected members of the Board of Directors are determined by the number of votes from highest to lowest, starting from the candidate with the highest number of votes until the required number of additional members is met. In case two (02) or more candidates receive the same number of votes for the final member of the Board of Directors to be additionally elected, a re-election shall be conducted among the candidates with equal votes in accordance with the cumulative voting principle.

d) Announcement of election results: The Chairperson of the General Meeting shall publicly announce the election results to the General Meeting.

e) Valid voting ballot for Additional Members of the Board of Directors is a ballot that does not fall into any of the cases specified in point f of this Clause.

f) Invalid voting ballot for Additional Members of the Board of Directors is a ballot that falls into at least one (01) of the following cases:

(i) The ballot is not issued by the Organizing Committee of the General Meeting.

(ii) It is erased or torn.

(iii) It is crossed out, edited, or has other contents added to the pre-printed contents on the ballot, except for the contents permitted to be added as specified in point b of this Clause.

(iv) The total number of votes for all candidates voted for by the attending shareholder/authorized representative is greater than the total votes or the total number of authorized votes (as applicable).

(v) The content in the "Number of votes" column is crossed out or edited.

(vi) The content in the "Number of votes" column is unclear, leading to the Vote Counting Committee members being unable to unanimously agree on one (01) exact number.

(vii) It lacks the signature and full name of the attending shareholder/authorized representative.

Article 11. Approval of the Resolution of the General Meeting.

The voting rate to approve the matters of the General Meeting's agenda shall be implemented in accordance with the provisions of Article 148 of the Law on Enterprises (and its amending and supplementing documents) and relevant legal regulations.

Article 12. Minutes of the General Meeting.

The meeting minutes shall be prepared and sent to the shareholders in accordance with the provisions of Article 150 of the Law on Enterprises and relevant legal regulations.

CHAPTER IV

EFFECTIVENESS

Article 13. Implementation provisions.

13.1. This Regulation consists of 4 Chapters and 13 Articles, and shall take effect immediately upon being voted and approved by the General Meeting of Shareholders.

13.2. The Company is responsible for retaining the General Meeting documents in accordance with the Company's Charter and relevant legal regulations.

13.3. Parties participating in the General Meeting are responsible for complying with the provisions of this Regulation.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRWOMAN

S.G.P: 90 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH
P. XUÂN HÒA - TP HỒ CHÍ MINH

LƯƠNG THỊ CẨM TÚ

BAO MINH SECURITIES COMPANY

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

December 03, 2026

ATTENDANCE CONFIRMATION

Shareholder's name:

Registration No.:

Signature of Shareholder/Authorized Person

Number of owned shares:

Number of attending shares:

Name of authorized person:

Number of authorized shares:

BAO MINH SECURITIES COMPANY

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

December 03, 2026

VOTING CARD

Shareholder's name:

Registration No.:

Number of owned shares:

Number of attending shares:

Name of authorized person:

Number of authorized shares:

VOTING INSTRUCTIONS

1. Shareholders shall vote (Approve, Disapprove, No opinion) on a matter in the Voting Ballot by placing a single cross mark (X) in the box corresponding to their opinion.
2. In case of a mistake or change of opinion, the shareholder shall mark the correct box, circle the incorrect mark (X), and sign next to the incorrect mark (X).
3. **Invalid BALLOTS / ITEMS:**
   - Invalid Ballot: The ballot lacks the signature and full name of the Shareholder/Authorized Person, and/or all Items are invalid
   - Invalid Item: Any Proposal Item where the Shareholder/Authorized Person leaves all boxes blank, marks multiple boxes, or both marks a box and writes additional opinions.

