APPENDIX

LIST OF EMPLOYEES PARTICIPATING IN THE 2026 ESOP PROGRAM

(Issued together with Resolution No. 85/NQ-HĐQT2026 dated November 17, 2026)

No.	Staff ID	Full name	Job title/Grade	Unit	Number of ESOP shares purchased	Total amount paid by employees to purchase shares
1	AB0805	Do Thanh Trung		Thang Long Business Development Center	32,679	326,790,000
2	AB0941	Do Thuy Dung		Digital Platform Department	7,000	70,000,000
3	AB0731	Nguyen Vu Minh Tra		Funding & Investment Department	329,359	3,293,590,000
4	AB0711	Nguyen Nhat Huy		IT Infrastructure and Services Department	100	1,000,000
5	AB0851	Nguyen Ngoc Due		Human Resources and Internal Services Department	156,900	1,569,000,000
6	AB0820	Dam Tuan Minh		Business Management and Development Department	19,607	196,070,000
7	AB0533	Duong Ngoc Tu		PR & Marketing Department	117,600	1,176,000,000

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8	AB0908	Nguyen Trong Minh		Investment Banking Services Department	19,600	196,000,000
9	AB0034	Le Thanh Xuan		Securities Services Operations Division	156,800	1,568,000,000
10	AB0693	Tran Thi Thu Huong		Credit Management Department	19,000	190,000,000
11	AB0409	Trinh Thi Nguyet Nga		Multi-Service Department	2,000	20,000,000
12	AB0463	Nguyen Thi Thanh		Multi-Service Department	372,600	3,726,000,000
13	AB0925	Nguyen Van Thong		Thang Long Business Development Center - Thang Long Brokerage Department 1	14,700	147,000,000
14	AB0931	Nguyen The Minh		Investment Banking Division	110,000	1,100,000,000
15	AB0968	Nguyen Hoang Tung		Finance Unit	5,000	50,000,000
16	AB0958	Nguyen Thi Hanh		Accounting Unit	6,500	65,000,000
17	AB0515	Dang Anh Tuan		Hanoi Brokerage Center - Brokerage Department 2	10,000	100,000,000
18	AB0099	Tran Thi Thu Ha		Depository Department	78,400	784,000,000
19	AB0175	Pham Xuan Vinh		Internal Services Unit	13,071	130,710,000
20	AB0689	Nguyen Thi Quynh		Credit Management Department	1,000	10,000,000
21	AB0706	Vu Thu Hong		Human Resources Unit	26,000	260,000,000
22	AB0748	Nguyen Van Tien		Technology Development and Integration Department	26,100	261,000,000

23	AB0479	Nguyen Trung Kien		IT Infrastructure and Services Department	19,600	196,000,000
24	AB0919	Nguyen Van Tuyen		Product Development Department	12,000	120,000,000
25	AB0608	Hoang Gia Nam		Ho Chi Minh City Branch	16,000	160,000,000
26	AB0912	Tran Minh Quang		Proprietary Trading Department	6,535	65,350,000
27	AB0812	Nguyen Thi Ngoc Anh		Funding & Investment Department	13,000	130,000,000
28	AB0193	Nguyen Hai Anh		Hanoi Brokerage Center - Brokerage Department 6	13,000	130,000,000
29	AB0692	Duong Ngo Tuan		Hanoi Branch - Brokerage Department 9	18,000	180,000,000
30	AB0906	Nguyen Thi Cam Nhung		Thang Long Business Development Center - Thang Long Brokerage Department 1	953,362	9,533,620,000
31	AB0881	Nguyen Viet Trung		Thang Long Business Development Center - Thang Long Brokerage Department 2	1,000	10,000,000
32	AB0546	Le Thi Kim Chi		Multi-Service Department	6,500	65,000,000
33	AB0665	Kim Thi Hue		Depository Department	15,000	150,000,000
34	AB0456	Hoa Huong Ly		Internal Services Unit	10,000	100,000,000
35	AB0670	Nguyen Dieu Anh		Bond Operations Department	8,000	80,000,000
36	AB0829	Trinh Thuy Nga		Technology Development and Integration Department	29,400	294,000,000

