CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ***PHU HUNG SECURITIES CORPORATION*** ---	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness*** ---
Số/*No.*: 450/2026/PHS-PL	*Thành phố Hồ Chí Minh, ngày 17 tháng 11 năm 2026* *Ho Chi Minh City, November 17 2026*

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ *To:*
- **Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam;***
- **Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;**
- **Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;**
- **Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange.***

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ("PHS")**
Name of organization: ***PHU HUNG SECURITIES CORPORATION ("PHS")***

   – Mã chứng khoán/Mã thành viên/*Stock code/Broker code*: PHS/022
   – Địa chỉ/ *Address*: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.*
   – Điện thoại liên hệ/*Tel.*: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
   – E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

   Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố các Nghị quyết của Hội đồng quản trị như sau:
   According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the Resolutions of the Board of Directors as follows:

   i. Nghị quyết số 03/112_1226/NQ-HĐQT ngày 17/11/2026, Hội đồng quản trị thông qua việc trình Đại hội đồng cổ đông xem xét và phê duyệt đề xuất về việc Công ty cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo cơ chế đối tác bù trừ trung tâm (CCP).

   Resolution No. 03/112_1226/NQ-HĐQT dated November 17, 2026, the Board of Directors passed the submission to the General Meeting of Shareholders for consideration and approval of the proposal for the Company to provide securities transaction clearing, settlement services under the Central Counterparty ("CCP") mechanism.

   ii. Nghị quyết số 05/112_1226/NQ-HĐQT ngày 17/11/2026, Hội đồng quản trị thông qua các nội dung sau:

Người ký: CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG

Resolution No. 05/112_1226/NQ-HĐQT dated November 17, 2026, the Board of Directors passed the following contents:

- Gia hạn hạn mức tín dụng với Ngân hàng Shanghai Commercial & Savings Bank, Ltd. – chi nhánh Đồng Nai.
  Renewing credit line with Shanghai Bank – Dong Nai branch.
- Gia hạn hạn mức tín dụng với Ngân hàng Union Bank of Taiwan.
  Renewing credit line with Union Bank of Taiwan.
- Gia hạn hạn mức tín dụng và hạn mức thấu chi với Ngân hàng TMCP Phương Đông.
  Renewing credit line and overdraft line with Orient Commercial Joint Stock Bank.

(Chi tiết tham khảo tài liệu đính kèm/ *Further information, please find the attachment).*

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 17 tháng 11 năm 2026 tại đường dẫn: https://www.phs.vn, phần Về PHS, mục Quan hệ cổ đông.

   This information was published on PHS's website on November 17, 2026 in the link at https://www.phs.vn, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:
Attached Document:

- Các Nghị quyết HĐQT ngày 17/11/2026.
  Board Resolutions dated 17/11/2026.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE
(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
P. TÂN MỸ - T.P HỒ CHÍ MINH

Ông/ *Mr.* CHEN CHIA KEN
Tổng Giám đốc
General Director

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIET NAM** **Independence - Freedom - Happiness**
No.: 03/112_1226/NQ-HĐQT	*Ho Chi Minh City, November 17th, 2026*

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2025 – 2028)

- *Pursuant to Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to Law on Securities No. 54/2019/QH14;*
- *Pursuant to the current Charter of Phu Hung Securities Corporation;*
- *Pursuant to the Meeting Minutes No. 01/112_1226/BB-HĐQT dated November 17th, 2026 of Board of Directors of the Company;*

RESOLVE:

Article 1: Resolution 2

The Board of Directors passed the submission to the General Meeting of Shareholders for consideration and approval of the proposal for the Company to provide securities transaction clearing, settlement services under the Central Counterparty ("CCP") mechanism, main details as below:

1. Approval of the provision by the Company of clearing, settlement services for securities transactions under the CCP mechanism in accordance with the laws on securities.
2. Authorization to the BOD to perform the following tasks:
   - Decide on the selection and registration of the type of clearing member (direct clearing member or general clearing member) suitable to the Company's conditions and business needs;
   - Decide on and approve the implementation costs; decide on and perform the obligations to contribute to the Clearing Fund, clearing margin and other financial obligations of a clearing member in accordance with the laws;
   - Organize the implementation of clearing, settlement operations for securities transactions under the CCP mechanism; organize the implementation of the clearing margin account model, the securities trading margin model;
   - Sign, amend, supplement, submit, withdraw applications for the Certificate of Eligibility for Providing Securities Clearing, Settlement Services; applications for registration as a clearing member; contracts for becoming a clearing member; dossiers, minutes, documents relating to the connection with the securities clearing, settlement system; and applications for other relevant approvals required for the Company to provide clearing, settlement services for securities transactions with the State Securities Commission of Vietnam, the Stock Exchanges, the Vietnam Securities Clearing Company Limited, the Vietnam Securities Depository and Clearing Corporation and other competent authorities;
   - Sign contracts on the provision of clearing, settlement services with non-clearing members (where the Company is a general clearing member) and other related contracts and documents;

- Organize and carry out all other detailed tasks necessary for providing clearing, settlement services for securities transactions in accordance with the regulations of the competent authorities, the laws and the Company's Charter.

3. The BOD may assign/authorize the Chairman of the BOD and/or the General Director to perform one or more of the tasks set out in Section 2 of this Article, in accordance with the laws and the Company's Charter.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received

- As above Article 2;

- Archived at Company Secretary;

- Archived at Company Secretariat.

For and on behalf of B.O.D.

Chairman

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG

P. TÂN MỸ - T.P HỒ CHÍ MINH

Albert Kwang-Chin Ting

PHU HUNG SECURITIES CORPORATION

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

No.: 05/112_1226/NQ-HĐQT

Ho Chi Minh City, November 17th, 2026

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2025 – 2028)

- *Pursuant to Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to Law on Securities No. 54/2019/QH14;*
- *Pursuant to the current Charter of Phu Hung Securities Corporation;*
- *Pursuant to the Meeting Minutes No. 01/112_1226/BB-HĐQT dated November 17th, 2026 of Board of Directors of the Company;*

RESOLVE:

Article 1: Resolution 6

The Board of Directors passed the renewing credit line with Shanghai Bank – Dong Nai branch, main details as below:

Credit facility	**VND 35,000,000,000** (Vietnam dong Thirty five billion)
Facility period	One year

Article 2: Resolution 7

The Board of Directors passed the renewing credit line with Union Bank of Taiwan, main details as below:

Credit facility	**USD 5,000,000** (US dollars Five million)
Facility period	One year

Article 3: Resolution 8

The Board of Directors passed the renewing credit line and overdraft line with Orient Commercial Joint Stock Bank, main details as below:

1. Credit line	
Amount	**VND 500,000,000,000** (Vietnam dong Five hundred billion)
Period	One year
2. Overdraft line	
Amount	**VND 100,000,000,000** (Vietnam dong One hundred billion)
Period	One year

Article 4: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received

- As above Article 4;

- Archived at Company Secretary;

- Archived at Company Secretariat

For and on behalf of B.O.D.

Chairman



Albert Kwang-Chin Ting