BAO MINH SECURITIES COMPANY

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

December 03, 2026

BMSC

VOTING BALLOT

APPROVAL OF PROPOSALS

Code No.:

Shareholder's name:

Registration No.:

Number of owned shares:

Name of authorized person:

Number of authorized shares:

Aggregate number of attending shares:

No.	Proposals	Approve	Disapprove	No opinion
1	Proposal Re: Dismissal and supplementary election of members of the Board of Directors for Term IV (2023 – 2028)			
2	Proposal Re: Adjustment of the implementation plan for the share listing application			
3	Proposal Re: Amendment of the Charter on Organization and Operation			
4	Proposal Re: Amendment and supplementation of the Internal Regulations on Corporate Governance			
5	Proposal Re: Amendment of the Operating Regulations of the Board of Directors			
6	Proposal Re: Implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism			

Confirmation of Shareholder/Authorized person

(Signature and full name)

ELECTION INSTRUCTIONS

In accordance with the Law on Enterprises and the Company's Charter, the election shall be conducted under the cumulative voting method. Each Shareholder has a total number of votes corresponding to their total number of owned shares multiplied by (x) the number of members to be elected to the Board of Directors.

The number of Board of Directors (BOD) members to be elected is **two (02)** members.

Ví dụ: Shareholder A owns 1,000 shares, the number of votes for BOD members equals (=):

1,000 x 2 = 2,000 votes

Shareholder shall select the candidate(s) by writing their number of votes for the candidate(s), provided that the number of votes cast for the selected candidate(s) is equal to (=) or less than (<) the their total votes.

In case Shareholder cumulates all of their votes for one (01) candidate, please place a cross mark (x) in the "**Number of votes**" column. If a Shareholder equally divides their total votes among the candidates, please place a cross mark (x) in the "**Equally divide votes among selected candidates**" column.

If Shareholder does not vote for any candidate on the election list, the "**Number of votes**" column shall be left blank, and this Ballot shall be considered a blank ballot.

BAO MINH SECURITIES COMPANY

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

December 03, 2026

BMSC

VOTING BALLOT

FOR ADDITIONAL MEMBERS OF THE BOARD OF DIRECTORS

Code No.:

Shareholder's name:

Registration No.:

Number of owned shares:

Total votes:

Name of authorized person:

Number of authorized votes:

VOTING FOR ADDITIONAL MEMBERS OF THE BOARD OF DIRECTORS:

No.	Full Name	(Shareholders select 1 of the 2 options below)	
		Number of votes *(Specify the number of votes for each candidate or cumulate all votes for 1 candidate)*	**Equally divide votes among selected candidates**
1			
2			

*** Instructions:** Shareholders/authorized person attending the 2026 EGM shall cast their votes in accordance with the instructions of the Organizing Committee at the 2026 EGM and the Regulation on the organization of the 2026 EGM of BMSC, as approved by the 2026 EGM.

Confirmation of Shareholder/Authorized person
(Signature and full name)

BAO MINH SECURITIES COMPANY

No.: 84../2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Dismissal and supplementary election of members of the Board of Directors for Term IV (2023 – 2028)

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *Decree No. 155/2020/NĐ-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities, and its amending, supplementing and implementing documents;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *Notice No. 313 /2026-BMSC/HĐQT-TB dated 05./11./2026 of the Board of Directors on the nomination and self-nomination of candidates for the supplementary election of members of the Board of Directors for Term IV (2023 - 2028) of Bao Minh Securities Company;*

The Board of Directors (BOD) respectfully submits to the 2026 Extraordinary General Meeting of Shareholders (GMS) the dismissal and supplementary election of members of the Board of Directors for Term IV (2023 – 2028) as follows:

1. Dismissal of members of the BOD:

Mr. Phan Tấn Thư and Ms. Nguyễn Thy Phương, members of the BOD, submitted their resignation letters to the Board of Directors on Oct 8, 2026 and Oct 9, 2026, respectively.

To ensure compliance with the law and the Company's Charter, the BOD respectfully submits to the GMS for approval the dismissal of Mr. Phan Tấn Thư and Ms. Nguyễn Thy Phương as members of the BOD in accordance with their resignation letters.

