APPENDIX

LIST OF EMPLOYEES PARTICIPATING IN THE 2026 ESOP PROGRAM

(Issued together with Resolution No. 85/NQ-HĐQT2026 dated November 17, 2026)

No.	Staff ID	Full name	Job title/Grade	Unit	Number of ESOP shares purchased	Total amount paid by employees to purchase shares
1	AB0805	Do Thanh Trung	Business Center Director, Grade 1	Thang Long Business Development Center	32,679	326,790,000
2	AB0941	Do Thuy Dung	Senior Specialist	Digital Platform Department	7,000	70,000,000
3	AB0731	Nguyen Vu Minh Tra	Deputy Head of Department	Funding & Investment Department	329,359	3,293,590,000
4	AB0711	Nguyen Nhat Huy	Specialist, Grade 1	IT Infrastructure and Services Department	100	1,000,000
5	AB0851	Nguyen Ngoc Due	Department Director	Human Resources and Internal Services Department	156,900	1,569,000,000
6	AB0820	Dam Tuan Minh	Specialist, Grade 1	Business Management and Development Department	19,607	196,070,000
7	AB0533	Duong Ngoc Tu	Team Leader	PR & Marketing Department	117,600	1,176,000,000

8	AB0908	Nguyen Trong Minh	Department Director	Investment Banking Services Department	19,600	196,000,000
9	AB0034	Le Thanh Xuan	Division Director	Securities Services Operations Division	156,800	1,568,000,000
10	AB0693	Tran Thi Thu Huong	Senior Specialist	Credit Management Department	19,000	190,000,000
11	AB0409	Trinh Thi Nguyet Nga	Operations Specialist	Multi-Service Department	2,000	20,000,000
12	AB0463	Nguyen Thi Thanh	Department Director	Multi-Service Department	372,600	3,726,000,000
13	AB0925	Nguyen Van Thong	Senior Expert, Grade 1	Thang Long Business Development Center - Thang Long Brokerage Department 1	14,700	147,000,000
14	AB0931	Nguyen The Minh	Division Director	Investment Banking Division	110,000	1,100,000,000
15	AB0968	Nguyen Hoang Tung	Senior Specialist	Finance Unit	5,000	50,000,000
16	AB0958	Nguyen Thi Hanh	Staff	Accounting Unit	6,500	65,000,000
17	AB0515	Dang Anh Tuan	Senior Expert, Grade 2	Hanoi Brokerage Center - Brokerage Department 2	10,000	100,000,000
18	AB0099	Tran Thi Thu Ha	Department Director	Depository Department	78,400	784,000,000
19	AB0175	Pham Xuan Vinh	Driver	Internal Services Unit	13,071	130,710,000
20	AB0689	Nguyen Thi Quynh	Specialist, Grade 1	Credit Management Department	1,000	10,000,000
21	AB0706	Vu Thu Hong	Team Leader	Human Resources Unit	26,000	260,000,000
22	AB0748	Nguyen Van Tien	Expert	Technology Development and Integration Department	26,100	261,000,000

23	AB0479	Nguyen Trung Kien	Senior Specialist	IT Infrastructure and Services Department	19,600	196,000,000
24	AB0919	Nguyen Van Tuyen	Expert	Product Development Department	12,000	120,000,000
25	AB0608	Hoang Gia Nam	Branch Director	Ho Chi Minh City Branch	16,000	160,000,000
26	AB0912	Tran Minh Quang	Staff	Proprietary Trading Department	6,535	65,350,000
27	AB0812	Nguyen Thi Ngoc Anh	Staff	Funding & Investment Department	13,000	130,000,000
28	AB0193	Nguyen Hai Anh	Senior Expert, Grade 2	Hanoi Brokerage Center - Brokerage Department 6	13,000	130,000,000
29	AB0692	Duong Ngo Tuan	Advisory Specialist, Grade 3	Hanoi Branch - Brokerage Department 9	18,000	180,000,000
30	AB0906	Nguyen Thi Cam Nhung	Advisory Specialist, Grade 1	Thang Long Business Development Center - Thang Long Brokerage Department 1	953,362	9,533,620,000
31	AB0881	Nguyen Viet Trung	Senior Expert, Grade 1	Thang Long Business Development Center - Thang Long Brokerage Department 2	1,000	10,000,000
32	AB0546	Le Thi Kim Chi	Operations Staff	Multi-Service Department	6,500	65,000,000
33	AB0665	Kim Thi Hue	Senior Specialist	Depository Department	15,000	150,000,000
34	AB0456	Hoa Huong Ly	Team Leader	Internal Services Unit	10,000	100,000,000
35	AB0670	Nguyen Dieu Anh	Specialist, Grade 1	Bond Operations Department	8,000	80,000,000
36	AB0829	Trinh Thuy Nga	Senior Specialist	Technology Development and Integration Department	29,400	294,000,000

