CÔNG TY CỔ PHẦN CHỨNG KHOÁN AN BÌNH
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AN BINH SECURITIES JOINT STOCK COMPANY

No.: 438/ABS-IB.26

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, November 19, 2026

REPORT

Results of the share issuance under the employee stock ownership plan (ESOP) for employees of the company

To: State Securities Commission

I. Information on the Issuer

1. Name of the Issuer *(full name):* An Binh Securities Joint Stock Company.

2. Abbreviated name: ABS.

3. Head office address: 16th Floor, Geleximco Building, 36 Hoang Cau, O Cho Dua Ward, Hanoi, Vietnam.

4. Telephone: (84-24) 3562 4626 Fax: (84-24) 3562 4628 Website: www.abs.vn

5. Charter capital: VND 3,011,500,000,000.

6. Stock code: ABW.

7. Place of payment account: An Binh Commercial Joint Stock Bank – Hanoi Branch
Account number: 0111077887788.

8. Enterprise Registration Certificate, enterprise code 0102036848, first issued by the Hanoi Department of Planning and Investment on 26 September 2006, fourth amendment issued by the Business Registration and Corporate Finance Division – Hanoi Department of Finance on 25 June 2026.

- Main business line: Commodity contract and securities brokerage (Details: Securities brokerage). Industry code: 6612.

- Main products/services: Securities brokerage; securities investment advisory; securities proprietary trading; securities underwriting; corporate finance advisory; and securities depository.

9. License on Establishment and Operation No. 16/UBCK-GPHĐKD issued by the Chairman of the SSC on 29 September 2006; Adjusted License No. 77/GPĐC-UBCK issued on 24 June 2026.

II. Issuance Plan

1. Name of shares: Shares of An Binh Securities Joint Stock Company.

2. Type of shares: Common shares.

3. Number of shares before the issuance:

- Total number of issued shares: 301,150,000 shares.

- Number of outstanding shares: 301,150,000 shares.

- Number of treasury shares: 0 shares.

4. Planned number of shares to be issued: 5,000,000 shares, equivalent to 1.66% of the total number of outstanding shares.

5. Transfer restriction period: ESOP shares are subject to a transfer restriction of 01 (one) year from the end date of the issuance. ABS will not repurchase issued ESOP shares.

6. Issue price *(in case of selling shares to employees):* VND 10,000 per share.

7. Source of funds for the issuance *(in case of issuing bonus shares to employees):* Not applicable.

8. End date of the issuance: November 13 2026.

9. Expected share transfer date: in November or December 2026.

III. Results of the Share Issuance

1. Number of shares distributed: 4,999,800 shares, equivalent to 99.996% of the total planned number of shares to be issued.

2. Number of employees allocated shares: 84 employees.

3. Total number of shares after the issuance (as of November 13 2026): 306,149,800 shares, of which:

- Number of outstanding shares: 306,149,800 shares

- Number of treasury shares: 0 shares.

IV. Attached Documents

1. Board of Directors Resolution No. 85/NQ-HĐQT2026 dated November 17, 2026 approving the results of the share issuance under the Company's Employee Stock Ownership Plan (attached: List of employees participating in the ESOP program, specifying the number of shares for which each employee has paid the purchase price);

2. Board of Directors Resolution No. 79/NQ-HĐQT2026 dated 4 November 2026 on the continued distribution of unsold shares in the share issuance under the employee stock ownership plan of the company;

3. Confirmation Letter No. 4085/GXN-BIDV.NKKN dated 17 November 2026 of the Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia Branch, where the blocked account is held, regarding the proceeds collected from the issuance.

LEGAL REPRESENTATIVE
GENERAL DIRECTOR

(signed)

Nguyễn Quang Đạt