2. Supplementary election of members of the BOD:

The BOD respectfully submits to the GMS for approval the supplementary election of 02 members of the BOD for the remainder of Term IV (2023 – 2028).

The procedures for nominating and self-nomination of candidates for the supplementary election of members of the Board of Directors for Term IV (2023 - 2028) are carried out in accordance with Notice No. 313 /2026-BMSC/HĐQT-TB dated November 05, 2026 of the

Board of Directors on the nomination and self-nomination of candidates for the supplementary election of members of the Board of Directors for Term IV (2023 - 2028) of Bao Minh Securities Company.

The list of candidates for members of the BOD shall be disclosed in accordance with current legal regulations.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval./.

ON BEHALF OF THE BOD
CHAIRWOMAN

Recipients:

- As above;
- BOD Archives.

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH

LƯƠNG THỊ CẨM TÚ

BAO MINH SECURITIES COMPANY

No.: 85.../2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Adjustment of the implementation plan for the share listing application

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *Resolutions of the General Meeting of Shareholders No. 15/2025-BMSC/NQ-ĐHĐCĐ dated April 28, 2025, No. 02/2026-BMSC/NQ-ĐHĐCĐ dated January 10, 2026, and No. 11/2026-BMSC/NQ-ĐHĐCĐ dated April 23, 2026;*
- *Resolutions of the Board of Directors No. 19/2026-BMSC/NQ-HĐQT dated March 10, 2026, No. 57/2026-BMSC/NQ-HĐQT dated August 03, 2026, and No. 78/2026-BMSC/NQ-HĐQT dated October 15, 2026;*
- *The actual situation of Bao Minh Securities Company.*

The Board of Directors (BOD) respectfully submits to the 2026 Extraordinary General Meeting of Shareholders (GMS) the report on the progress of the Company's share listing registration procedures:

- In implementation of the GMS Resolutions (Resolutions No. 15/2025-BMSC/NQ-ĐHĐCĐ dated April 28, 2025, No. 02/2026-BMSC/NQ-ĐHĐCĐ dated January 10, 2026, and No. 11/2026-BMSC/NQ-ĐHĐCĐ dated April 23, 2026), over the past time, the BOD has carried out the necessary legal procedures with the objective of completing the share listing registration in accordance with regulations in 2026.
- During the year, the BOD issued Resolution No. 19/2026-BMSC/NQ-HĐQT dated March 10, 2026, approving the implementation of the listing registration, and Resolution No. 57/2026-BMSC/NQ-HĐQT dated August 03, 2026, to update the change in the number of shares registered for listing after the Company completed the share issuance for dividend payment.
- The Company's share listing application dossier was received by the Hochiminh Stock Exchange (HOSE) pursuant to Notice No. 911/TB-SGDHCM dated April 10, 2026, and was reviewed in accordance with the correct procedures and current legal regulations. During this

process, the BOD organized the amendment and supplementation of the dossier in compliance with regulations and the requests/guidance of competent authorities.

- However, at the current time, based on an assessment of the macroeconomic situation, stock market developments, and the Company's development orientation in the upcoming period, the BOD recognizes that adjusting the listing timing is necessary to ensure alignment with market conditions, while aiming for sustainable development and maximizing the benefits for the Company and its shareholders. On that basis, the BOD issued Resolution No. 78/2026-BMSC/NQ-HĐQT dated October 15, 2026, approving the temporary suspension of the BMS share listing registration procedures at HOSE; concurrently, sending a written notice to HOSE requesting the Exchange to stop reviewing the Company's share listing application dossier. Upon the Company's request, HOSE issued a notice on stopping the review of the dossier.
- In the coming time, the BOD will continue to monitor and evaluate market conditions, proactively re-implement the listing plan at an appropriate time, and report to the General Meeting of Shareholders, ensuring compliance with current legal regulations and shareholders' rights

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval./.

