Digitally signed by CÔNG TY C PHẦN CH NG KHOÁN NH T VI T
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Date: 2026-11-23 18:44+07:00

CÔNG TY C PHẦN CH NG KHOÁN NH T VI T

VIET FIRST SECURITIES CORPORATION

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

No.: 213../2026/TB-VFS

Ho Chi Minh City, November 23..., 2026

Re: Record date for the exercise of purchase rights for shares offered to existing shareholders

NOTICE

(Regarding the record date for the exercise of purchase rights for shares)

To: Vietnam Securities Depository and Clearing Corporation

Name of the securities registration organization: **Viet First Securities Corporation**.

Transaction name: Viet First Securities Corporation.

Head Office Address: 1st Floor, No. 117-119-121 Nguyen Du Street, Ben Thanh Ward, Ho Chi Minh City, Vietnam.

Telephone: 028 6255 6586 Fax: 028 6255 6580

We would like to notify the Vietnam Securities Depository and Clearing Corporation (VSDC) of the last registration date (record date) to finalize the list of owners for the following securities:

Name of share: **Shares of Viet First Securities Corporation**

Stock code: **VFS**

Type of share: Common share

Par Value: VND 10,000/ share

Trading Floor: HNX

The record date: **7 December 2026**

1. **Reasons and purpose:** To exercise the right to purchase additional shares offered to existing shareholders.

2. **Specific contents**

- Expected number of shares to be issued: 139,900,000 shares.
- Issue price: VND 10,000/share.
- Exercise ratio: 100: 90.8657 (one (01) share entitles its holder to one (01) right, and 100 rights entitle the holder to purchase 90.8657 new shares).
- Rounding plan, plan for handling fractional shares, and plan for handling shares not fully subscribed:

+ The number of shares offered to existing shareholders according to the subscription ratio shall be rounded down to the nearest whole share

+ The Board of Directors shall further distribute all fractional shares arising from the offering and all shares not subscribed for or not paid for by the existing shareholders (if

any) to other investors (including other existing shareholders) at an offering price of VND 10,000 per share within the permitted timeframe under the Certificate of Registration for Public Offering of Shares(including any extension thereof – if any), ensuring that it does not fall under the cases stipulated in Clause 3, Article 42 of Decree No. 155/2020/ND-CP and Article 195 of the Law on Enterprises No. 59/2020/QH14, specifically as follows:

- The offering to organizations, individuals and their related persons if such offering would result in their ownership exceeding the threshold requiring a public tender offer under applicable laws;
- The offering of ten percent (10%) or more of the charter capital of the issuing organization to organizations, individuals and their related persons in a single offering or in multiple offerings and issuances conducted within the preceding twelve (12) months;
- The offering to any organization being a subsidiary of the Company or an organization under the same parent company as the Company (if any).

+ Criteria for Selecting Investors to Be Offered the Remaining Undistributed Shares in the Offering of Additional Shares to Existing Shareholders.

- Investors shall be domestic and foreign organizations and individuals having sufficient financial capacity to invest in VFS shares and capable of contributing to the development of the Company.
- Investors shall satisfy the requirements applicable to shareholders of securities companies in accordance with relevant laws and regulations

+ The number of shares representing the difference between the number of shares registered for offering and the number of shares actually offered under the ratio for the exercise of pre-emptive rights (= the number of outstanding shares × 90.8657/100) shall not be distributed

+ All fractional shares arising from the offering and all shares not subscribed for or not paid for by the existing shareholders (if any) that are further distributed to other investors (including other existing shareholders) shall be subject to a transfer restriction for a period of one (1) year from the completion date of the offering.

- Example: On the record date, a shareholder holding 1,000 shares shall receive 1,000 subscription rights and shall be entitled to subscribe for: (1,000/100) x 90.8657 = 908. 657 (shares). Applying the rounding-down principle, such shareholder shall be entitled to subscribe for 908 new shares.
- Provisions on the transfer of purchase rights:
  + Purchase rights transfer period: From 14 December 2026 to 28 December 2026
  + Purchase rights may be transferred: 01 *(one)* time.
- Provisions on the securities offered for subscription:
  + Period for subscription registration and payment of share subscription money: From 14 December 2026 to 5 January 2027.
  + The securities offered for subscription are freely transferable.

- Location:
  + For deposited securities: The holders shall complete the procedures for transferring purchase rights, registering to subscribe, and paying the share subscription money at the depository members where their depository accounts are opened.
  + For non-deposited securities: The holders shall complete the procedures for transferring purchase rights, registering to subscribe at: Head Office of Viet First Securities Corporation: 1st Floor, No. 117 – 119 – 121 Nguyen Du Street, Ben Thanh Ward, Ho Chi Minh City, Vietnam or Branch: 5th Floor, No. 37 Ba Trieu Street, Cua Nam Ward, Hanoi City, Vietnam *(Securities holders shall complete the relevant procedures on working days and present their citizen identity card/passport)* and paying the subscription amount into the blocked account.
- Information on the blocked account for share subscription payments:
  + Account holder: Viet First Securities Corporation
  + Account number: 119 0000 274
  + Place of account opening: Bank for Investment and Development of Vietnam JSC – Nam Ky Khoi Nghia Branch.

We request VSDC to establish and send the list of securities owners as of the aforementioned record date to our Company via VSDC's electronic communication portal system.

Recipients:
- As above;
- Hanoi Stock Exchange (HNX);
- Archived.

VIET FIRST SECURITIES CORPORATION
LEGAL REPRESENTATIVE
GENERAL DIRECTOR

S.G.P: 100 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN NHẤT VIỆT
QUẬN 1 - T.P HỒ CHÍ MINH

NGUYEN THI THU HANG