37	AB0198	Phan Hong Van		Bond Operations Department	117,600	1,176,000,000
38	AB0667	Truong Thi Ngoc Khanh		Multi-Service Department	15,000	150,000,000
39	AB0655	Nguyen Thanh Thao		Multi-Service Department	58,000	580,000,000
40	AB0591	Dang Quoc Tu		Investment Banking Services Department	32,600	326,000,000
41	AB0508	Luu Duc Huy		Risk Management Department	19,607	196,070,000
42	AB0784	Tran Thi Thu Phuong		Accounting Unit	19,607	196,070,000
43	AB0733	Nguyen Hong Anh		Business Management and Development Department	18,000	180,000,000
44	AB0917	Vu Thi Thanh Ha		Business Management and Development Department	1,019,108	10,191,080,000
45	AB0485	Tran Duy Ngoc		Da Nang Branch	3,000	30,000,000
46	AB0882	Doan Hai Duy		Da Nang Branch - Brokerage Department	800	8,000,000
47	AB0687	Mai Thu Phuong		Human Resources Unit	10,000	100,000,000
48	AB0965	Nguyen Thi Thao		Hanoi Branch - Brokerage Department 9	50	500,000
49	AB0972	Nguyen Thanh Tung		Information Technology Division	26,143	261,430,000
50	AB0940	Nguyen Tien Dung		Product Development Department	5,000	50,000,000
51	AB0924	Le Quynh Phuong		Quality Assurance Department	7,200	72,000,000

52	AB0945	Le Thi Sinh		Internal Services Unit	2,000	20,000,000
53	AB0800	Le Thi Kim Hue		Investment Analysis Department	5,000	50,000,000
54	AB0933	Vo Hong Khanh Vy		Ho Chi Minh City Branch - Ho Chi Minh Brokerage Center 1 - Brokerage Department 1	6,000	60,000,000
55	AB0885	Nguyen Thi Thu Thuy		Quality Assurance Department	10,000	100,000,000
56	AB0944	Duong Quang Phuong		Ho Chi Minh City Branch - Ho Chi Minh Brokerage Center 1 - Brokerage Department 1	2,535	25,350,000
57	AB0861	Tran Trung Dong		PR & Marketing Department	50,000	500,000,000
58	AB0147	Nguyen Thi My Huong		Hai Phong Branch - Brokerage Department	10,000	100,000,000
59	AB0350	Tran Van Tuan		Hai Phong Branch - Brokerage Department	1,000	10,000,000
60	AB0603	Do Mai Thu Huong		Multi-Service Department	15,000	150,000,000
61	AB0206	Le Minh Quy		Internal Services Unit	10,000	100,000,000
62	AB0699	Le Thi Minh Thuy		Multi-Service Department	15,000	150,000,000
63	AB0878	Trinh Thi Thuy Dung		Internal Services Unit	5,000	50,000,000

64	AB0884	Le Bui Minh Cuong		Ho Chi Minh City Branch - Brokerage Department 1	7,000	70,000,000
65	AB0901	Dinh Thi Bich Thuy		Funding & Investment Department	9,803	98,030,000
66	AB0300	Pham Ngoc Canh		Hanoi Brokerage Center - Brokerage Department 3	10,000	100,000,000
67	AB0171	Ta Quang Han		Hanoi Brokerage Center	15,000	150,000,000
68	AB0955	Nguyen Van Anh		Digital Platform Department	13,000	130,000,000
69	AB0840	Nguyen Thi Mai		Quality Assurance Department	1,000	10,000,000
70	AB0763	Nguyen Thi Hanh		Multi-Service Department	19,108	191,080,000
71	AB0611	Nguyen Hai Dang		Hoang Cau Trading Floor – Brokerage Department	16,000	160,000,000
72	AB0573	Duong Thi Thuy Duyen		Depository Department	2,000	20,000,000
73	AB0277	Ngo Thi Diem Hang		Credit Management Department	50,000	500,000,000
74	AB0871	Do Thi Hai Yen		Accounting Unit	35,000	350,000,000
75	AB0954	Tran My Hoa		Accounting Unit	9,800	98,000,000
76	AB0876	Ta Quang Thang		Human Resources Unit	10,000	100,000,000
77	AB0293	Nguyen Phuong Nga		Hanoi Branch - Brokerage Department 9	2,000	20,000,000

78	AB0146	Dao Thi Hoai Giang		Hai Phong Branch	6,000	60,000,000
79	AB0377	Le Tien Dung		Hai Phong Branch - Brokerage Department	1,000	10,000,000
80	AB0465	Dao Thi Kim Anh		Multi-Service Department	19,600	196,000,000
81	AB0504	Tran Nam Son		Risk Management and Compliance Division	524,287	5,242,870,000
82	AB0832	Tran Quoc Thang		Thai Binh Branch	16,000	160,000,000
83	AB0833	Vu Thi Thu Phuong		Thai Binh Branch	6,500	65,000,000
84		Nguyen Thi Duu		Supervisory Board	98,039	980,390,000
		Total			**4,999,800**	**49,998,000,000**