ON BEHALF OF THE BOD
CHAIRWOMAN

LƯƠNG THỊ CẨM TÚ

Recipients:
- As above;
- BOD Archives.

BAO MINH SECURITIES COMPANY

No.: 86 /2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Amendment of the Charter on Organization and Operation

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *The Government's Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities, alongside its amending, supplementing, and guiding documents;*
- *The Ministry of Finance's Circular No. 116/2020/TT-BTC dated December 31, 2020 guidelines for implementation of some articles on administration of public companies in the government's Decree No. 155/2020/ND-CP dated december 31, 2020 elaborating some articles of the Law on Securities;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *The actual situation of Bao Minh Securities Company,*

In order to achieve the business development objectives, synchronize the brand, and flexibly meet the practical requirements of corporate governance and operation of the Company in the upcoming time, the Board of Directors respectfully submits to the 2026 Extraordinary General Meeting of Shareholders to approve the following contents:

1. Approve the change of the Company's Abbreviated name as follows:
   - Current abbreviated name: BMSC
   - Abbreviated name after change: BMS
2. Approve the change of the Company's Head office address as follows:
   - Registered head office address: 3rd Floor, Pax Sky Building, No. 34A Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City

- Head office address according to the current Charter (approved by the 2026 Annual General Meeting of Shareholders): Ground Floor and Floors 1, 2, 3, and 4, BMS Building, No. 34A Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City
- Head office address after change: Ground Floor, 1st, 3rd, and 9th Floors, BMS Building, No. 34A Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City

3. Approve the issuance of the Charter on Organization and Operation of the Company (amended) as the full attached draft. Details of the amended contents are in the attached Appendix. The new Charter will take effect from the date of approval by the General Meeting of Shareholders and will replace the current Charter.
4. Assign the Legal Representative of the Company to (i) organize the finalization and sign the issuance of the Charter on Organization and Operation of the Company (amended) and (ii) organize the implementation of necessary tasks and procedures in accordance with regulations to complete the change of the Company's Abbreviated name and Head office address.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval.

Respectfully submitted./.

ON BEHALF OF THE BOD
CHAIRWOMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH

LƯƠNG THỊ CẨM TÚ

Recipients:
- As above;
- BOD Archives.

APPENDIX: AMENDMENTS TO THE CHARTER ON ORGANIZATION AND OPERATION

No.	Current Charter	Amended Contents	Reasons
1	**Article 2. Name, form, head office, branches, representative offices, business locations, and term of operation of the Company.** **2.1.** Name of the Company: … c) Abbreviated name of the Company: BMSC. … **2.3.** Registered head office of the Company: a) Head office address: Ground Floor and Floors 1, 2, 3, and 4, BMS Building, No. 34A Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City; …	**Article 2. Name, form, head office, branches, representative offices, business locations, and term of operation of the Company.** **2.1.** Name of the Company: … c) Abbreviated name of the Company: BMS. … **2.3.** Registered head office of the Company: a) Head office address: Ground Floor, 1st, 3rd, 9th Floors, BMS Building, No. 34A Pham Ngoc Thach Street, Xuan Hoa Ward, Ho Chi Minh City; …	Changing the Abbreviated name to standardize and unify the Company's brand identity. Changing the head office address to meet the Company's operational space requirements.
2	**Article 31. Meetings of the Board of Directors** … **31.6.** The Chairman of the Board of Directors or the person convening the meeting must send a notice of meeting no later than three (03) working days before the meeting date. The notice must specify the time and venue of the meeting, the agenda, and the matters to be discussed and decided. The notice must attach the meeting documents and voting ballots of the members.	**Article 31. Meetings of the Board of Directors** … **31.6.** The Chairman of the Board of Directors or the person convening the meeting must send a notice of meeting no later than three (03) working days before the meeting date, **except where the Chairman of the Board of Directors or the convener deems it necessary to convene an immediate Board of Directors' meeting in the interests of the Company**. The notice must specify the time and venue of the meeting, the agenda, and the matters to be discussed	To add a provision allowing the Chairman of the Board of Directors or the convener to immediately convene a meeting, aiming to enhance flexibility and timeliness in corporate governance, based on compliance with current applicable laws.