37	AB0198	Phan Hong Van	Department Director	Bond Operations Department	117,600	1,176,000,000
38	AB0667	Truong Thi Ngoc Khanh	Specialist, Grade 1	Multi-Service Department	15,000	150,000,000
39	AB0655	Nguyen Thanh Thao	Senior Specialist	Multi-Service Department	58,000	580,000,000
40	AB0591	Dang Quoc Tu	Deputy Department Director	Investment Banking Services Department	32,600	326,000,000
41	AB0508	Luu Duc Huy	Department Director	Risk Management Department	19,607	196,070,000
42	AB0784	Tran Thi Thu Phuong	Senior Specialist	Accounting Unit	19,607	196,070,000
43	AB0733	Nguyen Hong Anh	Specialist, Grade 1	Business Management and Development Department	18,000	180,000,000
44	AB0917	Vu Thi Thanh Ha	Department Director	Business Management and Development Department	1,019,108	10,191,080,000
45	AB0485	Tran Duy Ngoc	Branch Director	Da Nang Branch	3,000	30,000,000
46	AB0882	Doan Hai Duy	Advisory Specialist, Grade 1	Da Nang Branch - Brokerage Department	800	8,000,000
47	AB0687	Mai Thu Phuong	Senior Specialist	Human Resources Unit	10,000	100,000,000
48	AB0965	Nguyen Thi Thao	Advisory Specialist, Grade 1	Hanoi Branch - Brokerage Department 9	50	500,000
49	AB0972	Nguyen Thanh Tung	Division Director	Information Technology Division	26,143	261,430,000
50	AB0940	Nguyen Tien Dung	Department Director	Product Development Department	5,000	50,000,000
51	AB0924	Le Quynh Phuong	Specialist, Grade 1	Quality Assurance Department	7,200	72,000,000

52	AB0945	Le Thi Sinh	Staff	Internal Services Unit	2,000	20,000,000
53	AB0800	Le Thi Kim Hue	Deputy Director of Fundamental Analysis	Investment Analysis Department	5,000	50,000,000
54	AB0933	Vo Hong Khanh Vy	Advisory Specialist, Grade 2	Ho Chi Minh City Branch - Ho Chi Minh Brokerage Center 1 - Brokerage Department 1	6,000	60,000,000
55	AB0885	Nguyen Thi Thu Thuy	Specialist, Grade 1	Quality Assurance Department	10,000	100,000,000
56	AB0944	Duong Quang Phuong	Advisory Specialist, Grade 1	Ho Chi Minh City Branch - Ho Chi Minh Brokerage Center 1 - Brokerage Department 1	2,535	25,350,000
57	AB0861	Tran Trung Dong	Department Director	PR & Marketing Department	50,000	500,000,000
58	AB0147	Nguyen Thi My Huong	Advisory Specialist, Grade 2	Hai Phong Branch - Brokerage Department	10,000	100,000,000
59	AB0350	Tran Van Tuan	Advisory Specialist, Grade 2	Hai Phong Branch - Brokerage Department	1,000	10,000,000
60	AB0603	Do Mai Thu Huong	Head of Operations Department	Multi-Service Department	15,000	150,000,000
61	AB0206	Le Minh Quy	Driver	Internal Services Unit	10,000	100,000,000
62	AB0699	Le Thi Minh Thuy	Operations Team Leader	Multi-Service Department	15,000	150,000,000
63	AB0878	Trinh Thi Thuy Dung	Staff	Internal Services Unit	5,000	50,000,000

64	AB0884	Le Bui Minh Cuong	Advisory Specialist, Grade 1	Ho Chi Minh City Branch - Brokerage Department 1	7,000	70,000,000
65	AB0901	Dinh Thi Bich Thuy	Specialist, Grade 1	Funding & Investment Department	9,803	98,030,000
66	AB0300	Pham Ngoc Canh	Senior Expert, Grade 2	Hanoi Brokerage Center - Brokerage Department 3	10,000	100,000,000
67	AB0171	Ta Quang Han	Head of Brokerage Department, Grade 3	Hanoi Brokerage Center	15,000	150,000,000
68	AB0955	Nguyen Van Anh	Expert	Digital Platform Department	13,000	130,000,000
69	AB0840	Nguyen Thi Mai	Senior Specialist	Quality Assurance Department	1,000	10,000,000
70	AB0763	Nguyen Thi Hanh	Specialist, Grade 1	Multi-Service Department	19,108	191,080,000
71	AB0611	Nguyen Hai Dang	Business Center Director, Grade 1	Hoang Cau Trading Floor – Brokerage Department	16,000	160,000,000
72	AB0573	Duong Thi Thuy Duyen	Senior Specialist	Depository Department	2,000	20,000,000
73	AB0277	Ngo Thi Diem Hang	Department Director	Credit Management Department	50,000	500,000,000
74	AB0871	Do Thi Hai Yen	Expert	Accounting Unit	35,000	350,000,000
75	AB0954	Tran My Hoa	Specialist, Grade 1	Accounting Unit	9,800	98,000,000
76	AB0876	Ta Quang Thang	Staff	Human Resources Unit	10,000	100,000,000
77	AB0293	Nguyen Phuong Nga	Head of Brokerage Department, Grade 2	Hanoi Branch - Brokerage Department 9	2,000	20,000,000

78	AB0146	Dao Thi Hoai Giang	Branch Director	Hai Phong Branch	6,000	60,000,000
79	AB0377	Le Tien Dung	Advisory Specialist, Grade 1	Hai Phong Branch - Brokerage Department	1,000	10,000,000
80	AB0465	Dao Thi Kim Anh	Senior Specialist	Multi-Service Department	19,600	196,000,000
81	AB0504	Tran Nam Son	Division Director	Risk Management and Compliance Division	524,287	5,242,870,000
82	AB0832	Tran Quoc Thang	Branch Director	Thai Binh Branch	16,000	160,000,000
83	AB0833	Vu Thi Thu Phuong	Broker 1	Thai Binh Branch	6,500	65,000,000
84		Nguyen Thi Duu	Member of the Supervisory Board	Supervisory Board	98,039	980,390,000
		Total			**4,999,800**	**49,998,000,000**