No.	Current Charter	Amended Contents	Reasons
	The notice of meeting of the Board of Directors may be sent via letter, telephone, fax, electronic means, or other methods, and must be ensured to reach the contact address of each member of the Board of Directors registered at the Company. ...	and decided. The notice must attach the meeting documents and voting ballots of the members. The notice of meeting of the Board of Directors may be sent via letter, telephone, fax, electronic means, or other methods, and must be ensured to reach the contact address of each member of the Board of Directors registered at the Company. **In cases where the Chairman of the Board of Directors or the convener is entitled to convene an immediate Board of Directors' meeting, the notice period and the form of the meeting notice in such cases shall be implemented in accordance with the regulations of the Board of Directors from time to time.** ...	

BAO MINH SECURITIES COMPANY

No.: 87.../2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Amendment and supplementation of the Internal Regulations on Corporate Governance

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *The Government's Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities, alongside its amending, supplementing, and guiding documents;*
- *The Ministry of Finance's Circular No. 116/2020/TT-BTC dated December 31, 2020 guidelines for implementation of some articles on administration of public companies in the government's Decree No. 155/2020/ND-CP dated december 31, 2020 elaborating some articles of the Law on Securities;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *The Internal Regulations on Corporate Governance of Bao Minh Securities Company currently in force;*
- *The actual situation of Bao Minh Securities Company.*

In order to ensure consistency between the Internal Regulations on Corporate Governance and the Company's Charter (as amended) submitted to 2026 Extraordinary General Meeting of Shareholders for approval, and to flexibly meet the practical requirements of the Company's governance and management, the Board of Directors respectfully submits to 2026 Extraordinary General Meeting of Shareholders for approval the following matters:

1. To approve the contents of the Internal Regulations on Corporate Governance (amended) as the full attached draft. Details of the amended and supplemented contents are in the attached Appendix.
2. To assign the Board of Directors to decide on the promulgation the Internal Regulations on Corporate Governance (as amended and supplemented) on the basis of the contents approved by the General Meeting of Shareholders under Item 1 above.

3. The Internal Regulations on Corporate Governance (upon promulgation) shall take effect from the date of promulgation by the Board of Directors and shall replace the current Internal Regulations on Corporate Governance.

The Board of Directors respectfully submits the above to the General Meeting of Shareholders for consideration and approval.

Respectfully submitted./.

ON BEHALF OF THE BOD
CHAIRWOMAN



LƯƠNG THỊ CẨM TÚ

Recipients:
- As above;
- BOD Archives.

APPENDIX: AMENDMENTS TO THE INTERNAL REGULATIONS ON CORPORATE GOVERNANCE

Current Regulations	Amended and supplemented Contents	Reasons
Article 11. Procedures for convening and holding Board of Directors meetings ... **11.3.** Notice of Board of Directors meetings: a) The Chairperson of the Board of Directors or the convenor of the Board of Directors meeting must send the Notice of invitation to the meeting to members of the Board of Directors within seven (07) working days from the date of receipt of the proposal prescribed in Point a Clause 11.2 of this Article and no later than three (03) working days prior to the meeting date. The Notice of invitation must specifically specify the time and venue of the meeting, the agenda, and issues to be discussed and decided. The Notice of invitation must be accompanied by documents used at the meeting and voting slips of the members; b) The Notice of invitation to the Board of Directors meeting may be sent via invitation letter, telephone, fax, electronic means, or other methods prescribed by the Board of Directors and must guarantee to reach the contact address of each member of the Board of Directors registered at the Company; c) Members of the Board of Directors may request to add contents to the meeting agenda via mail, fax, email, or other means to the Chairperson of the Board of Directors or the convenor. The Chairperson of the Board of Directors or the convenor is responsible for including the requested additional	**Article 11. Procedures for convening and holding Board of Directors meetings** ... **11.3.** Notice of Board of Directors meetings: a) The Chairperson of the Board of Directors or the convenor of the Board of Directors meeting must send the Notice of invitation to the meeting to members of the Board of Directors within seven (07) working days from the date of receipt of the proposal prescribed in Point a Clause 11.2 of this Article and no later than three (03) working days prior to the meeting date, **except where the Chairperson of the Board of Directors or the person convening the Board of Directors meeting deems it necessary to immediately convene a Board of Directors meeting in the interests of the Company.** The Notice of invitation must specifically specify the time and venue of the meeting, the agenda, and issues to be discussed and decided. The Notice of invitation must be accompanied by documents used at the meeting and voting slips of the members; b) The Notice of invitation to the Board of Directors meeting may be sent via invitation letter, telephone, fax, electronic means, or other methods prescribed by the Board of Directors and must guarantee to reach the contact address of each member of the Board of Directors registered at the Company. c) Members of the Board of Directors may request to add contents to the meeting agenda via mail, fax, email, or other	Amended and supplemented to ensure consistency with the amendments made to the Charter on Organization and Operation of the Company.

Current Regulations	Amended and supplemented Contents	Reasons
contents into the meeting agenda if such content is approved by the majority of the attending members of the Board of Directors at the meeting. The refusal to include the requested additional contents in the meeting must be stated publicly at the meeting of the Board of Directors. …	means to the Chairperson of the Board of Directors or the convenor. The Chairperson of the Board of Directors or the convenor is responsible for including the requested additional contents into the meeting agenda if such content is approved by the majority of the attending members of the Board of Directors at the meeting. The refusal to include the requested additional contents in the meeting must be stated publicly at the meeting of the Board of Directors; **d) The cases in which the Chairperson of the Board of Directors or the person convening the Board of Directors meeting may immediately convene a Board of Directors meeting, as well as the notice period and form of the meeting invitation in such cases, shall be in accordance with the regulations issued by the Board of Directors from time to time.** …	

BAO MINH SECURITIES COMPANY

No.: 88... /2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Amendment of the Operating Regulations of the Board of Directors

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *The Government's Decree No. 155/2020/ND-CP dated December 31, 2020 elaborating some articles of the Law on Securities, alongside its amending, supplementing, and guiding documents;*
- *The Ministry of Finance's Circular No. 116/2020/TT-BTC dated December 31, 2020 guidelines for implementation of some articles on administration of public companies in the government's Decree No. 155/2020/ND-CP dated december 31, 2020 elaborating some articles of the Law on Securities;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *The Operating Regulations of the Board of Directors of Bao Minh Securities Company currently in force;*
- *The actual situation of Bao Minh Securities Company,*

In order to ensure consistency between the Operating Regulations of the Board of Directors and the Company's Charter (as amended) submitted to 2026 Extraordinary General Meeting of Shareholders for approval, and to flexibly meet the practical requirements of the Company's governance and management, the Board of Directors respectfully submits to 2026 Extraordinary General Meeting of Shareholders for approval the following matters:

1. To approve the contents of the Operating Regulations of the Board of Directors (amended) as the full attached draft. Details of the amended contents are in the attached Appendix.
2. To assign the Board of Directors to decide on the promulgation the Operating Regulations of the Board of Directors (as amended) on the basis of the contents approved by the General Meeting of Shareholders under Item 1 above.

3. The Operating Regulations of the Board of Directors (upon promulgation) shall take effect from the date of promulgation by the Board of Directors and shall replace the current Operating Regulations of the Board of Directors.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval.

Respectfully submitted./.

ON BEHALF OF THE BOD
CHAIRWOMAN



LƯƠNG THỊ CẨM TÚ

Recipients:
- As above;
- BOD Archives.



APPENDIX: AMENDMENTS TO THE OPERATING REGULATIONS OF THE BOARD OF DIRECTORS

Current Regulations	Amended Contents	Reasons
Article 15. Board of Directors meetings ... **15.6.** The Chairperson of the Board of Directors or the person who convenes the meeting of the Board of Directors shall send the notice of the meeting at least three (03) working days before the date of the meeting. The notice of the meeting must specify the time and location of the meeting, the agenda, and issues to be discussed and decided. The meeting invitation must be accompanied by documents to be used at the meeting and voting forms for members. The notice of the meeting of the Board of Directors may be sent by invitation letter, telephone, fax, electronic means, or other methods prescribed by the Company's Charter, and must be guaranteed to reach the contact address, email address, or other contact information of each member of the Board of Directors registered with the Company. ...	**Article 15. Board of Directors meetings** ... **15.6.** The Chairperson of the Board of Directors or the person who convenes the meeting of the Board of Directors shall send the notice of the meeting at least three (03) working days before the date of the meeting, **except where the Chairperson of the Board of Directors or the person convening the Board of Directors meeting deems it necessary to immediately convene a Board of Directors meeting in the interests of the Company.** The notice of the meeting must specify the time and location of the meeting, the agenda, and issues to be discussed and decided. The meeting invitation must be accompanied by documents to be used at the meeting and voting forms for members. The notice of the meeting of the Board of Directors may be sent by invitation letter, telephone, fax, electronic means, or other methods prescribed by the Company's Charter, and must be guaranteed to reach the contact address, email address, or other contact information of each member of the Board of Directors registered with the Company. **The cases in which the Chairperson of the Board of Directors or the person convening the Board of Directors meeting may immediately convene a Board of Directors meeting, as well as the notice period and form of the meeting invitation in such cases, shall be in accordance with the regulations issued by the Board of Directors from time to time.** ...	Amended to ensure consistency with the amendments made to the Charter on Organization and Operation of the Company.

BAO MINH SECURITIES COMPANY

No.: 89.../2026-BMSC/TT-HĐQT

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Hồ Chí Minh City, November 05, 2026

PROPOSAL

Re: Implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism

To: 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing, and guiding documents;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing, and guiding documents;*
- *The Charter of Bao Minh Securities Company currently in force;*
- *The actual situation of Bao Minh Securities Company,*

The Board of Directors (BOD) respectfully submits to the 2026 Extraordinary General Meeting of Shareholders (GMS) the implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism, as follows:

1. Reasons for proposing the implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism

According to information announced by the State Securities Commission (SSC) on June 25, 2026, the underlying securities market is expected to transition to a clearing and settlement model under the CCP mechanism from the beginning of Quarter I/2027. Accordingly, the Vietnam Securities Depository and Clearing Corporation (VSDC) will be the sole central clearing counterparty of the underlying securities market; through novation, VSDC becomes the central counterparty to securities transactions, thereby reducing settlement volumes and costs, optimizing cash flows, and enhancing the efficiency and safety of the market.

To clear and settle securities transactions for clients under the CCP mechanism, a securities company must be granted a Clearing Member Certificate by VSDC. Therefore, BMSC meeting the conditions and registering to become a clearing member of VSDC is a necessary requirement to maintain the ability to provide comprehensive securities services to clients and ensure its competitiveness in the market.

2. Proposal submitted to the GMS

Pursuant to Clause 4, Article 151 of Decree No. 155/2020/NĐ-CP, as amended by Item XVIII, Part A, Section 2, Appendix I.9 of Resolution No. 66.16/NQ-CP dated April 7, 2026 of the

Government, BMSC is required to obtain the approval of the GMS to implement the clearing and settlement services for securities transactions.

Based on the above contents, the Board of Directors respectfully submits to the BOD to:

(i) Approve the implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism.

(ii) Authorize the BOD and/or the General Director – Legal Representative of the Company to execute the following tasks:

- Organize the implementation of and decide on relevant issues when applying for the license to provide clearing and settlement services for securities transactions, and the license to become a clearing member at the State Securities Commission (SSC), VSDC, and competent state authorities, including but not limited to completing and signing dossiers and documents related to the application for the service provision license and the clearing member license to be submitted to the SSC, VSDC, and competent state authorities;
- Based on BMSC's operational situation, decide on the selection and change of the clearing member type in accordance with legal regulations;
- Decide on the level of contribution and asset allocation to the Settlement Assistance Fund, Clearing Fund, Operational Risk Fund, and fulfill other financial obligations in accordance with the law and VSDC's regulations;
- Organize, decide on, and perform other tasks related to the provision of clearing and settlement services for securities transactions at BMSC.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval./.

ON BEHALF OF THE BOD
CHAIRWOMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN BẢO MINH

LƯƠNG THỊ CẨM TÚ

Recipients:

- As above;
- BOD Archives.

DRAFT

BAO MINH SECURITIES COMPANY

No.: ..... /2026-BMSC/NQ-ĐHĐCĐ

SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Ho Chi Minh City, ..., 2026

RESOLUTION

2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

BAO MINH SECURITIES COMPANY

- *Pursuant to The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements and guiding documents;*
- *Pursuant to The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements and guiding documents;*
- *Pursuant to The Charter of Bao Minh Securities Company currently in force;*
- *Pursuant to The Minutes of the 2026 Extraordinary General Meeting of Shareholders of Bao Minh Securities Company dated December 03, 2026,*

RESOLVES

Article 1. To approve the contents of Proposal No. 84.. /2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Dismissal and supplementary election of members of the Board of Directors for Term IV (2023 – 2028). Accordingly:

- Dismiss 02 members of the Board of Directors for Term IV (2023 – 2028) based on the resignation letters of Mr. Phan Tan Thu and Ms. Nguyen Thy Phuong.
- Elect 02 additional members of the BOD for the remaining period of Term IV (2023 – 2028).

(The Proposal is attached to this Resolution).

Article 2. To approve the election results for additional members of the Board of Directors for Term IV (2023 – 2028)

The list of elected members of the Board of Directors for Term IV (2023 – 2028) includes the following Mr./Ms:

- Mr./Ms. .....: Member of the Board of Directors.
- Mr./Ms. .....: Member of the Board of Directors.

Article 3. To approve the contents of Proposal No. 85.. /2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Adjustment of the implementation plan for the share listing application.

(The Proposal is attached to this Resolution).

Article 4. To approve the contents of Proposal No. 86.. /2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Amendment of the Charter on Organization and Operation.

(The Proposal and the Full text of the Charter on Organization and Operation are attached to this Resolution).

Article 5. To approve the contents of Proposal No. 87../2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Amendment and supplementation of the Internal Regulations on Corporate Governance.

(The Proposal and the Full text of the Internal Regulations on Corporate Governance are attached to this Resolution).

Article 6. To approve the contents of Proposal No. 88../2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Amendment of the Operating Regulations of the Board of Directors.

(The Proposal and the Full text of the Operating Regulations of the Board of Directors are attached to this Resolution).

Article 7. To approve the contents of Proposal No. 89../2026-BMSC/TT-HĐQT dated November 05, 2026 Re: Implementation of clearing and settlement services for securities transactions under the central counterparty (CCP) clearing mechanism.

(The Proposal is attached to this Resolution).

Article 8. This Resolution takes effect from the date of signing. The Board of Directors, the Supervisory Board, the Board of Management of the Company, and all shareholders are responsible for the implementation of this Resolution./.

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
CHAIRPERSON

LƯƠNG THỊ CẨM TÚ